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1 Promoting biodiversity values of small forest patches in agricultural
2 landscapes: Ecological drivers and social demand

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26 Abstract

27 Small forest patches embedded in agricultural (and peri-urban) landscapes in Western Europe
28 play a key role for biodiversity conservation with a recognized capacity of delivering a wide
29 suite of ecosystem services. Measures aimed to preserve these patches should be both socially
30 desirable and ecologically effective. This study presents a joint ecologic and economic
31 assessment conducted on small forest patches in Flanders (Belgium) and Picardie (N France). In
32 each study region, two contrasted types of agricultural landscapes were selected. Open field
33 (OF) and Bocage (B) landscapes are distinguished by the intensity of their usage and higher
34 connectivity in the B landscapes. The social demand for enhancing biodiversity and forest
35 structure diversity as well as for increasing the forest area at the expenses of agricultural land is
36 estimated through an economic valuation survey. These results are compared with the outcomes
37 of an ecological survey where the influence of structural features of the forest patches on the
38 associated herbaceous diversity is assessed. The ecological and economic surveys show

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39 contrasting results; increasing tree species richness is ecologically more important for
40 herbaceous diversity in the patch, but both tree species richness and herbaceous diversity obtain
41 insignificant willingness to pay estimates. Furthermore, although respondents prefer the
42 proposed changes to take place in the region where they live, we find out that social preferences
43 and ecological effectiveness do differ between landscapes that represent different intensities of
44 land use. Dwellers where the landscape is perceived as more “degraded” attach more value to
45 diversity enhancement, suggesting a prioritization of initiatives in these area. In contrast, the
46 ecological analyses show that prioritizing the protection and enhancement of the relatively
47 better-off areas is more ecologically effective. Our study calls for a balance between ecological
48 effectiveness and welfare benefits, suggesting that cost effectiveness studies should consider
49 these approaches jointly.

50 **Keywords**

51 Economic valuation, discrete choice experiment, mixed models, social preferences, herbaceous
52 diversity.

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56 landscapes: Ecological drivers and social demand

57 1 Introduction

58 In Europe, the conversion of forests into agricultural land and the intensification and
59 specialization of agriculture since the 1950s has led to reduction and fragmentation of the
60 original forest cover, to decreased landscape heterogeneity and ultimately, to a decline of
61 species diversity (Foley et al., 2005; Hadad et al., 2015; Valdés et al., 2015).

62 Small forest patches embedded in agricultural (and peri-urban) landscape matrices in Western
63 Europe are often overlooked in conservation programmes, although they play a key role for
64 biodiversity conservation as they often are the only semi-natural habitats present in these
65 landscapes. Furthermore, their capacity to deliver a whole suite of ecosystem services (ES) to
66 society (e.g. recreation opportunities, food production, pest control) is increasingly recognized
67 (Decocq et al., 2016; Foley et al., 2005; Valdés et al., 2015). Due to their small size, these
68 patches are generally not legally protected against conversion to another land use or against any
69 other form of degradation. Hence the need for policies that can maintain and restore biodiversity
70 in these small forest patches.

71 Many of the benefits that biodiversity conservation policies provides are public goods not traded
72 in markets. Hence, considering only financial costs and benefits of these policies may produce
73 sub-optimal decisions in terms of their ability to optimise social welfare. Environmental
74 valuation can help guiding the design of these policies by eliciting public preferences on
75 different attributes of biodiversity (Fatemeh Bakhtiari et al., 2014; Christie et al., 2006), so these
76 can be taken into consideration in investments and policy decisions (Stenger et al., 2009).
77 Proposed measures should be both socially desirable and ecologically effective. This includes
78 considerations on where – under which landscape conditions, changes will be valued the
79 highest, will have largest effect on biodiversity changes, and will be most expensive. Hence
80 there is a need for integrated ecological – economic research in which the factors determining
81 biodiversity patterns in these patches are identified together with the preferences of the local
82 population for improved biodiversity and management measures leading to a better conservation
83 status.

84 We hypothesize that social support may exist for preserving and enhancing the status of these
85 small forest patches. However, social preferences may vary depending on the management

86 measures undertaken and the type of landscape where these are applied (van Zanten et al.,
87 2016). Also, we hypothesize that less public support and lower ecological effectiveness can be
88 expected for biodiversity oriented measures in landscapes that provide more habitat and suffered
89 less degradation (Domínguez-Torreiro et al., 2013; Horowitz et al., 2007).

90 Based on these hypotheses, this study has three main objectives:

- 91 1. To analyse the social preferences for biodiversity-oriented measures in small forest
92 patches in agricultural landscapes, using both species and structural diversity indicators;
- 93 2. To analyse the ecological effectiveness of the proposed measures in these landscapes;
- 94 3. To determine whether the social preferences and effectiveness differ between
95 landscapes with different degrees of agricultural management intensity.

96 To address these objectives, a joint ecological and economic assessment was conducted on
97 small forest patches in Belgium (Flanders) and northern France (Picardie). In each study region,
98 two contrasting types of agricultural landscapes were selected: open field (OF) and bocage (B).
99 These landscape types result from different historical trajectories and show different
100 biodiversity conservation levels; OF landscapes are characterized by large-scale, high input-
101 based agriculture while in B landscapes a more small-scale, lower-input agriculture is practised.
102 The connectivity between the forest patches in the B landscapes is considered to be higher due
103 to the high number of treelines and hedgerows compared to the OF landscapes.

104 The social demand for enhancing key biodiversity components, forest structural components as
105 well as for increasing the forest area at the expenses of agricultural land is estimated through an
106 economic valuation survey. Results are compared with the outcomes of an ecological survey
107 where the biodiversity levels in OF and B landscapes are assessed, together with the influence
108 of structural features of these stands on the associated herbaceous diversity. This indicator is
109 adopted due to its impact on multi-trophic interactions that seem to indicate its suitability as
110 biodiversity indicator (Scherber et al. 2010).

111 This work contributes to the still limited number of studies addressing the role that forest
112 patches in agricultural landscapes play in the conservation of biodiversity and in the provision
113 of ES (Mitchell et al., 2014; Valdés et al., 2015), being one of the main novelties that ecologic
114 and welfare economic assessments were conducted concomitantly, thus allowing a joint
115 comparison of the key attributes that play a decisive role in determining biodiversity patterns,
116 and their contribution to shape social preferences for these forest patches.

117 2 METHODS

118 2.1 Study area

119 Both in Flanders and Picardie, two 5 x 5 km landscape windows (LW) with contrasting
120 agricultural management intensities were selected (Fig. 1 and 2). One window in each region
121 (hereafter ‘Open Field Landscape’, OF) was composed of isolated forest patches embedded in
122 an intensively cultivated agricultural matrix dominated by arable land, with big crop fields
123 (from one to several hectares) receiving high inputs of chemical fertilizers and biocides
124 annually. The other window (hereafter ‘Bocage Landscape’, B) contained forest patches that
125 were more or less connected by hedgerows, embedded in a matrix dominated by grasslands and
126 small crop fields (usually < 1ha) that were less intensively managed and received far less inputs.

127 The forest cover represented 5.4, 6.4, 4.7 and 5.4% in the Belgian B, Belgian OF, French B and
128 French OF LW, respectively, distributed among 56 (min: 0.24 ha, mean: 2.43 ha, max: 22 ha),
129 67 (0.17, 2.40, 16), 62 (0.09, 1.89, 27), and 29 (0.17, 4.67, 24) patches, respectively.

130 The valuation survey was conducted in the municipalities located within and around the
131 landscape windows (see Figure 1).

132 2.2 Economic valuation

133 Discrete choice experiment (CE) is an attribute based method rooted on the Lancaster’s theory
134 of value (Lancaster, 1966; Train, 2009) and the random utility theory (McFadden, 1974).
135 Lancaster theory (1966) states that the utility that an individual derives from a good consists of
136 the sum of the value of all the attributes of that good. In random utility theory (McFadden
137 1974), respondents try to maximize utility functions that consists of a deterministic and a
138 stochastic element.

139 DCE involves the characterization of the good or service at stake, i.e. forests patches, through a
140 series of its most relevant attributes that are combined to create hypothetical scenarios or
141 alternatives that will be evaluated by the respondents, by choosing their preferred scenario. One
142 of the attributes included is a monetary attribute enabling to calculate willingness to pay (WTP)
143 estimates for each of the remaining attributes as well as for each of the given alternatives. The
144 econometric specifications and details on the method are intensively written in the literature,
145 and will therefore not be repeated here. We refer to Louviere et al. (2000), Haab and McConnell
146 (2002) and Johnston et al. (2017) for specifications and applications.

147 A DCE was conducted on a representative sample of the local population for each LW. The
148 DCE enables capturing both use values (recreational and aesthetic enjoyment) and non-use

149 values (existence values) that people may associate to the biodiversity of these patches. A set of
150 ecologically relevant attributes was defined (see table 1) together with forest ecologists in the
151 team and after a careful review of economic valuation literature on forest-related biodiversity.

152 An attribute with two levels presented the LW where the management measures would take
153 place: open field (OF) or bocage (B). This attribute allowed testing whether respondents were
154 sensitive to the location of the proposed changes.

155 An attribute with three levels addressed the area covered by forest patches in these LW. The
156 current level or status quo (SQ) level was set on 6% forest cover; two additional levels
157 presented an increase up to 9% (1.5 times more than today) and 12% (2 times more than today)
158 forest cover, respectively. Fragmentation of forest cover is a key issue for many species in these
159 landscapes, leading to isolated populations for species having more limited dispersal capacity
160 (Lindborg and Eriksson 2004, in Lindborg 2009) and to an increased edge:core ratio detrimental
161 to forest species. Accordingly, the increase in forest area was spelt out to the respondents as
162 always taking place enlarging and connecting existing forest patches. The proposed area
163 enlargement by forest patch connection would be in line with existing policies to tackle
164 fragmentation of natural habitats (IEEP, 2010), reducing the isolation of the forest patches, and
165 enhancing their role as refugia for forest specialist species (Roy & de Blois, 2008; Araujo
166 Calçada et al., 2013, in Valdés et al. 2015; Magire et al. 2015, Mitchell et al. 2014).

167 A group of three attributes presented structural features of the forest patches key to improve
168 biodiversity levels and dynamics of these ecosystems and have been previously addressed in
169 valuation studies (e.g. Nielsen et al., 2007, Meyerhoff et al. 2009, Campbell et al., 2012,
170 Filuyskina et al., 2017). The attribute on tree species richness considered three levels, departing
171 from one species and increasing up to three tree species. The age attribute considered one age
172 (even-aged) or two age (uneven-aged) tree stands. The layer attribute considered the absence or
173 presence of a shrub layer.

174 Three attributes considering herbaceous, butterfly and bird species covered the species
175 dimension of biodiversity. Herbaceous species is the associated diversity indicator assessed in
176 the ecological analysis (see below) as it constitutes greater part of temperate forest biodiversity
177 (Gilliam, 2007). , Two other taxonomic groups were included to test whether preferences vary
178 among different taxonomic groups (Home et al., 2009; Martín-López et al., 2007). Levels for
179 these attributes were derived from secondary data on inventories in the study areas while
180 expected increases were considered based on the size of the regional habitat species pool (i.e.
181 the number of species potentially present in the study sites if habitat conditions become
182 suitable). For French LW, we used the CLICNAT (<http://obs.picardie-nature.org>) and

183 DIGITALE 2 (<http://www.cbnbl.org/>) databases for the fauna and flora, respectively. For the
184 Belgian windows information was acquired from Van Landuyt et al. (2006) for plants,
185 Vermeersch et al. (2004) for birds and Maes et al. (2013) for butterflies added with recent data
186 from the online database waarnemingen.be (<http://www.waarnemingen.be>).

187 Finally, a monetary attribute for the estimation of willingness to pay (WTP) was included.
188 Levels were based on a similar study recently conducted in Flanders (Liekens et al., 2013). The
189 payment vehicle was a one-time mandatory payment per household and directly allocated to a
190 fund ruled by the regional government and monitored by the local community council and by
191 the University of Ghent and Picardie, respectively.

192 [Table 1 around here]

193 2.2.1 Questionnaire design and administration

194 A questionnaire was designed to implement the DCE(see Appendix A). The questionnaire was
195 tested in pilot test with a total of 20 respondents prior final launching. Within each window the
196 sample was stratified according to age and gender, proportional to the population of each
197 window. Our sample had an overrepresentation of middle-age and elder age classes compared to
198 the real population.

199 The SQ option depicted monospecific even-aged forest patches without a shrub layer, covering
200 6% of the landscape area and hosting the lowest number of herbaceous, bird and butterfly
201 species respectively within the ranges considered. The SQ level for the landscape window was
202 case-sensitive, so it would show for each of the subsamples their window where they belong to.
203 The groupings of SQ and the proposed alternatives are known as choice sets. In this case, each
204 choice set involves the SQ option and two alternatives. 24 choice sets were designed using a
205 pivot experimental design optimized by NGene (ChoiceMetrics, 2012) for D-efficiency,
206 retrieving a D-error of 0.0022. The valuation questionnaire consisted of an introductory section,
207 a valuation section with six choice sets per respondent (see Figure 2) and follow-up questions
208 on socio-economic characteristics. Additionally, in the French survey space for respondents'
209 comments was included.

210 A total of 449 valuation questionnaires were completed in face-to-face surveys, 242 in the
211 Flemish LW and 207 in the French LW, between August 2013 and August 2014. The
212 questionnaire was delivered to a sample of the population equally weighted across the OF and B
213 areas in France and Belgium and sampled from municipalities closest to the forest patches (see
214 Appendix A). Within each window the sample was stratified according to age and gender,
215 proportional to the population of each window. Forty-eight (10.7%) protest answers were
216 identified through a follow-up close-ended question. Protesters were mainly people stating that

217 they already pay enough taxes and that the government should pay for these initiatives (cf.
218 Meyerhoff et al., 2014). The share of protest answers is lower than this found in similar studies
219 conducted in other European countries (Meyerhoff and Liebe 2008, Meyerhoff et al. 2012,
220 Varela et al. 2014, Valasiuk et al. 2017).

221 2.2.2 Econometric model

222

223 Random Parameter Logit (RPL) models are flexible estimation methods that are being
224 increasingly employed to model people's preferences within the random utility framework
225 (Train, 2009). All attribute parameters related to the forest patches were assumed to be random
226 and to follow a normal distribution, thereby allowing assessment of heterogeneity in these
227 parameters. The cost attribute parameter was assumed to be fixed as we wished to restrict it to
228 be non-positive for all individuals (Train 2009). A maximum likelihood estimation of the model
229 parameters was conducted in NLOGIT 5.0 (Greene, 2007) using simulation with 500 Halton
230 draws .

231 2.3 Ecological assessment

232 2.3.1 Data collection

233 In 2012, all forest patches in both windows were surveyed for all vascular plant species at the
234 peak of plant phenology, including all herb, shrub and tree species. Herb species were
235 subsequently split into two non-overlapping groups: « forest specialists », i.e. species belonging
236 to forest phytosociological classes according to Oberdorfer et al. (1990), modified to include
237 some species restricted to forests in our study area; and « generalists », i.e. species found in
238 forests but having their optimum either in forest-associated habitats (e.g. edges, clear-cuts) or in
239 non-forest habitats (e.g. grasslands, crop fields). To comprehensively survey vegetation, we
240 walked along parallel transects located 10-m apart from each other and recorded all vascular
241 plant species. We thus obtained a value of species richness per patch for each herb group as well
242 as for woody plants.

243 The drivers to explain variations in herbaceous plant species richness among patches were
244 aligned with the survey attributes and included: patch area, patch age, tree species diversity, tree
245 diameter coefficient of variation, density of the shrub layer. We used patch area and age as
246 potential drivers of plant species richness: smaller forest patches might host less species
247 (Jacquemyn et al., 2001) according to the species-area relationship (Rosenzweig, 1995; Paal et
248 al., 2011); similarly, recent forest patches may host less species than mature ones according to
249 the species-time relationship (Rosenzweig, 1995), especially with respect to forest specialists

250 (Hermy and Verheyen, 2007; De Frenne et al., 2010). Forest patch area was calculated using a
251 GIS and digitized aerial photographs, all taken after the year 2000. Patch age was estimated on
252 the basis of the date of the oldest map on which a patch was represented for the first time, using
253 old maps from the 18th, 19th and 20th centuries. As a given patch may contain a mosaic of
254 fragments with different ages, we calculated an area-weighted average of the age of all
255 fragments composing a patch.

256 Forest canopy and structural diversity are well-known drivers for many taxonomic groups (e.g.
257 birds and butterflies (Tews et al., 2004) and also for vascular plants (Amporter et al., 2016). The
258 canopy diversity variables were quantified in a subset of 16 forest patches in each LW. To
259 guarantee representative selection of the variation of patch size and patch age into each window
260 and for that purpose we divided the patches in two categories of size (small vs. large patches)
261 and age (old vs. recent patches), distinguished by the respective median values of, respectively,
262 size and age as division points between categories. Four patches for each of the four
263 combinations of size x age categories (small-old, small-recent, large-old, large-recent) were
264 selected, ending up with a subset of 16 patches per window.

265 Forest structure has been determined based on the PCQ-Method (Cottam and Curtis, 1956).
266 Two trees per quarter within 20 m of a sampling point have been measured for height, diameter
267 at breast height (d130) distance and angle to the theoretical central point and their species has
268 been recorded. These two trees per quarter were distinguished from one another by being
269 smaller or larger than 30 cm d130 to sample information about different age groups within the
270 forest stand. The tree closest to the theoretical central point has additionally been utilised to
271 determine the same characteristics of the “structural group of four” (Pommerening, 2002), a
272 group of five trees usually in close vicinity to one another. Diameter values have been used to
273 calculate the diameter coefficient of variation and the species identities to calculate true shannon
274 diversity (Jost,2006).

275 Density of shrubs is based on the availability of phanerophytes with stems < 7 cm average
276 diameter and a height of > 1.3 m in a radius of 2 m around the sampling point.

277 2.3.2 Data analysis

278 Total herb and forest herb specialist richness per patch were used as response variables in linear
279 mixed models with the region (Flanders vs Picardie) as a random factor. We used landscape
280 type (B versus OF), patch size, patch age and the three canopy variables (tree species diversity,
281 tree diameter variability and shrub cover) as fixed factors. The latter variables were only
282 available for a subset of patches. Therefore, models including all patches and only landscape
283 type, patch size and age as fixed factors were fitted as fixed factors. In models using the subset

284 of 64 patches all fixed factors were included. To meet homoscedasticity requirements, the
285 variables ‘patch size’ and ‘shrub cover density’ were ln-transformed prior to analyses. All
286 analyses were performed with SPSS, version 23.

287 3 RESULTS

288 3.1 Social preferences results

289 We focused on exploring heterogeneity in preferences between OF and B subsamples by
290 pooling the two-country data together (see table 2). We corrected for the scale parameter prior
291 sample merging. [Table 2 around here]

292 Table 2 shows the results of the preference parameters³. The sign of the LW attribute (0 for
293 open field level and 1 for the bocage level) parameter indicates that respondents in both
294 landscape types would prefer to have the proposed changes implemented in their own window.
295 Also, both samples retrieved negative values for the alternative specific constant (ASC),
296 indicating, *ceteris paribus*, a willingness to depart from the SQ scenario towards alternative
297 scenarios. Similar preference patterns are encountered across the two subsamples with the tree
298 species attribute not being significant in determining people preferences. Regarding the species
299 set of attributes, bird species do retrieve significant and positive results in both cases; the
300 herbaceous diversity has low or no significance (bocage and open field, respectively) in shaping
301 people’s preferences, similarly to the butterfly species (significant for open field subsample and
302 no significant for bocage subsample).

303 Table 3 presents the Marginal Willingness to Pay (MWTP) estimates for each of the two
304 subsamples. In general, we see that OF respondents show higher MWTP values than their B
305 counterparts for increasing the number of species of different taxonomic groups or enhancing
306 the forest structure, whereas respondents in the B region are more concerned about having these
307 policies implemented in their own region and increasing the forest area while caring less about
308 the resulting forest structure or species richness.

309 [Table 3 around here]

310 Table 4 presents six different policies relevant for the management of these small forest patches
311 and the gains in welfare these would represent in each LW with respect to the SQ scenario.
312 Policies from 1 to 4 represent changes in the attributes liable to be influenced by forest
313 management and in one attribute at the time to better illustrate the gains in welfare. Promoting a
314 shrub layer produces the highest gains in welfare in both windows. Increasing the number of

³ Due to perfect scale confounding effects, direct value comparison of preference parameters across subsamples cannot be undertaken, while WTP estimates are scale-free and hence directly comparable across subsamples.

315 tree species does not produce any change in the welfare of either regions. OF respondents are
316 less sensitive to policies increasing the forest area, whereas a structural change such as
317 increasing tree ages retrieves similar welfare gains. The remainder two policies (5 and 6)
318 respectively show how a hypothetical maximization of the number of species and a hypothetical
319 maximal improvement on the structural diversity would impact the welfare in each of the
320 windows. Open field respondents would benefit more from an optimal increase in species while
321 wellbeing of bocage respondents would be higher in a maximal structure diversity scenario.

322 [Table 4 around here]

323 3.2. Ecological results

324 The outcomes of the mixed models (Table 5) indicated that both total and forest herb specialist
325 richness strongly increased with patch area. Herb species richness was also significantly higher
326 in the B landscapes: on average 12 to 16 more herb species and 5 to 7 forest herb specialists
327 occur in the B landscape patches relative to the OF landscape patches (Fig. 3). Patch age only
328 significantly affected forest herb specialist richness when all patches were included, although a
329 similar trend was observed in the reduced dataset. Among the canopy variables, only tree
330 species diversity had a (consistently) positive impact on herb species richness.

331 [Table 4 around here]

332 [Figure 3 around here]

333 4 DISCUSSION

334 This study provides insights into the ecology and the social preferences for the main features of
335 small forest patches in agricultural landscapes in Western Europe. Results show that people
336 prefer biodiversity improvement measures to take place close to where they live, but the type of
337 improvements preferred differ across landscape windows. We hypothesize that these differences
338 may be related to the functional interpretation people have of biodiversity and potentially also to
339 the opportunity cost that changes in the land use may have. Comparison of ecological and
340 economic analysis reveals that some of the options preferred by people to increase biodiversity
341 may prove difficult to attain; also, some of the key variables to improve biodiversity levels are
342 not relevant to shape people's preferences.

343 4.1 The economic valuation of biodiversity-related attributes

344 The results show that social support exists for preserving and enhancing the status of the forest
345 patches; and that these preferences are location-sensitive, i.e. respondents favoured policies that

346 improved biodiversity close to where they live. This is in line with findings from other studies
347 (e.g. Dallimer et al., 2015).

348 Interestingly, OF interviewees show more interest in improving the biodiversity content of those
349 patches that already exist. This may be due to diminishing marginal utility of biodiversity – as
350 economic theory would also predict. However, it provides an interesting result from a welfare
351 economic perspective since efforts should then be allocated to areas with low biodiversity today
352 – assuming that the biodiversity increase obtained per effort is the same. This is quite likely not
353 so, but would require cost estimates to be considered, see e.g. Nielsen et al. (2017) who consider
354 this aspect (but not the assessment of social preferences).

355 Most valuation studies addressing biodiversity through choice experiments use the number of
356 species as the attribute to convey biodiversity (e.g. Horne et al. 2005, Hoyos et al. 2012,
357 Juutinen et al. 2011) as it is regarded by the public as one of the most frequent characteristics
358 when conceiving biodiversity (Bakthari et al. 2014b). While using generic species may be taken
359 as an indicator of biodiversity (Varela et al., 2017), conveying biodiversity through the status of
360 either iconic species (e.g. Loomis and González-Cabán, 1998), generic endangered species (e.g.
361 Campbell et al. 2014; Tyrväinen et al. 2014) or specifically named endangered species
362 (Jacobsen et al., 2008) may lead to very high, potentially overestimated, values of species
363 preservation (Jacobsen et al. 2008). Our study contributes to this literature by showing that even
364 if we use the number of species as an attribute, the value people attach to it may differ
365 depending on which group the species belong to. Birds being the most preferred, followed by
366 butterflies, and herbs and tree diversity valued much less. Our results are in line with research
367 showing that use values (in this case linked to birdwatching and knowledge of most common
368 bird species), together with phylogenetically closeness to humans may have played an important
369 role in determining preferences (Martín-López et al., 2007, 2011; Morse-Jones et al., 2012.
370 While we may speculate on the reasons for the results, the implication is that even the use of
371 number of species as a measure in valuation may need to be refined for evaluation to more
372 specific groups.

373 In our study the tree species attribute retrieved no significant WTP estimates in either region.
374 This is in contrast with previous studies (e.g. Filuyskina et al., 2017, Varela et al. 2017). One
375 potential reason is that the recreational dimension of the small forest patches is limited by their
376 size, and so the aesthetic experience may have a more relevant role than in standard forest-
377 people interaction (Decocq et al. 2016). In this sense, the fact that in our study the proposed
378 changes take place in deciduous stands (i.e. no change from coniferous to mixed or deciduous
379 stands) has a lower impact on the aesthetic features of the forest patches compared for example
380 to changes from coniferous to mixed or to deciduous stands.

381 The inclusion of structural features of these stands beyond generic number of species is aligned
382 with studies where biodiversity is not only addressed as richness in species but also considering
383 the role it plays as a regulatory of ecosystem processes and functions (Bakhtiari et al. 2014).
384 Studies such as these conducted by Christie et al. (2006), Czajkowski et al. (2009), Eggert and
385 Olsson (2009), McVittie and Moran (2010), Campbell et al. (2014) and Bakhtiari et al. (2014)
386 consider both functionality (e.g. opportunity for natural processes in the forest (Campbell et al.
387 2014)) and value of biodiversity as a good in itself.

388 This set of structural attributes can be considered by some respondents as final attributes or
389 outcomes of a given management policy, i.e. obtaining a change in the forest structure that
390 enhances their recreational or aesthetic experience. However, these can also be regarded as
391 intermediate or causal attributes, i.e. changes in the forest structure may increase diversity in a
392 series of taxonomic species. Johnston et al. (2014) signal that including causal attributes and
393 failing to include final outcome attributes in valuation surveys may bias welfare estimates, as
394 the valuation scenario leaves open the possibility for the respondents to speculate for the
395 omitted outcomes. Hence, the inclusion of a variety of taxonomic diversity and structural
396 attributes would prevent against this bias.

397 To illustrate this discussion, policies 5 and 6 in table 4 show the result of maximizing either
398 outcome or causal attributes, respectively. Indeed these policies overlook ecological rationality
399 (since changes in structural and taxonomic species are interwoven), but illustrate the different
400 preferences in each region, with higher welfare gains for open field respondents when policies
401 optimize the delivery of taxonomic species while bocage respondents obtain higher welfare
402 estimates when the structural diversity of the forest is maximized.

403 4.2 Outcomes of the ecological analyses

404 The outcomes of the ecological analyses are in line with the expectations. Larger patches hosted
405 more species as predicted by the species-area relationship (Rosenzweig, 1995). Also the
406 species-time relationships are in line with earlier findings (e.g. Jacquemyn et al. 2001). Forest
407 herb species richness increased with patch age, which can be attributed to the often limited
408 colonization capacity of many forest herbs (e.g. De Frenne et al. 2010). The rather limited
409 strength of this forest species-time relationship is likely due to the fact that in our analyses a
410 given patch may contain a mosaic of fragments with different ages, which adds noise to the
411 species-time relationships. The absence of a relationship between patch age and total species
412 richness has been found before (e.g. Jamoneau et al. 2011) and it is likely explained by a
413 gradual substitution of non-forest herbs, often associated with the land use prior to afforestation,
414 with forest herbs as the forests become older. The effects of the land-use intensity surrounding

415 the patches was very consistent, with a clearly lower total and forest herb species richness in
416 patches located in the more intensively managed OF landscapes. These patterns are in
417 accordance with models predicting the effects of the surrounding landscape matrix on local
418 species richness (cf. Tschardt et al., 2005) and with the results of Jamoneau et al. (2011) in a
419 similar context. Finally, we observed that tree species diversity was the forest canopy variable
420 that most strongly affected the (forest) herb species richness. Our results confirm the forest level
421 findings by Ampoorter et al. (2016). Although data is lacking to identify the exact mechanism,
422 we suspect that the positive effect of tree species diversity is in this case most likely caused by
423 the different environmental conditions created by combining multiple tree species in a single
424 patch. The other forest canopy variables appeared less important for herb species richness, but it
425 is not unlikely that they will impact the diversity of birds and butterflies (Tews et al., 2004), the
426 other taxonomic groups that figured in the questionnaire.

427 Summarizing the ecological data analysis clearly pointed out that larger, older patches with a
428 diverse tree layer and located in the B landscapes are most rich in (plant) species. Conservation
429 of these patches should therefore get the highest priority. Furthermore, our results show that
430 increasing the size of and the number of tree species in a patch are the most effective measures
431 to increase the (plant) species richness in the patch.

432 4.3 Joint comparison of economic and ecological results

433 Our study shows contrasting results between the economic analysis of social preferences and the
434 outcomes of ecological analysis.

435 The results of the ecological analysis pointed out that increasing tree species richness is more
436 important than establishing a shrub layer or creating a heterogeneous canopy structure to
437 increase the total herb species richness in the patch. However, this attribute did not achieve
438 significant willingness to pay estimates. As we mentioned above, we hypothesize that this may
439 be related to the deciduous character of these patches and the reduced impact of this change on
440 the aesthetic experience of the respondents.

441 The ecological analyses show that increasing the forest area by enlarging the forest patches has
442 clearly a large effect on the richness of herb species in general and on forest herb species in
443 particular. This species-area relationship is well-established in the ecological literature (e.g.
444 Rosenzweig, 1995). The social preferences are aligned with the ecological findings in terms of
445 prioritization of area enlargement in the bocage region, with WTP estimates being higher for
446 this measure among bocage respondents (16.44 €/individual for bocage sample vs. 11.46
447 €/individual in the open field region). These results show that social preferences and ecological
448 effectiveness do differ between landscapes that represent different degrees of biodiversity

449 conservation, with the same measure producing different social gains depending on where it is
450 applied. Furthermore, we see that preferences of open field respondents for increasing
451 biodiversity content with limited increase in forest area proves difficult to attain based on the
452 evidence provided by ecological outcomes.

453 Despite the fact that herbaceous richness is a stable indicator to assess the ecological status of
454 forest ecosystems, our study shows that this is not necessarily appreciated by the general public.
455 While other studies show significant estimates for improvement of species richness, these
456 corresponded to threaten ones (e.g. Campbell et al. 2014, Dominguez-Torreiro and Soliño,
457 2011); the fact that our study assesses species in general (and not specifically threatened) may
458 have less compelled respondents to act (Jacobsen et al. 2008). In addition, and differently from
459 these studies rather than pooling together all the species in a more general fashion, we let
460 respondents express their priorities (and trade-offs) among three different taxonomic groups.
461 These differences in the design of our study may contribute to explain the disparities found with
462 previous studies.

463 4.4 Policy implications

464 Attitudes and perceptions of stakeholders over small forest fragments and surrounding
465 agricultural matrix may influence forest policy implementation; therefore effective policy
466 design requires understanding of stakeholders' perception of ecosystem services provided by
467 those forest patches (Lamarque et al., 2011).

468 Policy makers have to contrast economic information with ecological effectiveness, finding a
469 balance between them when these signal differing paths of action. In this study, higher welfare
470 gains are obtained for OF respondents compared to B respondents with regards to improving the
471 condition of existing patches (i.e. improvement in the number of butterfly or bird species and
472 structural improvement other than tree species). These are coherent with the neoclassical
473 rationality of diminishing marginal utility gains (Horowitz et al., 2007), i.e. dwellers where the
474 landscape is perceived as more "degraded" attach more value to biodiversity and structural
475 diversity than dwellers in places comparatively better-off on these terms. The ecological data
476 support that the richness in the OF landscapes is lower than in the B (Figure 3). Should the
477 utility gain be the only criteria to consider, the more degraded areas should receive most of the
478 funds to restore their ecological quality. However, ethical issues of fairness may arise as those
479 with more potential to increase biodiversity are likely those who "polluted" more in the past
480 through intensifying agricultural land-use (Wunder, 2007); additionally, some studies point out
481 that nature conservation measures are needed even in B type landscapes to halt strong species
482 loss (Van Calster et al., 2008).

483 From an ecological point of view, a minimum forest patch area may be required to overcome a
484 tipping point which avoids irreversibility in terms of biodiversity degradation; hence policies
485 could establish such threshold (Fisher et al., 2008) and introduce incentives from there onwards.
486 This illustrates the need for a pluridisciplinary assessment of ecosystems where a diversity of
487 criteria are considered in decision-making processes (Berkes et al., 2008; Filotas et al., 2014).

488 5 Conclusions

489 This work conducted ecologic and welfare economic assessments concomitantly, thus allowing
490 a joint comparison of the key attributes that play a decisive role in determining biodiversity
491 patterns and their contribution to shape social preferences for these forest patches.

492 This scope shows disparities and similarities between economic and ecological criteria,
493 signalling the challenges that decision-making processes related to ecosystem management have
494 to face to embrace the complexity of socio-ecological interactions. The lack of social
495 acceptability or, alternatively, the reduction of biodiversity levels are the risks that management
496 would face should it be solely based either on ecological variables or on social preferences,
497 respectively.

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692

693 **Table 1. Attributes and levels used in the setup of the DCE.** Biodiversity and forest structure attributes
 694 were continuously coded after testing effects coding with no satisfactory results. LW attribute was
 695 dummy coded (Open field -0 and Bocage -1)

ATTRIBUTE		LEVELS
Landscape window	LW	Open field
		Bocage
Forest area	AREA	6%*
		9% (1.5 times more than today)
		12% (2 times more than today)
Biodiversity-Herbaceous species	HERB	300*
		350 (50 more than today)
		400 (100 more than today)
Biodiversity- Butterfly species	BUTTER	20*
		23 (3 more than today)
		26 (6 more than today)
Biodiversity- Bird species	BIRD	70*
		80 (10 more than today)
		90 (20 more than today)
Forest structure- Tree species	TSP	1*
		2
		3
Forest structure- Shrub layer	LAY	Tree layer with NO shrub layer*
		Tree layer with shrub layer
Forest structure –Tree ages	AGES	1 age*
		2 ages
Cost (€)	COST	0*
		10
		30
		50
		70
		90
		110

696 * Attribute levels corresponding to the current scenario or status quo (SQ). For the landscape
 697 window attribute, we controlled for the respondents in each of the LW locations, so that they
 698 were provided the SQ alternative corresponding to the LW where they lived.
 699

700

701 **Table 2. RPL results for the open field and bocage landscapes.**

702 Results correspond to taste parameters which measure the intensity of preferences (utility) that
 703 respondents have for the different attributes and their levels as shown to them in the choice sets. Mean
 704 coefficient distribution indicates the mean value for the attribute. Because a normal distribution was
 705 assumed for the non-monetary parameters, significant standard deviation of a parameter distributions
 706 indicates that the attribute is heterogeneous around the mean, i.e. not all the respondents have the same
 707 preferences for it.

ATTRIBUTES	Respondents living in areas with Open field landscape		Respondents living in areas with Bocage landscape	
	Mean coefficient of distribution (s.e.)	s.d. of parameter distributions (s.e.)	Mean coefficient of distribution (s.e.)	s.d. of parameter distributions (s.e.)
LW (landscape window)	-1.459 (0.451)***	3.218 (0.487)***	2.652 (0.402)***	2.8160 (0.435)***
AREA (% area covered by forests)	0.182 (0.07)***	0.5088 (0.1045)***	0.263 (0.061)***	0.443 (0.670)***
HERB (n° of herbaceous species)	0.010 (0.007)	0.047 (0.009)***	0.009 (0.005)*	0.036 (0.006)***
BUTTER (n° of butterfly species)	0.137 (0.061)**	0.4123 (0.099)***	0.033 (0.056)	0.362 (0.085)***
BIRD (n° of bird species)	0.126 (0.038)***	0.217 (0.046)***	0.054 (0.022)**	0.152 (0.029)***
TSP (n° of tree species)	0.200 (0.191)	1.294 (0.386)***	0.268 (0.163)	1.218 (0.258)***
LAY (having a shrub layer)	1.790 (0.435)***	3.452 (0.614)***	0.627 (0.265)***	1.917 (0.390)***
AGES (n° of tree ages)	1.806 (0.219)***	3.130 (0.612)***	0.540 (0.277)*	2.150 (0.368)***
COST (payment per household)	-0.048 (0.007)***	Fixed	-0.048 (0.006)***	Fixed
ASC (alternative-specific constant)	-1.8659 (0.5107)***	Fixed	-1.4696 (0.3951)***	Fixed
Pseudo- r2	0.3288		0.2769	
Log-likelihood function	-884.188		-949.305	

708 s.e.: standard error, s.d.: standard deviation ns (not significant) *p < 0.10 **p < 0.05 ***p < 0.01

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711 **Table 3. Results of the WTP estimates**

712 Estimates of willingness to pay were calculated for both subsamples employing Delta method.
 713 Confidence intervals were estimated following the Krinsky and Robb method with 1,000 draws (Kinsky
 714 and Robb, 1983). Continuous coding was employed for all the attributes (previous testing of effects and
 715 dummy coding did not result in significant results). LW was coded such that 0 correspond to Open Field
 716 and 1 to Bocage. The rest of the attributes were continuously coded.

ATTRIBUTES	Open field subsample		Bocage subsample	
	WTP per unit of the attribute (s.e.)	95% Confidence Interval	WTP per unit of the attribute (s.e.)	95% Confidence Interval
LW	-30.66 (9.589)***	(-49.45, -11.86)	55.27 (8.17)***	(39.26, 71.27)
AREA	3.82 (1.458) ***	(0.96, 6.68)	5.48(1.18)***	(3.17, 7.80)
HERB	0.20 (0.143)	(-0.08, 0.48)	0.19 (0.12)	(-0.04, 0.42)
BUTTER	2.88 (1.26465)**	(0.40, 5.36)	0.69 (1.13)	(-1.53, 2.91)
BIRD	2.66 (0.765)***	(1.16, 4.16)	1.13(0.51)**	(0.12, 2.13)
TSP	4.20 (4.177)	(-3.98, 12.39)	5.58 (3.55)	(-1.38, 12.55)
LAY	37.61 (9.420)***	(19.15, 56.08)	13.10 (5.68)**	(1.97, 24.22)
AGES	24.07 (8.257)***	(7.88, 40.25)	11.26 (5.65)**	(0.19, 22.32)

717 s.e.: standard error, *p < 0.10 **p < 0.05 ***p < 0.01

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721 **Table 4. Compensating surplus (CS) estimates for the different policies and for both landscape**
 722 **windows.**

723 Each policy represents a change from the SQ level for the attribute in bold. The compensating surplus
 724 estimates show the gains in welfare, in terms of € per household that average dwellers would experience
 725 as a result of the implementation of that policy.

<i>Policy 1 Increase the forest area</i>									CS estimates (€/household)	
	LW	AREA	TSP	LAY	AGES	HERB	BUTTER	BIRD	Open Field	Bocage
Levels	0/1	9	1	0	1	300	20	70	11.46	16.44
<i>Policy 2 Increase the number of tree species</i>									CS estimates (€/household)	
	LW	AREA	TSP	LAY	AGES	HERB	BUTTER	BIRD	Open Field	Bocage
Levels	0/1	6	3	0	1	300	20	70	0.00	0.00
<i>Policy 3 Increase the number of tree ages</i>									CS estimates (€/household)	
	LW	AREA	TSP	LAY	AGES	HERB	BUTTER	BIRD	Open Field	Bocage
Levels	0/1	6	1	0	2	300	20	70	24.07	11.25
<i>Policy 4 Promote the existence of a shrub layer</i>									CS estimates (€/household)	
	LW	AREA	TSP	LAY	AGES	HERB	BUTTER	BIRD	Open Field	Bocage
Levels	0/1	6	1	1	1	300	20	70	37.61	13.1
<i>Policy 5 Maximize number of species</i>									CS estimates (€/household)	
	LW	AREA	TSP	LAY	AGES	HERB	BUTTER	BIRD	Open Field	Bocage
Levels	0/1	6	1	0	1	400	26	90	70.28	22.4
<i>Policy 6 Maximize structure diversity</i>									CS estimates (€/household)	
	LW	AREA	TSP	LAY	AGES	HERB	BUTTER	BIRD	Open Field	Bocage
Levels	0/1	6	3	1	2	300	20	70	61.68	24.35

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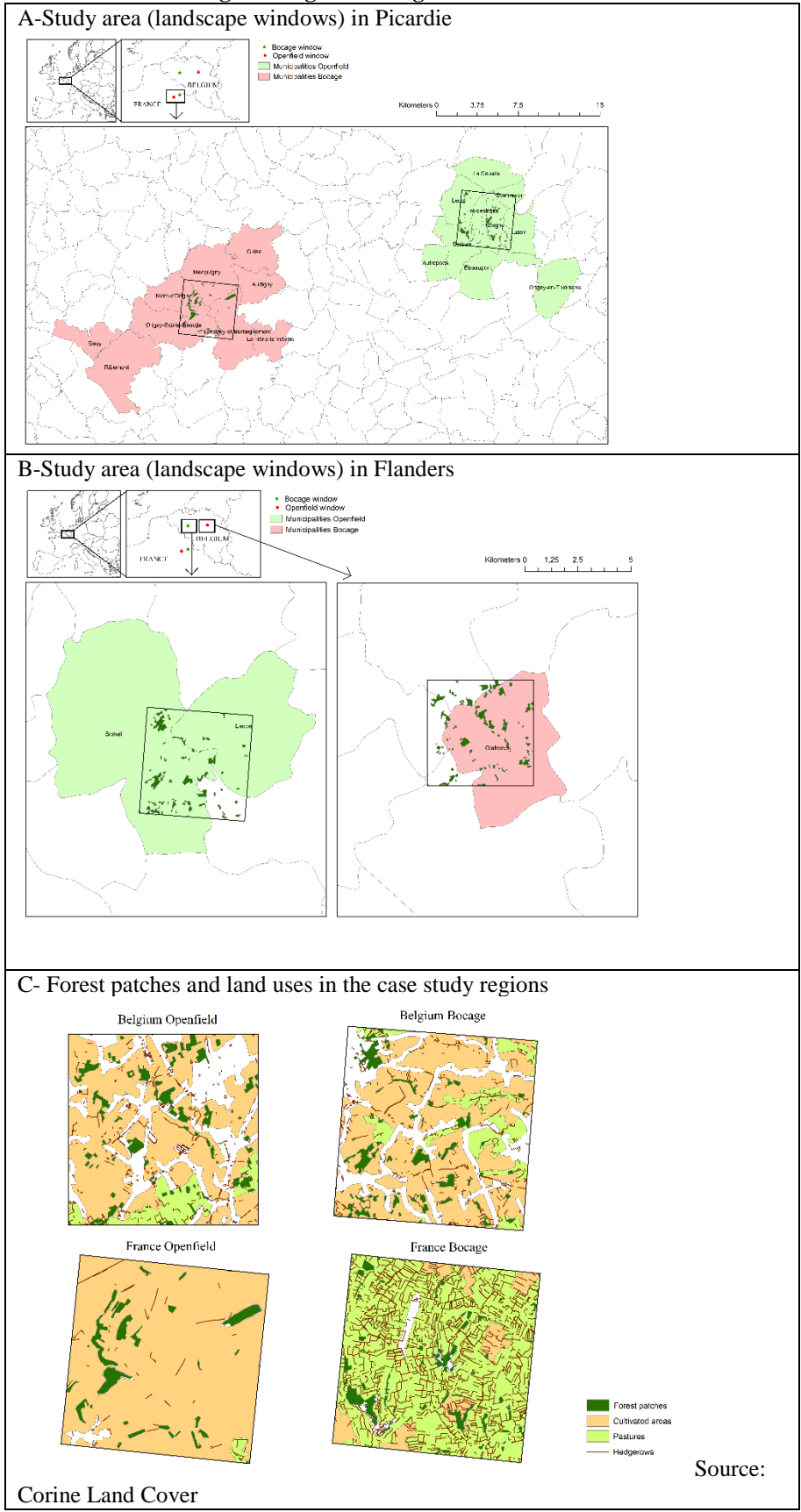
729 **Table 5. Parameter estimates of the linear mixed models fitted to explain total and forest herb**
 730 **species richness in forest patches located in the B versus OF landscape windows in Flanders and**
 731 **Picardie. The results of both the analysis including all patches (left columns) and the subset of 16**
 732 **patches per window (right columns) are presented.**

Variable	Total herb species richness		Forest herb species richness	
	All patches	Subset of 16 patches/window	All patches	Subset of 16 patches/window
Patch area \$	13.80***	14.45***	4.69***	5.12***
Patch age	-0.02ns	0.01ns	0.02***	0.02ns
Landscape type £	12.61***	16.75***	4.70***	6.55**
Tree species diversity		3.41*		1.57**
Tree diameter variability		-9.55ns		-2.93ns
Density of shrub layer \$		1.44ns		0.40ns

733 \$: ln-transformed; £: O is reference; ns *p < 0.10 **p < 0.05 ***p < 0.01

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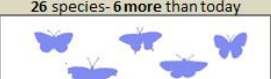



Figure 1. Study area. A and B: Municipalities in the Openfield window in green. Municipalities in the Bocage window in pink. C. Forest patches are shown in dark green, pastures in light green, cultivated areas in light orange and hedgerows are shown as dark red lines.



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B 1. 1 (4)

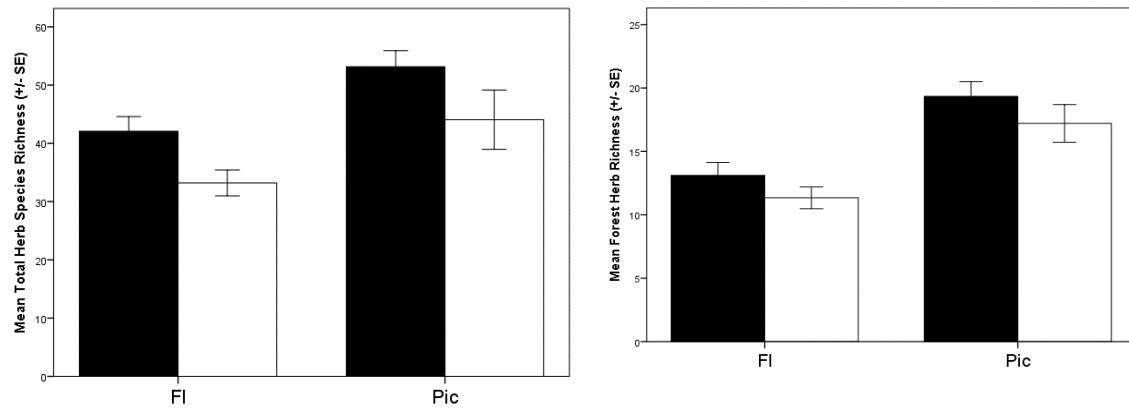
	STATUS QUO	ALTERNATIVE A	ALTERNATIVE B
PAYMENT	0 €	30 €	90 €
REGION			
FOREST AREA	6% - same as today 	6% - same as today 	12% - 2 times more than today 
SPECIES	300 species - same as today 	400 species - 100 more than today 	400 species - 100 more than today 
	70 species - same as today 	90 species - 20 more than today 	90 species - 20 more than today 
	20 species - same as today 	20 species - same as today 	26 species - 6 more than today 
TYPE OF FOREST			

741 Figure 2. Example of a choice card shown to the respondents in the Flanders region

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745 **Figure 3. Mean (+/- Standard Error) total (a) and forest herb species richness (b) across all forest**
746 **patches in the forest patches in the B (black bars) and OF (white bars) landscape windows in**
747 **Flanders (FI) and Picardie (Pic).**

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