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1 **Short Communication**

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3 **Transmission of tuberculosis caused by *Mycobacterium caprae***  
4 **between dairy sheep and goats**

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25 **Abstract**

26 Increasing number of caprine tuberculosis (TB) reports grant the consideration of this  
27 zoonosis as an emerging disease that can play a role in the epidemiology of bovine TB  
28 in endemic areas. An outbreak of TB was detected in a caprine/ovine dairy mixed herd.  
29 Tuberculin skin test positive goats and ewes were euthanized and subsequent  
30 postmortem investigations were performed. *Mycobacterium caprae* (spoligotype  
31 profile SB0157) was isolated from tuberculous lesions detected in both sheep and  
32 goats. Our findings evidenced the direct transmission of the infection between both  
33 species elucidating that not only goats but also sheep may act as domestic reservoirs  
34 of TB compromising the eradication of TB in cattle. The results have implications for  
35 animal TB epidemiology and public health risk management.

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37 **Keywords:** Tuberculosis, Goats, Sheep, Domestic reservoir, *Mycobacterium caprae*,  
38 Diagnosis.

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53 **1. Introduction**

54 Emergence of caprine TB, caused either by *Mycobacterium bovis* or *Mycobacterium*  
55 *caprae*, has been recently highlighted in a number of reported cases in European  
56 countries that conduct ongoing bovine TB eradication campaigns such as Portugal, UK,  
57 Spain, Ireland or Italy (Cunha et al., 2011; Daniel et al., 2009; Rodriguez-Campos et al.,  
58 2012; Shanahan et al., 2011; Zanardi et al., 2013). Goats are very susceptible to TB  
59 infection (Pérez de Val et al., 2013, 2011) and caprine TB causes economic losses in  
60 endemic areas (Daniel et al., 2009; Seva et al., 2002). In addition, infected goats in  
61 contact with cattle can act as domestic reservoirs of bovine TB (Guta et al., 2014; Napp  
62 et al., 2013; Zanardi et al., 2013).

63 On the other hand, sheep have largely been considered as less susceptible to TB than  
64 other ruminant species such as cattle or goats (Caswell and Williams, 2016). Indeed,  
65 only a few cases of ovine TB have been reported in the Iberian Peninsula (Aranaz et al.,  
66 1996; Cunha et al., 2011; Gutierrez et al., 1997; Muñoz Mendoza et al., 2012). Recent  
67 studies conducted in sheep cohabiting with infected cattle or/and wildlife indicate that  
68 they were related with bovine TB outbreaks in certain epidemiological situations  
69 (Broughan et al., 2013; Malone et al., 2003; Muñoz-Mendoza et al., 2016; Pesciaroli et  
70 al., 2014; van der Burgt et al., 2013).

71 Even though caprine and ovine TB still remain absent from the world organization for  
72 animal health (OIE) noticeable disease list, EU regulations enforce national TB control  
73 plans for milking non-bovine species (Regulation 853/2004 of the European Council).

74 The present work was aimed to investigate to the transmission of the infection  
75 between sheep and goats from a mixed infected herd.

76 **2. Materials and Methods**

77 **Study herd and tuberculin skin test**

78 In May 2015, an outbreak of caprine and ovine TB in a mixed dairy herd of *Murciano-*  
79 *Granadina* goats (N = 170) and *Ripollesa* sheep (N = 340) was detected after testing  
80 goats and ewes with the single intradermal comparative cervical tuberculin (SICCT)  
81 test. Briefly, bovine and avian tuberculin batches (CZV, Porriño, Spain), previously

82 verified by the Spanish Reference Laboratory for bovine TB (Santa Fe, Spain) in order  
83 to ensure a potency of  $\geq 20,000$  IU, were stored at 4-8 °C. Bovine and avian tuberculin  
84 were inoculated, using a Dermojet® syringe, on the right and the left side of the neck,  
85 respectively. The skin-fold thickness was recorded before and  $72 \pm 4$  hours after the  
86 tuberculin injection. The severe criteria for results interpretation was used (Bezós et  
87 al., 2012). Animals were considered positive if the increase of skin-fold thickness at the  
88 bovine tuberculin inoculation site was  $\geq 1$  mm and thicker than the increase at the  
89 avian tuberculin inoculation site, or if the presence of clinical signs at the injection site  
90 of the bovine tuberculin were observed.

### 91 **Post-mortem examination**

92 All SICCT test-positive animals were slaughtered and gross pathology examination was  
93 conducted to confirm the disease in 11 goats and 3 ewes. At necropsy, the pulmonary  
94 lymph nodes (mediastinal and tracheobronchial) of all animals, as well as other tissues  
95 with macroscopic TB-like lesions, were collected for bacteriological culture. In addition,  
96 a section of tissues with gross lesions was fixed with 10%-buffered formalin for  
97 histological examination. Sections of 4  $\mu$ m were stained with haematoxylin and eosin  
98 and were examined using light microscopy. Ziehl-Neelsen staining was also performed  
99 to support the presumptive diagnosis of the lesions (Table 1).

### 100 **Bacteriology**

101 Once having the histopathological presumptive diagnosis, the harvested fresh samples  
102 were processed for bacteriological culture accordingly. First of all, tissues were  
103 homogenized in 10 ml sterile water. The homogenates were decontaminated for 30  
104 min. with a final concentration of 0.35 % w/v hexadecylpyridinium chloride.  
105 Afterwards, the decontaminated homogenates were centrifuged at  $2471 \times g$  for 30  
106 min. Supernatants were discarded and pellets were cultured in selective media using  
107 swabs. TB-suspected samples were cultured in Löwenstein-Jensen (LJ) with pyruvate  
108 and Coletsos media (BD Difco™, Sparks, MD, USA). All cultures were incubated at 37°  
109 C. Cultures were read every week up to 3 months. Colonies were primarily identified as  
110 MTBC by PCR (Wilton and Cousins, 1992). Then, *M. tuberculosis complex* isolates were  
111 identified by DVR-spoligotyping (Kamerbeek et al., 1997).

112 **3. Results**

113 Seventeen out of 170 goats (10.0 %) and 11 out of 340 ewes (3.2 %) were positive to  
114 SICCT test.

115 Necropsy was conducted in 11 and 3 SICCT test positive goats and ewes, respectively.  
116 Seven goats and 2 ewes showed caseous-necrotizing TB-like lesions (Table 1). All of  
117 them showed gross lesions in the thoracic cavity (lungs and/or pulmonary lymph  
118 nodes, Fig 1A), that were subsequently confirmed as TB-like by histopathology (Fig.  
119 1C). One of the ewes also showed extra-pulmonary TB-like lesions in mesenteric lymph  
120 nodes (Fig. 1B) and spleen (Fig. 1D) in addition to extensive pulmonary tuberculosis.

121 Positive cultures were obtained from TB granulomatous lesions of all animals with  
122 gross lesions. All isolates were confirmed as MTBC by multiplex PCR and in all cases the  
123 spoligotype profile *M. caprae* SB0157 (Mbovis.org) was obtained by DVR-spoligotyping  
124 (Table 1).

125 **4. Discussion**

126 Even though relationships between MTBC strains isolated from sheep, goats and cattle  
127 were previously suggested in other Spanish regions using an spatiotemporal  
128 epidemiological approach (Muñoz-Mendoza et al., 2016; Rodríguez-Campos et al.,  
129 2012), to our knowledge, we report herein the first evidence of TB direct transmission  
130 between dairy sheep and goats in a mixed flock.

131 Since experimental infections have elucidated that goats are highly susceptible to  
132 MTBC infection (Pérez de Val et al., 2011), rapid detection of caprine TB outbreaks is  
133 crucial to eradicate the infection in positive herds and to prevent the spread of the  
134 disease to cattle herds and wildlife. Indeed, infected goats in contact with cattle can  
135 act as domestic reservoirs of bovine TB (Napp et al., 2013; Zanardi et al., 2013).  
136 Recently, an experimental infection of lambs with *M. caprae* (Balseiro et al., 2017)  
137 showed that the progress of the infection (monitored by clinical signs and immune  
138 responses), as well as postmortem findings (pathological extension and bacterial load)  
139 were similar to those previously found in experimentally infected goats (Pérez de Val  
140 et al., 2011).

141 Furthermore, recent studies in sheep cohabiting with infected cattle indicate that they  
142 may be also involved in bovine TB outbreaks in certain epidemiological situations  
143 (Broughan et al., 2013; Malone et al., 2003; Muñoz-Mendoza et al., 2016) . Our results  
144 support the fact that sheep is a susceptible species to TB infection in an outbreak  
145 scenario and may also represent a potential TB domestic reservoir to goats. Therefore,  
146 sheep testing for TB status is strongly recommended when cohabiting with other TB-  
147 positive susceptible species such as cattle or goats. Also, *M. caprae* has already been  
148 reported to cause tuberculosis in human patients in Spain (Rodríguez et al., 2009).  
149 Thus, public health risks need to be considered, particularly since unpasteurized milk  
150 from goats and ewes may be consumed or used to manufacture dairy products.

151 Most caprine and ovine Spanish herds are not subjected to routine TB diagnostic tests.  
152 The present outbreak was detected because the farmer joined a voluntary program  
153 aimed to qualify the Catalan goat herds as TB-free. Thus there is no recorded TB  
154 historical data of the herd. No epidemiological relationship of this herd with infected  
155 cattle (such as shared pastures) was reported, and the contact with potential wildlife  
156 reservoirs was deemed minimal. Moreover, taking into account that Catalonia is a low-  
157 prevalence area of TB in cattle (0.32 % in 2015, according to the Spanish Ministry of  
158 Agriculture and Fisheries, Food and Environment data), the entry of an undiagnosed  
159 goat or sheep from a TB infected herd was established as the most likely source of  
160 infection.

161 Accordingly, a single *M. caprae* strain (SB0157) was confirmed in the investigated herd.  
162 To date, this spoligotype profile is the most frequent *M. caprae* isolate in Spain and the  
163 fourth most frequent spoligotype among all the MTBC isolated from animals in  
164 Catalonia, including cattle (mycoDB.es, MAPAMA-UCM, Spain).

165 Cross-reactions caused by other mycobacteria cannot be excluded in the four skin test  
166 positive reactors (3 goats and one ewe) that did not show TB gross lesions and were  
167 negative to bacteriological culture. However, a high specificity of the SICCT test has  
168 been previously reported for goats under different epidemiological situations (Bezós et  
169 al., 2012) and, in addition, there were no confirmed cases of paratuberculosis in the

170 herd. Therefore, the most likely explanation is that these animals were still in an early  
171 stage of TB infection and, thus visible lesions had not yet developed.

172 Animal TB is a multi-host disease which requires a holistic approach. Infected sheep  
173 and goats may hinder bovine TB eradication programs. This paper highlights the critical  
174 role of a thorough laboratory diagnosis in both the management of multispecies TB  
175 outbreaks and the elucidation of their epidemiological relationships.

## 176 **Acknowledgments**

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178 Food (DARP) and CERCA Programme / Generalitat de Catalunya. The DVR-spoligotyping  
179 analyses were performed by Centro de Vigilancia Sanitaria Veterinaria (VISAVET),  
180 Universidad Complutense de Madrid (Spain). We are grateful to Mónica Pérez for her  
181 outstanding technical assistance.

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286 **FIGURES**

287 **FIGURE 1. Tuberculosis lesions in a sheep. A:** Caseous-necrotizing lesions in the lungs  
 288 (arrowheads point to the granulomas). **B:** Granulomatous lymphadenitis in mesenteric  
 289 lymph nodes. **C:** Hematoxylin and eosin staining micrograph showing granulomatous  
 290 pneumonia lesions in A: central mineralized necrotic core surrounded by epithelioid  
 291 macrophages and a few multinucleated giant cells (arrowhead) and fibrosis in the  
 292 outer layers. **D:** Spleen with TB granulomatous lesions. Hematoxylin and eosin stain.  
 293 Necrotic area surrounded by macrophages and the occasional Langhans cell  
 294 (arrowhead).

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296 **TABLES**

297 **TABLE 1. Pathological and bacteriological findings.**

Animal	Species	Location of TB compatible lesions	ZN <sup>a</sup>	Culture	Spoligotype
1	Goat	Mediastinal and tracheobronchial LNs <sup>b</sup>	+	<i>M. caprae</i>	SB0157
2	Goat	Lung	+	<i>M. caprae</i>	SB0157
3	Goat	Mediastinal and tracheobronchial LNs	+	<i>M. caprae</i>	SB0157
4	Goat	Tracheobronchial LN	+	<i>M. caprae</i>	SB0157
5	Goat	-	-	-	N/D
6	Goat	Lung, Tracheobronchial LN	+	<i>M. caprae</i>	SB0157
7	Goat	Lung, Tracheobronchial LN	+	<i>M. caprae</i>	SB0157
8	Goat	Lung	+	<i>M. caprae</i>	SB0157
9	Goat	-	-	-	N/D
10	Goat	-	-	-	N/D
11	Sheep	Lung, Mediastinal, tracheobronchial, mesenteric LNs, Spleen	+	<i>M. caprae</i>	SB0157
12	Sheep	-	-	-	N/D
13	Sheep	Lung	+	<i>M. caprae</i>	SB0157

298 <sup>a</sup>ZN: Ziehl Neelsen stain; <sup>b</sup>LN: lymph node.

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25 **Abstract**

26 Increasing number of caprine tuberculosis (TB) reports grant the consideration of this  
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53 **1. Introduction**

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78 In May 2015, an outbreak of caprine and ovine TB in a mixed dairy herd of *Murciano-*  
79 *Granadina* goats (N = 170) and *Ripollesa* sheep (N = 340) was detected after testing  
80 goats and ewes with the single intradermal comparative cervical tuberculin (SICCT)  
81 test. Briefly, bovine and avian tuberculin batches (CZV, Porriño, Spain), previously

82 verified by the Spanish Reference Laboratory for bovine TB (Santa Fe, Spain) in order  
83 to ensure a potency of  $\geq 20,000$  IU, were stored at 4-8 °C. Bovine and avian tuberculin  
84 were inoculated, using a Dermojet® syringe, on the right and the left side of the neck,  
85 respectively. The skin-fold thickness was recorded before and 72  $\pm$  4 hours after the  
86 tuberculin injection. The severe criteria for results interpretation was used (Bezós et  
87 al., 2012). Animals were considered positive if the increase of skin-fold thickness at the  
88 bovine tuberculin (~~CZV, Porriño, Spain~~) inoculation site was  $\geq 1$  mm and thicker than  
89 the increase at the avian tuberculin (~~CZV~~) inoculation site, or if the presence of clinical  
90 signs at the injection site of the bovine PPD-tuberculin were observed.

### 91 **Post-mortem examination**

92 All SICCT test-positive animals were slaughtered and gross pathology examination was  
93 conducted to confirm the disease in 11 goats and 3 ewes. At necropsy, the pulmonary  
94 lymph nodes (mediastinal and tracheobronchial) of all animals, as well as other tissues  
95 with macroscopic TB-like lesions, were collected for bacteriological culture. In addition,  
96 a section of tissues with gross lesions was fixed with 10%-buffered formalin for  
97 histological examination. Sections of 4  $\mu$ m were stained with haematoxylin and eosin  
98 and were examined using light microscopy. Ziehl-Neelsen staining was also performed  
99 to support the presumptive diagnosis of the lesions (Table 1).

### 100 **Bacteriology**

101 Once having the histopathological presumptive diagnosis, the harvested fresh samples  
102 were processed for bacteriological culture accordingly. First of all, tissues were  
103 homogenized in 10 ml sterile water. The homogenates were decontaminated for 30  
104 min. with a final concentration of 0.35 % w/v hexadecylpyridinium chloride.  
105 Afterwards, the decontaminated homogenates were centrifuged at 2471  $\times$  g for 30  
106 min. Supernatants were discarded and pellets were cultured in selective media using  
107 swabs. TB-suspected samples were cultured in Löwenstein-Jensen (LJ) with pyruvate  
108 and Coletsos media (BD Difco™, Sparks, MD, USA). All cultures were incubated at 37°  
109 C. Cultures were read every week up to 3 months. Colonies were primarily identified as  
110 MTBC by PCR (Wilton and Cousins, 1992). Then, *M. tuberculosis complex* isolates were  
111 identified by DVR-spoligotyping (Kamerbeek et al., 1997).

112 **3. Results**

113 Seventeen out of 170 goats (10.0 %) and 11 out of 340 ewes (3.2 %) were positive to  
114 SICCT test.

115 Necropsy was conducted in 11 and 3 SICCT test positive goats and ewes, respectively.  
116 Seven goats and 2 ewes showed caseous-necrotizing TB-like lesions (Table 1). All of  
117 them showed gross lesions in the thoracic cavity (lungs and/or pulmonary lymph  
118 nodes, Fig 1A), that were subsequently confirmed as TB-like by histopathology (Fig.  
119 1C). One of the ewes also showed extra-pulmonary TB-like lesions in mesenteric lymph  
120 nodes (Fig. 1B) and spleen (Fig. 1D) in addition to extensive pulmonary tuberculosis.

121 Positive cultures were obtained from TB granulomatous lesions of all animals with  
122 gross lesions. All isolates were confirmed as MTBC by multiplex PCR and in all cases the  
123 spoligotype profile *M. caprae* SB0157 (Mbovis.org) was obtained by DVR-spoligotyping  
124 (Table 1).

125 **4. Discussion**

126 Even though relationships between MTBC strains isolated from sheep, goats and cattle  
127 were previously suggested in other Spanish regions using an spatiotemporal  
128 epidemiological approach (Muñoz-Mendoza et al., 2016; Rodríguez-Campos et al.,  
129 2012), ~~To~~ to our knowledge, we report herein the first evidence of TB direct transmission  
130 between dairy sheep and goats in a mixed flock.

131 Since experimental infections have elucidated that goats are highly susceptible to  
132 MTBC infection (Pérez de Val et al., 2011), rapid detection of caprine TB outbreaks is  
133 crucial to eradicate the infection in positive herds and to prevent the spread of the  
134 disease to cattle herds and wildlife. Indeed, infected goats in contact with cattle can  
135 act as domestic reservoirs of bovine TB (Napp et al., 2013; Zanardi et al., 2013).  
136 Recently, an experimental infection of lambs with *M. caprae* (Balseiro et al., 2017)  
137 showed that the progress of the infection (monitored by clinical signs and immune  
138 responses), as well as postmortem findings (pathological extension and bacterial load)  
139 were similar to those previously found in experimentally infected goats (Pérez de Val  
140 et al., 2011).

141 Furthermore, recent studies in sheep cohabiting with infected cattle indicate that they  
142 may be also involved in bovine TB outbreaks in certain epidemiological situations  
143 (Broughan et al., 2013; Malone et al., 2003; Muñoz-Mendoza et al., 2016) . Our results  
144 support the fact that sheep is a susceptible species to TB infection in an outbreak  
145 scenario and may also represent a potential TB domestic reservoir to goats. Therefore,  
146 sheep testing for TB status is strongly recommended when cohabiting with other TB-  
147 positive susceptible species such as cattle or goats. Also, *M. caprae* has already been  
148 reported to cause tuberculosis in human patients in Spain (Rodríguez et al., 2009).  
149 Thus, public health risks need to be considered, particularly since unpasteurized milk  
150 from goats and ewes may be consumed or used to manufacture dairy products.

151 Most caprine and ovine Spanish herds are not subjected to routine TB diagnostic tests.  
152 The present outbreak was detected because the farmer joined a voluntary program  
153 aimed to qualify the Catalan goat herds as TB-free. Thus there is no recorded TB  
154 historical data of the herd. No epidemiological relationship of this herd with infected  
155 cattle (such as shared pastures) was reported, and the contact with potential wildlife  
156 reservoirs was deemed minimal. Moreover, taking into account that Catalonia is a low-  
157 prevalence area of TB in cattle (0.32 % in 2015, according to the Spanish Ministry of  
158 Agriculture and Fisheries, Food and Environment data), the entry of an undiagnosed  
159 goat or sheep from a TB infected herd was established as the most likely source of  
160 infection.

161 ~~Accordingly, TB outbreak due to~~ a single *M. caprae* strain (SB0157) was confirmed in  
162 the investigated herd. To date, this spoligotype profile is the most frequent *M. caprae*  
163 isolate in Spain and the fourth most frequent spoligotype among all the MTBC isolated  
164 from animals in Catalonia, including cattle (mycoDB.es, MAPAMAGRAMA-UCM, Spain).

165 Cross-reactions caused by other mycobacteria cannot be excluded in the four skin test  
166 positive reactors (3 goats and one ewe) that did not show TB gross lesions and were  
167 negative to bacteriological culture. However, a high specificity of the SICCT test has  
168 been previously reported for goats under different epidemiological situations (Bezós et  
169 al., 2012) and, in addition, there were no confirmed cases of paratuberculosis in the

170 [herd. Therefore, the most likely explanation is that these animals were still in an early](#)  
171 [stage of TB infection and, thus visible lesions had not yet developed.](#)

172 Animal TB is a multi-host disease which requires a holistic approach. Infected sheep  
173 and goats may hinder bovine TB eradication programs. This paper highlights the critical  
174 role of a thorough laboratory diagnosis in both the management of multispecies TB  
175 outbreaks and the elucidation of their epidemiological relationships.

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286 **FIGURES**

287 **FIGURE 1. Tuberculosis lesions in a sheep. A:** Caseous-necrotizing lesions in the lungs  
 288 (arrowheads point to the granulomas). **B:** Granulomatous lymphadenitis in mesenteric  
 289 lymph nodes. **C:** Hematoxylin and eosin staining micrograph showing granulomatous  
 290 pneumonia lesions in A: central mineralized necrotic core surrounded by epithelioid  
 291 macrophages and a few multinucleated giant cells (arrowhead) and fibrosis in the  
 292 outer layers. **D:** Spleen with TB granulomatous lesions. Hematoxylin and eosin stain.  
 293 Necrotic area surrounded by macrophages and the occasional Langhans cell  
 294 (arrowhead).

295

296 **TABLES**

297 **TABLE 1. Pathological and bacteriological findings.**

Animal	Species	Location of TB compatible lesions	ZN <sup>a</sup>	Culture	Spoligotype
1	Goat	Mediastinal and tracheobronchial LNs <sup>b</sup>	+	<i>M. caprae</i>	SB0157
2	Goat	Lung	+	<i>M. caprae</i>	SB0157
3	Goat	Mediastinal and tracheobronchial LNs	+	<i>M. caprae</i>	SB0157
4	Goat	Tracheobronchial LN	+	<i>M. caprae</i>	SB0157
5	Goat	-	-	-	N/D
6	Goat	Lung, Tracheobronchial LN	+	<i>M. caprae</i>	SB0157
7	Goat	Lung, Tracheobronchial LN	+	<i>M. caprae</i>	SB0157
8	Goat	Lung	+	<i>M. caprae</i>	SB0157
9	Goat	-	-	-	N/D
10	Goat	-	-	-	N/D
11	Sheep	Lung, Mediastinal, tracheobronchial, mesenteric LNs, Spleen	+	<i>M. caprae</i>	SB0157
12	Sheep	-	-	-	N/D
13	Sheep	Lung	+	<i>M. caprae</i>	SB0157

298 <sup>a</sup>ZN: Ziehl Neelsen stain; <sup>b</sup>LN: lymph node.

299

Figure  
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