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1 **Effects of a participatory approach, with systematic impact matrix analysis in**
2 **herd health planning in organic dairy cattle herds**

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18

19 **A participatory approach to herd health planning**

20 **Abstract**

21 The animal health and welfare status in European organic dairy production does not in
22 all aspects meet the organic principles and consumers' expectations and needs to be
23 improved. To achieve this, tailored herd health planning, targeted to the specific
24 situation of individual farms could be of use. The aim of this study was to apply herd
25 health planning in a structured participatory approach, with impact matrix analysis, not
26 previously used in this context, in European organic dairy farms and to assess changes
27 in animal health and welfare. Herd health planning farm visits were conducted on 122
28 organic dairy farms in France, Germany and Sweden. The farmer, the herd veterinarian
29 and/or an advisor took part in the farm discussions. The researcher served as facilitator.
30 Baseline data on animal health status of the individual farm, collected from national milk
31 recording schemes, were presented as an input for the discussion. Thereafter a
32 systematic impact matrix analysis was performed. This was to capture the complexity of
33 individual farms with the aim to identify the farm specific factors that could have a strong
34 impact on animal health. The participants (i.e. farmer, veterinarian and advisor) jointly
35 identified areas in need of improvement, taking the health status and the interconnected
36 farm system components into account, and appropriate actions were jointly identified.
37 The researcher took minutes during the discussions and these were shared with the
38 participants. No intervention was made by the researcher, and further actions were left
39 with the participants. The number of actions per farm ranged from 0 to 22. The change
40 in mortality, metabolic diseases, reproductive performance and udder health was

41 assessed at two timepoints, and potential determinators of the change were evaluated
42 with linear regression models. A significant association was seen between change in
43 udder health, as measured by the somatic cell count, and country. At the first follow-up
44 a significant association was also found between change in proportion of prolonged
45 calving interval and the farmers' desire to improve reproductive health as well as with an
46 increase in herd size, but this was not seen at the second follow-up. The degree of
47 implementation of the actions was good (median 67 %, lower quartile 40 %, upper
48 quartile 83 %). To conclude, the degree of implementation was quite high, improvement
49 of animal health could not be linked to the herd health planning approach. However, the
50 approach was highly appreciated by the participants and deserves further study.

51

52 **Keywords**

53 Animal health, decision making, farm-specific tools, on-farm assessment, advisory

54 **Implications**

55 This study investigated a novel, structured participatory and farm-centric approach to
56 herd health planning in organic dairy herds. Farmer, veterinarian and advisor
57 (i.e. participants) contributed equally with their knowledge in the process, in contrast to
58 farmers' previous experiences with more top-down advices. Future herd health advisory
59 services may be revised, according to the principles of this study, because a) the
60 degree of implementation of actions was quite high, even though the improvement of

61 animal health could not be linked to the herd health planning approach; and b) the
62 approach was highly appreciated by the participants.

63

64 **Introduction**

65 Herd health management in dairy production has evolved during the past decades. At
66 the same time, vast improvements in animal health and production have been made,
67 although it has sometimes been difficult to demonstrate direct links between individual
68 management changes and positive effects on herd health and production indicators
69 (Derks et al., 2014; Tremetsberger et al., 2015). Improvements, such as better housing,
70 improved feeding strategies, new milking equipment and milking routines have most
71 likely reduced the prevalence of traumatic lesions, metabolic disorders, mastitis and
72 reproduction disorders (Hultgren, 2002; Dippel et al., 2009; Stengärde et al., 2012).
73 However, diseases such as mastitis and lameness are still common and have negative
74 effects on animal health and welfare as well as on production economy (Whay et al.,
75 1998; Ettema and Østergaard, 2006; Cha et al., 2010; Alvåsen et al., 2014). Providing
76 evidence of the costs of poor animal health, and the economic benefits of improving
77 herd health by different actions, has however not always resulted in the expected
78 changes in herd health management (Rehman et al., 2007; Huijps et al., 2009). One
79 challenge is that farmers rely on advice from many different actors who have different
80 professional perspectives, such as feeding, breeding, housing, milk quality, animal
81 health and farm economics, that may be difficult to balance. Although this may seem

82 reasonable, it has been shown that involving all relevant parties, in itself, is not sufficient
83 to achieve the desired results. The traditional advisory services by external experts,
84 such as veterinarians and advisors, with “one size fits all” solutions based on one single
85 perspective is insufficient in the highly complex systems that dairy farms are today.
86 Rather, an interactive planning approach involving the farmers’ wishes and expectations
87 and thus resulting in farmer-owned decisions has been deemed necessary to achieve
88 changes (Vaarst et al., 2007; Tremetsberger and Winckler, 2015). Furthermore, a
89 structured method is needed to ensure that all aspects of herd health, including
90 management, are covered in the herd health plans and that actions and goals are
91 formulated and continuously evaluated (Vaarst et al., 2011). Farmers’ own perceptions
92 of herd health problems have been shown to play an important role in the prioritisation
93 of actions to improve herd health (Derks et al., 2013; Denis-Robichaud et al., 2018).
94 The benefits of participatory approaches that actively involve all relevant actors have
95 been demonstrated as positive effects on herd health indicators following the
96 development of farm specific herd health plans established together with the farmer,
97 and not as prescriptive advice from the advisor to the farmer (Green et al., 2007;
98 Ivemeyer et al., 2012; Tremetsberger et al., 2015). The impact matrix, a tool designed to
99 assess the relationships between numerous system variables, was developed further to
100 be used for structured capturing of the complexity of individual dairy farms, (based on
101 the knowledge of farmer, herd veterinarian and advisor) and to identify farm specific
102 factors for driving changes as well as focus areas (Krieger et al., 2017a). This provides

103 opportunities to combine a structured (as in use of the impact matrix) and participatory
104 (by all relevant actors at the same time) approach.

105 Animal welfare including health is often regarded as a trademark of organic dairy
106 production. Standards for organic farming aim for improved animal health and welfare
107 but also create challenges such as restrictions in treatments and generally less frequent
108 veterinary consultations. The higher proportion of older cows, common in organic farms,
109 that are associated with higher prevalence of diseases also contributes to these
110 challenges (Luttikholt, 2007; Richert et al., 2013; Stiglbauer et al., 2013). There are
111 indications that the animal health status in European organic dairy production does not
112 meet consumers' expectations (Harper and Makatouni, 2002; von Meyer-Höfer et al.,
113 2015; Krieger et al., 2017b). Hence, there is room for improvement of herd health and
114 thus also welfare, in organic dairy farming which can be achieved by the implementation
115 of tailored herd health plans targeted to the specific situation of individual farms (Jones
116 et al., 2016). Improving animal health can also lead to improved animal welfare (Nyman
117 et al., 2011). Due to limited availability of records of welfare, the focus in this study was
118 animal health.

119 The aim of this study was to evaluate a participatory approach, with a structured impact
120 matrix analysis to herd health planning by assessing the implementation of actions
121 listed in farm-specific herd health plans and the associated changes in animal health
122 indicators in organic dairy herds in France, Germany and Sweden.

123

124 **Material and methods**

125 *Study population*

126 A total of 122 organic dairy farms were recruited. Sufficient data were only available
127 from 119 farms in France (27), Germany (59) and Sweden (33). All study farms were
128 taking part in the FP7-funded research project **IMPRO** (Impact matrix analysis and cost-
129 benefit calculations to improve management practices regarding health status in organic
130 dairy farming, www.impro-dairy.eu). Farms were selected based on the following
131 inclusion criteria: participation in an official milk recording scheme since January 2012,
132 official certification as an organic farm for at least one year before the start of the study,
133 expected to be in operation for the coming year, and herd sizes reflecting the farm
134 demography of the country (as regards range and mean). Farms were recruited by mail
135 or phone in Sweden. In France and Germany, local advisors or veterinarians assisted in
136 the process. A sample was drawn from farms willing to participate. The geographic
137 distribution of farms included in the study matched the proportion of organic dairy farms
138 and was deemed to reasonably capture the variation in organic dairy production in
139 Europe (Eurostat, 2017). Further details on farm selection can be found in van Soest et
140 al. (2015). All (100 %) of the German farms, 93 % of the farms in France and 85 % of
141 the farms in Sweden had loose housing systems, whereas the remaining farms in
142 Sweden had tie-stalls and in France the remaining farms were divided in equal shares
143 of tie-stalls and always kept outside. Holstein was the predominant breed in 52 % of the
144 farms in Sweden, 44 % in France and 39 % in Germany, where the main other breeds
145 were for example Swedish red and white cattle in Sweden (39 %), Fleckvieh/Simmental

146 in Germany (42 %), Montbéliarde (22 %) and Normande (19 %) in France. Because
147 some farms had their own dairy, the milk production was measured as amount of sold
148 milk. The median (lower quartile, upper quartile) amount of sold milk kg/cow/year was
149 5500 (5200, 6000) in France, 6200 (5500, 7000) in Germany and 8700 (7900, 9200) in
150 Sweden.

151

152 *Participatory approach and impact matrix analysis*

153 As part of the herd health planning, actions to improve animal health were identified,
154 using a structured participatory approach. Farm visits were performed between
155 November 2013 and April 2014 as described in detail by Krieger et al.(2017b). Briefly,
156 each farm visit was attended by the farmer, the herd veterinarian and/or an advisor, and
157 a researcher facilitating discussions. The advisors' speciality varied between farms.
158 Baseline data, from the official milk recording schemes, breeding companies and animal
159 movement databases, on the animal health status (e.g.calf mortality, somatic cell count,
160 cow mortality, milk yield) of the individual farm were presented as an input for the
161 discussion. Thereafter an impact matrix analysis (Krieger et al., 2017a) was performed
162 with the aim to identify the farm-specific factors that could have a strong impact on
163 animal health, to support the identification of actions to improve herd health. By this
164 approach all participants had an active role, enabling a more holistic perspective on the
165 farm as a complex system. The structured impact matrix included 13 variables, that
166 were assigned to 18 criteria in four categories (areas of life, physical, dynamic and
167 system-related) as proposed by Vester (2012) . All aspects of the farm were taken into

168 account, even those not usually discussed in advisory situations, e.g. family situation or
169 workers' influence on the management of animals, were discussed jointly and recorded
170 in a software tool by the researcher. An output graph was generated, that gave an
171 overview of which variables (areas) to focus on. Participants (farmer, veterinarian and
172 advisor) had an active role throughout the process and identified areas with potential for
173 improvement for each of the production disease complexes: metabolic diseases,
174 reproductive disorders, foot and limb disorders, and udder health. Taking the health
175 status and the impact matrix outcome into account, potentially effective actions, in
176 relation to the farm goals, were identified. Actions that the farmer regarded as feasible
177 to implement were shortlisted, tailored to the possibilities and resources as well as
178 limitations and constraints on the individual farm. The farmer was asked to state in
179 which of the health areas: udder, locomotion, metabolic and reproduction he/she found
180 potential for improvement (multiple answers were possible). At the end of the visit the
181 proposed actions were summed up to give the participants the opportunity to add
182 relevant advice. The visit and the actions were summarised by the researcher and sent
183 to the participants after the visits. The participants, i.e. mainly the farmer, with or without
184 co-operation of the veterinarian and advisor, worked with the actions without further
185 intervention by the researchers. The advice and actions could be general, such as
186 seeking more knowledge, or very specific, such as providing straw when drying off,
187 written instructions for staff, or reconstruction work, for more details see (Emanuelson,
188 2014).

189

190 *Implementation of actions*

191 A pen-and-paper questionnaire was sent out to the farmers approximately one year
192 after the visit, to follow up on what actions had been implemented. For each action
193 defined in the plan the farmer was asked if it was implemented or not. The reasons for
194 non-implementation were assessed, where the most important were time and cost
195 constraints, followed by limitations in housing, lack of skills and access to expertise, and
196 whether other actions (than those agreed) had been implemented instead. The
197 questionnaire was developed in English, and translated to the respective languages in
198 the participating countries.

199

200 *Data collection*

201 Three time periods were defined: a) baseline, refers to data from the 12 months prior to
202 the visit; b) follow-up 1, refers to data from 1 month to 13 months after the visit; c)
203 follow-up 2, refers to data from 6 months to 18 months after the visit (Figure 1). Data
204 from the national recording systems were retrieved as relevant for each country. All
205 countries had access to data from the official milk recording schemes, databases of
206 artificial insemination or natural service information and data from the animal
207 identification and registration databases. The different databases were in most cases
208 separate entities, except in Sweden where all the information is maintained in a
209 common database for dairy herds that participate in the official milk recording scheme.
210 In all countries permission from the participating farmers and database managers was
211 obtained before data collection .

212

213 The national recording systems are not harmonized and the method of record-keeping,
214 as well as the amount of information recorded, differ. For the purpose of this study, only
215 data that were available in all participating countries were used, and transformed into a
216 common structure.

217

218 Variables derived from data in the national recording systems, and calculated for
219 baseline and follow-up 1 and 2, were:

220 a) Cow mortality, defined as on-farm mortality of cows, i.e. the number of cows that died
221 or were euthanized on-farm divided by the sum of their days at risk of dying. Animals
222 that were sold were censored on the day of leaving the herd;

223 b) Calf mortality, defined as the number of calves that died between birth and 30 days of
224 life divided by the sum of their days at risk of dying. Animals that were sold were
225 censored on the day of leaving the herd;

226 c) Proportion of prolonged calving intervals, used as a proxy for reproductive health,
227 defined as the proportion of all individual calving intervals exceeding 400 days length
228 (LeBlanc et al., 2002; Dubuc et al., 2010), for all calvings during the respective time
229 periods;

230 d) Risk of ketosis, defined as the proportion of all test-days between 30 and 100 days
231 after calving, during the respective time periods, with a fat/protein ratio above 1.5
232 (Heuer et al., 1999);

233 e) Prevalence of high **SCC** (somatic cell counts), defined as the proportion of all test-
234 days, during the respective time periods, with an SCC-value above 200 000 cells/mL in
235 milk(Dohoo and Leslie, 1991);

236 f) Herd size, defined as the number of calvings per time period (i.e. baseline, follow-up 1
237 and 2, respectively);

238

239 Variables derived from the visits were:

240 g) Actions, defined as number of agreed actions put down in the herd health plan;

241 h) Udder health, area stated by the farmer to have potential for improvement;

242 i) Reproduction, area stated by the farmer to have potential for improvement;

243 j) Metabolic disorders, area stated by the farmer to have potential for improvement;

244 “As stated by the farmer” means that this was an area chosen in response to the
245 question “What would you like to improve?”

246

247 A variable derived from the follow-up questionnaires was:

248 k) Proportion of implemented actions, defined as no answer, no actions implemented, <
249 50 % implementation, 50 – 75 % implementation, > 75 % implementation.

250

251 *Statistical analyses*

252 The change in the animal health variables during each of the two 12-month periods,
253 calculated as the difference between each of the two follow-up periods and baseline
254 data (see figure 1), was analysed by multivariable linear regression models. The

255 explanatory variables assumed to influence each particular outcome were included in
256 the respective models. Hence, the number of explanatory variables varied for each
257 model. The linearity assumption for the association of continuous explanatory variables
258 was checked by adding a centered and squared term, but none of those were found to
259 be significant. Residuals were checked for normal distribution and heteroscedasticity
260 and none of these assumptions were violated. All statistical analyses were performed
261 using SAS® version 9.4 (SAS Institute Inc. Cary, USA).

262

263 **Results**

264 *Herd health planning*

265 Health areas with potential for improvement, as stated by the farmer at the visit are
266 presented in table 1.

267 The number of actions per farm ranged from 0 to 22 and varied between countries. The
268 respective median (lower quartile, upper quartile) was 1 (0, 3) in France, 7 (5, 10) in
269 Germany, and 15 (11, 20) in Sweden. No actions were identified for 10 farms in France
270 and one farm in Germany.

271

272 A total of 94 follow-up questionnaires were completed, giving a response rate of 93% in
273 France, 83% in Germany and 61% in Sweden. The overall proportion of implemented
274 actions per farm varied between 0 and 100 % (median 67 %, lower quartile 40 %, upper
275 quartile 83 %). The proportions of implemented actions are presented in table 2.

276

277 Reasons for non-implementation were indicated in 60 % of the questionnaires. The
278 most frequent reasons were constraints related to housing and/or construction, followed
279 by time limitations and costs/financial limitations.

280

281 *Changes in herd health variables*

282 Table 3 presents descriptive statistics of the herd health variables, by country. The
283 biggest difference between the countries, at baseline, was found for calf mortality where
284 the ranges were as follows: France 0-42, Germany 0-17 and Sweden 0-10 . None of the
285 herds decreased in the number of calvings (herd size) by more than 5 %, while 4 herds
286 increased by more than 5 %, 2 of these increased by more than 10 % during the study
287 period.

288

289 No significant changes were found in cow mortality and calf mortality after the on-farm
290 discussions and herd health planning (table 4).

291

292 A significant association was seen between change in udder health, as measured by
293 the somatic cell count, and country. Also, at the first follow-up a significant association
294 was found between change in the proportion of prolonged calving interval and the
295 farmers' desire to improve reproductive health as well as with an increase in herd size,
296 but this was not seen at the second follow-up (Table 5).

297

298 **Discussion**

299 The number of actions in the herd health plans differed between the three countries. In

300 France there were few actions in each plan, as compared to Sweden and Germany.

301 One explanation for the observed difference between the countries was the difference in

302 the proportion of farms with any action. In France, 63% of the farms had specific

303 actions in their plan, as compared to 98% of the German herds and all of the Swedish

304 herds. In a study by Duval et al. (2016) a higher degree of implementation of health

305 indicators could be found in Sweden compared to France, suggesting that Swedish

306 dairy farmers may be more used to herd health planning activities than French dairy

307 farmers, which may explain the observed differences.

308

309 The median degree of implementation (67%) for all study herds was similar or higher to

310 what has been achieved in other intervention studies (Green et al., 2007;

311 Tremetsberger et al., 2015). The involvement of all relevant actors in health planning

312 very likely resulted in a choice of actions that were in line with the farmer's own

313 preferences. However, these preferences may have changed over the course of the

314 study, this being the reason for non-implementation of some of the actions. Other

315 barriers to implementation were time- and cost-related. This is in accordance with

316 Tremetsberger et al. (2015), who found the implementation rate of actions to improve

317 daily management routines to be almost twice as high as the implementation of

318 changes in farm buildings and equipment. Rebuilding or major reconstruction would

319 probably exceed available resources, especially within the limited time of this study.

320

321 The participating farmers, veterinarians and advisors displayed a very positive attitude
322 and enthusiasm towards this structured participatory approach. The initial session was
323 very much a participatory process, even though it was facilitated by the researcher.
324 Farmers stated that this participatory approach made them take equal part of the
325 discussions on appropriate actions. This was contrasted to previous experiences of
326 more one-way (or even top-down) communication. During the talks, advisors and
327 veterinarians gained insight into why previous advice had not been implemented and
328 the farmers could avoid getting contradictory advice. Similar experiences are reflected
329 in previous studies by Derks et al. (2013) and Anneberg et al. (2016). Vaarst et al.
330 (2011) stated that continuous farm development requires an on-going dynamic health
331 planning process involving agreed action and follow-up.

332

333 The most consistent and significant result of the study was the association between the
334 udder health indicator and country. Several previous publications have addressed the
335 association between health planning and udder health (Tremetsberger et al., 2015;
336 Green et al., 2007; Ivemeyer et al., 2012), all demonstrating positive changes in udder
337 health parameters after subsequent follow-up. However, in our study only herds in
338 Germany improved the udder health. This could be because many German farmers saw
339 a potential for improvement in terms of udder health on their farms and also had high
340 implementation rate. In comparison the French herds had poorer udder health than
341 German herds, but the farmers saw more potential for improvement in claw health.

342 The threshold level of 200 000 cells/mL for SCC, the indicator for udder health, has ever
343 since Dohoo and Leslie (1991) been a commonly used value and was found to be a
344 reasonable compromise within the project group. In a limited study of the farms in the
345 project, the threshold level did not affect the ranking of the farms (Sjöström et al., 2015).
346 A limitation is that control herds were not included in this study, and therefore it cannot
347 be assessed if the observed changes may be related to other external factors occurring
348 at the same time as the interventions.

349

350 To further motivate farmers to implement changes, benchmarking could be a useful
351 approach (Chapinal et al., 2014). This, however, requires access to data on herd health
352 indicators from other herds and such information is usually limited (Whay et al., 2003;
353 Huxley et al., 2004), although available for e.g. Scandinavian dairy herds (Emanuelson,
354 1988; Olsson et al., 2001). In this study, this limitation affected which animal health
355 indicators were possible to evaluate. Reproduction diseases such as cystic ovaries,
356 retained placenta and metritis are not recorded routinely in all countries in the study. As
357 these diseases have a substantial effect on the reproductive performance of the herd,
358 this aspect was monitored as proportion of prolonged calving intervals (LeBlanc et al.,
359 2002; Dubuc et al., 2010). There was a significant association between the proportion of
360 prolonged calving intervals and the farmer's expressed wish to improve reproductive
361 health but this was not, as would have been expected, more prominent in the second
362 follow-up period. The observed association with change in herd size, could be due to
363 the farmers taking actions such as culling cows with reproduction problems and thereby

364 leaving room for cows with better reproductive performance, when expanding the herd
365 size (Denis-Robichaud et al., 2018). However, it cannot be excluded that some of the
366 farmers were aiming for longer calving intervals, making this an unprecise measure of
367 reproductive health, but it was used as a proxy due to the limitations in comparable
368 indicators.

369

370 The implementation of herd health plan actions takes time and continuous interactive
371 and iterative work, and the potential effects can also be expected to take time,
372 depending on the specific actions. The time to follow-up is important for the ability to
373 identify relevant associations between health planning and animal health. This is
374 supported by March et al. (2011) who reported that the improvements in most health
375 indicators were more pronounced in the second year after implementation of health
376 plans. To be able to see trends in herd health one year follow-up periods were used, to
377 include all seasons. The first follow-up period was chosen to capture actions with more
378 immediate effects and the second to capture actions with more delayed effects. The
379 present study may have benefited from a longer follow-up period and of a more
380 continuous follow-up work, which unfortunately was not possible within the framework of
381 the research project, that mainly aimed to assess the participatory approach with impact
382 matrix analysis. The lack of knowledge about organic dairy farming among veterinarians
383 may have influenced the effect of the advisory activities, that may not have met the
384 needs of the farmers. This may have contributed to the lack of improvement in animal
385 health, despite the structured approach of the impact matrix method. (Kristensen and

386 Jakobsen, 2011; Vaarst and Alrøe, 2012; Duval et al., 2016a). Even when farmers are
387 motivated to make changes, and have the necessary knowledge to improve herd health,
388 implementation of actions is often lacking (LeBlanc et al., 2006; Jones et al., 2016).
389 Previous studies have also concluded that improvements are more difficult to achieve
390 when several issues are addressed simultaneously (Whay et al., 2003; Tremetsberger
391 and Winckler, 2015), as was the case in the present study. Data limitations may also
392 have contributed to the lack of associations detected in the current study.

393

394 The selection of study farms was not random, as the sampling frame consisted of
395 farmers that were willing to participate. However, evaluations of the selected farms by
396 Krieger et al. (2017a) and van Soest et al. (2015) indicate a fair representativity of
397 organic herds in the studied countries.

398

399 Although the degree of implementation of actions was quite high, improvement of
400 animal health could not be linked to the herd health planning approach. However, the
401 approach was highly appreciated by the participants and deserves further study.

402

403 **Acknowledgements**

404 The authors wish to thank the farmers for their participation and access their data. We
405 also thank the advisors and veterinarians who participated in the project. This project
406 received funding from the European Union's Seventh Framework Programme for

407 Research, Technological Development and Demonstration under grant agreement
408 number 311824 (IMPRO).

409

410 **Declaration of interest**

411 Conflicts of interest: none

412

413 **Ethics statement**

414 All animals in this study were treated according to the ethical standards of the
415 participating countries' regulations. Competent authorities in all the three study
416 countries declared that no ethical permission was required. Participation in the study
417 was voluntary and the farmers were informed about the purpose and methods of the
418 study. They were assured that all information would be treated anonymously and that
419 they could withdraw from the study at any time.

420

421 **Software and data repository resources**

422 None of the data were deposited in an official repository.

423

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570 **Table 1** *Distribution of animal health areas with potential for improvement, as stated by farmers*
 571 *(multiple answers possible). Data from 119 organic dairy cattle farms in France, Germany and*
 572 *Sweden*

	France	Germany	Sweden	
Health areas	Number of farmers (%)			Total
Udder	9 (34.6) ¹	39 (66.1)	23 (69.7)	71 (60.2)
Claw	13 (50) ¹	20 (33.9)	4 (12.1)	37 (31.4)
Metabolic	1 (3.7)	20 (33.9)	6 (18.2)	27 (22.7)
Reproduction	8 (30.8) ¹	31 (52.5)	11 (33.3)	50 (42.4)

573 ¹ Data from one herd missing

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576 **Table 2** *Proportion of implemented animal health plan actions in 119 organic dairy cattle farms*
 577 *in France, Germany and Sweden*

		France	Germany	Sweden		
Category		Number (%)			Total	
Proportion of implemented actions	No answer	4 (14.8)	11 (18.6)	14 (42.4)	29 (24.4)	
	0 %	10 (37.0)	1 (1.7)	0 (0.0)	11 (9.2)	
	< 50 %	1 (3.7)	14 (23.7)	6 (18.2)	21 (17.7)	
	50-75 %	3 (11.1)	15 (25.4)	5 (15.2)	23 (19.3)	
	> 75 %	9 (33.3)	18 (30.5)	8 (24.2)	35 (29.4)	
	Total	27 (22.7)	59 (49.6)	33 (27.7)	119 (100)	

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580 **Table 3** Descriptive statistics over continuous animal health parameters at baseline and
 581 difference after two 12-month follow-up periods, in 119 organic dairy cattle herds in France,
 582 Germany and Sweden

Parameter	Period ¹	France	Germany	Sweden
		Median (Q1, Q3)	Median (Q1, Q3)	Median (Q1, Q3)
Outcomes²				
Cow mortality	Baseline	2.59 (0.00; 4.74)	1.87 (0.00;3.34)	2.97 (2.07;4.91)
	Follow-up 1	0.92 (-0.22; 2.73)	0 (-1.46;1.71)	0 (-2.07;3.62)
	Follow-up 2	1.5 (-0.22; 2.92)	0 (-1.46;1.53)	-0.05 (-1.78;3.28)
Calf mortality	Baseline	10.71 (6.29; 16.45)	1.9 (0.00;4.74)	1.96 (0.00;3.04)
	Follow-up 1	3.02 (-6.00; 11.24)	0 (-2.00;1.90)	0 (-2.30;2.78)
	Follow-up 2	-0.49 (-6.29; 11.36)	0 (-2.38;1.85)	0 (-2.15;0.12)
PCI	Baseline	0.45 (0.34; 0.61)	0.37 (0.26;0.46)	0.39 (0.36; 0.44)
	Follow-up 1	-0.05 (-0.12;0.08)	0 (-0.08;0.06)	0 (-0.06; 0.06)
	Follow-up 2	-0.05 (-0.12;0.07)	-0.01(-0.10;0.08)	0 (-0.08; 0.08)
FPR ketosis	Baseline	0.24 (0.15; 0.30)	0.19 (0.15;0.29)	0.16 (0.11; 0.20)
	Follow-up 1	-0.01 (-0.06; 0.03)	-0.02 (-0.07;0.02)	0.005 (-0.03; 0.03)
	Follow-up 2	0.006 (-0.04; 0.05)	-0.008 (-0.05;0.04)	0.002 (-0.02; 0.05)
SCC > 200'	Baseline	0.36 (0.26; 0.40)	0.29 (0.25;0.35)	0.25 (0.22; 0.30)
	Follow-up 1	0.003 (-0.03; 0.07)	-0.03 (-0.07;0.01)	0.02 (-0.03; 0.06)
	Follow-up 2	-0.004 (-0.05; 0.06)	-0.02 (-0.09;0.01)	0.004 (-0.03; 0.05)
Predictors				
Herd size	Baseline	48.28 (39.07; 66.07)	52.73 (39.90;64.36)	58.08 (39.33; 75.58)
	Follow-up 1	0.51 (-0.75; 4.09)	1.27 (-0.55;4.09)	1.79 (0.42; 4.42)
	Follow-up 2	1.6 (-0.50; 7.57)	1.36 (-1.82;5.73)	2.18 (0.64; 7.00)

¹ Periods are as follows:

Baseline refers to the 12 months before the farm visit

Follow-up 1 refers to the 12 months starting 1 month after the farm visit and is the difference between this period and baseline

Follow-up 2 refers to the 12 months starting 6 months after the farm visit and is the difference between this period and baseline

² Outcomes are as follows: PCI = Proportion of prolonged (>400d) calving intervals, FPR ketosis = Proportion of milk-tests with fat-protein ratio >1.5, as indicator of ketosis, SCC > 200' = Proportion of milk-tests with somatic cell count in milk over 200 000 cells/mL.

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Table 4 Results from the multivariable linear regression analysis of the associations between herd parameters and the change in cow and calf mortality at follow-up in 119 organic dairy cattle herds in France, Germany and Sweden

Parameter ⁴	Category	Cow mortality ¹						Calf mortality ¹					
		Follow-up 1 ²			Follow-up 2 ³			Follow-up 1 ²			Follow-up 2 ³		
		Estimate	SE	p-value ⁵	Estimate	SE	p-value ⁵	Estimate	SE	p-value ⁵	Estimate	SE	p-value ⁵
Intercept		-0.40	1.42	0.78	0.73	1.59	0.65	2.41	2.57	0.35	2.69	3.01	0.37
Actions		0.01	0.08	0.89	-0.07	0.09	0.45	-0.15	0.15	0.31	-0.15	0.17	0.38
PIA				0.16			0.32			0.47			0.35
	No answer	1.63	0.85		1.54	0.94		-0.76	1.57		-0.82	1.74	
	0 %	2.19	1.36		2.29	1.52		-5.06	3.08		-5.94	3.04	
	< 50 %	0.07	0.89		0.61	1.00		-1.55	1.61		-1.01	1.82	
	50-75 %	1.18	0.87		1.46	1.00		-0.04	1.61		0.37	1.84	
	> 75 %	0.00	.		0.00	.		0.00	.		0.00	.	
Country				0.90			0.44			0.81			0.59
	France	-0.37	1.39		-1.68	1.55		-1.47	2.77		1.24	2.93	
	Germany	-0.41	0.89		-1.22	0.99		-1.01	1.61		-0.77	1.86	
	Sweden	0.00	.		0.00	.		0.00	.		0.00	.	
D Herd size		-0.03	0.05	0.56	-0.06	0.04	0.14	0.08	0.09	0.38	0.14	0.08	0.07
Herd size		0.00	0.01	0.89	0.01	0.01	0.40	0.00	0.01	0.91	-0.01	0.02	0.49
Rep.								-0.15	1.17	0.90	-0.45	1.29	0.73
Metab.		0.64	0.75	0.39	0.12	0.85	0.89						

588 ¹ Cow mortality = number of cows that died or were euthanized on-farm divided by number of (cow) days at risk; Calf mortality = number of calves
589 that died between birth and 30 days of life divided by their days at risk of dying.

590 ² Follow-up 1 pertains to the 12 months starting 1 month after the farm visit and is the difference between follow-up 1 and baseline

591 ³ Follow-up 2 pertains to the 12 months starting 6 months after the farm visit and is the difference between follow-up 2 and baseline

592 ⁴ Parameters are as follows: Actions = number of actions put down in the health plan; PIA = Proportion implemented actions; D Herd size =
593 difference in herd size; Rep = reproduction as area with potential for improvement stated by the farmer; Metab = metabolic disorder as area with
594 potential for improvement stated by the farmer.

595 ⁵ Overall p-values.

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600 **Table 5** Results from the multivariable linear regression analysis of the associations between herd parameters and the change in proportion of
601 prolonged calving interval (>400d), risk of ketosis (proportion of milk-tests with fat-protein ratio >1.5), and somatic cell count (SCC) prevalence
602 over 200' cells/mL, at follow up in 119 organic dairy cattle herds in France, Germany and Sweden

Parameter ⁴	Category	Proportion prolonged calving interval ¹						Fat-protein ratio ketosis ¹						SCC prevalence over 200' cells/mL ¹					
		Follow-up 1 ²			Follow-up 2 ³			Follow-up 1 ²			Follow-up 2 ³			Follow-up 1 ²			Follow-up 2 ³		
		Est ⁵	SE	p ⁶	Est ⁵	SE	p ⁶	Est ⁵	SE	p ⁶	Est ⁵	SE	p ⁶	Est ⁵	SE	p ⁶	Est ⁵	SE	p ⁶
Intercept		0.83	5.56	0.88	-3.27	6.57	0.62	2.43	3.07	0.43	0.16	3.53	0.96	-1.35	2.94	0.65	-1.27	3.26	0.70
Actions		-0.12	0.32	0.72	0.05	0.37	0.90	-0.04	0.18	0.84	0.14	0.20	0.49	0.02	0.17	0.90	-0.03	0.19	0.88
PIA				0.93			0.87			0.57			0.52			0.50			0.26
	No answer	0.68	3.31		3.45	3.80		-2.40	1.83		-2.29	2.10		0.95	1.74		1.90	1.93	
	0 %	0.88	5.41		-1.02	6.20		-1.78	2.93		2.88	3.38		-1.67	2.84		-2.73	3.16	
	< 50 %	2.26	3.47		2.52	3.98		-1.93	1.93		0.42	2.21		2.76	1.82		3.65	2.02	
	50-75 %	2.77	3.41		3.15	3.92		-2.88	1.88		-1.54	2.16		0.07	1.79		0.22	1.99	
	>75 %	0.00	.		0.00	.		0.00	.		0.00	.		0.00	.		0.00	.	
Country				0.88			0.97			0.46			0.96			0.002			0.01
	France	-2.62	5.54		0.34	6.40		-2.27	3.01		-0.67	3.45		3.10	2.89		2.11	3.19	
	Germany	-1.53	3.49		0.83	4.06		-2.41	1.91		-0.60	2.20		-3.48	1.80		-4.00	2.00	
	Sweden	0.00	.		0.00	.		0.00	.		0.00	.		0.00	.		0.00	.	
D Herd size		-0.48	0.19	0.01	-0.27	0.17	0.12	0.07	0.10	0.49	0.04	0.10	0.67	0.06	0.10	0.51	0.05	0.09	0.56
Herd size		0.04	0.03	0.15	0.04	0.03	0.29	<0.001	0.02	0.93	<0.001	0.02	0.90	0.02	0.02	0.34	0.02	0.02	0.37
Repr.		-6.47	2.42	0.01	-4.58	2.76	0.10												
Metab.								-2.55	1.62	0.12	-2.30	1.85	0.22						
Udder														-1.05	1.36	0.44	-0.71	1.50	0.64

603 ¹Multiplied by 100, for readable decimals in the table
604 ² Follow-up 1 pertains to the 12 months starting 1 month after the farm visit and is the difference between follow-up 1 and baseline
605 ³ Follow-up 2 pertains to the 12 months starting 6 months after the farm visit and is the difference between follow-up 2 and baseline
606 ⁴ Parameters are as follows: Intercept; Actions = number of actions put down in the health plan; PIA = Proportion implemented actions; Country;
607 D Herd size = difference in herd size; Herd size; Repr. = reproduction as area with potential for improvement stated by the farmer; Metab. =
608 metabolic disorder as area with potential for improvement stated by the farmer; Udder = udder disorder as area with potential for improvement
609 stated by the farmer.
610 ⁵Est = Estimate
611 ⁶Overall p-values.

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613 **Figure captions**

614 **Figure 1** Illustrates the timeline of data collection. Baseline data pertains to 12 months before the farm visit, on organic dairy cattle
615 farms, when the participatory approach with the Impact Matrix was performed. Follow-up 1 pertains to data from 1 month until 13
616 months after the visit and follow-up 2 pertains to data from 6 months until 18 months after the visit .

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