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1 **High pressure processing to control *Salmonella* in raw pet food without**
2 **compromising the freshness appearance: the impact of acidulation and**
3 **frozen storage**

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22

23 **Abstract**

24 The trend of feeding dogs and cats with raw pet food claiming health benefits poses health
25 concerns due to the occurrence of pathogenic bacteria. High pressure processing (HPP) allows
26 the non-thermal inactivation of microorganisms, preserving the nutritional characteristics with
27 minimal impact on organoleptic traits of food. The present study aimed to evaluate and model
28 the effect of HPP application (450-750 MPa for 0-7 min) on the inactivation of *Salmonella*,
29 endogenous microbiota and colour of raw pet food formulated with different concentrations of
30 lactic acid (0-7.2 g/kg) as natural antimicrobial. Additionally, the effect of a subsequent frozen
31 storage of pressurised product was assessed.

32 *Salmonella* inactivation ranged between 1 and 9 log, depending on the combination of
33 conditions. According to the polynomial model obtained, the effect of pressure was linear, while
34 a quadratic term was also included for holding time (depicting the occurrence of a resistant tail
35 at *ca.* 4 to 6 min). The effect of lactic acid was dependent on the pressure level, being most
36 relevant for treatments below 600 MPa. Frozen storage after HPP prevented the pathogen
37 recovery and caused a further *Salmonella* inactivation enhanced by lactic acid in most of the
38 treatments. Endogenous microbial groups were significantly reduced by HPP to below the
39 detection level in several conditions. In general, little effect of HPP on the instrumental colour
40 parameters was observed, except for a slight increase in lightness, which was hardly appreciable
41 from visual observation.

42 High pressure processing emerges as a relevant technology for the control of *Salmonella* spp.
43 and to manage the microbiological safety of raw pet food. The mathematical model can be used
44 as decision support tool to design safer raw pet food, while keeping the desired freshness
45 appearance of the products.

46 **Keywords (4-6 max):** raw pet food, high hydrostatic pressure, mathematical modelling,
47 predictive microbiology, salmonellosis.

48 1. Introduction

49 Raw meat-based diets (RMBD) for pets are mainly composed by uncooked animal products or by-
50 products, vegetables, fruits and/or grains (Nüesch-Inderbinen et al., 2019). They can be home-
51 prepared or commercially supplied on their fresh, frozen or freeze-dried form or as premixes intended
52 to be complemented with raw meat (van Bree et al., 2018; Davis et al., 2019; Nüesch-Inderbinen et al.,
53 2019). Feeding cats and dogs with RMBD has become a popular practice by pet owners, due to their
54 more “natural” and fresh characteristics and the perceived healthier benefits, including improvement
55 of skin and coat and increase in oral health of pets, compared with cooked (sterilised) or dry pet food
56 options (Weese et al., 2005; Fredriksson-Ahomaa et al., 2017; Davis et al., 2019).

57 Despite the claimed benefits of feeding pets with RMBD, this practice may pose health risks to
58 animals, as raw materials may be contaminated with enteric pathogens such as *Salmonella*
59 (Fredriksson-Ahomaa et al., 2017; Giacometti et al., 2017). In surveys conducted to evaluate the
60 presence of bacterial pathogens in Dutch and Canadian commercially available RMBD, *Salmonella*
61 was present in 20 % of raw pet food samples (Weese et al., 2005; van Bree et al., 2018). Whole
62 genome sequencing approach found clinical isolates of *Salmonella* obtained from sick cats and dogs to
63 be closely related to *Salmonella* strains isolated from raw pet food (Jones et al., 2019). In this context,
64 current regulations require that commercial suppliers must ensure *Salmonella* is not detected in raw
65 pet food (European Commission, 2011; FDA, 2013).

66 The supplementation of pet food with lactic acid has demonstrated to promote oral health in cats,
67 inhibiting dental plaque, calculus and tooth stain accumulation (Scherl et al., 2019). Besides the health
68 benefits, it has been demonstrated that the acidulation with lactic acid can be effective to control
69 pathogenic bacteria such as *Salmonella*, *Escherichia coli* and *Listeria monocytogenes* in raw pet food
70 samples (Serra-Castelló et al., 2022).

71 High Pressure Processing (HPP) technology is an emerging strategy being implemented by pet food
72 producers as a killing step to assure compliance with current microbiological regulations (Anonymous,
73 2019). The application of high levels of pressure during few minutes can inactivate microorganisms in
74 foods, with a minimal impact on their organoleptic and nutritional characteristics (Bover-Cid et al.,

75 2017; Possas et al., 2017). In addition, frozen storage after HPP application has shown to enhance the
76 inactivation of pathogens in some types of foods, including strawberry puree (Huang et al., 2013) and
77 ground beef (Black et al., 2010).

78 The combination of preservation technologies such as HPP with other preservation factors like
79 acidulation and frozen storage to produce safe, stable and high quality food products has been
80 designated as the “hurdle concept” (Leistner and Gorris, 1995). To date, the impact of combining
81 these hurdles on the microbiological quality of RMBD has not been evaluated.

82 This work aimed at evaluating the inactivation of *Salmonella* and endogenous microbiota in raw pet
83 food intended for cats, treated by HPP associated with acidulation with lactic acid and its subsequent
84 frozen storage. The effects of these hurdles on raw pet food instrumental colour were also evaluated.

85 **2. Material & Methods**

86 2.1. Experimental design

87 A Central Composite Design (CCD) was performed to evaluate the impact of pressure level (450-750
88 MPa), holding times (0-7 min) and lactic acid concentrations (0-7.2 g/kg), on the efficacy of HPP
89 treatments to inactivate *Salmonella* spp. and endogenous microbiota in raw pet food samples. The
90 experimental layout performed is depicted in Table 1. The ranges set for the pressurization parameters,
91 *i.e.* pressure levels and holding times, were set based on previous studies that demonstrated the
92 effectiveness of HPP treatments at pressure levels of 450-750 MPa and holding times of 0 (*i.e.* a pulse
93 of pressure come-up followed by immediate release) up to 7 min to inactivate pathogenic bacteria in
94 foods (Bover-Cid et al., 2015; 2017). Additional experiments were conducted at the central point of
95 the CCD to enable the evaluation of the experimental error and the lack-of-fit of the model. The trials
96 were randomly performed to minimize the systematic bias due to disturbing effects of environmental
97 conditions (Robinson, 2000; Barba et al., 2014).

98 2.2. Raw pet food preparation/formulation

99 The raw ingredients for pet food intended for cat were provided by Affinity Petcare SA. and included:
100 chicken, plant based-ingredients, salmon and spices. Pet food was prepared at the pilot plant according
101 to a commercial recipe and procedure and stored frozen at -20 °C until being used. The proximal
102 composition of the raw pet food was: moisture (70 %), protein (12 %), fat (6 %), ash (2 %) and fibre
103 (1%).

104 Lactic acid was added to samples at the concentrations set in the CCD (Table 1) by adding the
105 appropriate amount of a 71 % lactic acid solution kindly provided by Corbion® (Amsterdam, The
106 Netherlands). This procedure was conducted 24 hours before pressurization in order to allow the
107 stabilization of the pH.

108 2.3. *Salmonella* strains, culture preparation and inoculation

109 Samples were inoculated with a three-strain *Salmonella* cocktail composed of equal amounts of
110 *Salmonella* Derby CTC1022, isolated from pork meat, and *Salmonella* Typhimurium GN0085 and
111 *Salmonella* Enteritidis GN0082, isolated from chicken meat. Strain selection was based on previous
112 HPP-resistance studies (Serra-Castelló et al., 2022). For the preparation of the cocktail, individual
113 cultures of the selected strains were prepared as reported in Serra-Castelló et al. (2022). Briefly, a
114 loopful of the frozen stock culture (-80 °C) was streaked on Plate Count Agar (PCA, Merck,
115 Darmstadt, Germany) at 37 °C overnight (18 h). An individual pure colony was spread in a new plate
116 of PCA and grown at 37 °C overnight to reach the stationary growth phase, which makes *Salmonella*
117 more resistant than in the exponential growth phase. Bacterial biomass on the surface of the PCA plate
118 was collected, resuspended with a cryoprotectant solution (0.3% of beef extract (Difco Laboratories,
119 Detroit, MI, USA), 0.5% of Tryptone (Oxoid Ltd., Basingtok, Hampshire, UK) and 20% of glycerol)
120 and frozen at -80 °C until being used. The frozen culture is representative of the status of the strain in
121 raw materials usually stored frozen to produce the raw pet food and, in addition, it is known to protect
122 pathogens from HPP, making this procedure a conservative approach to cover worst-case scenarios
123 (Hereu et al., 2014).

124 Samples were inoculated with the *Salmonella* cocktail (1% v/w) just before pressurization.

125 2.4. High pressure processing and storage conditions

126 Twenty-five-gram samples of the inoculated raw pet food were vacuum-packed in PA/PE plastic bags
127 (oxygen permeability of 50 cm³/m²/24 h and a low water vapor permeability of 2.8 g/m²/24 h;
128 Sistemvac, Estudi Graf S.A., Girona, Spain) and pressurised at the target time-pressure combinations
129 established by the CCD (Table 1). For pressures up to 600 MPa, the equipment used was a Wave 6000
130 from Hiperbaric S.A. (Burgos, Spain), while a pilot equipment from Thiot ingenierie – Hiperbaric
131 (Bretenoux, France – Burgos, Spain) was used for pressure levels above 600 MPa. The average
132 pressure come-up time was 191 MPa/min, while the pressure release was almost immediate (< 5s).
133 The initial temperature of the pressurization fluid was set at 9 °C. Compression heating was expected
134 to be about 3 °C/100 MPa (Patazca et al., 2007), therefore no thermal effect was expected. HPP
135 samples were stored frozen (-18 °C) for 14 days.

136 2.5. Sampling and microbiological determinations

137 Microbiological determinations of samples inoculated with *Salmonella* and non-inoculated samples
138 were conducted in triplicate for each trial of the CCD before HPP, immediately after the HPP and after
139 14 days of frozen storage. Frozen-stored samples were thawed at 4 °C for 24 hours before
140 microbiological analysis in order to reproduce the recommendations of the raw pet food manufacturer
141 regarding storage and thawing at household environments prior to consumption.

142 Raw pet food samples inoculated with *Salmonella* were ten-fold diluted in 0.1 % Bacto Peptone
143 (Difco Laboratories, Detroit, MI, USA) with 0.85 % NaCl (Merck, Darmstadt, Germany) and
144 homogenized for 60 seconds in a SmasherTM bag blender (bioMérieux, Marcy-l'Étoile, France). The
145 homogenates of inoculated samples were serially diluted and plated onto *Salmonella* Plus
146 chromogenic medium (SPCM, CHROMagarTM *Salmonella* Plus; Scharlab, S.L., Sentmenat, Spain).
147 Colonies were enumerated after incubation at 37 °C for at least 48h (i.e. number of colonies were
148 checked daily up to 5 days) to allow the recovery of cells sublethally injured due to the HPP
149 treatments. For expected counts below the enumeration limit (< 2.5 cfu/g; no colony after spreading 4

150 ml of 1:10 dilution), the presence or absence of *Salmonella* spp. was determined after an enrichment
151 of the homogenate in Rappaport-Vassiliadis (RV) broth (Oxoid Ltd., Basingstoke, Hampshire, UK)
152 for 48 h at 42 °C. The enriched homogenate was streaked onto SPCM plates. The presence of
153 *Salmonella* in the enriched homogenates was confirmed by PCR using the PrepSEQ™ Rapid Spin
154 Sample Preparation Kit (Applied Biosystems) and MicroSEQ™ *Salmonella* spp. Detection Kit
155 (Applied Biosystems).

156 Non-inoculated raw pet food samples were used to determine the levels of endogenous microbiota i.e.
157 total aerobic mesophilic bacteria, *Enterobacteriaceae*, *Pseudomonas* spp. and lactic acid bacteria
158 (LAB) before and after HPP. Raw pet food samples were ten-fold diluted in 0.1 % Bacto Peptone
159 (Difco Laboratories, Detroit, MI, USA) with 0.85 % NaCl (Merck, Darmstadt, Germany) and
160 homogenized for 60 seconds in a Smasher™ blender (bioMérieux, Marcy-l'Étoile, France).
161 *Enterobacteriaceae* were enumerated on VRBD (Violet Red Bile Dextrose) agar (Merck Life Science
162 S.L.U, Madrid, Spain) incubated for 24 hours at 37 °C. *Pseudomonas* spp. were enumerated on
163 Pseudomonas CFC selective agar (Oxoid S.A., Madrid, Spain) incubated at 25 °C for 48 hours. Total
164 aerobic mesophilic bacteria was plated on PCA (Plate Count Agar; Merck Life Science S.L.U,
165 Madrid, Spain) and incubated at 30 °C for 72 hours. LAB was plated on MRS (de Man, Rogosa and
166 Sharpe) agar (Merck Life Science S.L.U, Madrid, Spain) and incubated at 30 °C for 72 hours under
167 anaerobiosis (AnaeroGen 2.51, Thermo Scientific-Oxoid).

168 2.6. Physico-chemical and instrumental colour measurements

169 The a_w of the samples was measured with an Aqualab™ equipment (Series 3, Decagon Devices Inc.,
170 Pullman, WA, USA) and the pH was measured with a PH25 pHmeter (Crison Instruments S.A.,
171 Alella, Spain) before and after HPP treatments.

172 Instrumental colour was assessed as the most determinant and sensitive measurement of potential
173 changes of the product appearance due to HPP. Instrumental colour measurement consisted of L^*
174 (lightness), a^* (redness) and b^* (yellowness) before (L_0^* , a_0^* and b_0^*) and after (L^* , a^* and b^*) the
175 HPP treatment using a colorimeter (Minolta Chroma Meter CR-400, Tokyo, Japan) with illuminant

176 D65 with 2 ° viewing angle and calibrated using a standard white tile. Measurements were conducted
177 in triplicate for each condition of the CCD. To provide the relevance of the difference seen between
178 the colour before and after HPP, the total colour change (ΔE) was calculated according to Eq-1.

$$179 \quad \Delta E = \sqrt{(L^* - L_0^*)^2 + (a^* - a_0^*)^2 + (b^* - b_0^*)^2} \quad (\text{Eq. 1})$$

180 2.7. Data analysis & modelling

181 Inactivation of *Salmonella* spp. and endogenous microbiota in pet food samples was expressed in
182 terms of logarithmic reductions as the difference between counts after HPP treatments (N) and before
183 treatments (N_0), i.e., $\log(N/N_0)$. For modelling purposes, *Salmonella* positive results below the
184 detection limit were recorded as -1.40 log cfu/g. For the colour the change of the colour parameters
185 (ΔL^* , Δa^* , Δb^*) was quantified as the difference between measurements after (L^* , a^* and b^*) and before
186 (L_0^* , a_0^* and b_0^*) HPP treatments, i.e., $L^* - L_0^*$, $a^* - a_0^*$, $b^* - b_0^*$.

187 The effect of pressure level, holding time, lactic acid concentration and their possible interactions on
188 the inactivation of *Salmonella* spp., endogenous microbiota and colour in raw pet food was
189 investigated by using the Response Surface Methodology (RSM). The “rsm” package for R software
190 (R Core Team, 2019) was used for stepwise backward regression.

191 To obtain the polynomial equation that best fitted the experimental data without compromising
192 parsimony, only the significant terms derived from each factor were kept in the final model as
193 indicated by an ANOVA test ($p \leq 0.05$). The ANOVA was performed to estimate the coefficients of
194 the final equation. The goodness-of-fit was evaluated by means of the root mean square error (RMSE)
195 that measures the differences between the fitted and observed inactivation values. The statistical
196 significance of the model was evaluated through the significance of the p -values derived from the F -
197 test. Response surface graphs were drawn in which the value of the not shown independent variable
198 was kept at the central point of the CCD.

199 **3. Results and Discussion**

200 3.1. *Salmonella* inactivation due to HPP and lactic acid

201 HPP inactivation of *Salmonella*, expressed as log reductions, for each combination of the CCD
202 immediately after the HPP treatment is shown in Table 1.

203 The highest *Salmonella* inactivation (9.08 log reduction) was observed in trial 23 where the highest
204 pressure (750 MPa) was applied. On the contrary, in trials 2 (shortest HPP treatment) and 6 (samples
205 without lactic acid) the lowest *Salmonella* inactivation were recorded (1.11 and 1.10 log, respectively),
206 indicating that the three parameters studied in the present work (pressure level, holding time and lactic
207 acid concentration) were relevant to explain the inactivation of *Salmonella* in raw pet food due to the
208 HPP. However, lactic acid alone was not capable to reduce *Salmonella* counts as the level of
209 contamination before HPP was very similar in all trials in agreement with the target inoculation level.
210 For instance, counts of *Salmonella* before HPP were $8.26 \pm 0.07 \text{ Log}_{10} \text{ cfu/g}$ in samples without lactic
211 acid (trial 7) and $8.23 \pm 0.04 \text{ Log}_{10} \text{ cfu/g}$ in the samples with the highest tested lactic acid amount (7.2
212 g/kg in trial 17).

213 The pressure-resistance of *Salmonella* in raw pet food observed in the present study was higher than
214 that reported in simpler matrixes such as laboratory media (Alpas et al., 2000) and fresh raw chicken
215 (Tananuwong et al., 2012), which is in line with the recognised protective effect of complex food
216 matrixes on the microbial inactivation during HPP (EFSA BIOHAZ et al., 2022).

217 According to the modelling results, the pressure effect on *Salmonella* inactivation was almost linear,
218 indicating that the inactivation of the pathogen was directly proportional to the level of pressure
219 applied (Table 2). In this line, Cap et al. (2020) also reported higher inactivation of *Salmonella* with
220 increasing pressure levels (100-600 MPa) in frozen chicken breast. The holding time parameter
221 contributed to the *Salmonella* inactivation model with a linear and a quadratic term. These results
222 indicated that after a rapid linear-based decrease on *Salmonella* levels during the first minutes of
223 treatment, approximately 4-6 min, there was a slowing down on inactivation due to a strong reduction
224 of the pressure- inactivation rate. This phenomenon, is compatible with the occurrence of a tail of

225 resistant cells, as already observed in the inactivation kinetics of the same *Salmonella* strains during
226 pressurization at 600 MPa in chicken based raw pet food (Serra-Castelló et al., 2022). *Salmonella*
227 resistance tails were empirically observed in a wide range of pressure levels and lactic acid
228 concentrations.

229 The addition of lactic acid in raw pet food at concentrations ranging from 0 to 7.2 g/kg yielded
230 samples with pH varying from 6.80 to 5.55, respectively (Table 1). Significant differences between the
231 pH of the samples before and after HPP treatments were not detected ($p > 0.05$). The a_w of samples
232 was neither affected by HPP application and was ≥ 0.99 in all cases. The increase in lactic acid
233 concentrations enhanced the lethal effect of HPP treatment. The membrane damage in bacterial cells
234 induced by HPP could enable the entry of antimicrobial substances that can enhance lethality of
235 pressure treatments (García-Graells et al., 1999). Moreover, Jung et al. 2013 reported that increasing
236 levels of lactic acid (pH 4.0-6.0) enhanced the inactivation of *L. monocytogenes* after pressurization at
237 300 MPa for 5 min.

238 The coefficient of the quadratic term of lactic acid (Table 2, Eq.1), indicated that the enhancing HPP
239 effect was stabilized at concentrations above 4.25 g/kg, as higher lactic acid concentration did not
240 result in additional *Salmonella* inactivation at pressures equal or above 600 MPa (Figure 1a). These
241 results were relevant since the increase in the production costs due to the addition of higher amounts
242 of lactic acid would not increase the safety of the product. This can be seen in Table 1 in the HPP
243 treatment at 600 MPa for 3.5 min where *Salmonella* inactivation was 6.83 log in a product with 7.2
244 g/kg of lactic acid (Trial 17) compared to the mean of *Salmonella* inactivation (6.66 log) of the central
245 points of the CCD with 3.6 g/kg of lactic acid (Trials 8-16). This difference was below 0.5 log and
246 thus, was not relevant from a microbiological point of view. Since the industrial HPP equipment
247 currently available can achieve maximum working pressures of 600 MPa, the addition of lactic acid in
248 products intended to be pressurized could be an effective strategy to enhance the level of safety and to
249 ensure the compliance with current regulations for raw pet food concerning *Salmonella*.

250 3.2. Survival of *Salmonella* after HPP during subsequent frozen storage

251 The storage of pressurized samples at -18 °C for 14 days resulted in additional *Salmonella*
252 inactivation, though the extent of further inactivation during the frozen storage varied depending on
253 the HPP parameters and lactic acid concentration used in each trial (Table 1). These results indicate
254 that bacterial cells sub-lethally damaged by HPP were more susceptible to subsequent frozen storage.
255 Similar results were reported for *E. coli* O157:H7 in ground beef submitted to HPP and subsequently
256 frozen (Black et al., 2010; Zhou et al., 2016).

257 With the frozen storage, lactic acid exerted a quantitatively more noticeable effect throughout the
258 tested range of lactic acid concentrations, being linear and interactive with pressure as shown by the
259 polynomial model obtained (Table 2). Therefore, the presence of lactic acid, not only prevented the
260 recovery of sub-lethally damaged cells after HPP, but also contributed to the loss of viability during
261 the storage at -18 °C after HPP. These results were in accordance with the results reported by King et
262 al. 2012 in which greater reductions of *Salmonella* of at least 1 log were observed in frozen-stored
263 pork meat samples treated with lactic acid in comparison with those non-treated with the acid.
264 Moreover, the interaction between lactic acid concentration and pressure (Table 2, Eq. 2) indicated
265 that the enhancement of the lethality of the HPP effect by the lactic acid was dependent on the level of
266 pressure. Therefore, and in accordance with the results reported in Section 3.1, the inactivation of
267 *Salmonella* could be enhanced at lower pressure levels in acidulated products after the frozen storage,
268 while at higher pressure levels, the pressure would be sufficient to damage and inactivate *Salmonella*
269 even without the addition of lactic acid.

270 In a previous work (Serra-Castelló et al., 2022) dealing with the kinetics of HPP inactivation of
271 *Salmonella* in raw pet food, the storage under refrigeration after HPP allowed the recovery of
272 sublethally injured cells, though the addition of lactic acid minimised the recovery. As a result, about
273 2-log higher levels of *Salmonella* could be counted when samples were analysed after being stored for
274 24h at 4 °C after being pressurised at 600 MPa for 7 min. On the contrary, the results of the present
275 work indicate that the storage of raw pet food intended for cat (formulated without and with lactic

276 acid) stored under frozen conditions after the HPP treatment could be a feasible and effective control
277 measure applied by manufacturers to avoid the recovery of sublethally-injured *Salmonella* cells.

278 3.3. Endogenous microbiota of raw pet food

279 Counts of endogenous microbiota in non-inoculated raw pet food samples before HPP were $2.81 \pm$
280 0.73 log cfu/g for *Enterobacteriaceae*, 2.16 ± 0.45 log cfu/g for *Pseudomonas* spp., 4.63 ± 0.20 log
281 cfu/g for total aerobic mesophilic bacteria and 4.34 ± 0.19 log cfu/g for LAB. Due to the relatively low
282 initial levels of *Enterobacteriaceae* and *Pseudomonas* spp., the HPP effect on both groups could not
283 be evaluated as they were reduced to levels below the plate detection limit in the majority of the trials
284 performed (data not shown). Argyri et al. (2019) reported that the HPP treatment of chicken fillets at
285 500 MPa for 10 min resulted in a reduction of the inoculated *Enterobacteriaceae* and *Pseudomonas*
286 levels of approximately 6 log, indicating the high susceptibility of both bacterial groups to HPP.

287 Total aerobic mesophilic bacteria showed to be the bacterial group less affected by HPP treatments
288 (Table 1). Reductions from 0.92 to 2.73 log were recorded in the different trials (Table 1). The
289 inactivation of total aerobic mesophilic microorganisms depended on the three technological
290 parameters studied, i.e., pressure, holding time and concentration of lactic acid (Table 2, Eq. 3). The
291 pressure exerted a linear effect, though the interaction with holding time and with the concentration of
292 lactic acid reflected that pressure also modulated the effect of these two parameters. Thus, inactivation
293 increased almost linearly over time, with the appearance of a slight resistance tail at the central
294 pressure value of the CCD (around 600 MPa). The effect of the lactic acid was statistically significant,
295 so it was included in the mathematical model. However, from the microbiological perspective, in most
296 of the trials it was hardly relevant because differences in inactivation between concentrations of lactic
297 acid in treatments below 600 MPa and/or 5 min were < 0.5 log units. On the other hand, the
298 significance of the quadratic and interaction terms with pressure was surprising, indicating that
299 pressure levels above 600 MPa lead to lower inactivation levels in the presence of increasing amounts
300 of lactic acid. Among the plausible hypotheses that could explain these results, there is the fact that the

301 group of total aerobic mesophilic microorganisms is formed by a great variety of genera, species
302 (including sporulated bacteria) and strains with different resistance against the studied factors.

303 For LAB, the significant and relevant factors determining the HPP inactivation were pressure and
304 holding time (Table 2, Eq. 4). The pressure had a linear effect on LAB inactivation, being the impact
305 of the quadratic factor not significant. At higher pressures (> 600 MPa), the levels of lactic acid
306 bacteria were below the quantification limit in most of the trials. In contrast, the quadratic effect of the
307 holding time was more pronounced and would describe the maximum inactivation values that could be
308 quantified taking into account the initial levels of LAB. The effect of lactic acid was not significant,
309 which can be explained by the fact that LAB are relatively tolerant to this acid as it is a product of
310 their own metabolism.

311 3.4. HPP effect on raw pet food colour

312 The results of the evaluation of the instrumental colour of raw pet food on non-inoculated samples
313 subjected to different HPP treatments according to the CCD are shown in Table 1. The HPP caused a
314 slight decrease of redness (a^*) in most of the samples, while the yellowness (b^*) parameter generally
315 slightly increased (Table 1). Nevertheless, when fitting models to colour data measurements, a lack of
316 fit was obtained for a^* and b^* parameters, indicating that neither the pressure level, holding time or
317 lactic acid contributed to explain the slight differences in redness and yellowness showed by the HPP-
318 treated product.

319 An increase on the parameter L^* was detected, which means that the pressurized samples presented a
320 slightly lighter (white) than the non-pressurized, which can be attributed to the denaturation of
321 myofibrillar proteins (Kruk et al., 2011). These results are in accordance with published studies
322 showing an increase in the L^* parameter after the pressurization of poultry meat (Yuste et al., 1999;
323 Beltran et al., 2004; Mariutti et al., 2008; Del Olmo et al., 2010; Kruk et al., 2011; Omana et al., 2011;
324 Cap et al., 2020).

325 Modelling the lightness (L^*) resulted in quadratic terms for pressure and time (Table 1, Eq. 5)
326 indicating that the impact of these factors on the lightness of the matrix was evidenced in a relevant

327 way from a certain level of pressure (around 600 MPa) and treatment time (approximately 5 min). The
328 presence of increasing concentrations of lactic acid would have a seemingly protective effect of the
329 change in lightness, since for a certain level of pressure and/or treatment time the difference in
330 lightness ($L^*-L_0^*$) before and after the treatment was reduced. This could be explained by the fact that
331 the lightness value used to calculate the difference was determined in the product matrix once the
332 lactic acid was incorporated. The total colour change (ΔE) was below 3 in most of the trials, except in
333 trial 7 (without lactic acid), trial 19 and 21 (low lactic acid concentration and pressure level), showing
334 ΔE higher than 3. The slight change of the lightness of the matrix when measured instrumentally was
335 not perceived as a drawback from the commercial point of view as the visual colour appearance of the
336 HPP product (Figure 2, comparing the product from trials with low and high ΔE) was considered to be
337 within the reasonably foreseeable range of variability among production batches.

338 **4. Conclusions**

339 High pressure processing points out as a strategy that can be applied by manufacturers of chicken-
340 based raw pet food as a technological measure to inactivate pathogenic and non-pathogenic bacteria
341 without causing relevant negative effects in the appearance of the product. The formulation of
342 chicken-based raw pet food with lactic acid as well as the subsequent frozen storage of pressurised
343 products enhances the HPP lethal effects avoiding the recovery of pressure-injured cells during storage
344 of chicken-based raw pet food and, in addition, promoted a further inactivation of *Salmonella*. The
345 predictive models developed in this study constitute a useful decision support tool to help
346 manufacturers of chicken-based raw pet food to increase the microbiological safety of their products
347 by allowing the selection of most effective pressure level, holding time and lactic acid combinations to
348 achieve target levels of bacterial inactivation.

349

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353 **6. Declaration of conflict of interests**

354 Authors declare no conflict of interest. The funders provided the raw materials for preparing the raw
355 pet food product used in the study. They had no responsibility on the design of experiments, data
356 collection and analysis or decision to publish.

357 **7. References**

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476 **Figure captions**

477

478 **Figure 1.** Response surface graphs of HPP-induced inactivation of *Salmonella* spp. in raw pet food
479 according to the developed model: (a) pressure and holding time effects; (b) pressure and lactic acid
480 effects; (c) holding time and lactic acid effects. The factors not included in each graph were
481 maintained at the central value of the central composite design (lactic acid = 3.6 g/kg in graph (a),
482 time = 3.5 min in graph (b), pressure = 600 MPa in graph (c)).

483

484 **Figure 2.** Visual colour appearance of raw pet food formulated with lactic acid before (No-HPP) and
485 after pressurization (Post-HPP) at (a) 450 MPa for 3.5 min (with 3.6 g/kg lactic acid, Trial 1) and at
486 (b) 689 MPa for 5.6 min (with 1.5 g/kg lactic acid, Trial 21).

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488

Table 1. *Salmonella*, aerobic mesophilic and lactic acid bacteria inactivation (log reduction) and changes in the colour lightness (L^*), redness (a^*) and yellowness (b^*) on raw pet food samples due to high pressure processing (HPP) treatments at each combination of the Central Composite Design.

Trial	Lactic acid (g/kg) ^a	Pressure (MPa)	Time (min)	Microbial inactivation (log reduction) ^b				Colour changes post HPP ^c			
				<i>Salmonella</i>		Mesophilic bacteria	Lactic acid bacteria	$L^* - L_0^*$	$a^* - a_0^*$	$b^* - b_0^*$	ΔE
				Post-HPP	Post-HPP + Frozen storage	Post-HPP	Post-HPP				
1	3.6 [5.99 ± 0.04]	450	3.5	-2.61 ± 0.32	-3.10 ± 0.26	-1.30 ± 0.06	-1.32 ± 0.09	1.65 ± 0.26	-0.49 ± 0.19	0.05 ± 0.14	1.74 ± 0.24
2	1.5 [6.43 ± 0.02]	511	1.4	-1.11 ± 0.12	-2.01 ± 0.01	-1.05 ± 0.10	-1.52 ± 0.02	1.40 ± 0.24	0.12 ± 0.09	-0.30 ± 0.09	1.44 ± 0.22
3	5.7 [5.72 ± 0.03]	511	1.4	-3.30 ± 0.08	-5.74 ± 0.13	-1.47 ± 0.01	-1.55 ± 0.02	0.97 ± 0.33	1.40 ± 0.04	0.19 ± 0.07	1.73 ± 0.21
4	1.5 [6.43 ± 0.02]	511	5.6	-3.91 ± 0.05	-4.06 ± 0.09	-2.36 ± 0.16	-2.77 ± 0.02	1.42 ± 0.24	-0.51 ± 0.10	-0.26 ± 0.09	1.54 ± 0.18
5	5.7 [5.72 ± 0.03]	511	5.6	-5.72 ± 0.15	-7.67 ± 1.77	-2.54 ± 0.01	-2.89 ± 0.25	0.94 ± 0.08	-0.66 ± 0.23	0.04 ± 0.06	1.16 ± 0.19
6	3.6 [6.01 ± 0.04]	600	0.0	-1.10 ± 0.04	-2.07 ± 0.12	-0.92 ± 0.26	-0.50 ± 0.13	0.14 ± 0.35	-0.61 ± 0.04	-0.49 ± 0.08	0.85 ± 0.05
7	0.0 [6.80 ± 0.03]	600	3.5	-4.44 ± 0.16	-5.39 ± 0.26	-2.26 ± 0.15	-3.79 ± 0.49	3.52 ± 0.14	-2.08 ± 0.17	-0.32 ± 0.08	4.11 ± 0.10
8	3.6 [6.01 ± 0.04]	600	3.5	-6.60 ± 0.24	-7.46 ± 0.24	-2.20 ± 0.21	-3.75 ± 0.35	1.30 ± 0.22	-0.96 ± 0.02	-0.02 ± 0.04	1.62 ± 0.17
9	3.6 [6.01 ± 0.04]	600	3.5	-6.49 ± 0.82	-7.06 ± 0.36	-2.16 ± 0.02	-4.22 ± 0.81	1.12 ± 0.73	-0.76 ± 0.08	-0.19 ± 0.14	1.44 ± 0.48
10	3.6 [5.99 ± 0.05]	600	3.5	-7.59 ± 0.32	-7.79 ± 0.24	-1.82 ± 0.33	-4.90 ± 0.85	1.86 ± 0.32	-0.20 ± 0.09	0.00 ± 0.14	1.88 ± 0.30
11	3.6 [5.99 ± 0.05]	600	3.5	-7.31 ± 0.23	-6.82 ± 0.13	-1.91 ± 0.11	-5.39 ± 0.00	1.81 ± 0.21	1.17 ± 0.06	0.05 ± 0.09	2.16 ± 0.20
12	3.6 [6.05 ± 0.05]	600	3.5	-6.18 ± 0.28	-6.31 ± 0.61	-1.98 ± 0.05	-5.40 ± 0.00	1.00 ± 0.46	-0.49 ± 0.04	0.44 ± 0.09	0.99 ± 0.32
13	3.6 [6.05 ± 0.05]	600	3.5	-6.49 ± 0.07	-7.97 ± 1.47	-1.83 ± 0.71	-5.40 ± 0.00	2.22 ± 0.39	-0.01 ± 0.13	0.67 ± 0.16	2.00 ± 0.41
14	3.6 [6.05 ± 0.05]	600	3.5	-6.49 ± 0.28	-6.35 ± 0.34	-1.98 ± 0.20	-5.33 ± 0.00	0.99 ± 0.08	-0.74 ± 0.08	0.17 ± 0.06	1.25 ± 0.10
15	3.6 [6.05 ± 0.05]	600	3.5	-6.16 ± 0.29	-6.35 ± 0.29	-1.85 ± 0.07	-4.99 ± 0.58	0.38 ± 0.23	-1.59 ± 0.11	0.11 ± 0.09	1.64 ± 0.14
16	3.6 [6.05 ± 0.05]	600	3.5	-6.62 ± 0.45	-6.42 ± 0.10	-1.94 ± 0.28	-4.99 ± 0.58	0.75 ± 0.34	-1.13 ± 0.14	0.35 ± 0.11	1.44 ± 0.12
17	7.2 [5.55 ± 0.05]	600	3.5	-6.83 ± 0.41	-7.55 ± 0.42	-2.31 ± 0.19	-5.02 ± 0.00	1.61 ± 0.10	1.26 ± 0.21	0.17 ± 0.08	2.05 ± 0.21
18	3.6 [5.99 ± 0.04]	600	7.0	-6.95 ± 0.11	-8.10 ± 1.36	-2.21 ± 0.19	-5.07 ± 0.58	2.41 ± 0.34	0.45 ± 0.11	0.68 ± 0.09	2.21 ± 0.36
19	1.5 [6.43 ± 0.07]	689	1.4	-5.73 ± 0.13	-7.10 ± 0.37	-2.58 ± 0.28	-5.55 ± 0.00	3.43 ± 0.28	-1.20 ± 0.17	0.14 ± 0.09	3.64 ± 0.21
20	5.7 [5.66 ± 0.08]	689	1.4	-7.73 ± 0.00	-8.31 ± 1.16	-2.20 ± 0.24	-5.43 ± 0.00	0.96 ± 0.15	-1.97 ± 0.08	0.13 ± 0.02	2.20 ± 0.13
21	1.5 [6.43 ± 0.07]	689	5.6	-7.59 ± 0.35	-8.20 ± 1.36	-2.73 ± 0.06	-5.55 ± 0.00	4.82 ± 0.24	-0.14 ± 0.09	0.76 ± 0.03	4.88 ± 0.24
22	5.7 [5.67 ± 0.08]	689	5.6	-8.13 ± 1.46	-7.89 ± 1.54	-2.18 ± 0.03	-5.43 ± 0.00	2.08 ± 0.33	-0.95 ± 0.10	0.03 ± 0.05	2.29 ± 0.26
23	3.6 [6.09 ± 0.05]	750	3.5	-9.08 ± 0.94	-9.08 ± 0.94	-2.37 ± 0.18	-5.40 ± 0.00	2.91 ± 0.34	-0.27 ± 0.14	0.98 ± 0.15	2.76 ± 0.34

^a Mean ± standard deviation of the pH of three replicates are reported between square brackets

^b Mean ± standard deviation of three replicates.

^c Mean ± standard deviation of three replicates. L_0^* , a_0^* and b_0^* indicate the measurements before the HPP treatment and L^* , a^* and b^* the measurements after the application of HPP on raw pet food. Delta E (ΔE) provides the insight into the difference seen between two colours.

Table 2. Results of the multivariate regression describing the effect of pressure, pressure-holding time and lactic acid concentration on the inactivation of *Salmonella* spp. (immediately after high pressure processing (HPP) and after 14 days of frozen storage), total aerobic mesophilic bacteria, lactic acid bacteria and lightness in raw pet food.

Microorganism / colour parameter	Treatment	Model ^a	RMSE
<i>Salmonella</i> spp.	HPP	$\begin{aligned} \text{Log}(N/No) = & 27.92 - 0.06217 \cdot P - 3.3580 \cdot t - 1.051 \cdot \\ & LA + 0.00003 \cdot P^2 + 0.2013 \cdot t^2 + 0.06628 \cdot \\ & LA^2 + 0.00193 \cdot (P \cdot t) + 0.05765 \cdot (t \cdot LA) \end{aligned}$ Eq. (1)	0.635
	HPP + frozen storage	$\begin{aligned} \text{Log}(N/No) = & 22.9733 - 0.04152 \cdot P - 2.7381 \cdot t - \\ & 2.9986 \cdot LA + 0.1251 \cdot t^2 + 0.0022 \cdot (P \cdot t) + \\ & 0.0043 \cdot (P \cdot LA) \end{aligned}$ Eq. (2)	1.021
Mesophilic bacteria	HPP	$\begin{aligned} \text{Log}(N/No) = & 5.818 - 0.0123 \cdot P - 1.2350 \cdot t - 0.3692 \cdot \\ & LA + 0.0231 \cdot t^2 - 0.0331 \cdot LA^2 + 0.0015 \cdot \\ & (P \cdot t) + 0.0010 \cdot (P \cdot LA) \end{aligned}$ Eq. (3)	0.208
Lactic acid bacteria	HPP	$\begin{aligned} \text{Log}(N/No) = & 33.21 - 0.0936 \cdot P - 2.5040 \cdot t + 0.00006 \cdot \\ & P^2 + 0.1557 \cdot t^2 + 0.0017 \cdot (P \cdot t) \end{aligned}$ Eq. (4)	0.702
Lightness (L^*)	HPP	$\begin{aligned} L^* - L_0^* = & 10.99 - 0.0393 \cdot P - 0.7910 \cdot t + 0.7056 \cdot LA + \\ & 0.00004 \cdot P^2 + 0.0971 \cdot LA^2 + 0.0017 \cdot (P \cdot t) - \\ & 0.0029 \cdot (P \cdot LA) \end{aligned}$ Eq. (5)	0.507

^aWhere $\text{Log}(N/No)$ is the bacterial inactivation, P is pressure level, t is the holding time, LA is lactic acid and $L^* - L_0^*$ is the difference in product lightness due to the HPP treatment.

Figure 1.

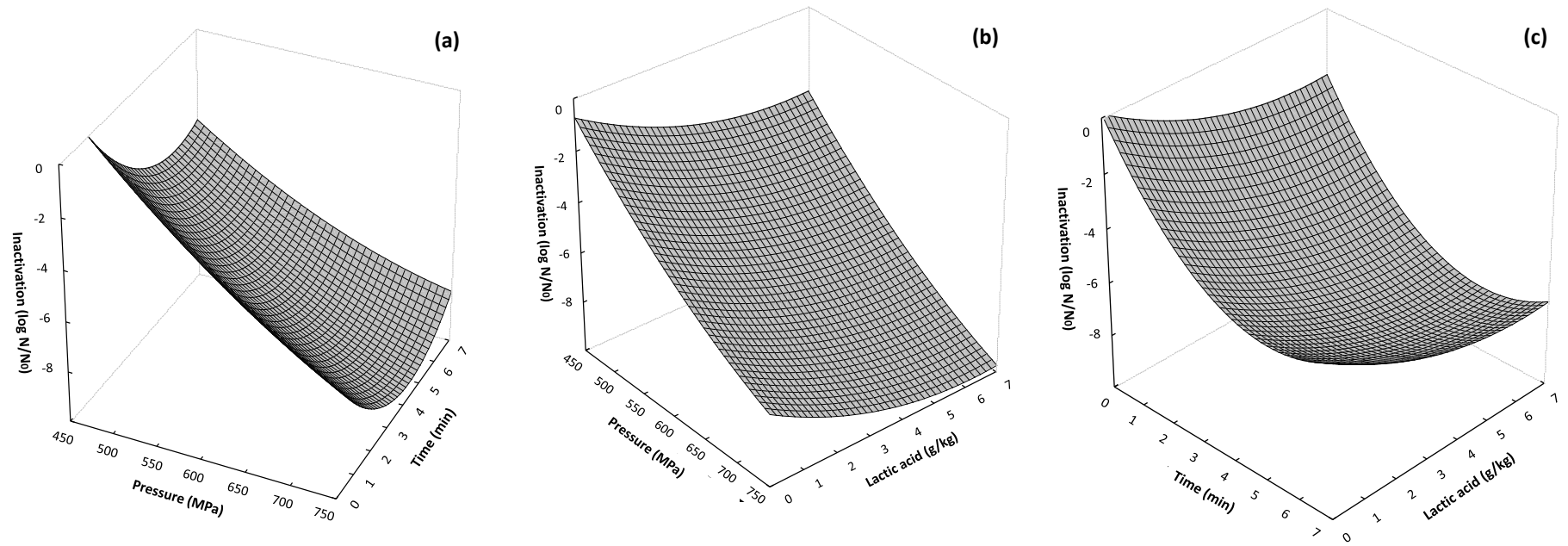
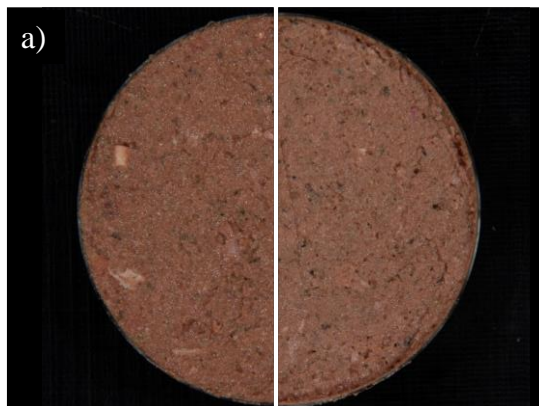
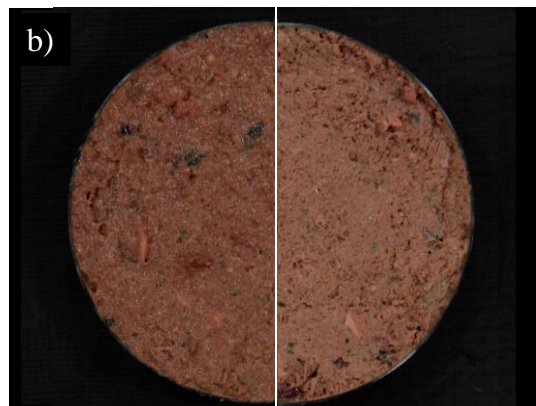


Figure 2.



Colour parameter	No-HPP	Post-HPP
L^1	45.81	47.46
a^2	13.68	13.19
b^3	11.69	11.74



Colour parameter	No-HPP	Post-HPP
L^1	43.17	47.99
a^2	14.43	14.29
b^3	11.39	12.15