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1 **THE ROLE OF FOREST MATURITY IN EXTREME HYDROLOGICAL**
2 **EVENTS**

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4 Short title: THE ROLE OF FOREST MATURITY IN EXTREME HYDROLOGICAL
5 EVENTS

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24 **ABSTRACT**

25 This study aims to clarify the influence of forests, as well as other prevalent land cover
26 types, on extreme hydrological events through a land cover gradient design. We selected
27 10 catchments within a gradient of forest land cover, in which there were 15 years of
28 simultaneous daily hydrological and meteorological data, and an additional forest
29 descriptor, forest maturity. The study was developed in a heterogeneous region in the
30 Cantabrian Mountains (NW Spain). This area includes different vegetation types and has
31 a long history of human disturbance and land use change that has produced a gradient in

32 forest cover. This study focuses on regular hydrological extremes: regular floods and low
33 flow events. Specific objectives were to observe the relationship between land cover and
34 extreme hydrological events, once the variance explained by precipitation was removed,
35 and compare the effectiveness of forest coverage and maturity to predict them. Partial
36 Correlations and OLS Regressions were developed using hydrological indices, obtained
37 from flow records, and hydrological parameters calculated through modelling, using the
38 'Identification of unit Hydrographs And Component flows from Rainfall, Evaporation and
39 Streamflow data' (IHACRES) software and hydrometeorological data. Land cover
40 characteristics were better able to predict floods than low flows. Forests were associated
41 with less extreme flow events (lower intensity and frequency of floods and greater base
42 flows), while shrub formations did the opposite. These results were more evident using
43 forest maturity than using forest coverage. This study indicates that hydrological
44 modelling may benefit in the future from considering not only the coverage of different
45 land cover types but also the conservation status of the different vegetation formations.

46

47 **KEYWORDS:**

48 Cantabrian Mountains

49 Native forests

50 Maturity

51 Land cover

52 Catchment hydrology

53 IHACRES

54 **1 Introduction**

55 Flood and low flow events represent a demonstration of extreme hydrologic
56 variability, constituting a primary driver of stream biological communities and ecosystem
57 functioning (Resh et al., 1988; Lake, 2000). Such events may cause greater impacts in
58 river ecosystems than changes in flow means averaged over years (Woodward et al.,
59 2016). The magnitude and frequency of high and low flows regulate numerous ecological
60 processes (Poff et al., 1997), which may influence the goods and services that they
61 provide to humans. High flows provide ecological benefits by maintaining ecosystem
62 productivity and diversity. For example, high flows remove and transport fine sediments
63 that would otherwise fill the interstitial spaces in productive gravel habitats (Beschta &

64 Jackson, 1979). Flows of low magnitude also provide ecological benefits. Periods of low
65 flow may present recruitment opportunities for riparian plant species in regions where
66 floodplains are frequently inundated (Wharton et al., 1981). The frequency, intensity and
67 duration of extremes is expected to increase due to climate change (IPCC, 2012).
68 However, land use changes, which are mostly induced by human activities, also affect
69 hydrological processes, such as water interception, resulting in alterations of surface and
70 subsurface flows (Wang et al., 2014; Niraula et al., 2015). Changes in the land cover
71 mosaic may attenuate or exacerbate the hydrological effects of climate change on riverine
72 communities and ecosystems, as climatic disturbances coupled with increasing
73 anthropogenic disturbances can cause significant impacts on hydrological processes and
74 aquatic functions (*sensu* Zhang et al., 2016). In this context, the stated surface and
75 subsurface flows may be estimated using ‘quick’ and ‘slow’ flows, respectively, to study
76 such hydrological processes based on water interception. ‘Slow’ involves volumes with
77 a high time of concentration (e.g., base flows), which is the time that water takes to flow
78 from the most remote point in a catchment to its outlet. ‘Quick’ is associated with a low
79 time of concentration. Croke et al., (2004) based their study on an analogous reasoning,
80 though the authors stated that more work was required to improve the links among these
81 components.

82 Recent studies show increasing trends in forest area in Europe over the past few
83 decades (Spiecker et al., 2012). Socioeconomic adjustments, such as those linked to the
84 EU Common Agricultural Policy (CAP), have led to an important rural exodus and the
85 subsequent abandonment of agricultural land, a cessation of coppicing and a reduction in
86 grazing in natural communities (e.g. Benayas et al., 2007). Today, forests cover nearly
87 40% of the European surface (European Commission, 2015). Trees have greater water
88 requirements than other vegetation types, as they intercept more precipitation and present
89 greater transpiration rates (e.g. Bosch & Hewlett, 1982). Thus, their expected effect on
90 river flows is a general reduction when forests spread, grow and mature (Johnson, 1998).

91 The development of ‘paired-catchment’ experimental designs has aimed to clarify
92 forest influence on the water cycle (Hewlett, 1971, 1982; Cosandey, 1995). These studies
93 are generally based on selecting two similar and geographically close catchments,
94 subjected to the same climatic regime, and assuming that different hydrologic responses
95 will be driven by differences in forest extent. The review of ‘paired-catchment’ studies in
96 temperate zones developed by Bosch & Hewlett (1982) indicated that the effect of forest
97 expansion is a decrease in water yield. Since then, additional paired catchment studies

98 have been reported in the literature (Hornbeck et al., 1993; Stednick, 1996; Vertessy,
99 1999; Vertessy, 2000; Brown et al., 2005; Li et al., 2017). Such studies have evidenced
100 the ability of disturbances on forests to alter low and, especially, high flows (increasing
101 the magnitude and duration of peak flows; Zhang et al., 2016). However, further
102 catchment scale research is necessary to advance our understanding of forest impact on
103 hydrology, particularly studies focused on large basins ($> 10 \text{ km}^2$), with additional
104 descriptors of forest characteristics (besides area) and more than two observed catchments
105 (Andréassian, 2004). In their review, Zhang et al., (2017) indicated that forest coverage
106 ‘merely serves as a basic indicator without differentiating forest species, stand age and
107 structure, growth potential, and disturbance types’, indicating that ‘a suitable forest
108 change indicator should not only express forest cover change (...) but also account for
109 forest characteristics’. More complete studies that clarify the relationship between forests
110 and hydrological processes may allow for the improvement of the design of strategies
111 (i.e., implementation of green infrastructures) for the adaptation to the effects of climate
112 change on catchment hydrology (e.g. Community Forests Northwest, 2010).

113 Forest maturity, defined as the degree of development of forest vegetation (in a
114 conceptual gradient that goes from pre-forest to young forest, then forest and finally
115 mature forest), may be an important factor to determine forest-river flow relationships, as
116 the long process of native forest formation involves many steps that increase water
117 retention (Fisher & Stone, 1969; Fisher & Eastburn, 1974). Tree roots grow into fissures
118 and aid in the breakdown of bedrock, penetrating compacted soil layers and allowing soil
119 aeration and water infiltration. A vegetative ground cover modifies the temperature and
120 moisture conditions below and the subsequent increase in organic matter on the top soil
121 horizons has the potential to influence runoff patterns (Fisher & Stone, 1969; Fisher &
122 Eastburn, 1974). Given the interaction of these processes with the hydrological cycle, the
123 use of maturity as a descriptor of forest characteristics in empirical catchment-scale
124 designs may improve our understanding of forests’ influence on river ecosystems.

125 The aim of this study was to improve the understanding of how forests and other
126 predominant land cover types influence the occurrence of recurrent floods and low flows
127 using a land cover gradient design. To achieve this, we used 10 large catchments (between
128 30 km^2 and 650 km^2) in the Cantabrian Mountains (NW Spain) with a gradient of forest
129 cover resulting from human management since the 15th century. Such a forest cover
130 gradient is very difficult to find within similar climatic conditions, especially with 15
131 contemporary years of gauge records and meteorological data in such a relatively high

132 number of catchments (compared to the two typically used in ‘paired-catchment’ studies).
133 Thus, this study aimed to provide empirical evidence without modelling the underlying
134 biophysical processes. We defined forest cover not only through forest coverage but also
135 using forest maturity. Our specific objectives were: (i) to observe the relationship between
136 land cover and extreme hydrological events once the variance explained by precipitation
137 was removed and (ii) to compare the effectiveness of forest coverage and maturity, as
138 well as other predominant land cover types, to predict such extremes. We expected mature
139 forests to smooth hydrological extremes caused by precipitation regimes through water
140 interception (aided by ground vegetation and organic soils), in opposition to young forest
141 formations or other land cover types. Thus, forest maturity was expected to be negatively
142 associated with the intensity and frequency of floods (and with quick flows, used to
143 represent the proportion of surface flows) and positively related to base flows (and slow
144 flows, used to represent the proportion of subsurface flows) better than forest coverage.

145 **2 Material and methods**

146 *2.1 Study area*

147 This study was developed in the Cantabrian Mountains, which extend for more
148 than 300 km across northern Spain, nearly parallel to the Cantabrian Sea. This mountain
149 range constitutes a distinct province of the larger Alpine System physiographic division.
150 Glaciers and fluvial erosion are the two main processes that have shaped their relief,
151 composed mainly of sedimentary materials such as limestone and conglomerates. These
152 mountains present an Atlantic climate with annual precipitation and temperature around
153 1160 mm and 9,5 °C, respectively. Areas located at lower latitudes show sub-
154 Mediterranean characteristics, with higher temperatures and summer low flows
155 (Ninyerola, et al 2007). This environmental heterogeneity shelters a mix of tree species
156 including beeches (*Fagus sylvatica*), birches (*Betula ssp.*) and different species of oaks
157 (*Quercus petraea*, *Q. robur*, *Q. pyrenaica* and *Q. rotundifolia*), in a transition from the
158 Atlantic to the sub-Mediterranean areas. Shrub vegetation spans a similar gradient,
159 varying from semi-arid communities mixed with annual grasslands and crops in the
160 southeast to shrubs and young forests in the north and west, with alpine vegetation and
161 bare rock at higher elevations and slopes.

162 A set of 10 catchments (Fig. 1, Table 1a) was selected to represent a land cover
163 (particularly, forest) gradient within a climatically similar region. A previous screening
164 process ensured that the catchments presented similar soil properties and climatic
165 regimes, as well as suitable flow data. Their land cover gradient characterizes the legacy
166 of human management and land use practices for the last 400 years. After the foundation
167 of the ‘Real Fábrica de Artillería de la Cavada’ (in English, the Royal Artillery Factory
168 in La Cavada) in 1616, the native forests in the eastern extreme were intensively exploited
169 for more than 200 years in order to obtain wood for naval construction. Since then, this
170 area has been kept deforested for stockbreeding through the combined use of fire and
171 cattle grazing. Consequently, the eastern part of the study area is dominated by a mixture
172 of shrubs with a dominance of dry heathland communities and extensive pastureland.
173 Only some isolated patches of forest remain on steep hillslopes. In contrast, the western
174 catchments have not experienced relevant deforestation processes and present mature
175 forest patches. The presence of brown bear (*Ursus arctos*) and Cantabrian capercaillie
176 (*Tetrao urogallus cantabricus*) in these catchments, unlike the eastern extreme (González
177 et al., 2016; Blanco-Fontao et al., 2012), is evidence of a better state of conservation. This
178 history of contrasting landscape use in nearby catchments with a similar climate and the
179 existence of contemporary flow gauges and meteorological stations across them makes
180 our study area a unique setting for our land cover gradient design.

181 2.2 Land cover characteristics

182 Land cover information was obtained through remote sensing imagery. A suitable
183 Landsat TM image of the study area taken in 2010, with a minimum cloud cover and a
184 relatively high sun elevation angle, was downloaded from the United States Geological
185 Survey (USGS). This year was selected due to the availability of suitable
186 hydrometeorological records (see details in section 2.4). Landsat images present a scale
187 of 1:20000, suitable to monitor regional land cover in sensitive areas for local
188 management (European Environment Agency, 1995). This allowed the mapping of our
189 study area at a resolution of 30 meters. The image was radiometrically and
190 atmospherically corrected using the algorithms available in the Geographic Resources
191 Analysis Support System or GRASS (GRASS Development Team, 2015). A
192 complementary digital elevation model (DEM) was obtained from Laser Imaging
193 Detection and Ranging (LIDAR) data (Centro Nacional de Información Geográfica,
194 2014) and resampled to 30 meters to match the spatial resolution of the image.

195 Two classifications of the study area were developed to obtain land cover types
196 and forest maturity in each catchment, respectively. First, a per-pixel classification was
197 made using a Maximum Likelihood (ML) algorithm over a combination of spectral
198 information and topographic layers derived from the 30-m DEM. Maximum Likelihood
199 (ML) (Conese and Maselli 1992; Schowengerdt 1983; Strahler 1980) is the most widely
200 used algorithm for classifying medium-resolution satellite images because of its easy
201 implementation in many software packages and the satisfactory results provided
202 (Álvarez-Martínez et al. 2010; Carvalho et al. 2004). The ML algorithm assigned pixels
203 to the land cover class with maximum membership probability, although they may have
204 an almost equal probability of membership to another class (Lewis et al., 2000),
205 generating a ‘hard’ classification. Testing points were used to construct confusion
206 matrices (Congalton, 1991), using standard accuracy assessment methods (Stehman &
207 Czaplewsky, 1998), to detect misclassification errors. Land cover types with a coverage,
208 averaged among catchments, lower than 10% were discarded for subsequent analyses due
209 to their low occurrence at the catchment scale (forest plantation, agricultural, denuded
210 rock and urban). The relative coverage occupied by the other (prevalent) land cover types
211 in each catchment (forest, shrubs and pasture land) was obtained through the proportion
212 of pixels belonging to each class according to the ML algorithm. Each coverage (forest,
213 shrubs and pasture land) was defined as the area occupied by the corresponding patch
214 according to this first (‘hard’) classification. Second, a fuzzy k-means classification
215 yielded membership probabilities for each land cover type at the pixel level. Forest
216 maturity, the degree of development of forest vegetation, was estimated using an indirect
217 measure: the probability of forest class membership obtained through the fuzzy
218 classification, calculated as the average per-pixel forest probability in each of the selected
219 catchments. Pixels with a higher probability represent old, dense forest patches that can
220 be interpreted as developed, mature forest (undisturbed). They are not degraded and do
221 not present a mixture of other land cover types (i.e., degradation or fragmentation at the
222 pixel level). The pixels with a high probability of being forest according to the fuzzy
223 classification are assumed to capture the spectral signal of mature and highly structured
224 forests, as they will match those selected as the training dataset of the forest class. For
225 this purpose, the most mature and best-conserved forest pixels were carefully selected for
226 the training dataset of the classification. On the contrary, pixels with a low probability of
227 forest class membership are those belonging to a different land cover type or to forests

228 with a certain degree of heterogeneity at the pixel level due to forest fragmentation (for
229 more details, see Álvarez-Martínez et al., 2010; 2017).

230 Given that the development of the classification procedure requires a ‘training
231 dataset’ specific to the Landsat image, the development of multiple classifications
232 belonging to multiple years to ensure the absence of changes in land cover types with
233 time was not an option. However, a Landsat image taken in 1984 from a previous study
234 allowed for the analysis of the variation in land cover types between 1984 and 2010. To
235 obtain the 1984 land cover map, we applied a procedure using the ‘training dataset’ for
236 the 2010 image. The ‘training dataset’ was overlaid with aerial photographs from the
237 National Flight of Spain, generated in 1980-1986 (CNIG, 2014), and orthorectified with
238 a Root Mean Square Error smaller than the pixel size. This dataset consisted of a set of
239 Ground Control Points (GCPs) that were checked against the photos. When they did not
240 match the corresponding land cover class, they were moved to the nearest patch. New
241 points for classifying the 1984 image were then obtained from training areas of 16 pixels
242 created around these GCPs. Overall classification accuracy was estimated to be roughly
243 over 80% using an independent dataset from a second photointerpretation of the aerial
244 images, obtained by excluding buffers of 1 km around training locations. Once the 1984
245 land cover maps were obtained, a linear rate of change between 1995 and 2010 was
246 estimated dividing the variation in each land cover type by the area of each catchment.
247 This rate was used to calculate the mean coverage of each land cover type in the period
248 in each catchment.

249 *2.3 Meteorological and hydrological data*

250 Meteorological records were acquired from the Spain02 database (version 4),
251 developed by the ‘Agencia Estatal de Meteorología’ (AEMET, the State Meteorological
252 Agency) and the ‘Universidad de Cantabria’ (UC, University of Cantabria). The database
253 includes gridded datasets interpolated with rainfall and temperature data from over 2500
254 stations in Spain at different resolutions for the period 1971-2007 (Herrera et al., 2012;
255 2016). Meteorological series (rainfall and temperature) were obtained by averaging those
256 cells belonging to the grid within each catchment. The resulting rainfall and temperature
257 series were represented using box-plots to verify that the catchments in the study area
258 presented reasonably similar climatic regimes. This assumption was statistically tested
259 using Kruskal-Wallis, which allows tests with two or more samples.

260 Flows recorded by the ‘Red Oficial de Estaciones de Aforo’ (ROEA, the Official
261 Network of Gauging Stations) were obtained from the ‘Anuario de Aforos’ database
262 available online at the ‘Centro de Estudios y Experimentación de Obras Públicas’
263 (CEDEX, the Centre for Studies and Experimentation on Public Works; Centro de
264 Estudios y Experimentación de Obras Públicas, 2016). Only the gauging stations located
265 at the outlet of each catchment were considered. Flow records were tested to detect
266 deficiencies (see details in Peñas et al., 2014). Each flow series was divided by its mean
267 to remove catchment-size effect and allow comparison among catchments (Poff et al.,
268 2006).

269 Once all data were collected and prepared, we developed the analyses (see text
270 below and Figure 2).

271 *2.4 Analysis of the effect of precipitation and land use on hydrological regime*

272 Two sets of hydrologic indicators (indices and parameters) were computed to
273 characterize, respectively, regular floods and low flows (hydrological extremes) and
274 water interception caused by ground vegetation and soils, estimated through quick and
275 slow flows. In other words, we used two different and independent analyses to relate
276 hydrological characteristics to land cover descriptors. One is based on the calculation of
277 hydrological indices from data series (15 years) obtained at 10 flow gauges (empirical
278 data). The other is based on the development of independent hydrological models for each
279 of those 10 catchments (process-based data) to estimate ‘quick’ and ‘slow’ flows (model
280 parameters) as a proxy for water interception, developed using flow, precipitation and
281 temperature data (details below). In both cases, a total of 10 data points was obtained.

282 In the empirical approach, three hydrological indices were chosen to summarize
283 extreme hydrological events through flow records: (i) the maximum 3-day mean annual
284 flow (Q_{max}); (ii) the mean number of high flow events per year using an upper threshold
285 of 9 times the median flow over all years (Q_h); and (iii) the Base Flow Index (BFI, the
286 seven-day minimum flow divided by mean annual daily flow averaged across all years).
287 The latter was used to characterise low-flow conditions, whereas the two others were used
288 to characterise flood regimes (magnitude and frequency), as in previous studies (e.g.
289 Richter et al., 1996; Olden & Poff, 2003; Snelder et al., 2009; Belmar et al., 2011; Peñas
290 et al., 2014). The period selected for computation of hydrologic indices was 1995-2010,
291 to ensure 15 years of records (Kennard et al., 2010) and match the timing of the
292 LANDSAT image taken by the USGS. This is not a study period, since the analyses are

293 based on an image taken in 2010, but a set of data with sufficient records to guarantee the
294 accuracy of the indices computed. Such indices were also calculated using contemporary
295 precipitation series, which provided: (i) the maximum 3-day mean annual precipitation
296 (Pmax); (ii) the mean number of high precipitation events per year using an upper
297 threshold of 9 times the median precipitation over all years (Ph); and (iii) the seven-day
298 minimum precipitation divided by mean annual daily precipitation averaged across all
299 years (P-BFI).

300 In the process-based approach, we computed 10 independent hydrological models
301 for each of the selected catchments based on a physical model (Identification of unit
302 Hydrographs And Component flows from Rainfall, Evaporation and Streamflow data;
303 IHACRES; Jakeman and Hornberger, 1993) that uses precipitation, temperature (or
304 evapotranspiration) and flow data. This model is composed of a non-linear loss module
305 that converts precipitation to effective precipitation and a linear routing model that
306 converts effective precipitation to streamflow. The non-linear module comprises a storage
307 coefficient (c), a time constant for the rate of drying (tw) of the catchment at a fixed
308 temperature (20 °C) and a factor (f) that modulates for changes in temperature. A
309 configuration of two parallel storages in the linear routing module was implemented using
310 the period with the best data, as they did not present gaps (2000-2007). Kim et al. (2011)
311 proposed that an 8-year calibration period is appropriate for obtaining a reasonable
312 catchment response, yielding stable and reasonable high model performance and reducing
313 variation in parameter values over time. They used this length in subsequent studies based
314 on the IHACRES rainfall-runoff model (e.g. Kim et al. 2014). Using IHACRES, the
315 proportional volumes of quick (vq) and slow (vs) flows were calculated in each catchment
316 to estimate the proportion of surface and subsurface flows (e.g. Croke at al., 2004), which
317 allows an estimation of water interception caused by ground vegetation and soils on the
318 basis that those watersheds with greater water interception will present slower flows. This
319 allows a better understanding of the response observed using hydrological indices.

320 Partial Correlation based on Ordinary Least Square (OLS) Regression, previously
321 used in studies on catchment land cover (e.g. King et al., 2005) and hydro-climatic studies
322 (e.g. Hornbeck et al., 1993; Burn, 2008), was employed. Partial Correlation was used to
323 estimate the correlation that remains between land cover descriptors and the selected
324 hydrological indices once the variance explained by precipitation indices has been
325 removed. If Partial Correlation is unable to find relationships between land cover and
326 hydrology, any relationship found between hydrological indices and land cover

327 descriptors must be considered unreliable (as it would be explained by precipitation
328 indices). The three hydrological indices (Qmax, Qh and BFI) were predicted through OLS
329 Regression using the three precipitation indices (Pmax, Ph and P-BFI). Then, by means
330 of a second OLS Regression, we explored whether land cover characteristics predicted
331 the hydrological variance not explained by precipitation indices (i.e., the residuals of the
332 first model run).

333 *2.5 Relationship between hydrologic indicators and land cover descriptors*

334 To contrast the effectiveness of different land cover descriptors to predict
335 recurrent hydrological extremes and water interception, they were used to predict the
336 hydrological indices and parameters through a third OLS Regression. Dependent
337 variables were transformed to reduce heteroscedasticity (King et al., 2005), using decimal
338 logarithms for flow indices and the arcsine of the squared root for the hydrological
339 parameters, as they were proportions (McDonald, 2014). All analyses were carried out
340 using the R software (version 3.1.3; R Core Team, 2015) with the base package ‘stats’.

341 **3 Results**

342 The ten studied catchments displayed reasonably similar climatic regimes, with
343 only a very subtle gradient from west to east of slightly increasing temperature and
344 decreasing rainfall (Fig. 3). The Kruskal-Wallis test showed that there were no
345 statistically significant differences among the ten catchments, either in terms of
346 temperature or precipitation (p-value ~ 0).

347 The 2010 overall classification accuracy for all land cover types was 82,59%.
348 Similar values were obtained for forest, shrub and pasture land cover types (84, 82 and
349 81%, respectively), those (prevalent) types with at least a 10% coverage averaged among
350 catchments. Forest maturity showed the lowest values in the catchments located in the
351 east (between 48 and 62%) whereas the maximum value was observed in the west (with
352 82%, in Ponga). This value indicates the probability of forest land cover type within this
353 catchment, independent of the area that forest class covers (which is why
354 probability/maturity may be greater than coverage, as in Ponga). Once the land cover
355 types were averaged for the period 1995-2010 with the linear rate obtained (1984-2010),
356 forest coverage showed a pattern similar to maturity. With the exceptions of the
357 catchments 1295 (Sella) and 1274 (Cares), the three catchments in the eastern part

358 presented the lowest values (between 20 and 29%) whereas the western catchment
359 presented the greatest value (57%). Shrub coverage showed almost the opposite pattern.
360 The three catchments in the eastern zone presented the greatest values (between 49 and
361 63%), whereas the eastern catchment presented (almost) the lowest value. Pastureland did
362 not show any similar pattern (Table 1b). Assuming linear land cover changes between
363 1984 and 2010, as stated, the percentage of catchment change was always lower than 15%
364 across the period of records (1995 to 2010), i.e., less than 1% annually (Table 1c). The
365 maximum percentage (around annual 1%) was observed in forest in those catchments
366 where it is more widespread, whereas the catchments with less forest land cover type
367 presented lower rates.

368 Only the BFI showed a statistically significant correlation with precipitation
369 regimes, with a value around 50% (Table 2). On the contrary, the hydrological indices
370 associated with the magnitude and frequency of floods (Q_{max} and Q_h) showed a very
371 low correlation with their corresponding precipitation indices (less than 10%). Land cover
372 characteristics, particularly shrub coverage and forest maturity, showed a significant
373 relationship with Q_{max} after removing the variance explained by precipitation, around
374 70 and 50%, respectively (Table 2; Fig. 4). The correlation obtained with Q_h was similar
375 in the case of shrub coverage but lower in the case of forest maturity (below 40%). In all
376 partial correlations, forest maturity showed higher correlation scores with hydrological
377 indices than forest coverage, which never showed values greater than 11% (or statistically
378 significant).

379 Forest maturity and shrub coverage showed the strongest ability to predict
380 extreme hydrological events. Forest maturity showed a negative relationship with the
381 magnitude and frequency of floods and positive with the base flow. This relationship was
382 statistically significant, with a coefficient of determination between 40 and 55% (Fig. 5).
383 Shrub coverage showed opposite trends. Although BFI showed a lower significance, the
384 other hydrological indices showed the lowest p-values and highest coefficients of
385 determination (around 80%) of all regressions with land cover descriptors. Forest
386 coverage did not show statistically significant results, with coefficients of determination
387 lower than 5%.

388 Forest maturity and shrub coverage also presented the strongest ability for
389 quick/slow flow prediction, as the R^2 values and p-values show (Fig. 6). Slow flows were
390 positively correlated with forest maturity and negatively with shrub coverage, and the
391 opposite for quick flows. Whereas shrub coverage showed a coefficient of determination

392 around 40%, forest maturity showed a coefficient around 60%. This output was supported
393 by the high model fit obtained using IHACRES with the 10 selected catchments, always
394 greater than 50% (Table 3).

395 **4 Discussion**

396 This study aimed to provide empirical evidence of how forests and other
397 predominant land cover types influence the occurrence of recurrent floods and low flows
398 without modelling the underlying biophysical processes. The complex land cover mosaic
399 and change in time of the selected region in the Cantabrian Mountains (NW Spain)
400 provided statistically significant results using ten catchments. Whereas ‘paired
401 catchment’ studies generally use two catchments, we were able to obtain a set of
402 catchments with a gradient in land cover characteristics and empirical data that allow
403 regression modelling techniques to find patterns in the relationship between land cover
404 characteristics and hydrology. Such patterns, supported by statistically significant p-
405 values, show that land cover is very relevant to determining the spatial variability of flow
406 extremes in similar close catchments. They also indicate the importance of additional land
407 cover descriptors (i.e., forest maturity, more effective than forest coverage) and changes
408 in land cover with time to explain extreme hydrological events. We consider such results
409 to have implications for water management in areas with a similar climate, land cover
410 types and land uses (i.e., in temperate Atlantic catchments) and possibly in other climatic
411 regions. These implications are relevant for environmental management and planning to
412 mitigate the effects of climate change.

413 *4.1 Precipitation and land cover contribution to flow extremes*

414 The land cover mosaic has varying abilities to influence regular floods and low
415 flows at a catchment scale. As Partial Correlations showed, the spatial variation of floods
416 is determined mainly by land cover characteristics. This means that land cover
417 characteristics have the ability to intercept flow peaks. On the contrary, the ability of this
418 interception to provide flows during low precipitation and flow events is more limited, as
419 land cover characteristics presented a reduced ability to predict low flows (water
420 interception and release takes place in hours). This is coherent with the results obtained
421 by Zhang et al., (2016), which found that base flows are less sensitive than high flows to
422 forest disturbance.

423 Within the land cover mosaic, forest coverage showed a poor ability to predict
424 hydrological extremes. This contradicts the results of studies in temperate zones that have
425 reported that reductions in forest coverage magnify peak flows and alter base flows
426 (Hornbeck et al., 1993, Li et al., 2017). Our study indicates that mature forests reduce
427 extreme hydrological events in rivers. Catchments with higher forest maturity presented
428 less intense and frequent floods and greater base flows. Additional tests (not shown) using
429 different numbers of days or times the mean flow provided analogous results. The
430 relationships were even clearer using fewer days for flow magnitude and a higher number
431 of times the median for flow frequency.

432 As expected, the performance of forest maturity seems to be associated with water
433 interception, as forest maturity also predicted the spatial variability of slow and quick
434 flows in the selected catchments. Croke et al. (2004) observed the same pattern between
435 forest coverage and the proportional volume of quick and slow flow storage. However,
436 they obtained their results in a small catchment through simulation by combining a
437 generic crop model (CATCHCROP; Perez et al., 2002) with IHACRES. The set of ten
438 catchments presented in this study constitutes an important advantage in comparison.
439 Previous literature showed that the response of two basins to forest disturbances may
440 differ, for example, in terms of low flows (Zhang et al., 2016). Therefore, the use of
441 several (and larger) catchments (as Andréassian, 2004 suggested) and of estimates both
442 of forest coverage and maturity in this study, based on empirical ('real') flow data,
443 provides more reliable results. Given the good performance of forest maturity in this study
444 in comparison with forest coverage, the use of forest maturity estimated through fuzzy-
445 logic approaches (see Álvarez-Martínez et al., 2010) may provide a relatively simple
446 catchment descriptor that could assist in the assessment of catchment hydrologic
447 responses. Thus, forest maturity may be a first step to addressing to the need for indicators
448 alternative to the use of forest coverage highlighted by Zhang et al., (2017). Although its
449 estimation through forest probability using a Landsat image involves the risk of obtaining
450 erroneous results during the classification processes, the accuracy obtained for the
451 different land cover types indicates a satisfactory performance and suggests that it is a
452 reliable indicator. This is especially relevant for water research due to the widespread use
453 of vegetation coverage in modelling tools (e.g. the Soil and Water Assessment Tool or
454 SWAT; Arnold et al., 1998).

455 Given the likely mediation of water interception in flow extremes, and the role
456 that ground vegetation and the organic content of soils plays, recent changes in land cover

457 may allow a better understanding of the performance of the land cover types and
458 indicators used in this study.

459 *4.2 The importance of the recent past in the land cover mosaic*

460 Our results imply that landscape changes in previous decades are fundamental to
461 catchment hydrology and water management. In addition to the exploitation of forests in
462 the study area since the 15th century, the Cantabrian Mountains have seen a major decline
463 in livestock grazing pressure for the past 40 years (Morán Ordóñez et al., 2011; Álvarez-
464 Martínez et al., 2013). This has resulted in a displacement of shrubs and pastureland by
465 native forests in many different areas (e.g. Poyatos et al., 2003; Álvarez-Martínez et al.,
466 2014). In our case, the Landsat image taken in 1984 also revealed that more than 10% of
467 the pixels in our study area classified as forest in 2010 had been pasture or shrub.
468 Therefore, anthropogenic pressures typically based on deforestation linked to
469 advancement of shrubs appear to be absent in our study area (it is actually the opposite)
470 and new forest coverage comprises pixels with forest patches of different degrees of
471 development (maturity) that will have different effects on hydrology at a catchment scale.
472 Pixels recently occupied by forests should present reduced ground vegetation, organic
473 matter decomposition and soil development (Binkley & Fisher, 2012) in comparison to
474 those that had presented forests in the 1980's (with more mature forests currently). We
475 believe this is why forest coverage was less able to explain the spatial variability of
476 hydrological extremes, whereas forest maturity performed much better. Forest coverage
477 integrates, within the same category, old and new forest patches, which produce different
478 hydrological responses. Given that our methodology integrates the changes in land
479 coverages that occurred during the period with data records (1995-2010), even with a
480 relatively low maximum annual variation rate (less than 1% for forest land cover type and
481 similar to that obtained by Álvarez-Martínez et al., 2014), our conclusion regarding forest
482 maturity versus forest coverage as an indicator is reliable. There is no larger error in the
483 use of forest coverage in comparison to forest maturity that may be associated with the
484 inherent ability of the latter to encompass previous land cover characteristics.

485 Similarly, the different performances shown by other land cover types not
486 associated with forests also indicate an influence of land cover change with time on
487 hydrological response. Pastureland was not a good predictor, whereas shrub coverage was
488 highly related to hydrological extremes. The lack of a relationship between pastureland
489 and hydrological indices could be a result of the smaller proportion occupied by pastures

490 in the study area in comparison with the other dominant land cover types (i.e., forests and
491 shrubs). The better performance of shrubs may be related to land use management, which
492 makes shrub lands a dominant land cover type through the extensive and recurrent use of
493 fire (Pausas & Fernández-Muñoz, 2012; Regos et al., 2015). Commonly, the shrub
494 formations in the study area present a pattern of degraded vegetation and poor soil
495 structure associated with recurrently burnt areas (cycles of 3 to 5 years; Díaz-Delgado et
496 al., 2002; Gimeno-García et al., 2007). In this context, the development of additional land
497 cover descriptors, such as maturity for forests, remains necessary to explore the effects of
498 land cover mosaics on hydrological response at a catchment scale.

499 *4.3 Implications for forest management*

500 The role that mature forests may play in providing base flows at a catchment scale
501 is unlikely to be emulated by reforestation programs if they are based exclusively on tree
502 plantation. Frequently, reforestation efforts are developed using a comparatively small
503 number of fast-growing exotic species. These species have particular environmental
504 preferences and, not surprisingly, many do not grow as well as expected (e.g. Lamb et al.,
505 2005). Reforestation is thus likely to lack developed ground vegetation cover and mature
506 soil (at least during the first decades). It will thus be less effective to infiltrate
507 precipitation, and therefore, provide base flows. On the contrary, the water consumption
508 of these trees may contribute to water scarcity and aridification (Jackson et al., 2005;
509 Brown et al., 2005; Sun et al., 2006). Therefore, it is necessary to ensure the development
510 of ground vegetation and organic soils.

511

512 Further research on the long-term impacts of land cover on hydrologic regimes at
513 a catchment scale may provide key guidelines for sustainable land use management. First,
514 analyses using Landsat images taken in different years during the last decades should be
515 carried out. The changes in land cover (with on-ground measurements), climate and flows
516 could be quantified and compared to determine the relative contribution of changes in
517 land cover to hydrological variations. Unfortunately, such analyses were not possible in
518 this study, as processing additional Landsat images requires additional ‘training datasets’
519 for each image (as stated). In addition, more good quality hydroclimatic series were
520 unavailable. Second, using other land cover descriptors based, for example, on forest
521 species (Zhang et al., 2017) would be informative. The use of such descriptors would
522 allow the enhancement of hydrologic modelling. Finally, we believe that understanding

523 the physical mechanisms that explain the interactions observed herein is mandatory. The
524 influence of tree physiological conditions (e.g. basal area, live biomass or leaf area)
525 deserves special attention, considering the impressive water holding capacity of O
526 horizons (for example, a 5 cm thick O horizon in a sub-alpine forest may have a mass of
527 about 5 kg m⁻² and could retain about 10 litres of water; Golding & Stanton, 1972). By
528 doing so, we would be able to better assess the contribution of forests and their soils to
529 flow regimes at a catchment scale, as well as the contribution of other land cover types.

530 **5 Contributors**

531 OB performed research, analysed data and wrote the paper. JB conceived the
532 study, performed research and contributed to analyses and writing. JMAM performed
533 research, analysed data and contributed to writing. FJP contributed to analyses and
534 writing. MDJ performed research and contributed to writing.

535 **6 Declaration of interests**

536 The authors declare that they have no conflict of interest.

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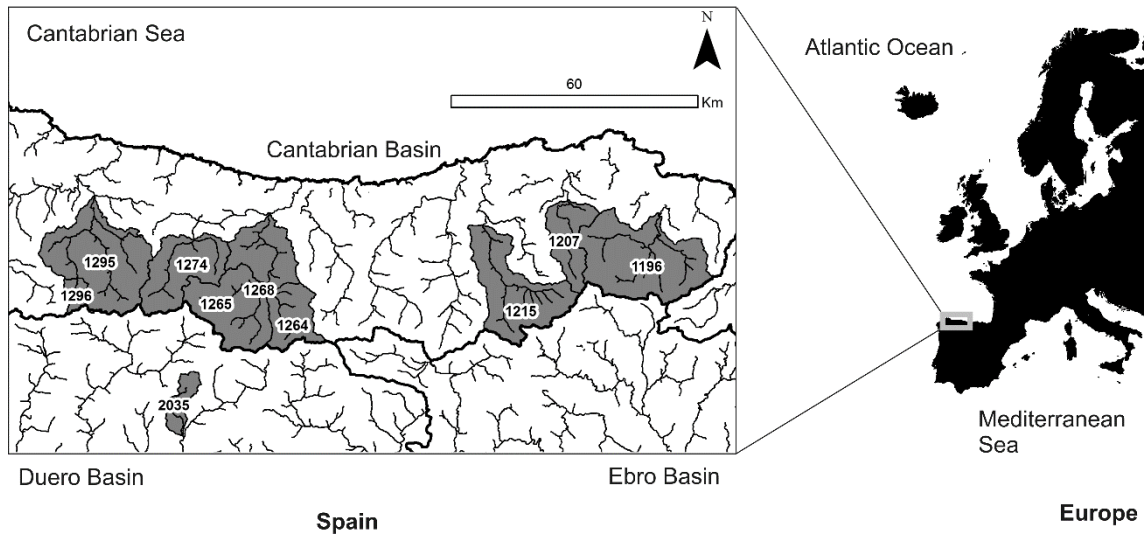
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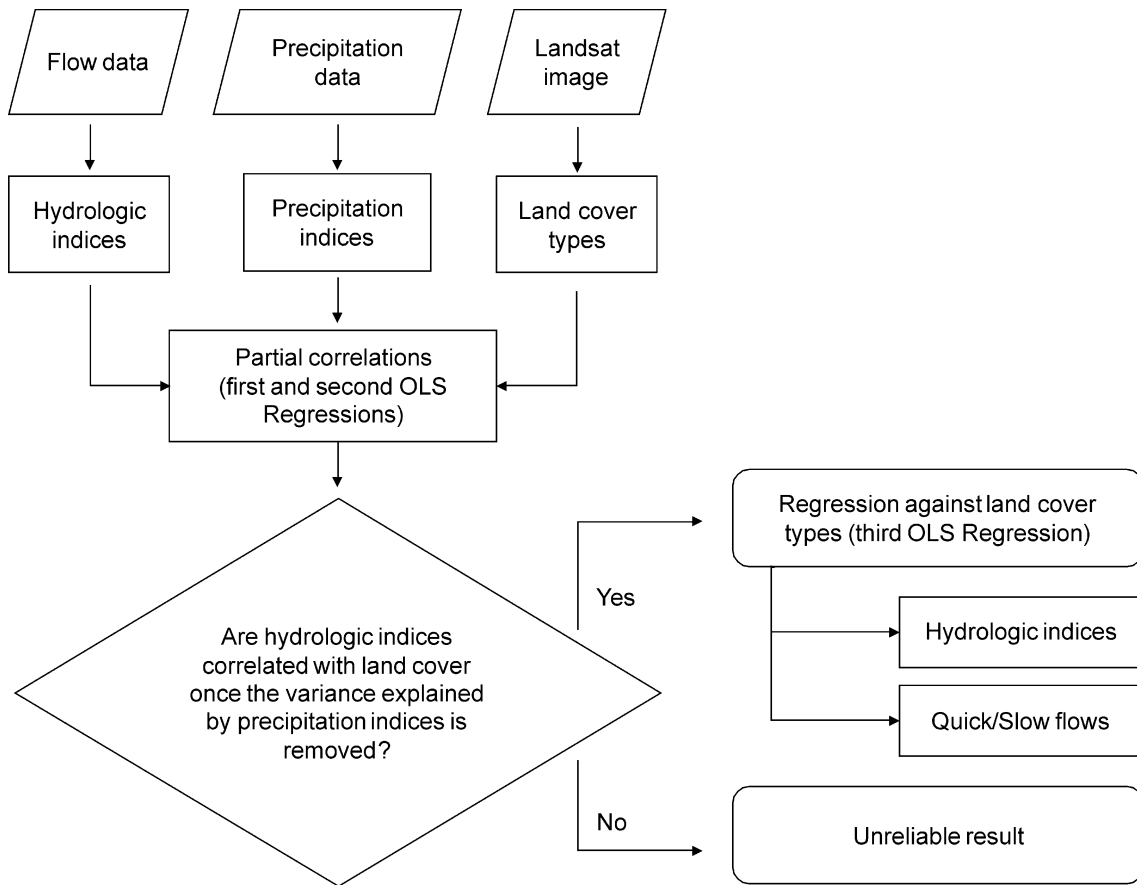
782 Zhang, M., Liu, N., Harper, R., Li, Q., Liu, K., Ning, D., Hou, Y., & Liu, S. (2017). A
783 global review on hydrological responses to forest change across multiple spatial
784 scales: importance of scale, climate, forest type and hydrological regime. *Journal*
785 *of Hydrology*, 546, 44-59. DOI: 10.1016/j.jhydrol.2016.12.040.
786

787 **FIGURE 1** Catchments with hydrological records in the study area of the Cantabrian Mountains



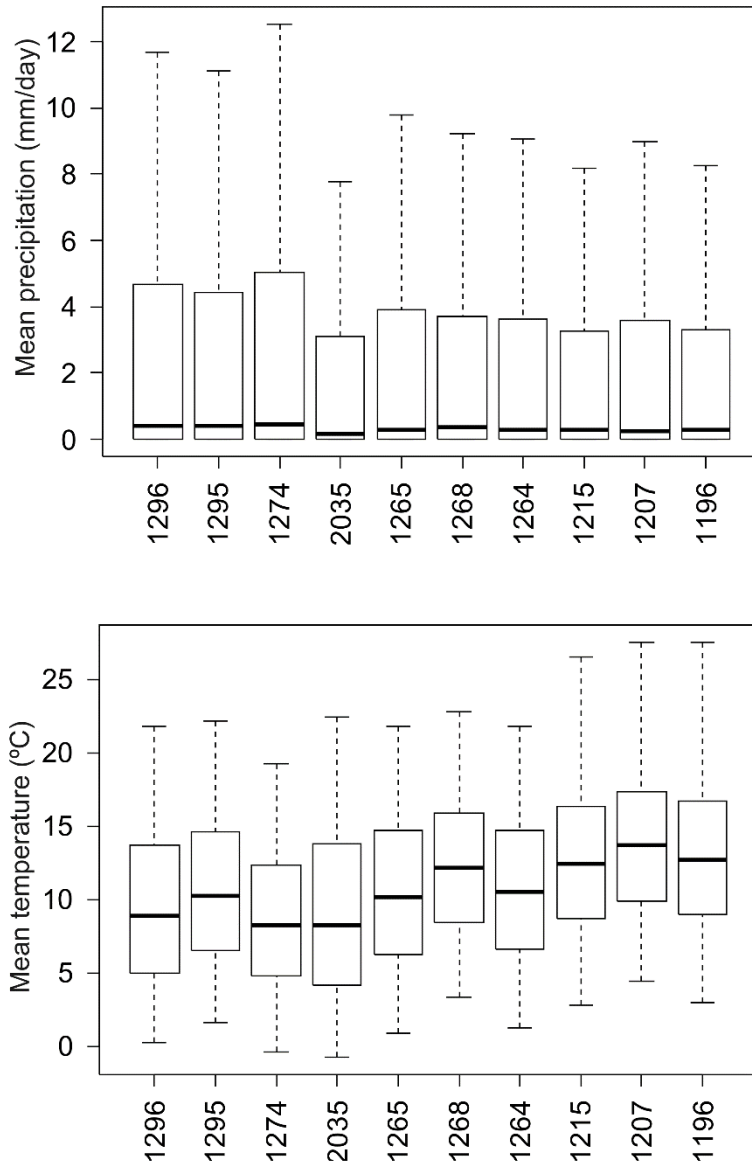
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790 **FIGURE 2** Flow chart with a summary of the methods employed in this study



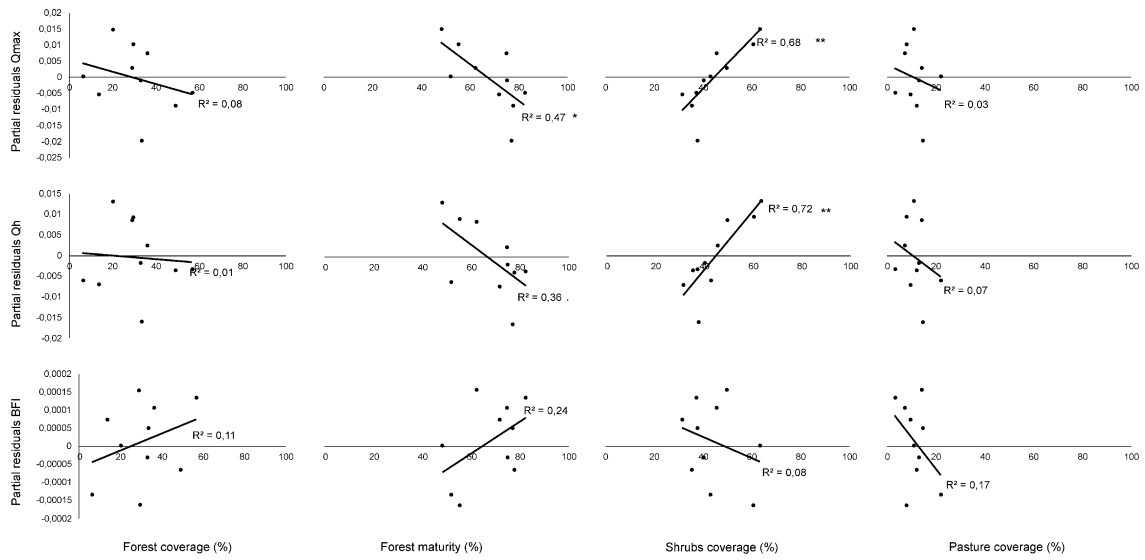
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793 **FIGURE 3** Daily precipitation and temperature variability for the period 1995-2010 in the 10 catchments
794 of the Cantabrian Mountains, ordered from west (left) to east (right). Boxplots show quartiles. Whiskers
795 show maxima and minima (outliers excluded)



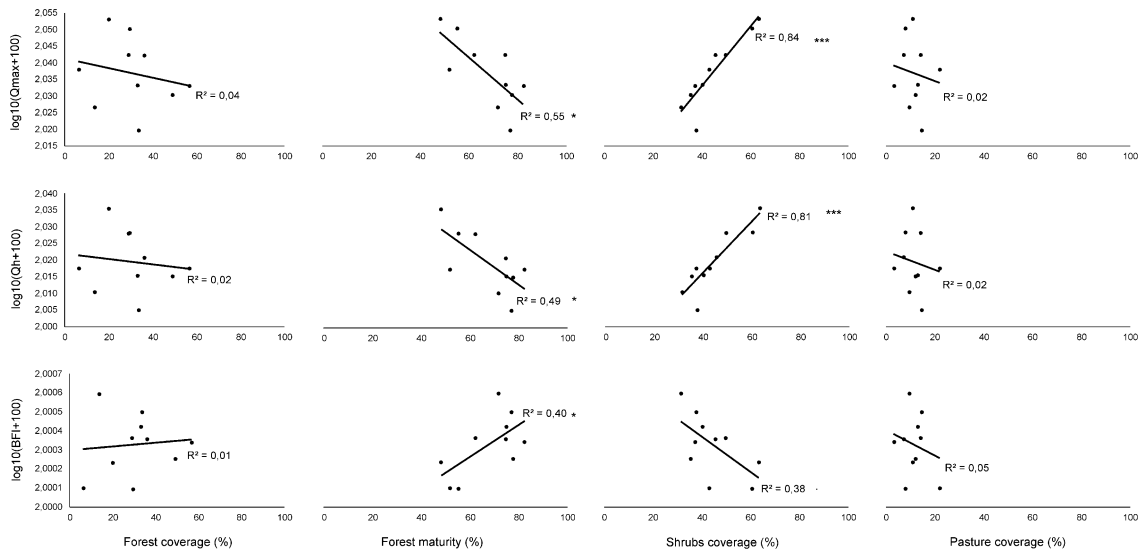
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798 **FIGURE 4** Partial correlations between land use characteristics and hydrological indices for the period
 799 1995-2010 in the 10 catchments of the Cantabrian Mountains. Qmax: mean 3-day maximum annual flow;
 800 Qh: number of high flow events per year using an upper threshold of 9 times the median flow over all years;
 801 BFI: Base Flow Index. Significance levels: ‘.’ $\leq 0,1$; ‘*’ $\leq 0,05$; ‘**’ $\leq 0,01$



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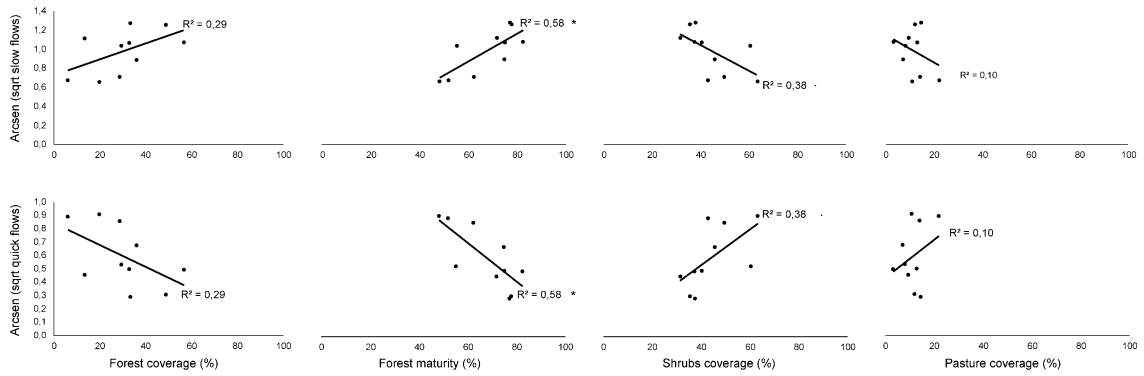
804 **FIGURE 5** Regression modelling between land use characteristics and hydrological indices for the period
 805 1995-2010 in the 10 catchments of the Cantabrian Mountains. Qmax: mean 3-day maximum annual flow;
 806 Qh: number of high flow events per year using an upper threshold of 9 times the median flow over all years;
 807 BFI: Base Flow Index. Significance levels: ‘.’ $\leq 0,1$; ‘*’ $\leq 0,05$; ‘**’ $\leq 0,01$; ‘***’ $\leq 0,001$



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810 **FIGURE 6** Regression modelling between land use characteristics and the proportion of slow and quick
 811 flows modelled through IHACRES for the period 2000-2007 in the ten catchments of the Cantabrian
 812 Mountains. Significance levels: ‘.’ $\leq 0,1$; ‘*’ $\leq 0,05$



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815 **TABLE 1** Topographic and hydrologic (a) and land cover (b) characteristics, together with the estimated
 816 change in land coverages (c) of the selected catchments in the Cantabrian Mountains ordered from west
 817 (top) to east (bottom). Gauge codes and main river names are provided in the first column

a) Topographic and hydrologic characteristics

Code (Name)	Area (km ²)	Altitude (m)	Slope (%)	Mean runoff (mm)	Mean flow (m ³ /s)	Mean daily precipitation (mm)
1296 (Ponga)	34	1277	29	16	2	4
1295 (Sella)	480	1005	29	13	18	4
1274 (Cares)	266	1454	31	9	8	5
2035 (Besandino)	70	1498	19	5	1	3
1265 (Deva - O.)	296	1185	26	5	4	4
1268 (Deva - P.)	648	1029	27	6	15	4
1264 (Bullón)	156	972	25	4	2	3
1215 (Pas)	358	599	19	8	9	3
1207 (Miera)	161	563	21	9	5	4
1196 (Asón)	492	558	20	13	22	3

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b) Land cover (1995-2010) characteristics (%)

Code (Name)	Forest coverage	Forest maturity	Shrub coverage	Pasture coverage
1296 (Ponga)	57	82	37	3
1295 (Sella)	36	75	45	7
1274 (Cares)	13	72	31	9
2035 (Besandino)	6	52	43	22
1265 (Deva - O.)	33	77	38	14
1268 (Deva - P.)	33	75	40	13
1264 (Bullón)	49	78	35	12
1215 (Pas)	29	55	60	8
1207 (Miera)	20	48	63	11
1196 (Asón)	29	62	49	14

819

c) Code (Name)	1995-2010 variation (%)			Annual variation (%)		
	Forest	Shrubs	Pasture	Forest	Shrubs	Pasture
1296 (Ponga)	10,43	-7,68	-0,42	0,70	-0,51	-0,03
1295 (Sella)	9,71	-6,10	-1,32	0,65	-0,41	-0,09
1274 (Cares)	3,81	1,01	-1,04	0,25	0,07	-0,07
2035 (Besandino)	1,74	3,93	-8,94	0,12	0,26	-0,60
1265 (Deva - O.)	11,00	-4,64	-5,00	0,73	-0,31	-0,33
1268 (Deva - P.)	10,38	-4,21	-4,18	0,69	-0,28	-0,28
1264 (Bullón)	14,21	-9,45	-4,00	0,95	-0,63	-0,27
1215 (Pas)	7,13	-6,18	-0,86	0,48	-0,41	-0,06
1207 (Miera)	3,88	1,10	-3,93	0,26	0,07	-0,26
1196 (Asón)	6,95	-4,09	-0,74	0,46	-0,27	-0,05

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822 **TABLE 2** Squared R-values obtained from regression modelling of hydrological indices (Qmax: maximum
823 flow; Qh: high flow events; BFI: Base Flow Index) against the same indices computed using precipitation
824 (left) and partial correlations of hydrological indices with land cover characteristics (fm: forest maturity;
825 fc: forest coverage; shc: shrubs coverage, pc: pasture coverage) (right). Values are expressed in percentage.
826 Significance levels: ‘.’ $\leq 0,1$; ‘*’ $\leq 0,05$; ‘**’ $\leq 0,01$

Hydrological index	Precipitation indices (regression model)			Land cover characteristics (partial correlation)			
	Pmax	Ph	P-BFI	fc	fm	shc	pc
Qmax	07	-	-	08	* 47	** 68	03
Qh	-	05	-	01	. 36	** 72	07
BFI	-	-	* 53	11	24	08	17

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828 **TABLE 3** Models developed using IHACRES for the 10 selected catchments indicating model parameters
 829 (c: storage coefficient; tw: time constant for the rate of drying; f: factor that modulates changes in
 830 temperature; vs: slow flows; vq: quick flows) and model fit (R^2)

Site	c	tw	f	vs	vq	R^2
1296 (Ponga)	0,00	27,00	3,00	0,78	0,22	0,65
1295 (Sella)	0,01	7,00	0,50	0,61	0,39	0,76
1274 (Cares)	0,01	7,00	0,00	0,81	0,19	0,51
2035 (Besandino)	0,00	2,00	2,50	0,40	0,60	0,82
1265 (Deva – O.)	0,01	2,00	2,00	0,92	0,08	0,81
1268 (Deva – P.)	0,00	22,00	0,00	0,77	0,23	0,78
1264 (Bullón)	0,00	2,00	3,00	0,91	0,09	0,84
1215 (Pas)	0,01	17,00	0,50	0,74	0,26	0,83
1207 (Miera)	0,01	17,00	0,00	0,38	0,62	0,83
1196 (Asón)	0,01	27,00	0,00	0,43	0,57	0,86

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