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1 **THE ROLE OF FOREST MATURITY IN EXTREME HYDROLOGICAL**  
2 **EVENTS**

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4 Short title: THE ROLE OF FOREST MATURITY IN EXTREME HYDROLOGICAL  
5 EVENTS

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24 **ABSTRACT**

25 This study aims to clarify the influence of forests, as well as other prevalent land cover  
26 types, on extreme hydrological events through a land cover gradient design. We selected  
27 10 catchments within a gradient of forest land cover, in which there were 15 years of  
28 simultaneous daily hydrological and meteorological data, and an additional forest  
29 descriptor, forest maturity. The study was developed in a heterogeneous region in the  
30 Cantabrian Mountains (NW Spain). This area includes different vegetation types and has  
31 a long history of human disturbance and land use change that has produced a gradient in

32 forest cover. This study focuses on regular hydrological extremes: regular floods and low  
33 flow events. Specific objectives were to observe the relationship between land cover and  
34 extreme hydrological events, once the variance explained by precipitation was removed,  
35 and compare the effectiveness of forest coverage and maturity to predict them. Partial  
36 Correlations and OLS Regressions were developed using hydrological indices, obtained  
37 from flow records, and hydrological parameters calculated through modelling, using the  
38 'Identification of unit Hydrographs And Component flows from Rainfall, Evaporation and  
39 Streamflow data' (IHACRES) software and hydrometeorological data. Land cover  
40 characteristics were better able to predict floods than low flows. Forests were associated  
41 with less extreme flow events (lower intensity and frequency of floods and greater base  
42 flows), while shrub formations did the opposite. These results were more evident using  
43 forest maturity than using forest coverage. This study indicates that hydrological  
44 modelling may benefit in the future from considering not only the coverage of different  
45 land cover types but also the conservation status of the different vegetation formations.

46

47 **KEYWORDS:**

48 Cantabrian Mountains

49 Native forests

50 Maturity

51 Land cover

52 Catchment hydrology

53 IHACRES

54 **1 Introduction**

55 Flood and low flow events represent a demonstration of extreme hydrologic  
56 variability, constituting a primary driver of stream biological communities and ecosystem  
57 functioning (Resh et al., 1988; Lake, 2000). Such events may cause greater impacts in  
58 river ecosystems than changes in flow means averaged over years (Woodward et al.,  
59 2016). The magnitude and frequency of high and low flows regulate numerous ecological  
60 processes (Poff et al., 1997), which may influence the goods and services that they  
61 provide to humans. High flows provide ecological benefits by maintaining ecosystem  
62 productivity and diversity. For example, high flows remove and transport fine sediments  
63 that would otherwise fill the interstitial spaces in productive gravel habitats (Beschta &

64 Jackson, 1979). Flows of low magnitude also provide ecological benefits. Periods of low  
65 flow may present recruitment opportunities for riparian plant species in regions where  
66 floodplains are frequently inundated (Wharton et al., 1981). The frequency, intensity and  
67 duration of extremes is expected to increase due to climate change (IPCC, 2012).  
68 However, land use changes, which are mostly induced by human activities, also affect  
69 hydrological processes, such as water interception, resulting in alterations of surface and  
70 subsurface flows (Wang et al., 2014; Niraula et al., 2015). Changes in the land cover  
71 mosaic may attenuate or exacerbate the hydrological effects of climate change on riverine  
72 communities and ecosystems, as climatic disturbances coupled with increasing  
73 anthropogenic disturbances can cause significant impacts on hydrological processes and  
74 aquatic functions (*sensu* Zhang et al., 2016). In this context, the stated surface and  
75 subsurface flows may be estimated using ‘quick’ and ‘slow’ flows, respectively, to study  
76 such hydrological processes based on water interception. ‘Slow’ involves volumes with  
77 a high time of concentration (e.g., base flows), which is the time that water takes to flow  
78 from the most remote point in a catchment to its outlet. ‘Quick’ is associated with a low  
79 time of concentration. Croke et al., (2004) based their study on an analogous reasoning,  
80 though the authors stated that more work was required to improve the links among these  
81 components.

82         Recent studies show increasing trends in forest area in Europe over the past few  
83 decades (Spiecker et al., 2012). Socioeconomic adjustments, such as those linked to the  
84 EU Common Agricultural Policy (CAP), have led to an important rural exodus and the  
85 subsequent abandonment of agricultural land, a cessation of coppicing and a reduction in  
86 grazing in natural communities (e.g. Benayas et al., 2007). Today, forests cover nearly  
87 40% of the European surface (European Commission, 2015). Trees have greater water  
88 requirements than other vegetation types, as they intercept more precipitation and present  
89 greater transpiration rates (e.g. Bosch & Hewlett, 1982). Thus, their expected effect on  
90 river flows is a general reduction when forests spread, grow and mature (Johnson, 1998).

91         The development of ‘paired-catchment’ experimental designs has aimed to clarify  
92 forest influence on the water cycle (Hewlett, 1971, 1982; Cosandey, 1995). These studies  
93 are generally based on selecting two similar and geographically close catchments,  
94 subjected to the same climatic regime, and assuming that different hydrologic responses  
95 will be driven by differences in forest extent. The review of ‘paired-catchment’ studies in  
96 temperate zones developed by Bosch & Hewlett (1982) indicated that the effect of forest  
97 expansion is a decrease in water yield. Since then, additional paired catchment studies

98 have been reported in the literature (Hornbeck et al., 1993; Stednick, 1996; Vertessy,  
99 1999; Vertessy, 2000; Brown et al., 2005; Li et al., 2017). Such studies have evidenced  
100 the ability of disturbances on forests to alter low and, especially, high flows (increasing  
101 the magnitude and duration of peak flows; Zhang et al., 2016). However, further  
102 catchment scale research is necessary to advance our understanding of forest impact on  
103 hydrology, particularly studies focused on large basins ( $> 10 \text{ km}^2$ ), with additional  
104 descriptors of forest characteristics (besides area) and more than two observed catchments  
105 (Andréassian, 2004). In their review, Zhang et al., (2017) indicated that forest coverage  
106 ‘merely serves as a basic indicator without differentiating forest species, stand age and  
107 structure, growth potential, and disturbance types’, indicating that ‘a suitable forest  
108 change indicator should not only express forest cover change (...) but also account for  
109 forest characteristics’. More complete studies that clarify the relationship between forests  
110 and hydrological processes may allow for the improvement of the design of strategies  
111 (i.e., implementation of green infrastructures) for the adaptation to the effects of climate  
112 change on catchment hydrology (e.g. Community Forests Northwest, 2010).

113 Forest maturity, defined as the degree of development of forest vegetation (in a  
114 conceptual gradient that goes from pre-forest to young forest, then forest and finally  
115 mature forest), may be an important factor to determine forest-river flow relationships, as  
116 the long process of native forest formation involves many steps that increase water  
117 retention (Fisher & Stone, 1969; Fisher & Eastburn, 1974). Tree roots grow into fissures  
118 and aid in the breakdown of bedrock, penetrating compacted soil layers and allowing soil  
119 aeration and water infiltration. A vegetative ground cover modifies the temperature and  
120 moisture conditions below and the subsequent increase in organic matter on the top soil  
121 horizons has the potential to influence runoff patterns (Fisher & Stone, 1969; Fisher &  
122 Eastburn, 1974). Given the interaction of these processes with the hydrological cycle, the  
123 use of maturity as a descriptor of forest characteristics in empirical catchment-scale  
124 designs may improve our understanding of forests’ influence on river ecosystems.

125 The aim of this study was to improve the understanding of how forests and other  
126 predominant land cover types influence the occurrence of recurrent floods and low flows  
127 using a land cover gradient design. To achieve this, we used 10 large catchments (between  
128  $30 \text{ km}^2$  and  $650 \text{ km}^2$ ) in the Cantabrian Mountains (NW Spain) with a gradient of forest  
129 cover resulting from human management since the 15<sup>th</sup> century. Such a forest cover  
130 gradient is very difficult to find within similar climatic conditions, especially with 15  
131 contemporary years of gauge records and meteorological data in such a relatively high

132 number of catchments (compared to the two typically used in ‘paired-catchment’ studies).  
133 Thus, this study aimed to provide empirical evidence without modelling the underlying  
134 biophysical processes. We defined forest cover not only through forest coverage but also  
135 using forest maturity. Our specific objectives were: (i) to observe the relationship between  
136 land cover and extreme hydrological events once the variance explained by precipitation  
137 was removed and (ii) to compare the effectiveness of forest coverage and maturity, as  
138 well as other predominant land cover types, to predict such extremes. We expected mature  
139 forests to smooth hydrological extremes caused by precipitation regimes through water  
140 interception (aided by ground vegetation and organic soils), in opposition to young forest  
141 formations or other land cover types. Thus, forest maturity was expected to be negatively  
142 associated with the intensity and frequency of floods (and with quick flows, used to  
143 represent the proportion of surface flows) and positively related to base flows (and slow  
144 flows, used to represent the proportion of subsurface flows) better than forest coverage.

## 145 **2 Material and methods**

### 146 *2.1 Study area*

147 This study was developed in the Cantabrian Mountains, which extend for more  
148 than 300 km across northern Spain, nearly parallel to the Cantabrian Sea. This mountain  
149 range constitutes a distinct province of the larger Alpine System physiographic division.  
150 Glaciers and fluvial erosion are the two main processes that have shaped their relief,  
151 composed mainly of sedimentary materials such as limestone and conglomerates. These  
152 mountains present an Atlantic climate with annual precipitation and temperature around  
153 1160 mm and 9,5 °C, respectively. Areas located at lower latitudes show sub-  
154 Mediterranean characteristics, with higher temperatures and summer low flows  
155 (Ninyerola, et al 2007). This environmental heterogeneity shelters a mix of tree species  
156 including beeches (*Fagus sylvatica*), birches (*Betula ssp.*) and different species of oaks  
157 (*Quercus petraea*, *Q. robur*, *Q. pyrenaica* and *Q. rotundifolia*), in a transition from the  
158 Atlantic to the sub-Mediterranean areas. Shrub vegetation spans a similar gradient,  
159 varying from semi-arid communities mixed with annual grasslands and crops in the  
160 southeast to shrubs and young forests in the north and west, with alpine vegetation and  
161 bare rock at higher elevations and slopes.

162 A set of 10 catchments (Fig. 1, Table 1a) was selected to represent a land cover  
163 (particularly, forest) gradient within a climatically similar region. A previous screening  
164 process ensured that the catchments presented similar soil properties and climatic  
165 regimes, as well as suitable flow data. Their land cover gradient characterizes the legacy  
166 of human management and land use practices for the last 400 years. After the foundation  
167 of the ‘Real Fábrica de Artillería de la Cavada’ (in English, the Royal Artillery Factory  
168 in La Cavada) in 1616, the native forests in the eastern extreme were intensively exploited  
169 for more than 200 years in order to obtain wood for naval construction. Since then, this  
170 area has been kept deforested for stockbreeding through the combined use of fire and  
171 cattle grazing. Consequently, the eastern part of the study area is dominated by a mixture  
172 of shrubs with a dominance of dry heathland communities and extensive pastureland.  
173 Only some isolated patches of forest remain on steep hillslopes. In contrast, the western  
174 catchments have not experienced relevant deforestation processes and present mature  
175 forest patches. The presence of brown bear (*Ursus arctos*) and Cantabrian capercaillie  
176 (*Tetrao urogallus cantabricus*) in these catchments, unlike the eastern extreme (González  
177 et al., 2016; Blanco-Fontao et al., 2012), is evidence of a better state of conservation. This  
178 history of contrasting landscape use in nearby catchments with a similar climate and the  
179 existence of contemporary flow gauges and meteorological stations across them makes  
180 our study area a unique setting for our land cover gradient design.

## 181 2.2 Land cover characteristics

182 Land cover information was obtained through remote sensing imagery. A suitable  
183 Landsat TM image of the study area taken in 2010, with a minimum cloud cover and a  
184 relatively high sun elevation angle, was downloaded from the United States Geological  
185 Survey (USGS). This year was selected due to the availability of suitable  
186 hydrometeorological records (see details in section 2.4). Landsat images present a scale  
187 of 1:20000, suitable to monitor regional land cover in sensitive areas for local  
188 management (European Environment Agency, 1995). This allowed the mapping of our  
189 study area at a resolution of 30 meters. The image was radiometrically and  
190 atmospherically corrected using the algorithms available in the Geographic Resources  
191 Analysis Support System or GRASS (GRASS Development Team, 2015). A  
192 complementary digital elevation model (DEM) was obtained from Laser Imaging  
193 Detection and Ranging (LIDAR) data (Centro Nacional de Información Geográfica,  
194 2014) and resampled to 30 meters to match the spatial resolution of the image.

195 Two classifications of the study area were developed to obtain land cover types  
196 and forest maturity in each catchment, respectively. First, a per-pixel classification was  
197 made using a Maximum Likelihood (ML) algorithm over a combination of spectral  
198 information and topographic layers derived from the 30-m DEM. Maximum Likelihood  
199 (ML) (Conese and Maselli 1992; Schowengerdt 1983; Strahler 1980) is the most widely  
200 used algorithm for classifying medium-resolution satellite images because of its easy  
201 implementation in many software packages and the satisfactory results provided  
202 (Álvarez-Martínez et al. 2010; Carvalho et al. 2004). The ML algorithm assigned pixels  
203 to the land cover class with maximum membership probability, although they may have  
204 an almost equal probability of membership to another class (Lewis et al., 2000),  
205 generating a ‘hard’ classification. Testing points were used to construct confusion  
206 matrices (Congalton, 1991), using standard accuracy assessment methods (Stehman &  
207 Czaplewsky, 1998), to detect misclassification errors. Land cover types with a coverage,  
208 averaged among catchments, lower than 10% were discarded for subsequent analyses due  
209 to their low occurrence at the catchment scale (forest plantation, agricultural, denuded  
210 rock and urban). The relative coverage occupied by the other (prevalent) land cover types  
211 in each catchment (forest, shrubs and pasture land) was obtained through the proportion  
212 of pixels belonging to each class according to the ML algorithm. Each coverage (forest,  
213 shrubs and pasture land) was defined as the area occupied by the corresponding patch  
214 according to this first (‘hard’) classification. Second, a fuzzy k-means classification  
215 yielded membership probabilities for each land cover type at the pixel level. Forest  
216 maturity, the degree of development of forest vegetation, was estimated using an indirect  
217 measure: the probability of forest class membership obtained through the fuzzy  
218 classification, calculated as the average per-pixel forest probability in each of the selected  
219 catchments. Pixels with a higher probability represent old, dense forest patches that can  
220 be interpreted as developed, mature forest (undisturbed). They are not degraded and do  
221 not present a mixture of other land cover types (i.e., degradation or fragmentation at the  
222 pixel level). The pixels with a high probability of being forest according to the fuzzy  
223 classification are assumed to capture the spectral signal of mature and highly structured  
224 forests, as they will match those selected as the training dataset of the forest class. For  
225 this purpose, the most mature and best-conserved forest pixels were carefully selected for  
226 the training dataset of the classification. On the contrary, pixels with a low probability of  
227 forest class membership are those belonging to a different land cover type or to forests

228 with a certain degree of heterogeneity at the pixel level due to forest fragmentation (for  
229 more details, see Álvarez-Martínez et al., 2010; 2017).

230         Given that the development of the classification procedure requires a ‘training  
231 dataset’ specific to the Landsat image, the development of multiple classifications  
232 belonging to multiple years to ensure the absence of changes in land cover types with  
233 time was not an option. However, a Landsat image taken in 1984 from a previous study  
234 allowed for the analysis of the variation in land cover types between 1984 and 2010. To  
235 obtain the 1984 land cover map, we applied a procedure using the ‘training dataset’ for  
236 the 2010 image. The ‘training dataset’ was overlaid with aerial photographs from the  
237 National Flight of Spain, generated in 1980-1986 (CNIG, 2014), and orthorectified with  
238 a Root Mean Square Error smaller than the pixel size. This dataset consisted of a set of  
239 Ground Control Points (GCPs) that were checked against the photos. When they did not  
240 match the corresponding land cover class, they were moved to the nearest patch. New  
241 points for classifying the 1984 image were then obtained from training areas of 16 pixels  
242 created around these GCPs. Overall classification accuracy was estimated to be roughly  
243 over 80% using an independent dataset from a second photointerpretation of the aerial  
244 images, obtained by excluding buffers of 1 km around training locations. Once the 1984  
245 land cover maps were obtained, a linear rate of change between 1995 and 2010 was  
246 estimated dividing the variation in each land cover type by the area of each catchment.  
247 This rate was used to calculate the mean coverage of each land cover type in the period  
248 in each catchment.

### 249 *2.3 Meteorological and hydrological data*

250         Meteorological records were acquired from the Spain02 database (version 4),  
251 developed by the ‘Agencia Estatal de Meteorología’ (AEMET, the State Meteorological  
252 Agency) and the ‘Universidad de Cantabria’ (UC, University of Cantabria). The database  
253 includes gridded datasets interpolated with rainfall and temperature data from over 2500  
254 stations in Spain at different resolutions for the period 1971-2007 (Herrera et al., 2012;  
255 2016). Meteorological series (rainfall and temperature) were obtained by averaging those  
256 cells belonging to the grid within each catchment. The resulting rainfall and temperature  
257 series were represented using box-plots to verify that the catchments in the study area  
258 presented reasonably similar climatic regimes. This assumption was statistically tested  
259 using Kruskal-Wallis, which allows tests with two or more samples.

260 Flows recorded by the ‘Red Oficial de Estaciones de Aforo’ (ROEA, the Official  
261 Network of Gauging Stations) were obtained from the ‘Anuario de Aforos’ database  
262 available online at the ‘Centro de Estudios y Experimentación de Obras Públicas’  
263 (CEDEX, the Centre for Studies and Experimentation on Public Works; Centro de  
264 Estudios y Experimentación de Obras Públicas, 2016). Only the gauging stations located  
265 at the outlet of each catchment were considered. Flow records were tested to detect  
266 deficiencies (see details in Peñas et al., 2014). Each flow series was divided by its mean  
267 to remove catchment-size effect and allow comparison among catchments (Poff et al.,  
268 2006).

269 Once all data were collected and prepared, we developed the analyses (see text  
270 below and Figure 2).

#### 271 *2.4 Analysis of the effect of precipitation and land use on hydrological regime*

272 Two sets of hydrologic indicators (indices and parameters) were computed to  
273 characterize, respectively, regular floods and low flows (hydrological extremes) and  
274 water interception caused by ground vegetation and soils, estimated through quick and  
275 slow flows. In other words, we used two different and independent analyses to relate  
276 hydrological characteristics to land cover descriptors. One is based on the calculation of  
277 hydrological indices from data series (15 years) obtained at 10 flow gauges (empirical  
278 data). The other is based on the development of independent hydrological models for each  
279 of those 10 catchments (process-based data) to estimate ‘quick’ and ‘slow’ flows (model  
280 parameters) as a proxy for water interception, developed using flow, precipitation and  
281 temperature data (details below). In both cases, a total of 10 data points was obtained.

282 In the empirical approach, three hydrological indices were chosen to summarize  
283 extreme hydrological events through flow records: (i) the maximum 3-day mean annual  
284 flow ( $Q_{max}$ ); (ii) the mean number of high flow events per year using an upper threshold  
285 of 9 times the median flow over all years ( $Q_h$ ); and (iii) the Base Flow Index (BFI, the  
286 seven-day minimum flow divided by mean annual daily flow averaged across all years).  
287 The latter was used to characterise low-flow conditions, whereas the two others were used  
288 to characterise flood regimes (magnitude and frequency), as in previous studies (e.g.  
289 Richter et al., 1996; Olden & Poff, 2003; Snelder et al., 2009; Belmar et al., 2011; Peñas  
290 et al., 2014). The period selected for computation of hydrologic indices was 1995-2010,  
291 to ensure 15 years of records (Kennard et al., 2010) and match the timing of the  
292 LANDSAT image taken by the USGS. This is not a study period, since the analyses are

293 based on an image taken in 2010, but a set of data with sufficient records to guarantee the  
294 accuracy of the indices computed. Such indices were also calculated using contemporary  
295 precipitation series, which provided: (i) the maximum 3-day mean annual precipitation  
296 (Pmax); (ii) the mean number of high precipitation events per year using an upper  
297 threshold of 9 times the median precipitation over all years (Ph); and (iii) the seven-day  
298 minimum precipitation divided by mean annual daily precipitation averaged across all  
299 years (P-BFI).

300 In the process-based approach, we computed 10 independent hydrological models  
301 for each of the selected catchments based on a physical model (Identification of unit  
302 Hydrographs And Component flows from Rainfall, Evaporation and Streamflow data;  
303 IHACRES; Jakeman and Hornberger, 1993) that uses precipitation, temperature (or  
304 evapotranspiration) and flow data. This model is composed of a non-linear loss module  
305 that converts precipitation to effective precipitation and a linear routing model that  
306 converts effective precipitation to streamflow. The non-linear module comprises a storage  
307 coefficient (c), a time constant for the rate of drying (tw) of the catchment at a fixed  
308 temperature (20 °C) and a factor (f) that modulates for changes in temperature. A  
309 configuration of two parallel storages in the linear routing module was implemented using  
310 the period with the best data, as they did not present gaps (2000-2007). Kim et al. (2011)  
311 proposed that an 8-year calibration period is appropriate for obtaining a reasonable  
312 catchment response, yielding stable and reasonable high model performance and reducing  
313 variation in parameter values over time. They used this length in subsequent studies based  
314 on the IHACRES rainfall-runoff model (e.g. Kim et al. 2014). Using IHACRES, the  
315 proportional volumes of quick (vq) and slow (vs) flows were calculated in each catchment  
316 to estimate the proportion of surface and subsurface flows (e.g. Croke at al., 2004), which  
317 allows an estimation of water interception caused by ground vegetation and soils on the  
318 basis that those watersheds with greater water interception will present slower flows. This  
319 allows a better understanding of the response observed using hydrological indices.

320 Partial Correlation based on Ordinary Least Square (OLS) Regression, previously  
321 used in studies on catchment land cover (e.g. King et al., 2005) and hydro-climatic studies  
322 (e.g. Hornbeck et al., 1993; Burn, 2008), was employed. Partial Correlation was used to  
323 estimate the correlation that remains between land cover descriptors and the selected  
324 hydrological indices once the variance explained by precipitation indices has been  
325 removed. If Partial Correlation is unable to find relationships between land cover and  
326 hydrology, any relationship found between hydrological indices and land cover

327 descriptors must be considered unreliable (as it would be explained by precipitation  
328 indices). The three hydrological indices (Qmax, Qh and BFI) were predicted through OLS  
329 Regression using the three precipitation indices (Pmax, Ph and P-BFI). Then, by means  
330 of a second OLS Regression, we explored whether land cover characteristics predicted  
331 the hydrological variance not explained by precipitation indices (i.e., the residuals of the  
332 first model run).

### 333 *2.5 Relationship between hydrologic indicators and land cover descriptors*

334 To contrast the effectiveness of different land cover descriptors to predict  
335 recurrent hydrological extremes and water interception, they were used to predict the  
336 hydrological indices and parameters through a third OLS Regression. Dependent  
337 variables were transformed to reduce heteroscedasticity (King et al., 2005), using decimal  
338 logarithms for flow indices and the arcsine of the squared root for the hydrological  
339 parameters, as they were proportions (McDonald, 2014). All analyses were carried out  
340 using the R software (version 3.1.3; R Core Team, 2015) with the base package ‘stats’.

## 341 **3 Results**

342 The ten studied catchments displayed reasonably similar climatic regimes, with  
343 only a very subtle gradient from west to east of slightly increasing temperature and  
344 decreasing rainfall (Fig. 3). The Kruskal-Wallis test showed that there were no  
345 statistically significant differences among the ten catchments, either in terms of  
346 temperature or precipitation (p-value ~ 0).

347 The 2010 overall classification accuracy for all land cover types was 82,59%.  
348 Similar values were obtained for forest, shrub and pasture land cover types (84, 82 and  
349 81%, respectively), those (prevalent) types with at least a 10% coverage averaged among  
350 catchments. Forest maturity showed the lowest values in the catchments located in the  
351 east (between 48 and 62%) whereas the maximum value was observed in the west (with  
352 82%, in Ponga). This value indicates the probability of forest land cover type within this  
353 catchment, independent of the area that forest class covers (which is why  
354 probability/maturity may be greater than coverage, as in Ponga). Once the land cover  
355 types were averaged for the period 1995-2010 with the linear rate obtained (1984-2010),  
356 forest coverage showed a pattern similar to maturity. With the exceptions of the  
357 catchments 1295 (Sella) and 1274 (Cares), the three catchments in the eastern part

358 presented the lowest values (between 20 and 29%) whereas the western catchment  
359 presented the greatest value (57%). Shrub coverage showed almost the opposite pattern.  
360 The three catchments in the eastern zone presented the greatest values (between 49 and  
361 63%), whereas the eastern catchment presented (almost) the lowest value. Pastureland did  
362 not show any similar pattern (Table 1b). Assuming linear land cover changes between  
363 1984 and 2010, as stated, the percentage of catchment change was always lower than 15%  
364 across the period of records (1995 to 2010), i.e., less than 1% annually (Table 1c). The  
365 maximum percentage (around annual 1%) was observed in forest in those catchments  
366 where it is more widespread, whereas the catchments with less forest land cover type  
367 presented lower rates.

368         Only the BFI showed a statistically significant correlation with precipitation  
369 regimes, with a value around 50% (Table 2). On the contrary, the hydrological indices  
370 associated with the magnitude and frequency of floods ( $Q_{max}$  and  $Q_h$ ) showed a very  
371 low correlation with their corresponding precipitation indices (less than 10%). Land cover  
372 characteristics, particularly shrub coverage and forest maturity, showed a significant  
373 relationship with  $Q_{max}$  after removing the variance explained by precipitation, around  
374 70 and 50%, respectively (Table 2; Fig. 4). The correlation obtained with  $Q_h$  was similar  
375 in the case of shrub coverage but lower in the case of forest maturity (below 40%). In all  
376 partial correlations, forest maturity showed higher correlation scores with hydrological  
377 indices than forest coverage, which never showed values greater than 11% (or statistically  
378 significant).

379         Forest maturity and shrub coverage showed the strongest ability to predict  
380 extreme hydrological events. Forest maturity showed a negative relationship with the  
381 magnitude and frequency of floods and positive with the base flow. This relationship was  
382 statistically significant, with a coefficient of determination between 40 and 55% (Fig. 5).  
383 Shrub coverage showed opposite trends. Although BFI showed a lower significance, the  
384 other hydrological indices showed the lowest p-values and highest coefficients of  
385 determination (around 80%) of all regressions with land cover descriptors. Forest  
386 coverage did not show statistically significant results, with coefficients of determination  
387 lower than 5%.

388         Forest maturity and shrub coverage also presented the strongest ability for  
389 quick/slow flow prediction, as the  $R^2$  values and p-values show (Fig. 6). Slow flows were  
390 positively correlated with forest maturity and negatively with shrub coverage, and the  
391 opposite for quick flows. Whereas shrub coverage showed a coefficient of determination

392 around 40%, forest maturity showed a coefficient around 60%. This output was supported  
393 by the high model fit obtained using IHACRES with the 10 selected catchments, always  
394 greater than 50% (Table 3).

## 395 **4 Discussion**

396 This study aimed to provide empirical evidence of how forests and other  
397 predominant land cover types influence the occurrence of recurrent floods and low flows  
398 without modelling the underlying biophysical processes. The complex land cover mosaic  
399 and change in time of the selected region in the Cantabrian Mountains (NW Spain)  
400 provided statistically significant results using ten catchments. Whereas ‘paired  
401 catchment’ studies generally use two catchments, we were able to obtain a set of  
402 catchments with a gradient in land cover characteristics and empirical data that allow  
403 regression modelling techniques to find patterns in the relationship between land cover  
404 characteristics and hydrology. Such patterns, supported by statistically significant p-  
405 values, show that land cover is very relevant to determining the spatial variability of flow  
406 extremes in similar close catchments. They also indicate the importance of additional land  
407 cover descriptors (i.e., forest maturity, more effective than forest coverage) and changes  
408 in land cover with time to explain extreme hydrological events. We consider such results  
409 to have implications for water management in areas with a similar climate, land cover  
410 types and land uses (i.e., in temperate Atlantic catchments) and possibly in other climatic  
411 regions. These implications are relevant for environmental management and planning to  
412 mitigate the effects of climate change.

### 413 *4.1 Precipitation and land cover contribution to flow extremes*

414 The land cover mosaic has varying abilities to influence regular floods and low  
415 flows at a catchment scale. As Partial Correlations showed, the spatial variation of floods  
416 is determined mainly by land cover characteristics. This means that land cover  
417 characteristics have the ability to intercept flow peaks. On the contrary, the ability of this  
418 interception to provide flows during low precipitation and flow events is more limited, as  
419 land cover characteristics presented a reduced ability to predict low flows (water  
420 interception and release takes place in hours). This is coherent with the results obtained  
421 by Zhang et al., (2016), which found that base flows are less sensitive than high flows to  
422 forest disturbance.

423           Within the land cover mosaic, forest coverage showed a poor ability to predict  
424 hydrological extremes. This contradicts the results of studies in temperate zones that have  
425 reported that reductions in forest coverage magnify peak flows and alter base flows  
426 (Hornbeck et al., 1993, Li et al., 2017). Our study indicates that mature forests reduce  
427 extreme hydrological events in rivers. Catchments with higher forest maturity presented  
428 less intense and frequent floods and greater base flows. Additional tests (not shown) using  
429 different numbers of days or times the mean flow provided analogous results. The  
430 relationships were even clearer using fewer days for flow magnitude and a higher number  
431 of times the median for flow frequency.

432           As expected, the performance of forest maturity seems to be associated with water  
433 interception, as forest maturity also predicted the spatial variability of slow and quick  
434 flows in the selected catchments. Croke et al. (2004) observed the same pattern between  
435 forest coverage and the proportional volume of quick and slow flow storage. However,  
436 they obtained their results in a small catchment through simulation by combining a  
437 generic crop model (CATCHCROP; Perez et al., 2002) with IHACRES. The set of ten  
438 catchments presented in this study constitutes an important advantage in comparison.  
439 Previous literature showed that the response of two basins to forest disturbances may  
440 differ, for example, in terms of low flows (Zhang et al., 2016). Therefore, the use of  
441 several (and larger) catchments (as Andréassian, 2004 suggested) and of estimates both  
442 of forest coverage and maturity in this study, based on empirical ('real') flow data,  
443 provides more reliable results. Given the good performance of forest maturity in this study  
444 in comparison with forest coverage, the use of forest maturity estimated through fuzzy-  
445 logic approaches (see Álvarez-Martínez et al., 2010) may provide a relatively simple  
446 catchment descriptor that could assist in the assessment of catchment hydrologic  
447 responses. Thus, forest maturity may be a first step to addressing to the need for indicators  
448 alternative to the use of forest coverage highlighted by Zhang et al., (2017). Although its  
449 estimation through forest probability using a Landsat image involves the risk of obtaining  
450 erroneous results during the classification processes, the accuracy obtained for the  
451 different land cover types indicates a satisfactory performance and suggests that it is a  
452 reliable indicator. This is especially relevant for water research due to the widespread use  
453 of vegetation coverage in modelling tools (e.g. the Soil and Water Assessment Tool or  
454 SWAT; Arnold et al., 1998).

455           Given the likely mediation of water interception in flow extremes, and the role  
456 that ground vegetation and the organic content of soils plays, recent changes in land cover

457 may allow a better understanding of the performance of the land cover types and  
458 indicators used in this study.

#### 459 *4.2 The importance of the recent past in the land cover mosaic*

460 Our results imply that landscape changes in previous decades are fundamental to  
461 catchment hydrology and water management. In addition to the exploitation of forests in  
462 the study area since the 15<sup>th</sup> century, the Cantabrian Mountains have seen a major decline  
463 in livestock grazing pressure for the past 40 years (Morán Ordóñez et al., 2011; Álvarez-  
464 Martínez et al., 2013). This has resulted in a displacement of shrubs and pastureland by  
465 native forests in many different areas (e.g. Poyatos et al., 2003; Álvarez-Martínez et al.,  
466 2014). In our case, the Landsat image taken in 1984 also revealed that more than 10% of  
467 the pixels in our study area classified as forest in 2010 had been pasture or shrub.  
468 Therefore, anthropogenic pressures typically based on deforestation linked to  
469 advancement of shrubs appear to be absent in our study area (it is actually the opposite)  
470 and new forest coverage comprises pixels with forest patches of different degrees of  
471 development (maturity) that will have different effects on hydrology at a catchment scale.  
472 Pixels recently occupied by forests should present reduced ground vegetation, organic  
473 matter decomposition and soil development (Binkley & Fisher, 2012) in comparison to  
474 those that had presented forests in the 1980's (with more mature forests currently). We  
475 believe this is why forest coverage was less able to explain the spatial variability of  
476 hydrological extremes, whereas forest maturity performed much better. Forest coverage  
477 integrates, within the same category, old and new forest patches, which produce different  
478 hydrological responses. Given that our methodology integrates the changes in land  
479 coverages that occurred during the period with data records (1995-2010), even with a  
480 relatively low maximum annual variation rate (less than 1% for forest land cover type and  
481 similar to that obtained by Álvarez-Martínez et al., 2014), our conclusion regarding forest  
482 maturity versus forest coverage as an indicator is reliable. There is no larger error in the  
483 use of forest coverage in comparison to forest maturity that may be associated with the  
484 inherent ability of the latter to encompass previous land cover characteristics.

485 Similarly, the different performances shown by other land cover types not  
486 associated with forests also indicate an influence of land cover change with time on  
487 hydrological response. Pastureland was not a good predictor, whereas shrub coverage was  
488 highly related to hydrological extremes. The lack of a relationship between pastureland  
489 and hydrological indices could be a result of the smaller proportion occupied by pastures

490 in the study area in comparison with the other dominant land cover types (i.e., forests and  
491 shrubs). The better performance of shrubs may be related to land use management, which  
492 makes shrub lands a dominant land cover type through the extensive and recurrent use of  
493 fire (Pausas & Fernández-Muñoz, 2012; Regos et al., 2015). Commonly, the shrub  
494 formations in the study area present a pattern of degraded vegetation and poor soil  
495 structure associated with recurrently burnt areas (cycles of 3 to 5 years; Díaz-Delgado et  
496 al., 2002; Gimeno-García et al., 2007). In this context, the development of additional land  
497 cover descriptors, such as maturity for forests, remains necessary to explore the effects of  
498 land cover mosaics on hydrological response at a catchment scale.

#### 499 *4.3 Implications for forest management*

500         The role that mature forests may play in providing base flows at a catchment scale  
501 is unlikely to be emulated by reforestation programs if they are based exclusively on tree  
502 plantation. Frequently, reforestation efforts are developed using a comparatively small  
503 number of fast-growing exotic species. These species have particular environmental  
504 preferences and, not surprisingly, many do not grow as well as expected (e.g. Lamb et al.,  
505 2005). Reforestation is thus likely to lack developed ground vegetation cover and mature  
506 soil (at least during the first decades). It will thus be less effective to infiltrate  
507 precipitation, and therefore, provide base flows. On the contrary, the water consumption  
508 of these trees may contribute to water scarcity and aridification (Jackson et al., 2005;  
509 Brown et al., 2005; Sun et al., 2006). Therefore, it is necessary to ensure the development  
510 of ground vegetation and organic soils.

511

512         Further research on the long-term impacts of land cover on hydrologic regimes at  
513 a catchment scale may provide key guidelines for sustainable land use management. First,  
514 analyses using Landsat images taken in different years during the last decades should be  
515 carried out. The changes in land cover (with on-ground measurements), climate and flows  
516 could be quantified and compared to determine the relative contribution of changes in  
517 land cover to hydrological variations. Unfortunately, such analyses were not possible in  
518 this study, as processing additional Landsat images requires additional ‘training datasets’  
519 for each image (as stated). In addition, more good quality hydroclimatic series were  
520 unavailable. Second, using other land cover descriptors based, for example, on forest  
521 species (Zhang et al., 2017) would be informative. The use of such descriptors would  
522 allow the enhancement of hydrologic modelling. Finally, we believe that understanding

523 the physical mechanisms that explain the interactions observed herein is mandatory. The  
524 influence of tree physiological conditions (e.g. basal area, live biomass or leaf area)  
525 deserves special attention, considering the impressive water holding capacity of O  
526 horizons (for example, a 5 cm thick O horizon in a sub-alpine forest may have a mass of  
527 about 5 kg m<sup>-2</sup> and could retain about 10 litres of water; Golding & Stanton, 1972). By  
528 doing so, we would be able to better assess the contribution of forests and their soils to  
529 flow regimes at a catchment scale, as well as the contribution of other land cover types.

## 530 **5 Contributors**

531 OB performed research, analysed data and wrote the paper. JB conceived the  
532 study, performed research and contributed to analyses and writing. JMAM performed  
533 research, analysed data and contributed to writing. FJP contributed to analyses and  
534 writing. MDJ performed research and contributed to writing.

## 535 **6 Declaration of interests**

536 The authors declare that they have no conflict of interest.

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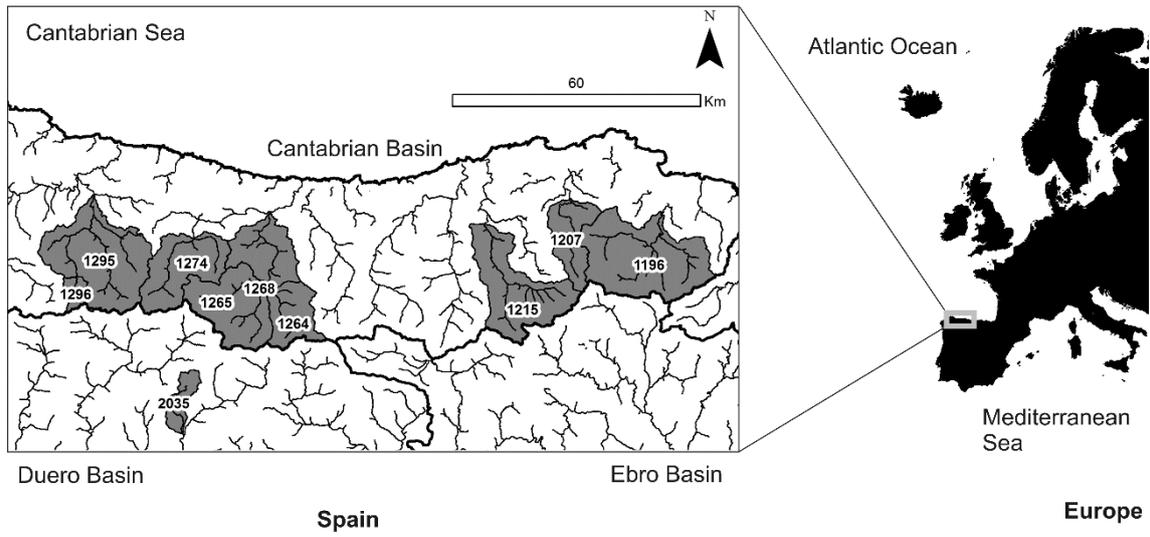
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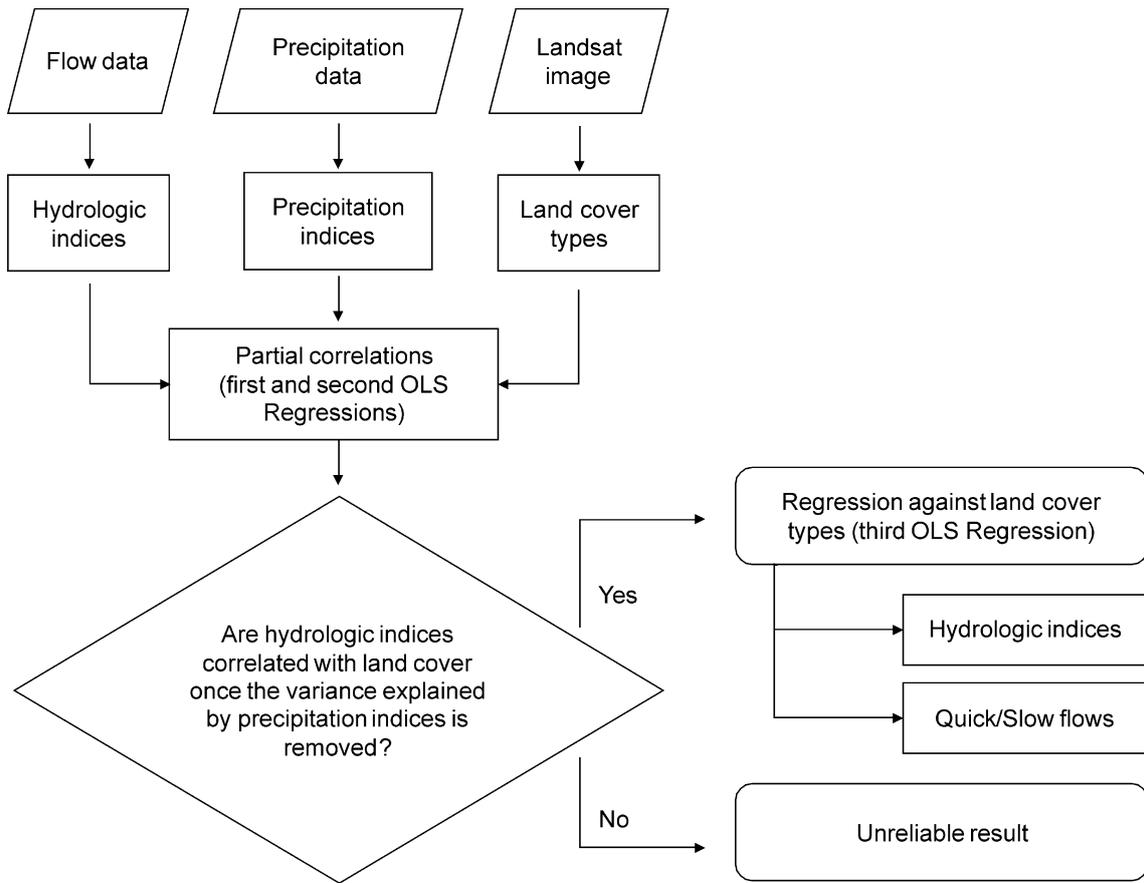
787 **FIGURE 1** Catchments with hydrological records in the study area of the Cantabrian Mountains



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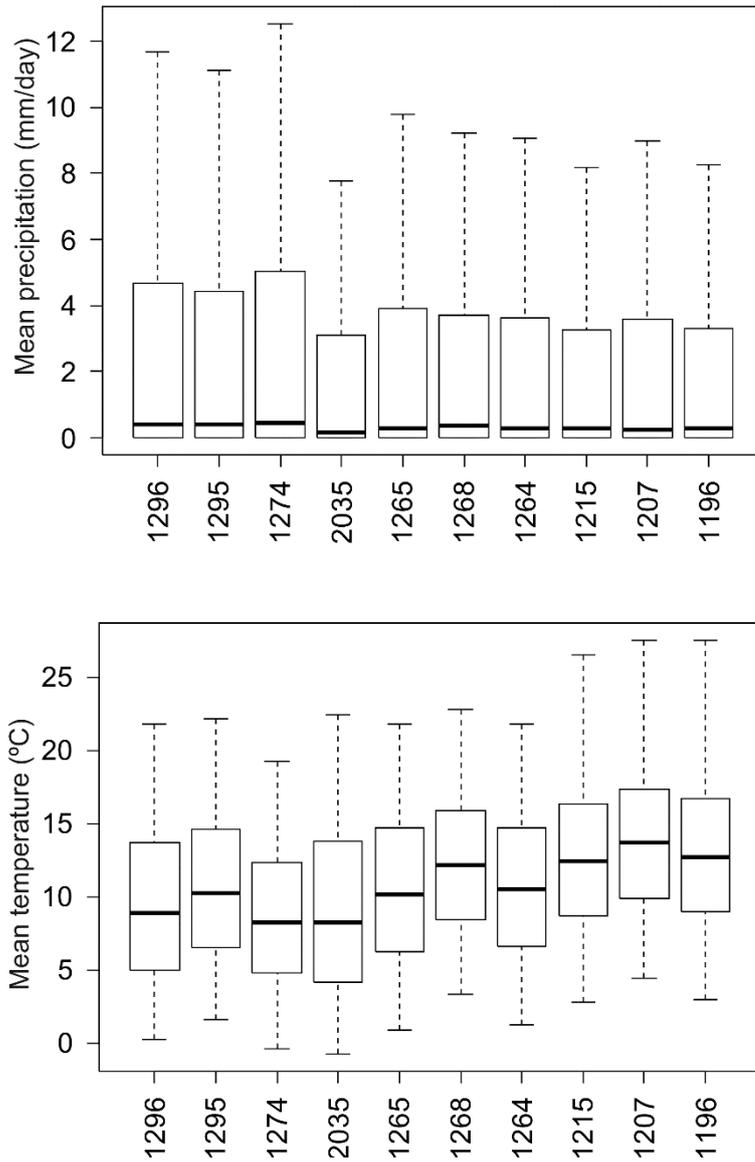
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790 **FIGURE 2** Flow chart with a summary of the methods employed in this study



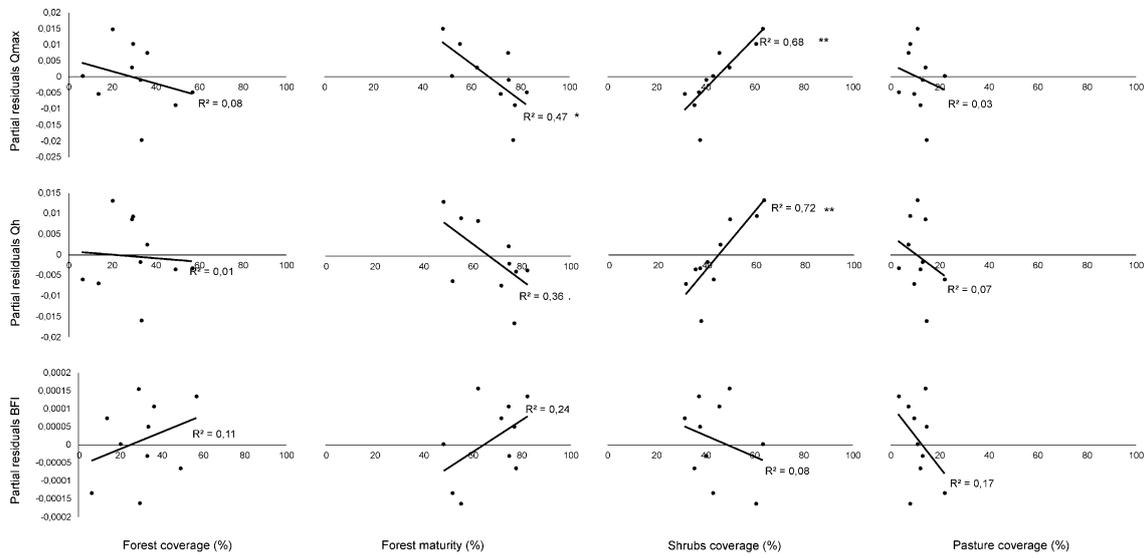
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793 **FIGURE 3** Daily precipitation and temperature variability for the period 1995-2010 in the 10 catchments  
794 of the Cantabrian Mountains, ordered from west (left) to east (right). Boxplots show quartiles. Whiskers  
795 show maxima and minima (outliers excluded)



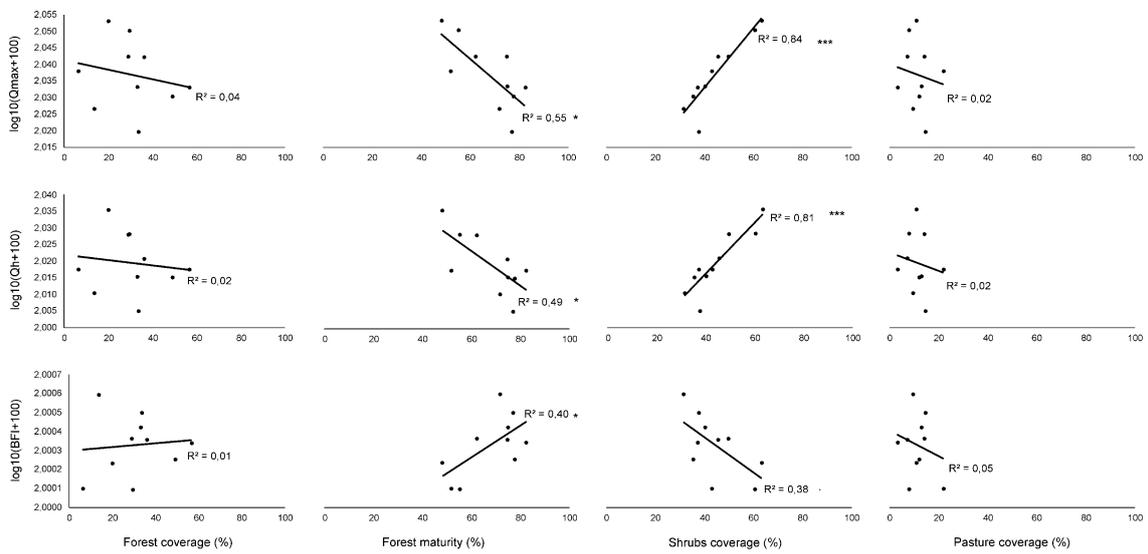
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798 **FIGURE 4** Partial correlations between land use characteristics and hydrological indices for the period  
 799 1995-2010 in the 10 catchments of the Cantabrian Mountains. Qmax: mean 3-day maximum annual flow;  
 800 Qh: number of high flow events per year using an upper threshold of 9 times the median flow over all years;  
 801 BFI: Base Flow Index. Significance levels: ‘.’  $\leq 0,1$ ; ‘\*’  $\leq 0,05$ ; ‘\*\*’  $\leq 0,01$



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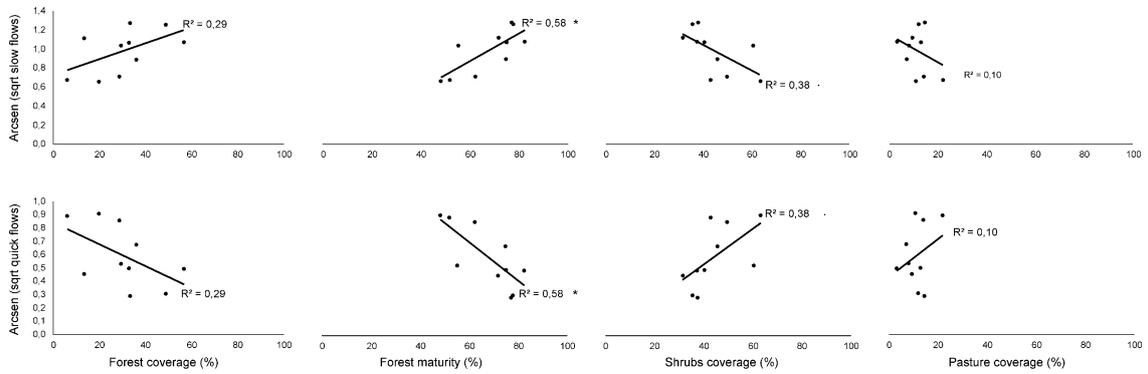
804 **FIGURE 5** Regression modelling between land use characteristics and hydrological indices for the period  
 805 1995-2010 in the 10 catchments of the Cantabrian Mountains. Qmax: mean 3-day maximum annual flow;  
 806 Qh: number of high flow events per year using an upper threshold of 9 times the median flow over all years;  
 807 BFI: Base Flow Index. Significance levels: ‘.’  $\leq 0,1$ ; ‘\*’  $\leq 0,05$ ; ‘\*\*’  $\leq 0,01$ ; ‘\*\*\*’  $\leq 0,001$



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810 **FIGURE 6** Regression modelling between land use characteristics and the proportion of slow and quick  
 811 flows modelled through IHACRES for the period 2000-2007 in the ten catchments of the Cantabrian  
 812 Mountains. Significance levels: ‘.’  $\leq 0,1$ ; ‘\*’  $\leq 0,05$



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815 **TABLE 1** Topographic and hydrologic (a) and land cover (b) characteristics, together with the estimated  
 816 change in land coverages (c) of the selected catchments in the Cantabrian Mountains ordered from west  
 817 (top) to east (bottom). Gauge codes and main river names are provided in the first column

a) Topographic and hydrologic characteristics

Code (Name)	Area (km <sup>2</sup> )	Altitude (m)	Slope (%)	Mean runoff (mm)	Mean flow (m <sup>3</sup> /s)	Mean daily precipitation (mm)
1296 (Ponga)	34	1277	29	16	2	4
1295 (Sella)	480	1005	29	13	18	4
1274 (Cares)	266	1454	31	9	8	5
2035 (Besandino)	70	1498	19	5	1	3
1265 (Deva - O.)	296	1185	26	5	4	4
1268 (Deva - P.)	648	1029	27	6	15	4
1264 (Bullón)	156	972	25	4	2	3
1215 (Pas)	358	599	19	8	9	3
1207 (Miera)	161	563	21	9	5	4
1196 (Asón)	492	558	20	13	22	3

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b) Land cover (1995-2010) characteristics (%)

Code (Name)	Forest coverage	Forest maturity	Shrub coverage	Pasture coverage
1296 (Ponga)	57	82	37	3
1295 (Sella)	36	75	45	7
1274 (Cares)	13	72	31	9
2035 (Besandino)	6	52	43	22
1265 (Deva - O.)	33	77	38	14
1268 (Deva - P.)	33	75	40	13
1264 (Bullón)	49	78	35	12
1215 (Pas)	29	55	60	8
1207 (Miera)	20	48	63	11
1196 (Asón)	29	62	49	14

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c) Code (Name)	1995-2010 variation (%)			Annual variation (%)		
	Forest	Shrubs	Pasture	Forest	Shrubs	Pasture
1296 (Ponga)	10,43	-7,68	-0,42	0,70	-0,51	-0,03
1295 (Sella)	9,71	-6,10	-1,32	0,65	-0,41	-0,09
1274 (Cares)	3,81	1,01	-1,04	0,25	0,07	-0,07
2035 (Besandino)	1,74	3,93	-8,94	0,12	0,26	-0,60
1265 (Deva - O.)	11,00	-4,64	-5,00	0,73	-0,31	-0,33
1268 (Deva - P.)	10,38	-4,21	-4,18	0,69	-0,28	-0,28
1264 (Bullón)	14,21	-9,45	-4,00	0,95	-0,63	-0,27
1215 (Pas)	7,13	-6,18	-0,86	0,48	-0,41	-0,06
1207 (Miera)	3,88	1,10	-3,93	0,26	0,07	-0,26
1196 (Asón)	6,95	-4,09	-0,74	0,46	-0,27	-0,05

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822 **TABLE 2** Squared R-values obtained from regression modelling of hydrological indices (Qmax: maximum  
823 flow; Qh: high flow events; BFI: Base Flow Index) against the same indices computed using precipitation  
824 (left) and partial correlations of hydrological indices with land cover characteristics (fm: forest maturity;  
825 fc: forest coverage; shc: shrubs coverage, pc: pasture coverage) (right). Values are expressed in percentage.  
826 Significance levels: ‘.’  $\leq 0,1$ ; ‘\*’  $\leq 0,05$ ; ‘\*\*’  $\leq 0,01$

Hydrological index	Precipitation indices (regression model)			Land cover characteristics (partial correlation)			
	Pmax	Ph	P-BFI	fc	fm	shc	pc
Qmax	07	-	-	08	* 47	** 68	03
Qh	-	05	-	01	. 36	** 72	07
BFI	-	-	* 53	11	24	08	17

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828 **TABLE 3** Models developed using IHACRES for the 10 selected catchments indicating model parameters  
 829 (c: storage coefficient; tw: time constant for the rate of drying; f: factor that modulates changes in  
 830 temperature; vs: slow flows; vq: quick flows) and model fit ( $R^2$ )

Site	c	tw	f	vs	vq	$R^2$
1296 (Ponga)	0,00	27,00	3,00	0,78	0,22	0,65
1295 (Sella)	0,01	7,00	0,50	0,61	0,39	0,76
1274 (Cares)	0,01	7,00	0,00	0,81	0,19	0,51
2035 (Besandino)	0,00	2,00	2,50	0,40	0,60	0,82
1265 (Deva – O.)	0,01	2,00	2,00	0,92	0,08	0,81
1268 (Deva – P.)	0,00	22,00	0,00	0,77	0,23	0,78
1264 (Bullón)	0,00	2,00	3,00	0,91	0,09	0,84
1215 (Pas)	0,01	17,00	0,50	0,74	0,26	0,83
1207 (Miera)	0,01	17,00	0,00	0,38	0,62	0,83
1196 (Asón)	0,01	27,00	0,00	0,43	0,57	0,86

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