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Animal Models for COVID-19

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123 **Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the etiological**
124 **agent of coronavirus disease 2019 (COVID-19), an emerging respiratory infection**
125 **caused by introduction of a novel coronavirus into humans in late 2019 in China's**
126 **Hubei province. As of July 24, 2020, SARS-CoV-2 has spread to 215 countries, has**
127 **infected more than 16 million people, and has caused more than 640,000 deaths. Since**
128 **humans do not have pre-existing immunity against SARS-CoV-2, there is an urgent**
129 **need to develop therapeutics and vaccines to mitigate the current pandemic and to**
130 **prevent the re-emergence of COVID-19 in the future. In February 2020 the World Health**
131 **Organization (WHO) assembled an international panel of experts to develop animal**
132 **models for COVID-19 to accelerate testing of vaccines and therapeutics. This review**
133 **summarizes the findings to date and provides relevant information for preclinical**
134 **testing of COVID-19 vaccine candidates and therapeutics.**

135

136 Although there are discrepancies in the estimated case-fatality ratio (CFR) of COVID-19 in
137 humans, it is clear that severity is age-stratified and that the CFR in patients over 65 years of
138 age is likely higher than 1% ¹. Initially, infection with SARS-CoV-2 is characterized by a range
139 of mild symptoms, including fever, cough, dyspnea and myalgia ². Partly these are caused by
140 the capacity of SARS-CoV-2 to replicate efficiently in the upper respiratory tract. While most
141 patients subsequently resolve the infection, the disease may also progress to severe
142 pneumonia. In severe cases, bilateral lung involvement with ground-glass opacities are the
143 most common chest computed tomography (CT) findings. Disease progression can then
144 involve acute respiratory distress syndrome (ARDS), and in some cases an inflammatory
145 syndrome resembling septic shock. Histological examination of the lungs of patients showed
146 bilateral diffuse alveolar damage (DAD), pulmonary edema and hyaline membrane formation

147 ³. COVID-19 is also characterized by damage to other organ systems associated with
148 coagulopathy and characterized by elevated fibrinogen and D-dimer levels, indicating
149 increased thrombus generation and fibrinolysis ⁴. Those at higher risk of severe COVID-19
150 are individuals with underlying conditions such as obesity, diabetes, hypertension, chronic
151 respiratory disease and cardiovascular disease ¹.

152

153 Through the ‘Solidarity’ trials, the WHO has launched a global campaign to test therapeutics
154 and vaccines on an unprecedented scale ⁵. In order to test these and other potential medical
155 countermeasures, it is imperative to identify animal models for COVID-19 that provide
156 measurable readouts for potential interventions and that utilize representative virus isolates ⁶.
157 To this end, the WHO R&D Blueprint team established an *ad hoc* expert working group
158 focused on COVID-19 disease modelling (WHO-COM). In this review we provide a summary
159 of the current literature on COVID-19 animal models (Supplementary Table 1), including
160 studies generated by the WHO-COM group since February 2020, which we hope will serve to
161 facilitate further preclinical analysis of vaccines and therapeutics.

162

163 **Mouse Models**

164

165 The main impediment for SARS-CoV-2 infection of murine cells is the lack of appropriate
166 receptors to initiate viral infection. SARS-CoV-2, like SARS-CoV, uses the cellular surface
167 protein angiotensin-converting enzyme 2 (ACE2) to bind and enter cells, and murine ACE2
168 does not effectively bind the viral spike protein ⁷. To solve this problem some strategies have
169 been developed as follows:

170

171 *Virus adaptation to mACE2*

172 The spike protein of SARS-CoV-2 can be modified to gain effective binding to murine ACE2.
173 One strategy is sequential passaging of SARS-CoV-2 in mouse lung tissue ⁸. This method is
174 successful because RNA virus populations consist of a mutant swarm of closely related viral
175 quasispecies. Rare viruses in the swarm containing spike mutations that increase their
176 binding affinity to murine ACE2 are expected to be selected due to their higher levels of
177 replication in murine lungs. Alternatively, mouse adaptation of SARS-CoV-2 can be achieved
178 using reverse genetics to modify the receptor-binding domain (RBD) of the virus so that it can
179 infect murine cells via the mouse ACE2 protein. Using these approaches, mice were
180 sensitized for infection but developed very mild disease ⁹. It is likely that additional efforts
181 aimed at mouse-adaptation will result in the outgrowth of additional virus variants that can
182 cause more severe disease. These mice will be useful for pathogenesis studies and for
183 studies of antivirals and vaccines. One potential caveat is that the mutations in the SARS-
184 CoV-2 spike protein that enhance affinity for the mouse ACE2 receptors are located in the
185 receptor binding domain, the primary target for the neutralizing antibody response. These
186 mutations could result in a wild type virus neutralizing mAb being falsely considered non-
187 neutralizing.

188

189 *Expression of human ACE2 in genetically modified mice*

190 A different approach consists of modifying the mice to express hACE2. There are currently
191 three transgenic models in which hACE2 is under the expression of a tissue-specific promoter
192 (e.g., for epithelial cells, *Krt18* ¹⁰), a universal promoter (CMV enhancer followed by the
193 chicken beta-actin promoter ¹¹), or the endogenous *mACE2* promoter ¹². All of these mice are
194 susceptible to infection by SARS-CoV-2, but the differences in hACE2 expression result in a

195 pathogenic range from mild to lethal disease. In particular, with the exception of the model in
196 which hACE2 is controlled by the mouse ACE2 promoter, all other models develop
197 encephalitis after infection with SARS-CoV¹³ or SARS-CoV-2¹⁴. However, while SARS-CoV
198 infection of K18-hACE2 mice results in a highly lethal encephalitis, the neurological infection
199 caused by SARS-CoV-2 infection in these mice is less severe. Some mice appear to
200 succumb to severe pneumonia, at times when the brain infection is not substantial¹⁵. These
201 mouse models provide a stringent test for vaccine and therapeutic efficacy and may be useful
202 for studies of pathogenesis.

203

204 An alternative approach that mirrors tissue-specific expression of human ACE2 is to
205 substitute the *mACE2* gene by the *hACE2* gene. Similar models expressing human dipeptidyl
206 peptidase-4 (DPP4), the Middle East respiratory syndrome coronavirus (MERS-CoV)
207 receptor, have been successfully developed¹⁶⁻¹⁸. One such hACE2 humanized mouse has
208 now been reported, and supports replication of SARS-CoV-2 in respiratory and brain tissues,
209 although mice do not develop severe disease¹⁹. However, more severe disease is expected
210 to occur in hACE2 knock-in mice if virus is passaged serially through mouse lungs. Overall,
211 these mice will probably be very useful models of human disease especially if combined with
212 viral adaptation that increases virulence of SARS-CoV-2 in mice.

213

214 Finally, instead of permanent genetic modification, it is also possible to generate mice
215 susceptible to SARS-CoV-2 infection by sensitizing the respiratory tract of these animals to
216 SARS-CoV-2 replication through transduction with adenovirus (Ad5) or adeno-associated
217 virus (AAV) expressing hACE2. This system, pioneered for MERS studies²⁰, allows transient
218 replication of SARS-CoV-2 in lungs of mice for several days until immune clearance, and it

219 has the advantage that it can be applied quickly to different strains of mice. Upon infection
220 with SARS-CoV-2, Ad5-*hACE2* mice develop a widespread infection of the lungs and
221 histopathological changes consistent with a viral pneumonia. Mice developed clinical disease
222 characterized by changes in body scoring (hunching) and weight loss. Virus is generally
223 cleared by 7 days after infection, although not in some immunocompromised mice^{21 14}. Mice
224 sensitized via AAV-*hACE2* delivery are also susceptible to SARS-CoV-2 infection, but virus
225 replication seems to be lower than in Ad5-*hACE2* transduced mice²². Ad5-*hACE2* and AAV-
226 *hACE2* sensitized mice are useful for evaluating vaccines and antiviral therapies, as well
227 identifying SARS-CoV-2-specific antibody and T cell epitopes. A limitation with these mice, as
228 well as in some of the transgenic *hACE2* mice, is that *hACE2* is expressed ectopically, which
229 may change the tissue or cellular tropism of the virus.

230

231 *Other mouse models and approaches*

232 Additional ongoing efforts to develop mouse models for SARS-CoV-2 infection studies involve
233 mice humanized with *hACE2* and human hematopoiesis, and Collaborative-Cross (CC)
234 mouse studies. Mice transplanted with human immune cells or human immune system (HIS)
235 mice, have been widely used to study human-specific viral infections^{23,24} and the
236 combination of HIS and *ACE2* expression could help to further explore the efficacy of
237 vaccines and therapies, in particular those that modulate human immune cells. Similarly,
238 previous studies using the CC model of genetic diversity, a panel of recombinant inbred mice
239 with expanded susceptibility to viruses that normally do not cause disease in laboratory mice
240 can be used to enhance virus disease susceptibility, however, infection remains heavily
241 dependent on a functional entry receptor^{25,26}. CC mice were previously used with mouse-
242 adapted SARS-CoV to identify mechanisms of pathogenesis and genetic loci that determine

243 susceptibility²⁷. Presumably, CC studies could enable exploration of an expanded range of
244 SARS-CoV-2 phenotypes in mice that potentially better recapitulate human disease, as
245 mouse adapted strains become available.

246

247 In summary, a variety of murine models for mild and severe COVID-19 have been described,
248 or are under development. All will be useful for vaccine and antiviral evaluation and some
249 share features with the human disease. No murine model at present recapitulates all aspects
250 of human COVID-19, especially unusual features such as the pulmonary vascular disease
251 and hyperinflammatory syndromes observed in adults and children, respectively^{28,29}.
252 However, continued refinement may eventually result in models for even these aspects of the
253 human disease.

254

255 **Syrian Hamster Model**

256

257 Syrian hamsters (*Mesocricetus auratus*) are small mammals that have been used as animal
258 models for other respiratory viruses, including SARS-CoV, influenza virus, and adenovirus³⁰⁻
259 ³³. *In silico* comparison of the angiotensin-converting enzyme 2 (ACE2) sequence of humans
260 known to interact with the SARS-CoV-2 spike glycoprotein receptor-binding domain (RBD)
261 with that of hamsters³⁴ suggested that Syrian hamsters might be susceptible to SARS-CoV-2
262 infection. Indeed, upon experimental intranasal infection, Syrian hamsters show mild to
263 moderate disease with progressive body weight loss starting very early after infection (days 1-
264 2 post-inoculation). All animals challenged by different groups and with different SARS-CoV-2
265 isolates consistently showed respiratory signs, including labored breathing^{34,35}. Additional
266 signs of morbidity included lethargy, ruffled fur and hunched posture³⁴. After two weeks of

267 infection, hamsters typically recovered. Of particular interest is the fact that SARS-CoV-2
268 infection in hamsters reflect some of the demographic differences of COVID-19 in humans.
269 Thus, aged hamsters as well as male hamsters seem to develop a more severe disease than
270 young and female hamsters respectively^{36,37} (Table 1).

271

272 SARS-CoV-2 disease in hamsters is associated with high levels of virus replication and
273 histopathological evidence of disease, which included ground glass opacities and evidence of
274 gas in the cavity surrounding the lungs³⁷. These findings are similar to those previously
275 reported for SARS-CoV infection in this model³¹. Viral RNA is readily detected in the
276 respiratory tract and other tissues, such as the small intestine, which could be useful for the
277 evaluation of therapeutics and vaccines. Virus transmission to cage mates has also been
278 observed³⁴, suggesting that hamsters could be useful in transmission studies. Histologically,
279 inflammatory infiltrates with abundant viral antigen expression and apoptosis were observed
280 in the upper and lower respiratory tract starting at 2 days post-infection (dpi), being most
281 severe at 4 dpi and resolving at 14 dpi. Among the non-respiratory tract tissues, only the
282 intestine demonstrated viral antigen expression in association with severe epithelial cell
283 necrosis, damaged and deformed intestinal villi, and increased *lamina propria* mononuclear
284 cell infiltration. Lung disease was also demonstrated by CT. High-resolution micro-CT scans
285 showed airway dilation and significant consolidations in the lungs of infected hamsters³⁵. A
286 quantitative analysis revealed an increase of the non-aerated lung volume in these hamsters.
287 This method thus allows quantitative monitoring of disease without the need of euthanizing
288 the animals.

289

290 Expression of chemokines/cytokines in the lungs of hamsters peaked at 4 dpi and then
291 gradually resolved at 7 dpi. Interferon- γ and proinflammatory chemokines/cytokines were
292 potently induced at 2 dpi and 4 dpi and dropped to the baseline level at 7 dpi. SARS-CoV-2
293 induced lung pathology in hamsters appears to be driven by immune pathology, as lung injury
294 at 4 dpi is markedly reduced in STAT2 knockout hamsters while viral loads are massively
295 increased and viral RNA disseminated in multiple peripheral tissues³⁵. Serum neutralizing
296 antibodies were detected as early as 7 dpi. Passive immunization of naïve hamsters with
297 these convalescent serum samples resulted in significantly reduced respiratory tract viral
298 loads but no obvious improvement in clinical signs and histological changes. Furthermore,
299 SARS-CoV-2 can be transmitted between hamsters via close contact and non-contact routes
300^{34,38}. Transmission via fomites on the other hand was possible, but not efficient³⁸.

301

302 Since studies in hamsters can be completed quickly and in a cost-effective manner, there is
303 an increasing interest in the use of this model for screening of therapeutics. Until now, lack
304 of/or limited efficacy was demonstrated for the re-purposed drugs hydroxychloroquine (with or
305 without azithromycin) and favipiravir^{39,40}, whereas a YF17D-vectored SARS-CoV-2 vaccine
306 candidate conferred efficient protection against SARS-CoV-2 challenge in hamsters⁴¹.
307 Adoptive transfer of SARS-CoV-2 neutralizing antibodies protected hamsters from SARS-
308 CoV-2-induced disease⁴². A putative caveat of the model is the lack of research tools for this
309 species which are still scarce compared for example with those for mice.

310

311 **Ferret Models**

312

313 Ferrets (*Mustela putorius furo*) have been shown to be a highly valuable model to test
314 pathogenicity and transmission of human respiratory viruses, including influenza virus and
315 respiratory syncytial virus (RSV)^{43,44}. It is thus not surprising that the ferret model has been
316 investigated for studies of COVID-19 pathogenesis and SARS-CoV-2 transmission. Despite
317 the use of different isolates of SARS-CoV-2, the results have been remarkably consistent
318 across all laboratories.

319

320 Following mucosal exposure with SARS-CoV-2, clinical alterations in ferrets are undetectable
321 or mild and may include lethargy, nasal discharge, wheezing, oropharyngeal mucus buildup,
322 sneezing, and loose stool⁴⁵. Ferrets infected by small-particle aerosol had similar disease,
323 albeit at 100-fold lower doses. Peaks of elevated body temperatures have been observed in
324 some studies, although alterations in body weight are absent or minimal. Minor alterations in
325 hematological parameters such as mild lymphopenia and neutrophilia have also been
326 observed. SARS-CoV-2 virus shedding is observed in nasal and oropharyngeal swabs⁴⁶⁻⁴⁹.
327 As with Syrian hamsters, virus replication is detected in the upper respiratory tract very early
328 after infection (day 2) and is detectable during two weeks of infection. Virus replication in
329 ferrets appears to be restricted to the respiratory and GI tracts (Table 1).

330

331 The predominant histopathology findings in SARS-CoV-2 infected ferrets euthanized at the
332 peak of virus replication include mixed (pyogranulomatous or eosinophilic and histiocytic)
333 inflammation within alveolar spaces and perivascular mononuclear inflammation. In addition,
334 in the larger airways of these animals, bronchial submucosal granulomatous foci with
335 eosinophilic material and collagen fragments (suggesting collagen degeneration) were

336 observed. Microscopic findings in euthanized animals were mild and included
337 bronchoalveolar or alveolar inflammation.

338

339 Ferrets also are able to transmit virus efficiently to uninfected animals in experimental
340 settings. Efficient transmission occurred from experimentally infected ferrets to naïve cage
341 mates; transmission from exposed ferrets to companion animals that were separated by steel
342 grids did occur but was not efficient^{48,50}. These studies indicated that airborne transmission of
343 SARS-CoV-2 can occur, and suggested that the ferret model may be useful for further
344 transmission studies.

345

346 Studies performed to date strongly indicate that experimental SARS-CoV-2 infection in ferrets
347 results in a predominantly upper respiratory tract infection. These findings make the ferret
348 model especially suited to test the efficacy of mucosal vaccines and therapeutics aimed to
349 prevent upper airway infection and/or transmission.

350

351 **Non-Human Primate Models**

352

353 Non-human primate models have been explored for COVID-19 in rhesus macaques,
354 cynomolgus macaques, and African green monkeys. Studies from several laboratories have
355 shown high levels of viral replication, including both viral RNA and infectious virus, in both the
356 upper and lower respiratory tract, pathologic features of viral pneumonia, and variable
357 induction of mild clinical disease⁵¹⁻⁵⁴. Only mild clinical disease has been reported in
358 nonhuman primates, and insufficient comparable data exists at this time to determine if there
359 is more clinical disease in rhesus macaques, cynomolgus macaques, or African green

360 monkeys. Induction of innate, humoral and cellular immune responses as well as robust
361 protection against re-challenge has also been reported, demonstrating induction of natural
362 protective immunity in this model⁵³. NHPs inoculated via multi-route mucosal, intrabronchial,
363 or aerosol exposure routes showed radiographic abnormalities (chest X-ray, CT scan, or
364 18FDG-PET scan) within two days that tended to resolve by days 11-15 post-infection.
365 Evidence of live virus shedding has been found in both the respiratory and GI tract. In
366 addition, hematological changes with evidence of T cell activation, mild lymphopenia and
367 neutrophilia may be observed in infected NHPs.

368

369 Infection with SARS-CoV-2 in the elderly is also associated with adverse clinical outcome.
370 Currently, two NHP studies in rhesus and cynomolgus macaques have focused on the effect
371 of age on SARS-CoV-2 infection^{52,55}. Both studies showed that aged animals shed virus from
372 nose and throat for longer compared to young adult animals. In rhesus macaques, higher viral
373 loads were also detected in lung tissue of aged animals. In addition, advanced age in rhesus
374 macaques was also associated with increased radiological and histopathological changes.
375 These studies highlight the importance of including age in the selection criteria of animals, as
376 testing treatment options for severe disease require animal models that recapitulate the
377 disease seen in humans.

378

379 Recent studies have reported the immunogenicity and protective efficacy of prototype
380 inactivated virus vaccines and DNA vaccines in the rhesus macaque model^{56,57}. In these
381 studies, the vaccines induced binding and neutralizing antibodies and resulted in substantial
382 reductions of viral replication in the lower respiratory tract, and to a lesser extent the upper
383 respiratory tract, following SARS-CoV-2 challenge. These findings raise the possibility that

384 vaccines may be more effective at blocking lower respiratory tract disease compared with
385 upper respiratory tract disease. Anamnestic immune responses were observed following
386 challenge, even in animals that had no virus detected after SARS-CoV-2 challenge,
387 suggesting that protection with these particular vaccines is likely mediated by rapid
388 immunologic control rather than true sterilizing protection. Vaccine-elicited neutralizing
389 antibody titers also correlated with protective efficacy⁵⁶.

390

391 **Additional Animal Models**

392

393 *Mink*

394 The mink (*Neovison vison*), which is listed in the zoological family Mustelidae, was shown
395 previously to be susceptible to SARS-CoV infection⁵⁸ and also mink lung epithelial cells and
396 lung-derived cells could be infected with SARS-CoV⁵⁹. Minks also turned out to be naturally
397 susceptible for SARS-CoV-2 infection. In the Netherlands, an infection of mink with SARS-
398 CoV-2 in two breeding farms was detected at the end of April 2020, most likely as the result of
399 contact with a SARS-CoV-2-infected farm worker⁶⁰. In contrast to ferrets, minks displayed
400 moderate respiratory signs which included labored breathing, and some animals died as a
401 result of infection. SARS-CoV-2 virus was found in the majority of throat and rectal swabs,
402 collected from dead animals from both farms. Similar to ferrets, the viral loads in mink were
403 higher in the throat swabs than in the rectal swabs. While minks may represent a suitable
404 model for moderate to severe COVID-19, these animals are difficult to handle under
405 laboratory conditions.

406

407

408 *Cats*

409 Three experiments so far have demonstrated that domestic cats (*Felis catus*) are highly
410 susceptible to SARS-CoV-2 infection, and are able to transmit the virus to naïve contact cats
411 ^{49,61,62}. For example, the inoculation of the Chinese SARS-CoV-2 isolate CTan-H through the
412 intranasal route into juvenile (70-100 days old) and subadult cats (6-9 months old) resulted in
413 virus replication in the upper and lower respiratory tract as well as the GI tract. Both
414 experimentally infected and contact cats seroconverted. At necropsy, interstitial pneumonia,
415 loss of cilia and epithelial necrosis as well as inflammation in nasal turbinates and trachea
416 were observed. The authors did not describe clinical signs in any of the infected cats, except
417 that two juvenile cats (out of 10 total) died on day 3 and 13 post-infection ⁴⁹. Virus antigen
418 was found in epithelial cells of the nasal turbinates, necrotic debris in the tonsil, submucosal
419 glands of the trachea and enterocytes of the small intestine. SARS-CoV-2 transmission by
420 droplets was also demonstrated ⁴⁹. While cats may represent a suitable model for
421 asymptomatic to moderate COVID-19, the benefits should outweigh the concerns of using
422 companion animals for research; also, cats are rather difficult to handle in biosafety level 3
423 (BSL-3) containment and are not a standard animal model. However, due to their close
424 contact to humans, additional studies e.g. on environmental contamination (cages, beds,
425 food/water bowls, litterboxes, etc.) or transmission efficiency studies may be important to
426 inform veterinary and public health authorities about the risk of cats as intermediate
427 hosts/virus carriers in the SARS-CoV-2 human-animal interface.

428

429 *Dogs*

430 Dogs (*Canis lupus familiaris*) have been shown to be susceptible to SARS-CoV-2, but to a
431 very mild degree. Two experiments so far have been published in this species, concluding
432 that dogs have a low susceptibility to the SARS-CoV-2 infection^{49,62}.

433

434 *Pigs*

435 *In silico* data suggested that swine ACE2 should bind the SARS-CoV-2 spike protein.
436 However, several experimental infections performed in pigs (*Sus scrofa domesticus*) by
437 different research groups indicate that this species is not susceptible to SARS-CoV-2 infection
438 *in vivo*^{48,49}. No clinical signs and no clear evidence of virus replication have been observed
439 in pigs. Therefore, pigs do not appear to represent a suitable animal model for COVID-19.
440 Conversely, previous studies reported SARS-CoV infection in pigs⁶³. Experimental infection
441 of pigs with SARS-CoV resulted in detection of viral RNA in the blood and seroconversion, but
442 not in clinical signs or virus isolation, which ruled out pigs as amplifying hosts for SARS-CoV
443⁶⁴. In contrast, infection with another bat betacoronavirus, called swine acute diarrhoea
444 syndrome coronavirus (SADS-CoV), has been demonstrated in swine⁶⁵. Therefore, due to
445 their importance as livestock species and the enormous global number of pigs, it may be still
446 important for future studies to address the putative susceptibility of additional pig breeds to
447 SARS-CoV-2 infection.

448

449 *Chickens and Ducks*

450 At least one *in silico* study using the informational spectrum methodology proposed chicken
451 as a potential susceptible animal species for SARS-CoV-2 infection⁶⁶. However, the limited
452 experimental studies performed so far have suggested that chicken, including embryonated
453 chicken eggs, and ducks are not susceptible to SARS-CoV-2 infection^{48,49}. Neither chicken

454 nor ducks appear to represent suitable animal models for SARS-CoV-2 infection studies.
455 These findings are similar to those previously reported for SARS-CoV infection, in which
456 experimental inoculation of different bird species with SARS-CoV, including chickens, resulted
457 in neither replication nor seroconversion⁶⁷.

458

459 *Fruit bats*

460 Bats are regarded as the natural reservoir of many coronaviruses including SARS-CoV and
461 SARS-CoV-2^{68,69}. Intranasal inoculation of fruit bats (*Rousettus aegyptiacus*) with SARS-
462 CoV-2 resulted in efficient replication in the upper respiratory tract and seroconversion in 7
463 out of 9 animals. Transmission occurred to one out of 3 direct contact animals. Clinical signs
464 were absent, but rhinitis could be detected by immunohistology⁴⁸. Conversely, previous
465 studies showed that a SARS-like coronavirus did not replicate in fruit bats after experimental
466 inoculation⁷⁰. These findings suggest that, although *Rousettus* bats are not the original
467 reservoir species of SARS-CoV-2, experimental infection of these fruit bats could help to
468 model the physiopathology of the virus in its host.

469

470 **Preclinical Alternatives to Animal Models**

471

472 Historically animal alternatives for studying respiratory viruses have involved in vitro
473 approaches such as cell lines (e.g. Vero cells, A549, MDCK) or primary tissue-derived human
474 cells in conventional cell culture. However, over the past decade advances in
475 engineering, cell biology, and microfabrication have come together to enable development of
476 new human cell-based alternatives to animal models. In this regard, microengineered organs-
477 on-chips and lung organoids have been shown to support key hallmarks of the cytopathology

478 and inflammatory responses observed in human airways after infection with SARS-CoV-2 and
479 have served to study human disease pathogenesis and test new candidate COVID-19
480 therapeutics and expedite drug repurposing^{71,72}.

481

482 **Conclusions**

483

484 Since SARS-CoV-2 emerged in the human population in late 2019, it has spread via human-
485 to-human transmission to most countries in the world, leading to a coronavirus pandemic of
486 an unprecedented scale. Under the umbrella of the WHO, the WHO-COM is fostering the
487 development of COVID-19 animal models through international exchange of protocols,
488 unpublished data and ideas across many laboratories in the world. As discussed in this
489 review, an important number of studies have been conducted, many of them by members of
490 the WHO-COM, indicating that most of the animal models susceptible to infection with SARS-
491 CoV-2 show mild clinical disease, while others do not support viral replication.

492

493 In studies based on the three dimensional X-ray structure of SARS-CoV-2 spike protein
494 bound to human ACE2, Zhai et al discussed the variance observed between 19 different
495 animal species as well as within three colonies of the same species of bat from different
496 provinces within China. This analysis noted that many predicted ACE2 receptor affinities
497 (especially dog and pig) did not match their relative natural resistance to SARS-CoV-2. This
498 was proposed to be due to differences in the ACE2 expression levels between species in the
499 respiratory epithelium⁷³. Similarly, a recent study aimed to predict the host range of SARS-
500 CoV-2 by a comparative structural analysis of ACE2 in more than 400 vertebrates. These
501 data show discrepancies between the predicted susceptibilities and those experimentally

502 observed, with ferrets for example predicted to have very low susceptibility to infection⁷⁴.
503 These data suggest that susceptibility to infection may be a function of several factors,
504 including genetic ACE2 composition, organ-specific ACE2 expression and other host factors
505 such as additional receptors and host immune responses.

506

507 One immediate goal of the group is to evaluate whether mimicking human co-morbidities, co-
508 infections or the immune senescence associated with age in these animal models may result
509 in more severe disease phenotypes. The existing animal models have also been valuable for
510 testing vaccines and therapeutics. Several vaccine candidates have shown protection in
511 rhesus macaques^{56,57}, and both the cynomolgus and the rhesus macaque models have been
512 useful for testing of therapeutics⁷⁵. Many of the pathogenesis studies described in this review
513 have also highlighted an important caveat in COVID-19 research, which are the methods
514 utilized to measure virus replication. The group found that viral RNA/genome copy numbers
515 measured by qPCR assays were three to four orders of magnitude higher than infectious
516 virus titers measured by cell culture assays. These findings have important implications for
517 the future evaluation of vaccines and therapeutics.

518

519 There have been concerns that coronaviruses might pose a risk of vaccine-associated
520 enhanced respiratory disease (VAERD) or antibody-dependent enhancement (ADE) of virus
521 entry and replication in Fc receptor-bearing cells⁷⁶. These types of syndromes have been
522 linked to vaccines that induced substantial levels of non-neutralizing antibodies or type 2
523 helper CD4 T cell (Th2) biased responses. Therefore, evaluating the relative potency of
524 neutralizing activity to overall binding antibody and obtaining evidence for CD4 T cell subset
525 biased responses through cytokine production or antibody subtype response patterns would

526 be informative. To ensure such models are able to provide these vital readouts, it is important
527 to attempt to induce VAERD in COVID-19 challenge models using suboptimal doses of
528 candidate vaccines or antigenic preparations specifically designed to induce the required
529 detrimental immune profile and associated lung pathology. Such studies are of high priority
530 for the WHO-COM group, which intends to provide some guidance on the potential for
531 vaccine-elicited immunopathology. In summary, the work presented here demonstrates that
532 there are a number of potential small and large animal models that investigators can utilize to
533 explore important aspects of COVID-19, including mechanisms underlying the pathology,
534 transmission, and host response to the infection as well as the safety and efficacy of potential
535 therapeutics or vaccines.

536

537 Future studies should standardize challenge models to allow comparison of different vaccine
538 candidates, and should establish animal models for assessing VAERD. In the context of
539 VAERD, the establishment of a positive control allowing comparisons between different
540 vaccine candidates in preclinical models is a high priority. Continued refinement and
541 development of COVID-19 animal models will contribute to the development of vaccines,
542 therapeutics, and other countermeasures.

543

544

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731 **Table 1. SARS-CoV-2 infection in humans and in animal models.** This table illustrates the
 732 main features of SARS-CoV-2 infection in animal models and whether these features are also
 733 present in human COVID-19. NHP: Non-human primates; CNS: Central nervous system; GI:
 734 gastro-intestinal; ARDS: Acute respiratory distress syndrome.

735

736

Virus replication

737

Upper respiratory tract Humans, mice, hamsters, ferrets, NHP, minks, cats, bats

738

Other organs Humans, hACE2 mice (CNS), hamsters, ferrets (GI tract),
 NHP (GI tract)

739

Clinical signs

Fever Humans, ferrets

Nasal discharge Humans, ferrets

Labored breathing Humans, hamsters

Pneumonia

Bilateral lung involvement Humans, hamsters, NHP

Ground-glass opacities Humans, hamsters, NHP

Focal edema, inflammation Humans, hamsters, ferrets, NHP

ARDS Humans

Transmission

Humans, hamsters, ferrets, cats, bats

Immunology

Seroconversion Humans, hamsters, NHP, ferrets, bats, mice

Neutralizing Ab titers Humans, hamsters, NHP, ferrets, mice

Demographics

More severe disease: males Humans, hamsters

More severe disease: aged Humans, hamsters, NHP