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1 **Diversity and regional distribution of harmful algal events along the Atlantic margin of**  
2 **Europe**

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121

122 **Abstract**

123 The IOC-ICES-PICES Harmful Algal Event Database (HAEDAT) was used to describe the  
124 diversity and spatiotemporal distribution of harmful algal events along the Atlantic margin of  
125 Europe from 1987 - 2018. The majority of events recorded are caused by Diarrhetic Shellfish  
126 Toxins (DSTs). These events are recorded annually over a wide geographic area from  
127 southern Spain to northern Scotland and Iceland, and are responsible for annual closures of  
128 many shellfish harvesting areas. The dominant causative dinoflagellates, members of the  
129 morphospecies '*Dinophysis acuminata* complex' and *D. acuta* are common in the waters of  
130 the majority of countries affected. There are regional differences in the causative species  
131 associated with PST events; the coasts of Spain and Portugal with the dinoflagellates

132 *Alexandrium minutum* and *Gymnodinium catenatum*, north west France/south west  
133 England/south Ireland with *A. minutum*, and Scotland/Faroe Islands/Iceland with *A.*  
134 *catenella*. This can influence the duration and spatial scale of PST events as well as the  
135 toxicity of shellfish. The diatom *Pseudo-nitzschia australis* is the most widespread domoic  
136 acid producer, with records coming from Spain, Portugal, France, Ireland and the UK.  
137 Amnesic Shellfish Toxins (ASTs) have caused prolonged closures for the scallop fishing  
138 industry due to the slow depuration rate of DA. Amendments to EU shellfish hygiene  
139 regulations introduced between 2002 and 2005 facilitated end-product testing and sale of  
140 adductor muscle. This reduced the impact of ASTs on the scallop fishing industry and thus  
141 the number of recorded HAEDAT events associated with ASTs. Azaspiracids (AZAs) are the  
142 most recent toxin group responsible for events to be characterised in the ICES area. Events  
143 associated with AZAs have a discrete distribution with the majority recorded along the west  
144 coast of Ireland. Ciguatera Poisoning (CP) has been an emerging issue in the Canary  
145 Islands and Madeira since 2004. The majority of aquaculture and wild fish mortality events  
146 are associated with blooms of the dinoflagellate *Karenia mikimotoi* and raphidophyte  
147 *Heterosigma akashiwo*. Such fish killing events occur infrequently yet can cause significant  
148 mortalities. Interannual variability was observed in the annual number of HAEDAT areas with  
149 events associated with individual shellfish toxin groups. HABs represent a continued risk for  
150 the aquaculture industry along the Atlantic margin of Europe and should be accounted for  
151 when considering expansion of the industry or operational shifts to offshore areas.

152 **Keywords.** Paralytic Shellfish toxins, Diarrhetic Shellfish Toxins, Amnesic Shellfish Toxins,  
153 Azaspiracid Shellfish Toxins, Ciguatera Poisoning, Mortality events, IOC-ICES-PICES  
154 HAEDAT

155

## 156 **1. Introduction**

157 Marine ecosystems represent an important source of cultural identity, food security and  
158 economic activity (Willis et al., 2018). In 2018 the capture of aquatic animals (fish,  
159 crustaceans, molluscs, etc.) in marine waters worldwide amounted to 84.4 million tonnes



160 and aquaculture production was 30.8 million tons (FAO, 2020). Within Europe, fisheries and  
161 the development of the aquaculture industry since the 1960s has brought and sustained  
162 employment to remote coastal areas as well as maintaining ecosystem services and a social  
163 connection with the sea (Krause et al., 2020). The value of shellfish production within the  
164 European Union was valued at EUR 1.2 billion (~ \$1.46 billion US) in 2017 while production  
165 of Atlantic salmon was valued at EUR 1.34 billion (~\$1.63 billion US) (European Union  
166 2019). Aquaculture also provides an important source of seafood protein for the European  
167 market through the production of farmed fish and shellfish (Gutiérrez et al., 2020). While the  
168 fish farming industry is expected to expand in the future in some countries in the EU (STECF  
169 2018), a 20% decline has been observed in shellfish production in Europe between the late  
170 1990s and 2016 with revenue remaining low due to the small size of enterprises involved,  
171 imports from out with the EU and access to space (Avdelas et al., 2020). Harmful algal  
172 blooms (HABs), as well as factors such as pollution, diseases/parasites, climate change and  
173 ocean acidification have been identified as environmental threats which can challenge the  
174 economic performance of the EU mussel industry (Avdelas et al., 2020). The sea also  
175 represents an important source of well-being, recreation and tourism (Kūle et al., 2013,  
176 Fleming et al., 2014, Elliot et al., 2018) particularly in coastal areas. For example, in 2010  
177 the UK estimated a market turnover associated with recreation and leisure activities in the  
178 marine environment of £2.74 billion (~\$3.74 billion USD) with £1.29 billion (~\$ 1.76 billion  
179 USD) gross value added (UKMMAS 2010).

180 In Europe, the first recorded impacts from HABs date from over 100 years ago and are  
181 circumstantially associated with human consumption of shellfish contaminated with toxins of  
182 microalgal origin (phycotoxins). The earliest reports of human illness and fatalities  
183 associated with Paralytic Shellfish Toxins (PSTs) come from the UK in 1827 (Ayres 1975)  
184 and Norway in 1901 (Yndestad and Underdal, 1985). Increased consumption of shellfish  
185 from the 1960s led to further reporting of events of human illness associated with PSTs with  
186 incidents reported from Portugal in 1946 and 1955 (Correia 1946, Pinto and Silva 1956) and

187 the UK in 1968 (Ayres and Cullum, 1978). A major outbreak of paralytic shellfish poisoning in  
188 1976 intoxicating 176 people from several European countries was traced to mussels  
189 imported from the same cultivation site in Galicia (Lúthy 1979). These events led to the  
190 establishment of the first European monitoring programmes aimed to protect human health  
191 and safeguard the mussel industry (Campos et al., 1982) and to Directive 79/923/EEC. The  
192 subsequent increasing risk of phycotoxins to human health led to the development and  
193 implementation of the Shellfish Hygiene Directive in 1991 within the European Union (EU  
194 91/492, later regulation 854/2004 and now EU regulation 2019/627), to ensure the  
195 production of safe seafood products and the protection of human health. This directive and  
196 regulations oblige member states to monitor shellfish for the presence of phycotoxins as well  
197 as shellfish growing waters for the presence of causative microalgae. The directive prohibits  
198 the harvest and sale of product if toxin levels exceed defined regulatory limits and led to the  
199 establishment of formal routine monitoring programmes in all shellfish growing EU countries,  
200 with non-members Norway and Iceland following the same criteria. The implementation of  
201 this directive greatly increased the volume of data on phycotoxins in shellfish and toxin  
202 producing microalgae collected in Europe. For some countries, fulfilling the monitoring  
203 requirements of the Shellfish Hygiene Directive generated the first comprehensive datasets  
204 on toxin producing microalgae and toxin levels in shellfish from their coastal areas.

205 The farmed fish sector is the most economically valuable part of the aquaculture industry in  
206 Europe (Davidson et al., 2020, Froelich et al., 2020). An example of the economic impact  
207 associated with HAB events on the farmed fish industry is provided by the massive bloom of  
208 *Chrysochromulina leadbeateri* Estep, Davis, Hargreaves & Sieburth 1984 in Norwegian  
209 waters in 2019, which was estimated to have killed 8 million salmon, with a direct value of  
210 over 850 million NOK (approx. \$100 million US). The inclusion of future sales losses, clean-  
211 up costs, extra mitigation, loss of tax revenue and requirements to fund  
212 unemployment/social benefits led to direct and indirect gross economic impact of the bloom

213 estimated between 2.3 and 2.8 billion NOK (approx. \$0.27 – \$0.33 billion USD) (Kontali,  
214 2020).

215 Phycotoxins in fish are an emerging problem across the globe. Ciguatera Poisoning (CP),  
216 contracted through consumption of fish contaminated with ciguatoxins (CTX), is the most  
217 commonly reported seafood borne illness related to natural marine toxins globally (Friedman  
218 et al., 2017). Previously CP was thought to be restricted to tropical and subtropical areas of  
219 the world's oceans (Pérez-Arellano et al., 2005), with cases in Europe associated only with  
220 returning visitors after local consumption in the tropics, consumption of fish imported into  
221 Europe or caught recreationally while abroad (de Haro et al., 2003, Epelboin et al., 2014,  
222 Mattei et al., 2014). Since 2004 endemic cases have been detected in the Canary Islands  
223 and the Selvagens Islands, resulting in a multilateral approach to examine the diversity of  
224 causative organisms and toxins, and to develop criteria for definitions of CP and responsive  
225 management actions (Friedman et al., 2017, Rodriguez et al., 2017, Bravo et al., 2019,  
226 Estevez et al., 2019, Sanchez-Henao et al., 2019).

227 There is an increasing need to understand the impacts of HABs and their effects on  
228 economies. The FAO (2020) has formally recognized the contribution of the marine  
229 environment plays in the production of seafood via aquaculture on a global level. Within the  
230 North Atlantic and adjacent waters, the International Council for the Exploration of the Sea  
231 (ICES), the world's oldest intergovernmental science organisation, has provided impartial  
232 evidence on the state and sustainable use of the seas and oceans since its founding in  
233 1902. In 1992 the ICES Working Group on Phytoplankton and Management of their Effects  
234 summarised experiences from different countries dealing with negative impacts from HABs  
235 and produced recommendations for cost effective monitoring programmes to provide an  
236 early warning of HAB species and toxins to protect human health (ICES 1992). For the past  
237 30 years members of the ICES Working Group on Harmful Algal Bloom Dynamics, co-  
238 sponsored by the Intergovernmental Oceanographic Commission of UNESCO (IOC) (ICES-  
239 IOC WGHABD), have been presenting annual national reports of harmful algal events in

240 their respective countries. This led to the development of the IOC-ICES-PICES Harmful  
241 Algal Event Database (HAEDAT) to archive summaries of these national reports. HAEDAT is  
242 an open access database that places these data in the public domain to facilitate access by  
243 scientists, managers and policy makers.

244 This study herein accesses data from HAEDAT along with a literature review to present for  
245 the first time a regional overview of the diversity and spatial distribution of harmful algal  
246 events that affect the Atlantic coast of Europe. HAEDAT data is also used to present  
247 temporal and spatial changes since the 1980s.

## 248 **2. Methods**

### 249 *2.1 The IOC-ICES-PICES Harmful Algal Event Database (HAEDAT)*

250 HAEDAT (<http://haedat.iode.org/>) was developed in the 1990s and contains more than 8,000  
251 entries on harmful algal events from across the globe. Within Europe, data since the 1980s  
252 associated with monitoring programmes and *ad hoc* reports have been entered. Records  
253 prior to 1980 exist in the scientific literature, national monitoring data sets and public press .

254 Within HAEDAT a harmful algal event follows a strict definition and must be associated with  
255 a negative impact or management action resulting from; (i) a water discoloration, scum or  
256 foam causing a socio-economic impact due to the presence of toxic or non toxic microalgae;  
257 (ii) phycotoxin accumulation in seafood above levels considered safe for human  
258 consumption; (iii) any event where humans, animals or other organisms are negatively  
259 affected by microalgae; or (iv) precautionary closures of shellfish harvesting areas based on  
260 predefined thresholds of causative phytoplankton cells in the water.

261 HAEDAT is not a database of cell counts, records of the presence of harmful species, or  
262 toxin concentrations. Information about phycotoxin concentrations below regulatory criteria is  
263 not entered. It is important to note that HAEDAT does not record negative results. Where no  
264 records exist, it is not possible to distinguish between an absence of events or a lack of  
265 monitoring.

266 National editors collate data from regulatory monitoring bodies, industries and *ad hoc* reports  
267 into individual 'events'. The information on individual events is recorded over two pages with  
268 required information on dates, type of impact, area code, point of contact. Optional  
269 information such as maximum microalgal cell densities and toxin levels, species impacted  
270 and geographic range covered, and environmental information such as temperature, salinity,  
271 and chlorophyll concentrations can also be entered. In many instances only the genus level  
272 cell densities are reported where species identifications are difficult e.g. for *Alexandrium* and  
273 *Pseudo-nitzschia* spp. The data are searchable by country, region, syndrome and year.  
274 Points to note when interpreting HAEDAT data from Europe are given in Suppl\_ 1.

## 275 2.2 Assembling a HAEDAT record

276 A central tenet behind defining an 'event' in HAEDAT is that it is associated with a specific  
277 bloom of harmful algae and that the impacts recorded and/or management actions taken are  
278 directly related to this bloom. This association requires a degree of expert judgement and as  
279 a result, recorded harmful algal events in HAEDAT can be subjective in terms of how many  
280 events are entered and their duration. In some instances, blooms and their impacts can be  
281 discrete, impacting a local area for only a few days or weeks and are easily categorised. In  
282 contrast, some species such as *Dinophysis acuminata* Claparède & Lachmann 1859 have  
283 long growing seasons and yield blooms that can be transported in and out of coastal areas  
284 with changing wind intensity and direction, and water masses. This can result in discrete  
285 periods when toxin concentrations exceed regulatory limits interspersed with periods when  
286 toxin concentrations decline and harvesting of shellfish beds is allowed to resume. This can  
287 make characterisation of these blooms into a single event for HAEDAT subjective. Some  
288 countries record each closure period as an individual event whereas others record the  
289 closures over the whole growing season as one event.

290 Some countries enforce precautionary closures in some areas based on putatively toxigenic  
291 phytoplankton cell counts exceeding defined trigger levels as an early warning until the  
292 results of toxin analysis are obtained. Precautionary closures based on phytoplankton trigger

293 levels are frequently used in areas where wild shellfish banks are harvested as these are  
294 more difficult to sample than aquaculture farms.

295

### 296 *2.3 Introduction of area codes*

297 A major modification to the database took place in 2003 when the coastline of each country  
298 was divided into 'areas' approximately 200km in length and each assigned a unique area  
299 code. This allowed events extending over wide spatial areas to be reported as a single  
300 event, spanning multiple area codes. Prior to this, these widespread events had been  
301 entered as multiple individual records covering different areas. Figure 1A shows the central  
302 point of the area codes included in this study. Reports from the Canary Islands, the Azores  
303 archipelago, the Madeira archipelago and the Selvagens Islands are included in this study.  
304 Figure 1B presents the geography of Macaronesia. The Selvagens Islands are assigned to  
305 the HAEDAT area code that includes the Madeira archipelago (see Figure 1A/1B).

306

### 307 *2.4 Distribution of data entry*

308 Some countries have not been able to consistently contribute to HAEDAT and their datasets  
309 are therefore incomplete. The lack of HAEDAT entries from these countries does not mean  
310 that no harmful algal events have occurred, and the paucity of entries may represent an  
311 incomplete dataset. For example in the area studied herein, the low number of reported  
312 events from Iceland and Faroe Islands indicate incomplete datasets.

### 313 *2.5 Phycotoxins in Shellfish*

314 Within the European North Atlantic area included in this study there are 2,345 HAEDAT  
315 records until the end of 2018. Of these ~80% relate to management actions associated with  
316 levels of phycotoxins in shellfish flesh (referred to here as shellfish toxins) exceeding  
317 regulatory limits. This means monitoring effort and thus the potential to detect events can be

318 influenced by the scale and intensity of aquaculture and wild shellfish harvesting. Figure 2  
319 shows the current distribution of sites for routine monitoring of shellfish toxins within the  
320 study area.

321 Monitoring for phycotoxins associated with different toxin syndromes began at different times  
322 in different countries. For example routine monitoring for PSTs began between 1986 and  
323 1995 with some countries monitoring local areas since the 1960s, DSTs between 1986 and  
324 1992, ASTs between 1995 and 1999 (Table 1). All analytical and assay methods currently  
325 employed for the quantitative and qualitative monitoring of phycotoxins in shellfish detection  
326 have undergone progressive refinement, standardization and/or replacement within the past  
327 three decades. In some instances regulatory limits have changed e.g. yessotoxins (YTXs)  
328 changed from 1 mg kg<sup>-1</sup> in 2002 to 3.75 mg kg<sup>-1</sup> in 2013. Furthermore, individual countries  
329 have adopted new methodologies and protocols within their respective regulatory framework  
330 at different rates. This is problematic for the direct comparison of toxin data recorded in  
331 national databases. Within Europe, general adoption of standardized reference methods  
332 certified by AOAC and/or European regulatory authorities, and greater availability of certified  
333 analytical toxin standards and reference materials, has alleviated many of these  
334 discrepancies. For example, standardised liquid chromatography -ultra violet ( LC-UV)  
335 methods are now used for analysis of amnesic shellfish toxins (ASTs), whereas almost  
336 complete replacement of mouse (MBA) or rat (RBA) bioassays for paralytic shellfish toxins  
337 (PSTs) and lipophilic toxins (LTs) have taken place. LTs are now routinely monitored by LC  
338 coupled to tandem mass spectrometry (LC-MS/MS) whilst PSTs are analysed using an  
339 approved LC- fluorescence detection (LC-FD) method. Metadata on the monitoring methods  
340 from countries involved in this study are presented in Table 1A. Current regulatory limits for  
341 shellfish toxins are presented in Table 1B.

## 342 *2.6 Fish kills*

343 The mechanism for reporting fish mortalities is less formal than that for shellfish toxins, being  
344 reliant on industry reporting local events at sites of aquaculture operation and members of

345 scientific agencies or the public reporting mortalities of wild fish. Whilst most major mortality  
346 events *are reported* to national agencies (sometimes with a time lag), some kills of wild or  
347 farmed fish occur where the association with phytoplankton blooms may be ambiguous or  
348 happen in remote areas, thus such events may be underreported.

349

### 350 *2.7 High biomass blooms, benthic mortalities, scums and foams*

351 Impacts from high biomass blooms are poorly recorded in HAEDAT as there is no category  
352 to specifically record these events. The classification 'high phytoplankton count' has been  
353 used and in some instances these blooms are simultaneously recorded in the 'water  
354 discolouration' and 'mass mortality' categories. Also included in the 'high phytoplankton  
355 count' are records of when phytoplankton trigger levels have been exceeded and have been  
356 associated with shellfish toxin events. Some records of high phytoplankton counts have  
357 been entered in HAEDAT which are not associated with any known harmful event. Thus the  
358 'high phytoplankton count' category needs to be screened to remove these records when  
359 investigating high biomass bloom events that impact the ecosystem. France is the only  
360 country in the study area with a formal monitoring programme (*Phenomer*  
361 <https://www.phenomer.org/>) for reporting water discolourations (Siano et al., 2020) and these  
362 data have been included in HAEDAT. In other countries reports of water discolourations,  
363 scums, foams and benthic mortalities are reliant on members of the public providing  
364 information to national editors and may be underrepresented in HAEDAT.

365

### 366 *2.8 Ciguatera poisoning events*

367 Only ciguatera poisoning (CP) events arising from consumption of endemic fish are currently  
368 recorded in HAEDAT from Europe. Two records of fishery closures to protect human health  
369 from CP have been entered from Portugal (Selvagens Islands) in 2013 and Spain (Canary  
370 Islands in 2016). HAEDAT records from Europe have been associated with human poisoning



371 outbreaks associated with individual contaminated fish species such as *Balistes capriscus*,  
372 *Canthidermis sufflamen*, *Epinephelus* sp., *Mycteroperca fusca*, *Pagrus pagrus*, *Pomatomus*  
373 *saltatrix*, *Seriola dumerili*, *Seriola fasciata* and *Seriola rivoliana*.

374

### 375 *2.9 HAEDAT metrics*

376 Due to way that HAEDAT has evolved since its inception, the number of HAEDAT events is  
377 not used as a metric within the ICES-IOC WGHABD dataset. Instead within the North  
378 Atlantic area, the metrics used are the number of years with events per area code, or the  
379 number of area codes with events. For a more robust analysis, it is recommended that the  
380 national editors be contacted for access to the raw phycotoxin/microalgal monitoring  
381 datasets and comprehensive metadata. It is important to avoid double counting of events  
382 due to inconsistent event assembly, e.g. entering multiple records from one area, when they  
383 should be combined as one. HAEDAT data from 1998 onwards should be used when  
384 examining changes over time from the countries in this study.

385

### 386 *2.10 Production of maps, bar charts and tables*

387 The maps in Figures 4 - 10 show the number of years with events per area code for each  
388 toxin syndrome. HAEDAT data from Iceland, the Faroe Islands, UK, Ireland, France (area  
389 codes 1 – 11), Spain (area codes 1-11, 28 -29) and Portugal have been used (Figure 1A).  
390 The total number of years with HAEDAT events per area code up until 2018 has been  
391 assembled and plotted using R. Maps of HAEDAT data from 1999 until the end of 2018 is  
392 presented in five year blocks to show regional changes over time. Barcharts showing the  
393 total number of area codes with events per year since monitoring began are plotted in Figure  
394 3. These barcharts are plotted per country to avoid differences between countries in  
395 interpreting and assembling a harmful algal event biasing trends over time.

396 The incidence of human illness in the study area is summarised in Table 2 gathered from  
397 medical and epidemiological reports, and the scientific literature. The maximum toxin levels  
398 recorded in shellfish from the study area has been gathered from the raw monitoring data is  
399 presented in Table 3. Table 1A highlights the differences between countries in reporting  
400 units for the different toxin groups and so the units in Table 3 have been standardised to the  
401 reporting units described in regulation 853/2004 to provide consistency between countries.  
402 Harmful algal species from the IOC-UNESCO Taxonomic Reference List of Harmful  
403 MicroAlgae (<http://www.marinespecies.org/hab/>) as well as species associated with mortality  
404 events recorded in the study area are listed in Table 4.

### 405 **3. Results**

#### 406 *3.1 HAEDAT areas with events*

407 Figure 3 shows the number of HAEDAT area codes per year where harmful algal events  
408 have been recorded in this study from 1987 to 2018. The data in Figure 3 is sensitive to  
409 monitoring, reporting effort and efficiency. In some countries how events have been  
410 assembled and entered in HAEDAT have changed over time. For example, in the HAEDAT  
411 dataset from Ireland the criteria for assignment of events changed from 2002 (see Suppl.  
412 Information 1) which resulted in a decrease in the number of HAEDAT area with events, e.g.  
413 for DSTs (Figure 3E) which can give the appearance of a declining trend. In the UK a  
414 reduction in monitoring effort during 2005 means that DST events may be underrepresented  
415 during that year (Figure 3D).

416 Figure 3 shows the interannual variability in the number of HAEDAT areas with events  
417 associated with different toxin types. Events associated with DSTs have been recorded in  
418 multiple HAEDAT areas during most years whereas fewer areas have experienced events  
419 associated with PSTs and they are more regionally and temporally variable. Ireland exhibits  
420 the highest number of HAEDAT areas with AZT events (Figure 3E), while Spain and

421 Portugal are the only countries where events associated with endemic CP have been  
422 recorded (Figures 3 A and B).

423 In some countries the introduction of toxin monitoring for new syndromes e.g. introduction of  
424 monitoring for ASTs, or detection of new syndromes such as CP resulted in an increase in  
425 the total number of areas with events per year. The start dates of routine monitoring for the  
426 different toxin syndromes is given in Table 1A and this is reflected in the individual bar charts  
427 of the countries from when events are first recorded, e.g. 1995 and 1998 for AST events in  
428 Spain and the UK, respectively (Figure 3). Ireland and the UK show a reduction in the  
429 number of areas with AST events since monitoring started.

### 430 *3.2 Harmful Algal Events*

431 In this section the spatial and temporal distribution of harmful algal events using data from  
432 HAEDAT are described as well as their incidence prior to HAEDAT, causative organisms  
433 and their ecology.

#### 434 *3.21 Paralytic Shellfish Toxins (PST) Events*

##### 435 *3.211 Event description*

436 Consumption of shellfish contaminated with Paralytic Shellfish Toxins (PSTs) can result in  
437 the human illness, Paralytic Shellfish Poisoning (PSP). The earliest reports of human illness  
438 and fatalities putatively associated with PSTs in northern Europe were from the UK between  
439 1827 and 1909, (Ayres 1975), Ireland in 1872 and 1890 (Ayres 1975), Portugal in 1946 and  
440 1955 (Correia 1946, Pinto and Silva 1956) and the UK in 1959 (Ayres 1975). In 1968 78  
441 people in the UK were admitted to hospital with symptoms of PSP (Ayres and Cullum, 1978)  
442 and in Galicia, Spain in 1976, a major PSP outbreak poisoned 176 people from several  
443 European countries (Lüthy 1979, Gestal et al., 1980). These events led to the establishment  
444 of the first European monitoring programmes aimed to protect human health and safeguard  
445 the shellfish industry (Campos et al., 1982). In 1984 four people became ill in the Faroe  
446 Islands in 1984 after eating mussels containing high levels of PST toxins (Mortensen 1985).

447 The incidence of human illnesses associated with PSTs within the study areas are  
448 summarised in Table 2.

449

450 HAEDAT records of PST events from the region in this study start from the mid-1980s –  
451 1990s (see Table 1). The majority of records are high concentrations of PSTs exceeding  
452 regulatory limits and have resulted in closures of shellfish harvesting areas. Figures 3 and 4  
453 show that concentrations of PSTs regularly exceed regulatory limits, although these events  
454 are not reported annually in all areas. The maximum toxin levels recorded for different  
455 shellfish species are presented in Table 3. Maximum levels have been recorded in mussel  
456 species (*Mytilus edulis*, *M. galloprovincialis*) while PST levels in oyster species (e.g., *Ostrea*  
457 *edulis*) are relatively low. Figure 4 (A – E) shows that PST events are not continuously  
458 distributed in areas with routine monitoring, but have been recorded along the Atlantic coast  
459 of Spain and Portugal, northwest France, (southern Ireland, south west of the UK, and the  
460 east and west coast of Scotland and Orkney and Shetland islands. There are some  
461 HAEDAT areas where PSTs have not been detected above regulatory limits since routine  
462 monitoring began, such as along the east and west coasts of Ireland and the eastern part of  
463 the English Channel. Records of events are sparse where monitoring effort is low (Figure 2),  
464 such as the southern part of the Bay of Biscay and the south east coast of England.

465 Records from the Faroe Islands are incomplete; however, a closure of a shellfish harvesting  
466 area was enforced in 1989 due to high levels of PSTs. In Iceland, there was a precautionary  
467 closure of shellfish harvesting areas in 1994 due to high cell densities of the dinoflagellates  
468 *Alexandrium catenella* (Whedon & Kofoid) Balech, 1985 (formerly identified as *Alexandrium*  
469 *tamarense* (Lebour) Balech 1995) and *A. ostenfeldii* (Paulsen) Balech & Tangen 1985.

470 Events associated with PSTs have been recorded in the HAEDAT data from Iceland entered  
471 since 2016.

472 Since monitoring of shellfish for the presence of PSTs became routine across Europe, there  
473 have been six incidents of human illness associated with PSTs from the Atlantic coast (Table  
474 2), from Spain in 1993 and 1994 (DXSP, 1996), and mainland Portugal in 1994, 2007, 2018  
475 due to ingestion of PST-contaminated wild-harvested shellfish (cockles, clams). These  
476 Iberian mainland PST events coincided with blooms of the dinoflagellate *Gymnodinium*  
477 *catenatum* H.W.Graham 1943 (de Carvalho et al., 1998, Rodrigues et al., 2012, de Carvalho  
478 et al., 2019). In São Jorge Island in the Azores archipelago, a bloom of *A. minutum* Halim  
479 1960, in 2013 caused a multifaceted PST-event including human illness (Santos et al.,  
480 2014). PSTs have also been associated with a few mortality events impacting both wild and  
481 farmed fish and domestic animals such as dogs (section 3.2713).

482

### 483 3.212 Causative organisms

484 The diversity of PST producing organisms in this study area are listed in Table 4. Regional  
485 diversity exists, with three dinoflagellate species responsible for the PST events along the  
486 Atlantic coast of Europe although additional PST toxin producers have been recorded. Along  
487 the west coast of the Iberian Peninsula the dominant species associated with PST events is  
488 the dinoflagellate *G. catenatum*. Within Europe, high cell abundances of *G. catenatum* are  
489 restricted to the Atlantic coasts of Spain and Portugal, with further occurrences in the  
490 Mediterranean Sea (Jaén et al., 2008). The toxin profile of *G. catenatum* in Spain and  
491 Portugal is distinct from that produced by species of *Alexandrium* and is dominated by the N-  
492 sulfocarbamoyl toxins (C1&C2; C3 & C4), the gonyautoxins GTX5&6 (Anderson et al., 1989,  
493 Oshima et al 1993) and the hydroxybenzoate toxins GC 1&2, 3 and 6. Other PSTs from  
494 these same sub-classes, or the decarbamoyl group, may also be present in *G. catenatum*  
495 from Iberia, but made up only a low proportion of the total toxin composition (Costa et al.,  
496 2015).

497

498 *Alexandrium minutum* has a wide geographic range in Europe and has also been  
499 responsible for distinct PST events in the Iberian Peninsula (Vale et al., 2008), where it has  
500 bloomed frequently in the northern and southern Rias of the Galician coast as well as in  
501 Óbidos lagoon in Portugal. *Alexandrium minutum* is a key cause of PST events on the  
502 Atlantic coast of France, and along the English Channel, where it affects the southwest UK,  
503 and the south of Ireland (Nascimento et al., 2005, Percy 2006, Touzet et al., 2010, Lewis et  
504 al., 2018). Geographic variability is apparent in the toxin profile of *A. minutum*, with strains  
505 from north west Spain producing GTX1 and GTX4 as the principal toxins (Franco et al.,  
506 1995), whereas GTX2 and/or GTX3 are more dominant in strains from Ireland, southwest  
507 UK and France (Lewis et al., 2018). In Ireland and the UK both toxigenic and non-toxigenic  
508 strains of *A. minutum* have been recorded (Touzet et al., 2007, Brown et al., 2010). There is  
509 a clear relationship between the presence of toxigenic *A. minutum* and PST events in the  
510 south of Ireland and south west of the UK (Touzet et al., 2007, Lewis et al., 2018).

511 Further north, PST events on the west and east coasts of Scotland, and including the  
512 Orkney and Shetland Islands, have been associated with *A. catenella* (Whedon & Kofoid  
513 Balech 1985. This toxin-producing species has previously been designated as *Gonyaulax*  
514 *excavata* (Braarud) Balech 1971, *A. fundyense* Balech 1985, *A. tamarense* North American  
515 ribotype and/or *A. tamarense* Group I ribotype before taxonomic studies reassigned this  
516 taxon to *A. catenella* (Higman et al., 2001, Collins et al., 2010, John et al., 2014, Fraga et al.,  
517 2015). The PST profile of *A. catenella* (reported as *A. tamarense* Group I) from Scotland  
518 contains a range of congeners, being saxitoxin (STX), neosaxitoxin (Neo), gonyautoxins  
519 GTX1&4 and C1&2; other detected toxins included gonyautoxins GTX2&3 as well as GTX5  
520 (=B1) (Brown et al., 2010). PST-producing *A. catenella* (identified as *A. tamarense*) has also  
521 been reported from the Faroe Islands (Mortensen 1985, Moestrup and Hansen 1988) and  
522 Iceland (Burrell et al., 2013).

523

524 3.213 *Ecology*

525 Along the western Iberian Peninsula the timing of *G. catenatum* blooms has been associated  
526 with relaxation of upwelling events at the end of summer and subsequent advection into  
527 coastal areas (Fraga et al., 1988, Bravo et al., 2010, Hallegraeff et al., 2012). *Gymnodinium*  
528 *catenatum* populations growing offshore on the Lisbon shelf can be carried poleward as  
529 upwelling relaxes and surface flow carries vegetative cells northward. As these cells are  
530 transported onshore they proliferate in coastal waters and have been detected along the  
531 northwest Portuguese coast (Pitcher et al 2010, Botelho et al., 2019). If these advected  
532 populations reach the Galician coast of Spain, *G. catenatum* blooms are enhanced within the  
533 complex rias system where the bays and inlets act to concentrate advected *G. catenatum*  
534 populations originating in shelf waters (Tilstone et al., 1994). In addition, there is evidence  
535 for locally driven populations in lagoons in Portugal (Brito et al., 2012), as well as within  
536 other embayments where they can proliferate alongside upwelling boundaries, being  
537 retained and concentrated by local water circulation. The first record of *G. catenatum* cysts  
538 in the sediment in this area comes from the late 1800s in southern Portugal. The mode of  
539 entry for this species into Portuguese waters is not clear, with advection from the African  
540 coast or introduction by ships ballast both suggested as mechanisms (Ribeiro et al., 2012).

541 The majority of *A. minutum* blooms are observed in coastal embayments e.g. within the  
542 Galician Rias and along the Portuguese coast (Bravo et al., 2010, Franco et al., 1992) . The  
543 Bay of Brest in northwest France has been subject to closures of shellfish harvesting areas  
544 almost annually since the occurrence of a bloom of *A. minutum* in 2012 when PSTs in  
545 shellfish exceeded regulatory limits (Chapelle et al., 2015, Belin et al., this issue). Molecular  
546 methods have shown cysts *A. minutum* have been present in the area since the late 1800s  
547 becoming more abundant during the last 20-30 years and are currently widespread (Klouch  
548 et al., 2016a, 2016b). Cysts beds of *A. minutum* are also present in the Fal Estuary in the  
549 south west of England (Blanco et al., 2009) and Cork Harbour in the south of Ireland (Touzet  
550 et al., 2007, Ní Rathaille et al., 2008) where blooms of vegetative cells are responsible for  
551 closures of shellfish harvesting areas.

552 The role of cyst beds in seeding *A. catenella* blooms in the UK remains to be fully  
553 investigated. Studies in the 1990s revealed high cyst abundances in northeast England and  
554 the Firth of Forth on the west coast of Scotland (Lewis et al., 1995, Brown et al., 2010), but  
555 there has not been a subsequent investigation to determine if cyst abundances have  
556 declined or if this influenced vegetative cell densities of *Alexandrium* and hence PST levels  
557 in shellfish. A cyst study from the northwest coast of Iceland found moderate quantities  
558 (maximum ca. 400 cysts cm<sup>3</sup> of surface sediments) of *A. catenella* (reported as *A.*  
559 *fundyense*) cysts (Richlen et al 2016) in association with PSTs in bivalve shellfish (e.g., the  
560 Arctic clam *Arctica islandica*) (Cembella et al., 2016). Laboratory studies on the germination  
561 and growth kinetics of cultures from cysts from Icelandic fjords (Richlen et al., 2016) support  
562 the hypothesis that the conditions in shallow Icelandic fjords would be suitable for  
563 proliferations of *A. catenella* from indigenous, self-seeding blooms in these areas.

#### 564 3.214 Changes over time

565 The first published report of *G. catenatum* as the suspected agent of the 1976 PSP event in  
566 Galicia was based on the taxonomic identification of this chain-forming dinoflagellate  
567 (Estrada et al., 1984) that was newly observed in European waters. This species was not  
568 reported again in Iberia until 1985 (Fraga et al., 1988), then occurred annually until 1995 but  
569 was subsequently not observed until 2005 (Vale et al., 2008). Interannual variability in the  
570 number of area codes with PST events from the HAEDAT areas in Portugal and Spain  
571 reflect this pattern of *G. catenatum* even though PST events associated with *A. minutum* are  
572 included (Figure 3A - B) and also in the dearth of areas with PST events along the  
573 Portuguese coast between 1999 and 2004 (Figure 4B).

574 Further north, *A. minutum* is associated with PST events along the Atlantic coast of France.  
575 Figures 4(B– E) shows that PST events are not recorded every year in that area with a six-  
576 year period (2004 – 2009) within which no PST events were reported (Figure 3C).

577 *A. minutum* is also responsible for PST events in southwest England, the Channel  
578 (Nascimento et al., 2005, Percy 2006, Lewis et al., 2018), and Cork Harbour in southwest



579 Ireland (Touzet et al., 2010). Interannual variability has been observed in the occurrence of  
580 PST events in these areas (Figure 3, Figure 4 B-E). Although *A. minutum* has been  
581 associated with management actions involving shellfish harvesting closures in southwest  
582 England the majority of PST events within the UK have been recorded from Scottish waters,  
583 including the North Sea and east and west coasts, and the Orkney and Shetland islands.  
584 High PST reports in shellfish in Scottish water are invariably (when confirmed) associated  
585 with *A. catenella*. Very high PST levels spatiotemporally linked with a bloom of *A. catenella*  
586 were recorded on both the east and west coasts of Scotland in 1990 (maximum >10,000 µg  
587 STXeq kg<sup>-1</sup>). The number of areas with recorded PST events increased from 1990 as  
588 monitoring expanded to deal with the apparent enhanced risk from PSTs. The number of  
589 areas with events then declined until 2004 due to a decline in the recorded levels of PSTs in  
590 shellfish from Scotland (Bresnan et al., 2008) when there were no management actions for  
591 PSTs enforced for Scottish waters, but subsequently increased after 2011 (Turner et al.,  
592 2014). This pattern of events is captured in Figure 3D. The number of sites monitored on the  
593 Orkney Islands declined from 2000 with shellfish from only two sites being received in recent  
594 years (Marine Scotland/Food Standards Scotland unpubl data).

595

### 596 3.22 Diarrhetic Shellfish Toxins (DST) Events

#### 597 3.221 Event description

598 The first records of human illness apparently associated with what were later defined as  
599 Diarrhetic Shellfish Toxins (DSTs) (Yasumoto et al., 1978) came from the Netherlands in  
600 1961, with a further four events recorded from the Netherlands (Kat, 1983), and also from  
601 Spain by 1981 (Campos et al., 1982) (Table 2). Among all phycotoxin-related harmful algal  
602 events, those associated with DSTs have had the biggest impact along the Atlantic coast of  
603 Europe since routine monitoring began, both in terms of geographical distribution and  
604 frequency. Figure 3 shows that DST events were recorded in the most HAEDAT areas in this  
605 study. The majority of events are associated with closures of shellfish harvesting areas,

606 historically due to DST-positive mouse or rat bioassays results, and since the introduction of  
607 analytical chemical methods into the European regulatory framework to the presence of  
608 DSTs above regulatory limits (see Table 1A). The maximum levels of DSTs in bivalve  
609 shellfish species from the study area can be seen in Table 3.

610 The DSTs are considered within a wider group of phycotoxins, termed Lipophilic Toxins  
611 (LTs), because of their high extractability in relatively non-polar organic solvents for  
612 application in lipophilic MBA and chemical analytical methods. In addition to DSTs the  
613 general classification LT comprises structurally diverse groups of phycotoxins, e.g.  
614 yessotoxins (YTX), pectenotoxins (PTX), cyclic imines, including spirolides (SPX) and  
615 pinnatoxins, and azaspiracids (AZA). Members of these various LT groups vary widely in  
616 specific potency in the lipophilic MBA, mode of action, and respective toxicity syndromes  
617 elicited in human consumers of contaminated seafood. Interpretation of toxicity risk of LTs  
618 from the MBA is further complicated by the fact that multiple groups they may co-occur  
619 within seafood and may be derived from different toxigenic source organisms.

620 Strictly structurally defined, DSTs are restricted to okadaic acid (OA) and related  
621 dinophysistoxin (DTX) analogues, but early work based on DSP MBA and RBA toxicity  
622 included PTXs and often also YTXs in the “DSP toxin group”. Confusingly, not all structurally  
623 defined DSTs are diarrheagenic (or the property is unknown), and many LTs cause “DSP-  
624 like” symptoms (e.g., abdominal pain, cramps and diarrhoea) but are defined within other  
625 syndromes.

626 True DSTs known to cause DSP in humans and found in shellfish with the northeastern  
627 Atlantic margin region are most commonly OA, DTX1 and DTX2, together with their  
628 esterified counterparts, e.g. members of the DTX3 sub-group. The presence of AZAs in  
629 shellfish has also been linked to “DSP-type” events in Europe (Alexander et al., 2008; Twiner  
630 et al., 2008) given the diarrheic symptomology associated with Azaspiracid Shellfish  
631 Poisoning (AZP) (Blanco et al., 2017). Pectenotoxins (PTXs) and yessotoxins (YTXs) are  
632 also toxic to mice following intraperitoneal administration in the lipophilic MBA, but there is

633 no evidence for any oral toxicity in humans (Munday, 2014; Miles et al., 2004; Torgersen et  
634 al., 2008).PTXs are currently included in the OA-group toxins during the calculation of total  
635 OA equivalents per kg of shellfish tissue but are in the process of being deregulated. Levels  
636 of YTXs are low in bivalve shellfish from this study area (Table 3). There have only been two  
637 incidents of YTXs (2011 and 2012 on the west coast of Scotland) above the regulatory limit  
638 ( $1\text{mg kg}^{-1}$ ) (Dhanji- Rapkova et al., 2019).

639 Until 2011, all DSP regulatory controls in Europe were performed with the DSP MBA, which  
640 provided only a qualitative indication of OA/DTX toxins plus a range of neurological clinical  
641 signs indicating the possible presence of other LTs, such as AZAs and YTXs (Ito et al.,  
642 2000) and YTXs (Dominguez et al., 2010). Subsequently, quantitative LC-MS/MS has been  
643 adopted as the EU reference method for monitoring all regulated LTs (McNabb et al., 2005),  
644 enabling the accurate assessment of LT levels in shellfish marketed in Europe, including the  
645 OA/DTX group specifically associated with the DSP syndrome (EURLMB, 2015).

646 Figure 5 A-E shows that the distribution of DST events is widespread along the Atlantic  
647 coast of Europe for the last 20 years. HAEDAT areas with less Atlantic water influence and  
648 are more tidally mixed, e.g. the southeast coast of England and the Irish Sea coast have had  
649 fewer DST events recorded. Areas with few or no shellfish farms do not have many recorded  
650 HAEDAT events. For example, commercial aquaculture has only recently been implemented  
651 in open marine waters of the Basque region of northern Spain and thus reported data for this  
652 area are still scarce. This does not mean that harmful species/shellfish toxins have not been  
653 present in these regions, but rather that shellfish have not been commercially harvested at a  
654 large scale, and thus the sites have not been monitored frequently or required to implement  
655 management actions. No management actions associated with DSTs have been recorded  
656 from the Azores or Canary Islands, although okadaic acid (OA) and other DSTs have been  
657 recorded in several bivalve shellfish species and other vectors in the Azores archipelago  
658 (Silva et al., 2015).

659 Human illness associated with DSTs (Diarrhetic Shellfish Poisoning (DSP)) in this study area  
660 have been reported in most countries in this study area, and is the toxin syndrome  
661 responsible for the most incidents. Three events before monitoring of shellfish for DSTs  
662 impacted more than 1,000 individuals (Spain 1981, France 1983 & 1984). Since monitoring  
663 became routine, the number of individuals afflicted with DSP has been considerably less.  
664 These incidents are summarised in Table 2.

665

### 666 3.222 Causative organisms

667 Diarrhetic shellfish toxins are produced by certain species within the genera *Dinophysis*  
668 Ehrenberg, 1839 and *Prorocentrum* Ehrenberg, 1834. Table 4 shows that a diverse range of  
669 potentially toxigenic *Dinophysis* species are found along the Atlantic coast of Europe.  
670 *Phalacroma rotundatum* (Claparède & Lachmann) Kofoid & J.R.Michener, 1911 (formerly *D.*  
671 *rotundata* Levander, 1894) has been included in the table as it is on the  
672 IOC-UNESCO Taxonomic Reference List of Harmful MicroAlgae  
673 (<http://www.marinespecies.org/hab/>) although it has not been associated with harmful events  
674 in this region and evidence for toxigenicity of this heterotrophic species is highly doubtful  
675 (Cembella et al., 1989; Pleasance et al., 1990; González-Gil et al., 2011). The dominant  
676 species responsible for DST accumulation in bivalve shellfish along the Atlantic coast of  
677 Europe is *Dinophysis acuminata*, with *D. acuta* Ehrenberg, 1839 also an important  
678 contributor of DSTs. Two additional related species, *D. sacculus* F.Stein, 1883 and *D. ovum*  
679 (F.Schütt) T.H.Abé, with morphology similar to *D. acuminata* are also recorded in the region.  
680 Detailed studies of *D. acuminata* and *D. sacculus* have shown that these species can be  
681 distinguished by the shape of their hypothecal plates (Zingone et al., 1998), but intermediate  
682 morphological forms have been observed in samples from Galicia, Spain (Bravo et al.,  
683 1995). Raho et al., 2008 used morphological characteristics and molecular analysis to  
684 distinguish between *D. acuminata* and *D. ovum*, finding that the mitochondrial cytochrome  
685 oxidase 1 (*cox1*) gene could distinguish between them. Studies on Korean strains of *D.*

686 *acuminata* and *D. ovum*, however, could not categorically discriminate between the two  
687 species by morphological criteria and the *cox1* gene (Park et al., 2019). This complicates the  
688 definitive attribution of DST events to particular taxa within the *Dinophysis* genus. Such  
689 morphological variability has led to these species for many years being referred to as the  
690 “*Dinophysis acuminata* complex” (Lassus and Bardouil, 1991, Reguera et al., 2012).

691 Nevertheless, some monitoring programmes separately record individual species in routine  
692 monitoring programmes based on their morphology, as notated in Table 4.

693 *Dinophysis* species occurring within the North Atlantic margin region may produce a diverse  
694 array of DSTs and other LT analogues. Both *D. acuminata* and *D. acuta* produce OA, with *D.*  
695 *acuminata* also producing dinophysistoxin-1 (DTX 1) and PTXs; *D. acuta* more often  
696 associated with production of DTX 2, but in some instances along the Iberian Peninsula this  
697 species was also found to produce PTXs (Fernandez et al., 2006). *Dinophysis caudata*  
698 Saville-Kent, 1881 and *D. sacculus*, both known producers of OA and DTXs, along with *D.*  
699 *tripos* Gourret, 1883, a PTX producer, exhibit a more southerly distribution in Europe, along  
700 the Iberian and French Atlantic coasts (Table 4). Recently, *D. tripos* has been found in  
701 increasing cell abundance and frequency in Irish waters (Salas and Clarke, 2019), and  
702 occasionally cells are recorded in waters in the west of Scotland (S. Swan pers. comm.).

703 *Dinophysis norvegica* Claparède & Lachmann, 1859 is typically recorded in more northerly  
704 waters of the northeastern Atlantic, e.g., Iceland and the Faroe Islands, although there are a  
705 small number of records from further south (Table 4). *Dinophysis norvegica* can produce OA  
706 and DTX1 (Lee et al., 1989), as well as PTX (Miles et al., 2004). Recently *D. norvegica* from  
707 Maine in the USA has been found to produce dihydro-DTX1 (Deeds et al., 2020). OA and  
708 DTX 1 are particularly associated with blooms of the species and DST events recorded in  
709 HAEDAT from the Norwegian Sea and western coast of Sweden (see Karlson et al., this  
710 volume and references cited therein).

711 Benthic species of the dinoflagellate genus *Prorocentrum* Ehrenberg are globally known as  
712 diverse sources of DSTs (Durán-Riveroll et al., 2019) but unlike certain *Dinophysis* spp., do

713 not apparently produce PTXs. *Prorocentrum lima* (Ehrenberg) F.Stein, 1878 is a confirmed  
714 producer of DSTs in the coastal waters of the UK (Foden et al., 2005), Galicia, Spain (Bravo  
715 et al., 2001) and Portugal (Vale et al., 2009), and is also recorded in the study area (Table  
716 4). *Prorocentrum hoffmannianum* M.A.Faust, 1990 has also been identified as an OA-  
717 producer in the Canary Islands (Rodríguez et al., 2018) and *P. cf rhythmum* A.R.Loeblich  
718 III, Sherley & Schmidt, 1979 has been recorded in Portugal (Table 4). There are no  
719 confirmed DST events associated with these species in the Atlantic waters of Europe.  
720 Phytoplankton monitoring focuses on pelagic species and thus incidents associated with  
721 benthic or epiphytic species may be underreported.

### 722 3.223 Ecology

723 Members of the *Dinophysis acuminata* complex are coastal species and tolerant of a wide  
724 range of environmental conditions. They are present over a long growing season, in some  
725 areas occurring from spring to late summer/early autumn (Reguera 1993, Reguera et al.,  
726 2012, Whyte et al., 2014, Swan et al., 2018, Fernandez et al., 2019, Salas and Clarke 2019).  
727 The occurrence of *D. acuta* is more regionally and temporarily variable, often blooming later  
728 in the summer/early autumn in Spain, Portugal, France, Ireland and the UK (Reguera et al.,  
729 1993, Moita et al., 2006, Vale et al., 2008, Reguera et al., 2012, Diaz et al., 2016, Dhanji-  
730 Rapkova et al., 2018, Swan et al., 2018, Fernandez et al., 2019 Salas and Clarke 2019,  
731 Belin et al., in press). The occurrence of *D. acuta* blooms in late summer and early autumn  
732 can mean that DSTs persist in shellfish over winter, and as a result shellfish harvesting  
733 areas can remain closed for lengthy periods, e.g., up to nine months.

734 Hydrographic conditions and transport play an important role in the development *Dinophysis*  
735 blooms. Both *D. acuminata* and *D. acuta* can form thin-layers at the thermocline or  
736 pycnocline (Moita et al., 2006). In the Galician Rias, the onset of *D. acuminata* blooms is  
737 associated with the beginning of upwelling, but blooms of this species can occur from spring  
738 to autumn (Reguera et al., 1993, Moita et al., 2016). In contrast, *D. acuta* blooms are  
739 associated with the relaxation of upwelling at the end of summer and northward longshore

740 transport from an epicentre near Aveiro, Portugal into the Galician Rias, a transport journey  
741 of 100s of kms (Moita et al., 2006, Escalera et al., 2010, Diaz et al., 2019). Sudden  
742 outbreaks of *D acuta* in the Galician Rías can be explained by this advection demonstrating  
743 that advective effects are equally important as the local conditions for bloom development  
744 (Moita et al., 2016).

745 Local hydrodynamics and weather events can also influence DST events in coastal areas.  
746 Wind driven advections coupled to tidal forcing have been responsible for the transport and  
747 the dispersal of *D. acuminata* blooms to the coast of Normandy, France (Soudant et al.,  
748 1997). In the Bay of Biscay, coastal *D. acuminata* events have been related to the onshore  
749 transport from offshore eddies (Xie et al., 2007) or transport through river plumes (Velo-  
750 Suarez et al., 2010, Batifoulier, et al., 2013), both resulting in physical water structures  
751 trapping phytoplankton populations. Strong westerly winds inducing northwards currents  
752 have been observed prior to *Dinophysis* events suggesting winds as potential mechanisms  
753 of cross-shelf transport of off shore populations towards shellfish farms zones (e.g. into  
754 Arcachon Bay) (Batifoulier, et al., 2013). Winds have been also indicated as potential causes  
755 of collapse of *Dynophysis* blooms (Velo Suarez et al., 2010).

756 Offshore *Dinophysis* populations have been observed at the tidal front in the Celtic Sea off  
757 the south east coast of Ireland (Raine et al., 2017). These populations can be transported  
758 into bays where shellfish are harvested, with the bloom driven by prevailing winds and  
759 transported in coastal jets along the coast (Farrell et al., 2012, Salas and Clarke 2019). In  
760 Scotland prevailing winds resulted in a *Dinophysis* population being trapped in Loch Fyne in  
761 Scotland during the summer of 2009 causing sustained closures due to DST levels above  
762 the regulatory limit for many weeks (Morris et al., 2010). A sudden change in wind direction  
763 resulted in onshore advection of an offshore *Dinophysis* population, leading to a rapid rise in  
764 DST levels in shellfish in the Shetland Islands in 2013, and causing several cases of human  
765 illnesses with DSP symptoms (Whyte et al., 2014). A study in the Clyde Sea in on the west  
766 coast of Scotland has shown that the formation of hydrographic fronts can prevent the entry

767 of *Dinophysis* populations into sea lochs, protecting the shellfish harvesting areas within  
768 (Paterson et al., 2017).

### 769 3.224 Changes over time

770 The criteria used to judge the presence of DSTs over regulatory limits were not consistent  
771 among European countries until 2004. For example, in Galicia a positive DST MBA result  
772 requiring regulatory action was considered when 2 out of the 3 test mice died in less than 12  
773 h, while in the rest of Spain 24 h was used, and in the UK, France and Portugal a 5 h  
774 observation period was adopted (Gestal-Otero, 2003). European regulatory legislation until  
775 then required only that the customary biological methods had to give a negative result  
776 (91/492/EEC). This death time observational period was standardized to 24 h in 2002  
777 (2002/225/EC), as well as the allowable levels for each of the toxins involved in the DSP  
778 syndrome. In spite of these changes, and along with the move to chemical methodologies  
779 (see Table 1) in some countries the annual number of HAEDAT areas with DST events is  
780 relatively consistent, as in France and the UK over the last 20 years (Figure 3). In Spain the  
781 number of areas with DST events is also consistent, aside from increase in reporting from  
782 Asturias to HAEDAT and the increase in monitoring effort on the Atlantic coast of Andalusia  
783 since 2013. In contrast, some countries exhibit more interannual variability. In 2001 a low  
784 number of areas with DST events were recorded in Portugal. In Ireland no closures of  
785 shellfish harvesting areas were enforced in 2007 despite consistent monitoring effort (Figure  
786 3).

787

788 Previous studies reported in the scientific and monitoring literature do not reveal significant  
789 trends. Analysis of a 30-year time-series of *D. acuta* occurrence in the Galician Rias (1985 –  
790 2014) showed no evidence of a trend towards increasing frequency or intensity of *D. acuta*  
791 blooms (Diaz et al., 2016), although the time window of *Dinophysis* outbreaks off NW Iberia,  
792 was slightly wider during the decade 2004-2013 in comparison to previous decades (Moita et



793 al., 2016). The maximum number of days that shellfisheries were closed to harvesting in the  
794 Atlantic coast of Andalusia due to DSTs was variable between 2007 and 2017 but without  
795 apparent pattern (Fernandez et al., 2019). Similarly, Belin et al., (this issue) did not find a  
796 trend in DST events along the Atlantic coast of France or within the English Channel. Salas  
797 and Clarke (2019) did not observe an increase in the periodicity or intensity of DSTs in Irish  
798 shellfish from 2005 – 2017. Finally, Swan et al., (2018) described substantial interannual  
799 variability in the presence of *D. acuta* in Scottish waters but without evident decadal trend.

800

801 Species level records of HAB phytoplankton distribution before the 1990s are scarce.  
802 Nevertheless, changes in the distribution of *Dinophysis* (recorded to genus level) from  
803 Continuous Plankton Recorder (CPR) data in the North Sea have been identified, shifting in  
804 abundance from the western North Sea to the south east coast of Norway from the 1960s to  
805 the early 2000s (Edwards et al., 2006). There are reports of a widespread distribution of *D.*  
806 *norvegica* along the east coast of the UK from Scotland to the English Channel before the  
807 early 1980s (Dodge 1981), as well as reports of very high cell densities of this species in the  
808 north east of England in 1971 (Dodge 1977). These reports do suggest changes in the  
809 abundance and distribution of this species in eastern UK waters as *D. norvegica* is currently  
810 infrequently recorded.

811

### 812 3.23 Amnesic Shellfish Toxins (ASTs) Events

#### 813 3.231 Event description

814 The first reported outbreak of AST poisoning in humans occurred in Canada during 1987  
815 caused by consumption of mussels (*Mytilus edulis*) originating from Prince Edward Island in  
816 Atlantic Canada (Bates et al., 1989). At least 153 people were affected with symptoms  
817 including both diarrheic and neurological, including confusion and memory loss and there  
818 were three mortalities (Quilliam et al., 1988, 1989; Quilliam and Wright, 1989; Perl et al.,

819 1990). This event led to the determination of domoic acid (DA) as the causative toxin and the  
820 subsequent rapid development of monitoring methods using liquid chromatography (LC) with  
821 UV or diode-array detection (DAD), for shellfish tissues, and shortly thereafter a more  
822 sensitive fluorescence-based method (LC-FD) for detection in plankton and seawater  
823 matrices (Pocklington et al., 1990, Devez and Delmas 2013). These chemical analytical  
824 methods were implemented into an ongoing regulatory management programme from the  
825 early 1990s for prevention of subsequent outbreaks affecting human health of shellfish  
826 consumers (Quilliam et al., 1991).

827 Although some countries have shifted mostly to LC-MS/MS, at least for confirmatory analysis  
828 of DA in various matrices, LC-UV remains the standard method for routine DA analysis in  
829 shellfish for regulatory purposes in Europe. In addition to DA, there exist other AST  
830 analogues, primarily iso-DA, which can be produced by the causative diatom *Pseudo-*  
831 *nitzschia* spp. and found in AST-contaminated shellfish, but they are less abundant (usually  
832 <10% total AST) and also less potent as glutamate agonists – the toxic mode of action of  
833 ASTs. These AST analogues are, therefore, usually ignored in regulatory monitoring  
834 schemes, even those based on LC-MS/MS analyses.

835 The first management actions in response to ASTs above regulatory levels were  
836 implemented in the late 1990s following the introduction of routine testing in Europe (Figure  
837 3). No records of reported events associated with ASTs exist in this area of Europe prior to  
838 routine monitoring commencing. Events recorded to date are primarily management actions  
839 associated with shellfish harvesting or offshore fishing areas resulting from DA levels  
840 exceeding regulatory limits. There is one record of human illness associated with ASTs from  
841 Spain after a family ate mussels collected from an area where a harvesting ban had already  
842 been implemented (Pazos et al., 2016) (Table 2). Figure 6 shows that the majority of  
843 management actions have been enforced along the Iberian Peninsula, northwest France,  
844 southwest Ireland and the west and north coasts of Scotland. Events along the southern Bay  
845 of Biscay, the English Channel, Irish Sea and western side of the North Sea are rare.

846

847 The maximum level of DA recorded in shellfish species shows variability between species  
848 and between countries (Table 3). In some areas of the Atlantic coastline, e.g., Galicia,  
849 Ireland and Scotland, extended closures of harvesting or fishing grounds for king scallop  
850 *Pecten maximus* and the possibly conspecific Mediterranean scallop *Pecten jacobaeus* have  
851 been enforced as a result of the very slow depuration rate of DA from the digestive- and  
852 gonadal tissues (Blanco et al., 2002, Bogan et al., 2006, Bresnan et al., 2017). These  
853 closures have lasted, at times, for up to or even over a year. This contrasts with the duration  
854 of closures for high DA levels in other bivalve shellfish species such as the mussels *Mytilus*  
855 *edulis* and *M. galloprovincialis* where closures last a few weeks in the majority of cases  
856 (Blanco et al., 2002, Bresnan et al., 2017).

857 In 1999 an area of ~37,000 km<sup>2</sup> in Scottish waters was closed for scallop harvesting with an  
858 approximate economic impact at the time of £17 million (~ \$23 million US) (Gallacher et al.,  
859 2001). These closures were driven by the uptake and slow depuration of DA from the  
860 gonadal tissue of king scallops (*Pecten maximus*) (Campbell et al., 2001, Blanco et al.,  
861 2002, Bresnan et al., 2017). Research into the compartmentalisation of DA within *Pecten*  
862 *maximus* tissues revealed that the digestive glands contained the highest levels of DA while  
863 the lowest were found in the adductor muscle (Campbell et al., 2001, Blanco et al., 2002).

864 The EU Shellfish Directive was amended in 2002 and again in 2004 to facilitate tiered testing  
865 and shucking (for removal of the gonad and/or digestive tissue) and sale of the *Pecten*  
866 *maximus* adductor muscle only when DA concentrations in the whole tissue was <250 µg g<sup>-1</sup>  
867 (now 250 mg kg<sup>-1</sup>) and in the adductor muscle were <4.6 µg g<sup>-1</sup> (now 4.6 mg kg<sup>-1</sup>) with a  
868 move towards end product testing (2002/226/EC, EU854/2004). Since 2005, there has been  
869 no legal requirement for offshore monitoring of *Pecten maximus* fishing grounds in Scotland  
870 by the responsible authority and this time-series of data recording within HAEDAT has  
871 stopped. As a result, the number of HAEDAT areas associated with AST events in Scottish  
872 waters has reduced due to this change in monitoring effort, as can be seen in Figure 6 B - E.

873 In addition, the maximum concentration of DA associated with UK AST event data has also  
874 declined as prior to 2005 the majority of shellfish tested for DA were *Pecten maximus*. Figure  
875 7 A – B shows how maximum toxin concentration in the HAEDAT UK dataset has changed  
876 as a result of this monitoring change in Scottish waters since 2005.

877 The move to shucking and end-product testing was not applied in all countries. In contrast to  
878 the case in Scotland, France began monitoring ASTs in *Pecten maximus* in 2004 and  
879 continues to close *Pecten maximus* harvesting areas when DA levels exceed regulatory  
880 limits, resulting in continued reporting of HAEDAT records associated with ASTs.

881 No marine mortality events have been associated with ASTs in Europe, although concern  
882 has been expressed about the detection of DA in the urine and faeces of seals in Scottish  
883 waters (Hall and Frame 2010, Jensen et al., 2015). ASTs have also been detected in crabs,  
884 fish and octopus (Hess et al., 2001, Vale and Sampayo, 2001, Costa and Garrido, 2004,  
885 Lopes et al., 2018), but without reports of related human illnesses.

886

### 887 3.232 Causative organism

888 Species belonging to the diatom genus *Pseudo-nitzschia* H. Peragallo, 1900 are confirmed  
889 to be the primary DA producers and hence the main causative agent for amnesic shellfish  
890 poisoning. There is confirmation of closely related *Nitzschia* species also capable of DA  
891 production but these are likely not a major factor in AST events in Europe. The diatom  
892 *Halamphora coffeaeformis* (C.Agardh) Levkov is also listed on the IOC-UNESCO Taxonomic  
893 Reference List of Harmful MicroAlgae (<http://www.marinespecies.org/hab/>) as a DA producer  
894 although there have been some doubts its toxicity (Bates et al., 2000). On a global basis, the  
895 taxonomy and nomenclature of *Pseudo-nitzschia*, as well as known biogeographical  
896 distributions, have undergone substantial and ongoing revisions over the past few decades  
897 (comprehensively reviewed by Trainer et al., 2012; Bates et al., 2018). *Pseudo-nitzschia* (as

898 *Nitzschia*) has been observed in Atlantic waters of Europe for over 100 years (Herdman and  
899 Riddell 1911, Churro et al., 2009).

900 Reliable routine identification of *Pseudo-nitzschia* species is problematic because of the high  
901 degree of infraspecific variation and details of the morphotaxonomic descriptors which lie  
902 close to the limit of resolution by light microscopy. The difficulty in implementing  
903 phytoplankton monitoring for DA toxin risk assessment is further compounded by the fact  
904 that cell DA content can vary among and within morphospecies, on a strain- or population-  
905 specific basis, and even in response to environmental triggers or chemical cues. In routine  
906 monitoring programmes, therefore, *Pseudo-nitzschia* is often only identified to genus level or  
907 may be split into two different size categories, e.g. *P. delicatissima* group (diameter < 5µm)  
908 and *P. seriata* group (diameter >5 µm), e.g. as in Fehling et al., (2006). Species level  
909 identifications are made by electron microscopy or molecular methods on selected samples  
910 (Cusack et al., 2004, Fehling et al, 2006, Churro et al., 2009, Orive et al., 2010, 2013,  
911 Fehling et al., 2012, Bresnan et al., 2015, Bresnan et al., 2017, Delegrange et al., 2018,  
912 Clark et al., 2020). These have shown *Pseudo-nitzschia* populations along the Atlantic  
913 margin of Europe are seasonally variable and diverse (Table 4). *Pseudo-nitzschia australis*  
914 Frenguelli 1939 is the species most associated with AST events along the Atlantic coast,  
915 being a confirmed DA producer and associated with closures of shellfish harvesting areas in  
916 Spain (Miguez et al., 1996), Portugal (Vale and Sampayo 2001), Scotland, (Campbell et al.,  
917 2001, Fehling et al., 2004), France (Klein et al., 2010) and Ireland (Cusack et al., 2004,  
918 Lefran et al., 2020). Additional confirmed DA toxin producers along the Atlantic region are *P.*  
919 *multiseriata* (Hasle) Hasle, 1995 in the southwest UK, France and Portugal (Percy et al.,  
920 2006, Amzil et al., 2001, Godinho et al., 2018); *P. plurisecta* Orive & Pérez-Aicua, 2013, *P.*  
921 *pungens* (Grunow ex Cleve) G.R.Hasle, 1993, and *P. pseudodelicatissima* (Hasle) Hasle,  
922 1993 in France (Amzil et al., 2001, Caruana et al., 2019); and *P. seriata* (Cleve) H.Peragallo,  
923 1899 in Scotland and Iceland (Fehling et al., 2004, Lundholm et al., 2018).

924 3.233 Ecology

925 During blooms highest concentrations of DA in *Pseudo-nitzschia* cells and released into the  
926 water column were recorded from old cells (Trainer et al., 2012 ) which are a source of DA  
927 for the benthos when aggregates of marine snow comprised of degraded *P. australis* cells  
928 sink to the sea bed (Schnetzer et al., 2017). In coastal areas increased light availability,  
929 salinity, freshwater runoff and shifting nutrient ratios are also important in driving  
930 development of *Pseudo-nitzschia* blooms (Fehling et al., 2006, Thorel et al., 2010, 2017).  
931 Hydrographic conditions influence the development of *Pseudo-nitzschia* blooms. Upwelling  
932 conditions along the Iberian Peninsula bring nutrient-rich waters from the deep and have the  
933 potential to seed populations with vegetative cells or resting stages (Smayda and Trainer  
934 2010). In Portugal, diatom assemblages in the water column during upwelling are composed  
935 primarily of *Chaetoceros* and *Pseudo-nitzschia* species (Abrantes and Moita 1999) and  
936 increases in *Pseudo-nitzschia* cell densities have been observed 4 –6 days after an  
937 upwelling pulse (Palma et al., 2010). In Galicia, Spain, upwelling events can promote the  
938 formation of thin layers of *Pseudo-nitzschia* cells when the pycnocline shoals, providing an  
939 environment with appropriate nutrient concentrations and light intensity for cell growth (Velo-  
940 Suarez et al., 2008). These thin layers can be also be displaced downwards during  
941 downwelling introducing these toxigenic cells to the benthos (Velo-Suarez et al., 2008).

942 Nutrient enrichment from freshwater inflow and upwelling contribute to the spatial differences  
943 in the occurrence of AST events in embayments in France along the channel and Atlantic  
944 coast (Husson et al., 2016). An anomalous *Pseudo-nitzschia* bloom and linked AST event  
945 was observed in France in 2010 following storm ‘Xynthia’ which was thought to have  
946 triggered a *Pseudo-nitzschia* bloom which impacted *Pecten maximus* fisheries (Husson et  
947 al., 2016).

948 In Irish waters, upwelling conditions can also drive the development of *Pseudo-nitzschia*  
949 blooms (Cusack et al., 2015). Transport from offshore populations also influences  
950 abundances in coastal waters. *Pseudo-nitzschia* populations can also be present in thin  
951 layers in the Celtic Sea off southwest Ireland (Farrell et al., 2012) and can be transported to

952 the coastal embayments in the coastal current (Raine 2014). *Pseudo-nitzschia* has also  
953 been observed in the shelf waters and off the shelf to the west of Scotland, with the diversity  
954 of the *Pseudo-nitzschia* differing between the shelf and off shelf populations (Fehling et al.,  
955 2012).

956

### 957 3.234 Changes over time

958 The annual number of HAEDAT areas with AST events shows interannual variability, varying  
959 with a change in monitoring effort and intensity, e.g. in countries moving to shucking and end  
960 product testing of scallops influencing the number of events recorded, whereas in other  
961 countries the number of HAEDAT areas with AST events remains variable despite a  
962 consistent monitoring regime. Hasle et al., (1996) reported declines in *P. multiseriata* in the  
963 Skagerrak in the 1980s and early 1990s, hypothesised as a result of milder winters.  
964 Examination of sediment cores in Mariager Fjord in Denmark have also identified a shift from  
965 *P. multiseriata* to *P. pungens* dominance after 1947, most likely due to increasing  
966 temperatures and nutrient loading (Lundholm et al., 2010). Data from the Continuous  
967 Plankton Recorder (CPR) has revealed an increase in the abundance of *P. seriata* 'type'  
968 larger cells since the mid-1990s in the North East Atlantic (Hinder et al., 2012).  
969 Unfortunately, the CPR does not identify *Pseudo-nitzschia* cells to species level and thus it is  
970 not known if the emergence of ASTs in this region is linked to an increase in potentially  
971 toxigenic *Pseudo-nitzschia* species, such as *P. australis*.

972

### 973 3.24 Azaspiracid Shellfish Toxin (AZT) Events

#### 974 3.241 Event description

975 Azaspiracids (AZAs) are the most recently characterized major phycotoxin group that cause  
976 closures of classified aquaculture producing areas along the Atlantic coast of Europe,

977 particularly in Irish coastal waters (Clarke, 2020). These were initially called Killary Toxins  
978 (KTs) when first identified, due to human illness recorded in the Netherlands after consumption  
979 of mussels *Mytilus edulis* harvested from Killary Harbour, on the west coast of Ireland, in 1995.  
980 The first lipophilic marine toxin of this group was isolated from Irish shellfish, structurally  
981 elucidated, characterised as azaspiracid (AZA) (Satake et al., 1998, Ofuji et al., 1999); since  
982 then, more than two dozen naturally occurring AZA analogues have now been described from  
983 contaminated shellfish and from isolated strains of the causative dinoflagellate species within  
984 the family Amphidomatacea. To date, management actions associated with AZA levels  
985 exceeding regulatory limits (as prescribed in EU legislation 853/2004 Table 1B) have only  
986 been enforced in Europe. Figure 8 A-E shows events associated with AZA have had a high  
987 occurrence and incidence in Ireland since their first detection in 1995. Ireland was the first  
988 country to introduce LC-MS-MS analysis for the determination and quantification of AZA-1, -2  
989 & -33, reported as  $\mu\text{g AZA-1 eq. g}^{-1}$  in shellfish species, Annual closures of shellfish harvesting  
990 areas have been enforced for varying periods each year, with the exception of 2004,  
991 predominantly along the southwest, west and northwest coasts of Ireland. Similar to DSTs,  
992 AZAs can be retained in shellfish tissues above regulatory limits during winter periods, as  
993 observed in Ireland during 2005 – 2007, and 2013-2014 (Clarke 2020). Table 3 shows the  
994 highest AZA levels in this study area since chemical analytical monitoring by LC-MS/MS  
995 commenced were found in *M. edulis* from Irish waters ( $8970 \mu\text{g kg}^{-1}$ ).

996 There have been sporadic reports of human illness resulting from consumption of Irish  
997 shellfish associated with AZAs recorded in the Netherlands 1995, Ireland 1997, Italy 1998,  
998 France, 1998, UK 2000, Belgium via the Netherlands 2006, France and the USA in 2008  
999 (Twiner et al., 2008), in Switzerland 2013, and during the same year, a major outbreak of  
1000 human illness was reported in France due to AZA poisoning. (Table 2).

1001 A low number of incidents of AZA above regulatory limit in shellfish has also been recorded in  
1002 Scotland in 2011, 2012 and 2013, and also in southwest England in 2015 (Figure 8) . Recently,  
1003 AZA levels exceeding the closure limit were recorded near Huelva in Spain during a period of



1004 high DSTs in shellfish (Tillmann et al., 2017). Elsewhere, low concentrations of AZAs have  
1005 been recorded from bivalve shellfish in France, Spain and Portugal (Braña Magdalena et al.,  
1006 2003, Vale et al., 2008) but have not resulted in any management actions. Table 3 shows the  
1007 maximum levels AZA toxin levels in shellfish from this study area. Within Europe a small  
1008 number of harvesting closures have been enforced in Norway in 2003, 2005, 2015-2016 and  
1009 also in Sweden during 2018 (Karlson et al., this issue).

1010

### 1011 3.242 Causative organism

1012 In the early 2000s, initial field studies screening individual phytoplankton cells identified the  
1013 dinoflagellate *Protoperdinium crassipes* (Kofoid, 1907) Balech, 1974 as a producer of AZAs  
1014 (James et al., 2003); however, there was doubt about this attribution due to lack of detection  
1015 of AZA production in this species and the heterotrophic nature of this dinoflagellate (Gribble  
1016 et al., 2007). Later investigations discovered that AZAs were produced by *Azadinium*  
1017 *spinosum* Elbrächter & Tillmann, 2009, a newly described dinoflagellate species, and requiring  
1018 the erection of a new genus belonging to the Amphidomataceae family Sournia, 1984  
1019 (Tillmann et al., 2009). *Azadinium spinosum* was found to produce the EU regulated isomers  
1020 AZA-1, -2 & -33 in Irish and Norwegian coastal waters; in Norwegian waters, a ribotype of *Az.*  
1021 *spinosum* has recently been identified to produce the non EU regulated isomers AZA-11, -51  
1022 (Wietkamp et al., 2020). Further investigations discovered additional AZA producers, including  
1023 *Az. poporum* (Tillmann et al., 2011, Krock et al., 2012, Luo et al., 2017), from which Norwegian  
1024 strains were found to produce AZA-37. A Mediterranean strain of *Az. dexteroporum* (Percopo  
1025 et al., 2013) was found to produce various AZAs, but to date no strains isolated from the North  
1026 Atlantic have produced known AZAs (Tillmann et al., 2015, 2020). *Amphidoma languida*  
1027 Tillmann, Salas & Elbrächter, 2012 has been found to produce AZA-38,-39 as the dominant  
1028 toxins (Krock et al., 2012, Wietkamp et al., 2019); however, different toxin profiles (primarily  
1029 AZA-2 and -43) have been determined in strains from the Andalusian coast, Spain (Tillmann

1030 et al., 2017) and AZA-52 and -53 have been detected in Norwegian strains of *Am. languida*  
1031 (Tillmann et al., 2018).

1032 All of these species have been recorded in the North Atlantic area and are shown in Table 4,  
1033 where *Az. spinosum* is the main causative organism which results in closures of shellfish  
1034 production areas routinely monitored by EU countries by LC-MS/MS (Table 1A) for the EU  
1035 regulated toxins AZA-1, -2, -33 and reported as  $\mu\text{g AZA-1 equivalents kg}^{-1}$ .

1036

### 1037 *3.243 Ecology*

1038 Until recently very little was known about the spatial and temporal distribution of  
1039 Amphidomataceae. In 2018 a survey of the North Sea, Celtic Sea, and Irish and UK coastal  
1040 waters using on-board microscopy, qPCR and LC-MS/MS revealed that 3 out of the 4  
1041 currently known primary toxigenic species (*Az. spinosum*, *Az. poporum* & *Am. languida*)  
1042 were present and with measurable AZA toxin composition in selected isolates.

1043 Both *Az. spinosum* & *Am. languida* were present at the majority of stations on the Irish coast,  
1044 but in low cell numbers, whereas *Am. languida* was observed in the North Sea at cell  
1045 densities  $1.2 \times 10^5$  cells  $\text{L}^{-1}$ . *Az. poporum* was detected with a less regular occurrence at  
1046 stations, mainly in the North Sea and along the south coast of Ireland (Wietkamp et al.,  
1047 2020). It should be noted that this distributional pattern reflects only short-term discrete  
1048 sampling from a field expedition and not seasonal or annual variation.

1049

### 1050 *3.244 Changes over time*

1051 The causative toxigenic species of AZAs have only been recently described. *Azadinium*  
1052 species are difficult to monitor in routine monitoring samples by light microscopy due to their  
1053 small cell size. Hence *Azadinium* cells tend to pass through standard phytoplankton nets (20  
1054  $\mu\text{m}$ ) and can be frequently misidentified under microscopic observation even at genus level

1055 (e.g., as *Heterocapsa*). Since the first identification of the dinoflagellate *Az. spinosum* in 2009,  
1056 and confirmation of its AZT toxigenicity, knowledge about Amphidomataceae and their global  
1057 biogeography is continually increasing, with both toxigenic and non-toxigenic novel species  
1058 being described (Tillman et al., 2018, 2019). Previously identified toxigenic species are now  
1059 recorded as having variable toxin profiles, and non-toxigenic strains/ribotypes within “toxic”  
1060 species have also been recently identified (Tillman et al., 2018, 2019). Reliable molecular  
1061 probes and primers i.e. based on PCR methods, are available and currently in use in the Irish  
1062 monitoring programme to distinguish between three *Azadinium* species, *Az. spinosum*, *Az.*  
1063 *poporum* and *Az. obesum* (Toebe et al., 2013) and the PCR confirmation of *Am. languida*  
1064 (Wietkamp et al., 2019), and also for Amphidomatacea, using a genus assay for the detection  
1065 for *Azadinium* & *Amphidoma* (Smith et al., 2016)

1066

### 1067 3.25 *Ciguatera Poisoning Events*

#### 1068 3.251 *Event description*

1069 Within Europe, endemic CP events are restricted to the Canary Islands, Spain, the  
1070 Selvagens Islands, and Madeira, Portugal (Figure 3, 9), with HAEDAT records containing  
1071 reports of human illness associated with fish contaminated with ciguatoxins (CTXs). Two CP  
1072 events within HAEDAT are records of a ban on fishing in the Selvagens Islands in 2013 and  
1073 fishing and shellfish harvesting to contain an outbreak of CP in the Canary Islands in 2016.  
1074 The first endemic case of CP in Europe was recorded in the Canary Islands in 2004, when  
1075 five people became ill after eating amberjack fish (*Seriola* spp.) caught in the locality (Perez-  
1076 Arellano et al., 2005). Additional cases were reported in 2008 from fish caught around  
1077 Selvagens Islands (Costa et al., 2018) and from the Canary Islands in 2008  
1078 Difficulties in identifying authentic cases of CP illness means that cases may have been  
1079 underreported in earlier years. More than half of the CP records in the HAEDAT database from  
1080 Macaronesia come from Tenerife, with additional records from Gran Canaria, Lanzarote and  
1081 La Palma. Approximately half of the cases there have occurred from November to January.

1082 Since 2008 there has been at least one case of CP reported annually in the Canary Islands,  
1083 with the exception of 2014. The incidents of human illness associated with CP are presented  
1084 in Table 2.

1085 The Caribbean form of ciguatoxin (C-CTX) has been identified as the main toxin responsible  
1086 for CP in the Canary and Selvagens Islands, Madeira archipelago (Costa et al., 2018,  
1087 Estevez et al., 2019). The causative vector for CP incidents in this region was identified as  
1088 the large predatory fish, such as amberjack (*Seriola* spp.). However, CP toxins have also  
1089 been found in sedentary grazers such as grouper (*Epinephelus* sp., *Mycteroperca* sp.) and  
1090 eels, indicating accumulation through the food web (Rodriguez et al., 2017, Sanchez-Henao  
1091 et al., 2020). Fish species included in HAEDAT CP records from Macaronesia include  
1092 *Balistes capriscus*, *Canthidermis sufflamen*, *Epinephelus* sp., *Mycteroperca fusca*, *Pagrus*  
1093 *pagrus*, *Pomatomus saltatrix*, *Seriola dumerili*, *Seriola fasciata* and *Seriola rivoliana*.

1094

### 1095 3.252 Causative organisms

1096 Along the eastern North Atlantic edge, a number of species belonging to the dinoflagellate  
1097 genus *Gambierdiscus* have been identified in the Canary Islands; *G. australes* Chinian &  
1098 M.A.Faust, 1999, *G. belizeanus* M.A.Faust, 1995, *G. caribaeus* Vandersea, Litaker,  
1099 M.A.Faust, Kibler, W.C.Holland & P.A.Tester, 2009, *G. carolinianus* Litaker, Vandersea,  
1100 M.A.Faust, Kibler, W.C.Holland & P.A.Tester, 2009 (Fraga et al., 2011, Tudo et al., 2020)  
1101 with two new species described from the area *G. excentricus* S. Fraga, 2011 (Fraga et al.,  
1102 2011) and *G. silvae* S.Fraga & F.Rodríguez, 2014, and an additional putative species  
1103 *Gambierdiscus* ribotype 3 (Bravo et al., 2019). Of the five species described, screening with  
1104 neuroblastoma cell-based assays showed all species to produce CTX and maitotoxin (MTX)  
1105 like compounds, with the highest CTX activity detected from *G. excentricus* and in selected  
1106 strains of *G. australes* (Fraga et al., 2011, Rossignoli et al., 2020, Tudo et al., 2020).  
1107 Maitotoxin activity was also highest in *G. excentricus* and *G. australes* (Rossignoli et al.,  
1108 2020). Highest cell abundances of *G. australes* and *G. excentricus* from the Canary Islands

1109 have been observed in Lanzarote and Fuerteventura, the two islands closest to the African  
1110 mainland (Rodriguez et al., 2017). A CTX-risk assessment of fish from the islands also found  
1111 higher concentrations of CTX in amberjack from the area (Sanchez-Henao et al., 2019).

### 1112 3.253 Ecology

1113 The high diversity of *Gambierdiscus* in the Canary Islands suggests that these species were  
1114 not introduced but are endemic. Water temperatures in the Canary Islands are within the  
1115 growth tolerance for *Gambierdiscus* species and thus an increase in water temperature due  
1116 to climate change may extend favourable conditions for growth into the winter months  
1117 (Rodriguez et al., 2017).

### 1118 3.254 Management actions

1119 A review global status and trends of CP is presented in Chinain et al., this issue. The  
1120 emergence of CP as a threat to human health has led to the development of coordinated  
1121 management actions to address the issue. Within the north eastern Atlantic marginal area,  
1122 the regional government of the Canary Islands established a monitoring program for CTXs in  
1123 2011. Under this framework. a list of fish species and respective weights is issued and  
1124 reviewed every year. All fish specimens caught that correspond to the species listed and are  
1125 over the threshold weight cannot be sold before obtaining a negative result in the *in vitro* cell  
1126 based assay (N2A) for ciguatera-like toxicity (Sánchez-Henao et al., 2019). At present fish  
1127 species considered to present a CP risk in the region include all species from the genus  
1128 *Seriola*, *Epinephelus marginatus*, *Acanthocybium solandri* and *Pomatomus saltatrix*. In 2009  
1129 the Regional Health Directorate of the Canary Islands established an epidemiological  
1130 programme for ciguatera and since 2015, CP has been declared a notifiable disease in the  
1131 Canary Islands. Recent efforts have been made to better identify cases of CP and manage  
1132 the risk of outbreaks, with a formal description of criteria used to define CP illness in humans  
1133 that will aid the recording of incidents (Friedman et al., 2017) and predictive CP risk scores  
1134 developed for fish for human consumption (Sanchez-Henao et al., 2019).

### 1135 3.26 *Emerging toxins*

1136 Certain phycotoxins can be described as “emerging” either because the nature of their  
1137 specific toxicity remains unclear or because the toxin syndromes associated with their  
1138 presence have not yet been manifest. They are not subject to regulatory control within the  
1139 region and no events from this study area associated with these emerging toxins have been  
1140 entered in HAEDAT to date. National laboratories in Europe continue to test for emerging  
1141 toxins such as the cyclic imines, including pinnatoxins and spirolides, that often exhibit “fast-  
1142 acting toxin” (FAT) responses in the intraperitoneal mouse bioassay (MBA), but have not  
1143 been confirmed to pose a toxin risk for humans via shellfish consumption. The cyclic imine  
1144 toxins are frequently detected in shellfish and phytoplankton from European waters but have  
1145 yet to cause known problems for seafood consumers and the respective compounds are not  
1146 current subject to EU regulation. For example pinnatoxins produced by the benthic  
1147 dinoflagellate *Vulcanodinium* E.Nézan & N.Chomérat, 2011 have been detected in shellfish  
1148 from France, Ireland and Spain (McCarthy et al., 2015, Lamas et al., 2019, Otero et al.,  
1149 2019, Arnich et al., 2020), and spirolides have been detected in plankton or shellfish from all  
1150 Atlantic edge countries (Ruhl et al., 2001, Gonzalez et al., 2006, Amzil et al., 2007, Touzet et  
1151 al., 2011, Silva et al., 2013, Davidson et al., 2015). The potentially toxigenic benthic  
1152 dinoflagellates *Ostreopsis* cf. *ovata* Fukuyo, 1981 and *Ostreopsis* cf. *siamensis*  
1153 Johs.Schmidt, 1901 may produce potent lipophilic polyether toxins, such as palytoxins  
1154 (PLTXs) and ovatoxins (OVTXs), causing respiratory distress in humans via aerosol  
1155 formation from blooms in coastal areas, particularly in the Mediterranean and in the tropics.  
1156 For the north Atlantic margin, both species have been found along the Atlantic coast of  
1157 Portugal, Spain and France, with *O. cf. siamensis* appearing sometimes at high cell densities  
1158 in the Basque country along the southwest Bay of Biscay (David et al., 2013; Seoane and  
1159 Siano, 2018, Santos et al., 2019, Drouet et al., submitted). *Ostreopsis heptagona* D.R.Norris,  
1160 J.W.Bomber & Balech, 1985 has also been recorded in the Azores archipelago (Silva et al.,

1161 2010). Both OVTXs and PLTX remain in the emerging toxin category because they have  
1162 caused no apparent HAB events for the region.

1163 The potent guanidinium neurotoxin tetrodotoxin (TTX) has also been detected in shellfish in  
1164 Europe (Turner et al., 2015), most notably in the Wadden Sea of the Netherlands (Gerssen  
1165 et al., 2019). While this emerging toxin in European shellfish is most likely of bacterial origin  
1166 and hence not technically a phycotoxin or recorded as a HAB event, the presence of TTX  
1167 can enhance the potential risk of shellfish consumption when it co-occurs with other  
1168 biotoxins, particularly PSTs (STXs) (Boente-Juncal et al., 2020). TTX shares a similar  
1169 paralyzing mode of action with PSTs as a sodium-ion channel blocker (Durán-Riveroll and  
1170 Cembella, 2017) and synergy would be expected.

1171

### 1172 *3.27 Mortality events*

1173 HAEDAT records of mortalities represents a rather loose categorization of often over-lapping  
1174 events, including mortality of natural and aquaculture finfish and shellfish, benthic and  
1175 pelagic invertebrates, plankton, marine mammals and seabirds, as well as marine  
1176 macroalgae and seagrasses. Although most HAEDAT mortality events refer to those directly  
1177 (if only circumstantially) linked to specific HABs of known harmful species, certain HAB  
1178 mortality events, particularly of marine mammals and seabirds, may be inferred from high  
1179 toxin body burden of accumulated phycotoxins characteristic of HAB species, but not  
1180 attributable to specific blooms. HAEDAT mortality entries may or may not include details of  
1181 the individual species affected, number or mortalities, or confirmed identification of the  
1182 putative causative HAB species and/or cell densities during the event. In many instances the  
1183 mechanism of mortality is unclear, i.e. in cases of fish and other faunal mortalities it is most  
1184 often not possible to attribute the effect to defined ichthyotoxins or indirectly to anoxia.

1185 These events also differ from those associated with “shellfish toxins” or ichthyotoxins  
1186 because there are no formal monitoring programmes for recording mortalities in the

1187 HAEDAT areas presented, with the exception of France, where a citizen science project  
1188 ‘Phenomer’ to monitor water discolourations has been in operation since 2013 (Siano et al.,  
1189 2020).

1190 With these above caveats, Figure 10 (A-D) presents the number of years where mortality  
1191 events in natural fish, aquaculture fish, benthic fauna and dogs/seabirds have been recorded  
1192 by accessing all relevant HAEDAT entries. This is the first time these HAEDAT events have  
1193 been assembled and presented in the same forum. The majority of mortality events entered  
1194 in HAEDAT have been recorded from Ireland, the west coast of Scotland, the Orkney and  
1195 Shetland Islands and northern France. While information about major mortality events will  
1196 reach national editors, minor mortality events (e.g. small numbers of wild fish, benthic fauna  
1197 washed onshore) are almost certainly under-reported

### 1198 3.271 Event description and causative organisms

#### 1199 3.2711 *Karenia mikimotoi*

1200 The dinoflagellate *Karenia mikimotoi* (Miyake & Kominami ex Oda) Gert Hansen & Moestrup,  
1201 2000, formerly often recorded as *Gyrodinium aureolum* Hulbert in northern Europe, is  
1202 responsible for most of the mortality events recorded in HAEDAT. In France the first  
1203 observation of *K. mikimotoi* in Brittany (Douarnenez Bay) was in 1976. Since then several  
1204 blooms of this species with observed harmful effects on benthic fauna have been reported  
1205 along the Atlantic coast of France, especially from the bays of Brest and Douarnenez (Erard-  
1206 Le Denn et al., 1990). In July-August 1985 a massive bloom (maximum  $0.8 \times 10^6$  cells L<sup>-1</sup>)  
1207 caused disturbances to breeding stages of the king scallop *Pecten maximus* in the Bay of  
1208 Brest. Mass mortalities of post-larval stage (0.25-3 mm) individuals in nursery and grow-out  
1209 trays and retardation of development of the juvenile stages (5-30 mm) were observed  
1210 (Erard-Le Denn et al., 1990, Chauvaud et al 1998). In 1987, water discoloration caused by a  
1211 *K. mikimotoi* bloom in southern Brittany (Bay of Vilaine) was associated with lugworm  
1212 mortalities (Sournia et al., 1991). Between May and August, 1995, a massive bloom



1213 (maximum  $4.8 \times 10^7$  cells L<sup>-1</sup>) extending along the entire Atlantic coast of France caused  
1214 mortalities of both benthic invertebrates (sea urchins, gastropods, lugworms, shellfish) and  
1215 pelagic fish (including trout and conger eels). Significant losses were reported by shellfish  
1216 producers in scallop hatcheries, and from shellfish and finfish nurseries (Arzul et al., 1995).

1217 Fish mortality events have also been reported in the Gulf of Biscay and adjacent waters. In  
1218 September 2003 fish mortalities were recorded in northern Brittany from Saint Malo Bay  
1219 during a bloom of *K. mikimotoi* (maximum  $5.6 \times 10^5$  cells L<sup>-1</sup>); a direct link, however, between  
1220 the specific bloom and the fish kill was not established  
1221 (<http://envlit.ifremer.fr/layout/set/print/content/view/full/17479>).

1222 Mass mortalities of shellfish and finfish in the west of Ireland have also been caused by high  
1223 density blooms of *K. mikimotoi*. Cells of this species are a regular, annual presence in Irish  
1224 coastal and inshore waters from May to September but have formed massive blooms  
1225 (recorded at up to  $9 \times 10^6$  cells L<sup>-1</sup>) only during a few years. The earliest recorded event of *K.*  
1226 *mikimotoi* causing faunal mortalities in Irish waters occurred in the southwest in 1971 with  
1227 the observation of lugworm mortalities (Helm et al., 1974). In the mid- to late 1970s, three  
1228 separate events were linked to *K. mikimotoi* blooms: one in 1976 along the south coast with  
1229 recorded mortalities of fish and invertebrates (Ottway 1979), and two in the southwest,  
1230 associated with mortalities of fish and invertebrates in 1978 (Pybus 1980), and in 1979 with  
1231 widespread mortalities of gastropods, echinoderms and rainbow trout (Cross & Southgate  
1232 1980, Roden et al., 1981, Southgate *et al.*, 1984). Throughout the 1980s and 1990s there  
1233 were a few records of high cell density blooms of *K. mikimotoi* along the west, southwest and  
1234 south coasts of Ireland, but no associated faunal mortalities were observed or recorded  
1235 (Raine et al., 1993, 2001; Silke et al., 2005). The highest number of marine faunal mortalities  
1236 in Irish coastal waters were recorded in 2005 when a protracted high cell density bloom of *K.*  
1237 *mikimotoi* was observed over a wide area from the end of May to the beginning of August  
1238 (Silke et al., 2005). Maximal cell densities ( $3 \times 10^6$  cells L<sup>-1</sup>) were recorded along the  
1239 northwest and west coasts of Ireland. A second bloom became established from July to

1240 August in the southwest at cell densities that reached  $3.7 \times 10^6$  cells  $L^{-1}$ . Observations of the  
1241 benthic communities and habitats of the affected areas revealed significant impacts on the  
1242 benthos with high faunal mortalities and lethargy of affected species.

1243 In 2012, persistent blooms of *K. mikimotoi* were similar in impact and duration to the 2005  
1244 events, but were confined to two successive blooms during June and July in coastal waters of  
1245 northwest Ireland. These dense blooms (maximal record of  $4.5 \times 10^6$  cells  $L^{-1}$ ) resulted in mass  
1246 mortalities of invertebrates, including shellfish and finfish. In August 2015 a bloom of *K.*  
1247 *mikimotoi*, with the highest cell densities ever observed (up to  $9 \times 10^6$  cells  $L^{-1}$ ) in southwest  
1248 Ireland caused mortalities of several invertebrates along the Celtic Sea shoreline. In this case,  
1249 there was obvious water discolouration but high cell densities of *K. mikimotoi* are not always  
1250 associated with observations of water discolouration and coincident faunal mortalities. For  
1251 example, neither were observed during bloom events in 2016 at cell densities of  $1.5 \times 10^6$  cells  
1252  $L^{-1}$  nor in 2019 with cell densities of  $1.5 \times 10^6$  cells  $L^{-1}$ .

1253 In the UK most fish-killing events are also linked to massive blooms of the dinoflagellate *K.*  
1254 *mikimotoi*. Although this species is frequently present in summer phytoplankton community,  
1255 fish mortalities are infrequent. Observations from routine monitoring phytoplankton programs  
1256 suggests that *K. mikimotoi* is a regular member of the phytoplankton in Scottish waters at  
1257 cell densities typically reaching  $5 \times 10^3$  cells  $L^{-1}$  in summer. At these cell densities few  
1258 environmental consequences or mortalities are recorded. Prior to 1999, reports of impacts  
1259 from *K. mikimotoi* in Scottish waters were relatively rare, or at least unrecorded, with only  
1260 one event in the Firth of Clyde in 1980 when, a massive bloom ( $2 \times 10^7$  cells  $L^{-1}$ ) was  
1261 associated with mortalities of farmed fish (Jones et al., 1982; Roberts et al., 1983; Potts and  
1262 Edwards, 1987). Since 1999, dense blooms of *K. mikimotoi* have become more common  
1263 although still far from annual events in UK waters. Blooms associated with benthic  
1264 mortalities were recorded in 1999 in Orkney and in 2003 in the Orkney and Shetland Islands,  
1265 with the 2003 bloom resulting in the death of 53,000 farmed fish (Atlantic salmon). In 2006, a  
1266 major *K. mikimotoi* bloom, both in terms of spatial magnitude and temporal duration, affected

1267 the west, north and east coasts of Scotland (Davidson et al., 2009). Fortunately, this bloom  
1268 resulted in relatively few losses at aquaculture sites, but did cause extensive benthic faunal  
1269 mortalities at a wide range of locations, including commercial areas of wild scallop  
1270 harvesting. Modelling-based analysis of the bloom (Gillibrand et al., 2016) demonstrated the  
1271 role of advection in governing bloom transport round the Scottish coast over a number of  
1272 months.

1273 More recently, a massive *K. mikimotoi* bloom occurred in the Firth of Clyde in 2016 (Baptie  
1274 and Swan 2017). Cell densities were sufficient to bring about hypoxic conditions and mass  
1275 mortalities of marine fauna, but the bloom was not in a fish farming area and hence the  
1276 impact on aquaculture was low. *Karenia mikimotoi* has also been associated with faunal  
1277 mortalities in the benthos in Wales in 1990 and 1994, and fish kills and benthic mortalities in  
1278 the southwest of England in 2007. There is also a report of a fish kill associated with  
1279 *Gymnodinium* sp. in 1990 in the Faroe Islands. Whether this was synonymous with *K.*  
1280 *mikimotoi* is unclear. France and Ireland have experienced a reduced number of impacts  
1281 from *K. mikimotoi* since the 1970s and 1980s, and indeed this is true for the entire eastern  
1282 Atlantic margin of Europe, as well as for adjacent waters of the North Sea, Norwegian Sea  
1283 and Kattegat-Skagerrak (Karlson et al., this issue) where this species has typically posed  
1284 less of a threat to fish populations,

1285 The mechanism(s) whereby *K. mikimotoi* causes faunal mortalities remains unclear –  
1286 numerous alternative but not mutually exclusive modalities have been proposed. For *K.*  
1287 *mikimotoi* these hypothesized mechanisms range from production of known ichthyotoxins  
1288 (e.g. gymnocin –A and B) to membrane-disruptive fatty acids and sterols, and/or involving  
1289 oxidative stress and induction of reactive oxygen species (ROS), mucus production causing  
1290 gill clogging and/or simple anoxia due to oxygen depletion from the decaying bloom (see Li  
1291 et al., 2019 and references therein). There is no consensus for the blooms that have caused  
1292 mortalities in the north Atlantic margin.

1293

## 1294 3.2712 Harmful Raphidophytes and Prymnesiophytes

1295 Phytoflagellates not belonging to the dinoflagellates have also caused faunal mortalities in the  
1296 eastern north Atlantic region. In Portugal HAEDAT records contain information about  
1297 mortalities in Obidos lagoon due to *Prymnesium parvum* N.Carter, 1937 in 1988, *Heterosigma*  
1298 *akashiwo* (Y.Hada) Y.Hada ex Y.Hara & M.Chihara, 1987 in 1989 and in 1996 in the Formosa  
1299 lagoon in the Algarve due to *Heterosigma inlandica*, (now a synonym of *H. akashiwo*) (total  
1300 cell density  $17.5 \times 10^6$  cells L<sup>-1</sup>). In Galicia, Spain one record in HAEDAT reports mortalities of  
1301 farmed fish associated with *H. akashiwo* ( $18.0 \times 10^6$  cells L<sup>-1</sup>) in 1987. In France in late  
1302 September 1994; a high density bloom (maximum  $1.3 \times 10^8$  cells L<sup>-1</sup>) of *H. akashiwo* was  
1303 recorded from coastal waters at Camaret, Brittany corresponding with yellow-brownish  
1304 discolouration of the water. Two salmonid farms were affected by the bloom event: one  
1305 registered 100% mortality (= estimated loss of 50K \$US) of salmon and rainbow trout and the  
1306 latter 10%. In mid-August 2013, the citizen program *Phenomer* ([www.phenomer.org](http://www.phenomer.org)), reported  
1307 dark-brown water discolourations from separate shallow locations in the Bay of Vilaine. Prompt  
1308 phytoplankton sampling revealed co-occurring blooms of *H. akashiwo* (maximum  $3.0 \times 10^6$   
1309 cells L<sup>-1</sup>) and the dictyochophyte *Pseudochattonella verruculosa* (Y.Hara & M.Chihara)  
1310 S.Tanabe-Hosoi, D.Honda, S.Fukaya, Y.Inagaki & Y.Sako, 2007 (maximum  $1.9 \times 10^6$  cells L<sup>-1</sup>)  
1311 <sup>1</sup>). At one site massive mortality of bivalve shellfish and small crustaceans was observed  
1312 (Siano et al., 2020), corresponding to very low ( $4.9$  and  $5.5$  mg L<sup>-1</sup>) dissolved oxygen  
1313 concentrations at the sea floor in both locations. *Heterosigma akashiwo* is reported to have  
1314 resulted in mortalities of farmed fish on the Isle of Mull in the West Coast of Scotland in 1990.  
1315 There is one record of mortalities of farmed fish in Iceland in HAEDAT from 1987 associated  
1316 with a bloom of *Heterosigma akashiwo* ( $570,000$  cells L<sup>-1</sup>) believed to be an introduced species  
1317 in the area (Thorarinsdottir et al., 2014).

1318 On the west coast of Scotland prominent incidents related to an unidentified phytoflagellate  
1319 (designated as "Flagellate X") that bloomed in Loch Striven and Loch Fyne between 1972 and  
1320 1982. Flagellate X has never been definitively identified due to lack of archived specimens but

1321 appeared “*Chattonella*-like” and might in fact be affiliated with the dictyochophyte  
1322 *Pseudochattonella* (Y. Hara et Chihara). This species was implicated in three major kills of  
1323 farmed salmon (Ayres et al., 1982, Gowen 1984, Gowen 1987, Tett, 1980) at cell densities  
1324 ranging from 8 to  $34 \times 10^6$  cells L<sup>-1</sup>. Flagellate X also bloomed ( $3.5 \times 10^3$  cells L<sup>-1</sup> recorded)  
1325 during 1982 on the western Scottish coast at Ullapool accompanied by some salmon  
1326 mortalities (Ayres et al., 1982), and in nearby Loch Kinaird and Loch Broom but without  
1327 recorded fish mortalities (Gowen, 1987). Flagellate X also caused mortalities in fish farms in  
1328 the west of Ireland in the mid 1980s (Silke and Jackson 1990).

1329

### 1330 3.2713 *Alexandrium catenella* and *Alexandrium minutum*

1331 Blooms of *Alexandrium* have also caused mortalities of seabirds, fish and dogs in this study  
1332 area. The 1968 *Alexandrium catenella* bloom of the north east coast of England was  
1333 attributed as the cause of mortalities of seabirds (Coulson et al., 1968) and sandeels (Adams  
1334 et al., 1968) in the area. Mortalities of rainbow trout and salmon in the Faroe Islands have  
1335 been associated with *A. catenella* in 1984 and 1987 (Mortensen 1985, Moestrup and  
1336 Hansen 1988). During the 1984 bloom 27 metric tons of fish were killed and the event was  
1337 laterally associated with PST intoxication of four people (Mortensen 1985). In September  
1338 2013, a bloom of *A. minutum* in a coastal lagoon in São Jorge Island within the Azores  
1339 archipelago resulted in an orange/brown water discolouration and mortalities of pelagic fish  
1340 (*Chelon labrosus*). This event was also associated with PST accumulation in shellfish and  
1341 the reported intoxication of four people.

1342 The incidence of PSTs on the east and south east coast of England is low, although there  
1343 was a case of nine canine intoxications and two canine fatalities in Dec 2017/Jan 2018 in the  
1344 East of England along the Norfolk and Suffolk coastlines after consumption of fish,  
1345 crustacean and starfish contaminated with PSTs which had washed ashore (Turner et al.,  
1346 2018). A subsequent study found high concentrations of PSTs in a number of benthic

1347 invertebrates in the North Sea, several toxic sample locations in the Southern Bight (Dean et  
1348 al., 2020).

### 1349 3.2714 Other species

1350 Other phytoplankton species have also caused mortalities. Shellfish mortalities were  
1351 reported in 1996 in the Salgada lagoon in the Algarve due to high cell densities of the non-  
1352 toxigenic diatom *Chaetoceros calcitrans* (Paulsen) Takano, 1968 (total phytoplankton  
1353 concentration  $87.0 \times 10^6$  cells  $L^{-1}$ ) and in 1996 in the Albufeira lagoon due to high  
1354 concentrations of diatoms and *Gymnodinium* sp. F. Stein, 1878 resulting in anoxia. In 2013  
1355 mortalities of wild fish were reported in the same area associated with the “red tide ciliate”  
1356 *Mesodinium rubrum* (Lohmann, 1908). In 1998 mortalities of farmed fish were reported from  
1357 Galicia due to a bloom of the dictyochophyte *Octactis speculum* (Ehrenberg) F.H.Chang,  
1358 J.M.Grieve & J.E.Sutherland 2017 (Prego et al., 1998).

1359 Green seawater discolorations are regularly reported in southern Brittany due to high biomass  
1360 blooms of the naked dinoflagellate *Lepidodinium chlorophorum* M.Elbrächter & E.Schnepf)  
1361 Gert Hansen, Botes & Salas, 2007. In 2014 the citizen science project *Phenomer*  
1362 ([www.phenomer.org](http://www.phenomer.org)), reported mortalities of wild fish (sole, sand eels, weevers), jellyfish,  
1363 molluscs and crabs both in the water and washed up on the beach in association with high  
1364 biomass of this dinoflagellate species. Those mortalities are more likely due to the anoxic  
1365 conditions caused by the blooms, rather than by production of ichthyotoxins (Siano et al.,  
1366 2020).

1367 There is one report of mortalities in the north east of England in 1998 where DSP toxins were  
1368 recorded in the livers of dead seabirds and this has been assigned as a DST event even  
1369 though a correlation with *Dinophysis* blooms was not made at the time.

1370 High cell densities of the dinoflagellate *Heterocapsa triquetra* (Ehrenberg) F.Stein, 1883  
1371 (now *Kryptoperidinium triquetrum* (Ehrenberg) U.Tillmann, M. Gottschling, M.Elbrächter, W.-

1372 H.Kusber & M.Hoppenrath, 2019 ) ( $1 \times 10^6$  cells  $L^{-1}$ ) were also associated with mortalities of  
1373 farmed fish in the Shetland Islands in 2000.

1374 Two incidents of mortalities associated with the prymnesiophyte *Phaeocystis* sp. (Lohmann,  
1375 1908) have been recorded in the UK, in Wales in 1992 and in Vidlin Voe in the Shetland  
1376 Islands in 2005.

1377 Anecdotal evidence suggests that diatoms present a more regular threat to finfish  
1378 aquaculture in Scottish waters, because the region is subject to frequent diatoms blooms  
1379 (Fehling et al., 2006, Siemering et al., 2016). Unfortunately, barring two early studies (Bruno  
1380 et al, 1989, Treasurer et al, 2003), these impacts have received relatively little scientific  
1381 investigation.

1382

#### 1383 **4. Discussion**

1384 HAEDAT data captures the harmful algal events along the Atlantic margin of Europe. High  
1385 levels of phycotoxins in shellfish, colloquially dubbed “shellfish toxins”, are the harmful algal  
1386 events that most frequently impact the aquaculture industry and shellfisheries, both in terms  
1387 of the number of HAEDAT areas affected and the number of years where closures of  
1388 shellfish harvesting areas have been enforced. The spatial incidence of shellfish toxin events  
1389 can be influenced by monitoring effort with areas with little or no routine monitoring recording  
1390 a low number of events in HAEDAT.

1391 High interannual variability can be observed in the number of HAEDAT areas with events per  
1392 country for individual shellfish toxin syndromes but evidence for systematic trends in is  
1393 generally inconclusive or absent. Human poisoning events linked to phycotoxins in  
1394 consumed fish, almost exclusively CTXs associated with CP, has emerged as an  
1395 established threat to human health from both imported and endemic fish species in Europe  
1396 since 2004.

1397 Since monitoring for phycotoxins and potentially toxigenic phytoplankton became routine  
1398 there have been no fatalities associated with the consumption of contaminated shellfish in  
1399 Europe since the 1950s. DSTs belong to the phycotoxins group that has caused the most  
1400 incidents of seafood-borne human illness; however, epidemiological studies are scarce  
1401 (Young et al., 2020) and many “DSP-like” events remain unconfirmed, particularly prior to  
1402 the implementation of LC-MS/MS for confirmatory qualitative analysis.

1403 For some countries, particularly France and Spain, the introduction of new monitoring  
1404 requirements, e.g. for ASTs, led to an increase in the total number HAEDAT areas where  
1405 events have been recorded. In addition to the European regulatory mandates for seafood  
1406 safety, in some instances the scientific, regulatory and policy communities have come  
1407 together on a national or multilateral basis to address the impacts from these harmful algal  
1408 events e.g., the effects of ASTs on scallop (*Pecten maximus*) fisheries. Some countries,  
1409 notably the UK and Ireland, have subsequently adopted new monitoring regulations to  
1410 reduce the impacts on their shellfish industries.

1411 The dinoflagellate *Karenia mikimotoi* and the raphidophyte *Heterosigma akashiwo* are the  
1412 two species most associated with HAEDAT events of mortalities of benthos, natural and  
1413 farmed fish from along the northeastern Atlantic coastal margin. This contrasts with fish-  
1414 killing events in the Norwegian Sea, eastern North Sea and Kattegat-Skagerrak, where most  
1415 mortality events, especially of fish, have been associated with prymnesiophytes (e.g.,  
1416 *Chrysochromulina* spp. and *Prymnesium parvum*) and the dictyochophyte *Pseudochattonella*  
1417 spp. over the last few decades (Karlson et al, this volume). *K. mikimotoi* events are sporadic,  
1418 but are declining in intensity and frequency in the greater North Sea region and adjacent  
1419 waters. Some countries (e.g., Ireland, France) experienced frequent *K. mikimotoi* bloom  
1420 events from the 1970s – 1990s and this species continues to cause problems in Ireland and  
1421 the UK although not every year. Mortality events attributable to *H. akashiwo* also appear to  
1422 be fading in importance within the last decade. With the exception of 2013, impacts from *H.*  
1423 *akashiwo* have not been experienced since the 1990s. Unlike the situation in the eastern



1424 Pacific and the Pacific northwestern coast of North America where *H. akashiwo* blooms  
1425 continue to be a prominent cause of fish mortalities and bloom dynamics and causal  
1426 mechanism of mortalities have been extensively researched, there is little information on the  
1427 ecology of this species along the northeastern Atlantic margin. This is largely due to the  
1428 consistent lack of major blooms in recent years, providing little opportunity for application of  
1429 advanced research techniques.

1430 Although promoted as a low carbon, healthful seafood, shellfish production is declining  
1431 within the EU. Many shellfish aquaculture businesses are microenterprises (Gutierrez et al.,  
1432 2020) and are highly sensitive to impacts from HABs (Trainer et al., 2020). Economic studies  
1433 on the impacts from HABs in Europe are scarce. Hoagland and Scatasta (2006) estimated  
1434 the average annual economic effect of HABs in Europe to be 813 million \$US based on  
1435 impacts on public health, commercial fisheries, recreation and tourism, and monitoring and  
1436 management, with approximately two thirds of the costs associated with noxious but non-  
1437 toxic effects of macroalgal blooms affecting the human uses of the coastal zone. Studies  
1438 have shown that the financial impacts from HABs can be significant on a national scale. The  
1439 economic impact of HABs on mussel aquaculture in Europe was reviewed by Ferreira et al.,  
1440 2014. They estimated that the average annual losses for the period 2000-2009 were 9.2, 6,  
1441 2.7, 2.2, 1.9 million \$US for France, Spain, UK, Ireland and Iceland respectively. Recently  
1442 Martino et al., (2020), using a Cobb-Douglas production function estimated annual losses  
1443 from *Dinophysis*-generated toxins (DSTs) to be 15% (£1.37 million year<sup>-1</sup> ~\$1.87 million US)  
1444 of the total Scottish shellfish production, representing a non-trivial financial loss.  
1445 Accumulation of phycotoxins in shellfish has also been shown to have a negative impact on  
1446 the Portuguese dredge-fishing fleet, potentially influencing its sustainability (Oliviera et al.,  
1447 2015). Harmful algal events affecting the farmed fish industry have a dramatic financial  
1448 impact, at least at the local level but this has not been investigated in depth in this study  
1449 area. The direct and indirect gross costs of the recent 2019 *Chrysochromulina leadbeateri*

1450 bloom in northern Norway was estimated to be between 2.3 and 2.8 billion NOK (~0.27 to  
1451 0.33 billion \$US) (Kontali, 2020).

1452 Early warning of the timing, location and magnitude of HABs and their associated biotoxins  
1453 is potentially of great value to users of the coastal zone, and in particular to the aquaculture  
1454 industry, allowing informed business planning and ensuring human and fish health (   
1455 Davidson et al., 2016, Anderson et al., 2019). A “traffic-light”-coloured warning system is in  
1456 operation in some countries, as a tool for harvesters to manage the risk from HABs in  
1457 shellfish harvesting (Food Standards Agency Scotland 2014, McLeod and McLeod 2016).  
1458 Within Europe there have been a number of initiatives to develop HAB early-warning  
1459 frameworks. Perhaps most prominent amongst these is the EU FP7 Asimuth project that  
1460 sought to develop mathematical model based systems for HAB early warning on the  
1461 Western European Seaboard (Maguire et al., 2016). Two outputs from this project that have  
1462 proved enduring are the Irish and Scottish HAB and biotoxin early warning “bulletins” (e.g.  
1463 [www.HABreports.org](http://www.HABreports.org)), both of which employ oceanographic modelling approaches to predict  
1464 the HAB risk to aquaculture (Aleynik et al 2016; Cusack et al., 2016). The ongoing Interreg  
1465 Atlantic Arc project PRIMROSE ([www.shellfish-safety.eu](http://www.shellfish-safety.eu)) is attempting to integrate these  
1466 parallel approaches with initiatives in Portugal, Spain, France and England to develop a  
1467 common web-based gateway for HAB risk assessment.

1468 Due to the observed increase of CP outbreaks in Europe, the European Food Safety Agency  
1469 (EFSA) launched the research project EUROCIGUA to characterise the risk of CP in Europe.  
1470 The project established the criteria for case definition and a surveillance epidemiological  
1471 protocol for Europe, which also includes cases associated with imported fish (Friedemann,  
1472 2019). Another output has been the toxicity evaluation of the different *Gambierdiscus* strains  
1473 isolated in the Canary Islands (Rossignoli et al., 2020), Selvagens and Madeira, in fish  
1474 caught in the same locations and the characterization of the CTXs present (Estévez et al.,  
1475 2019a,b, Estévez et al., 2020a,b, Sánchez-Henao et al., 2020).

1476 In 1995, Galicia established in its own legislation a list of criteria to manage shellfish  
1477 sampling frequency; the criteria include not only the cell abundance of toxin producing  
1478 species and the content of phycotoxins in shellfish, but also meteorological and remote  
1479 sensing information (DOG, 1995). This methodology has been improved through different  
1480 research projects as well as through the ongoing analysis of the time-series.

1481 Phytoplankton blooms are natural phenomena and, therefore, HABs can be affected by  
1482 natural hydroclimatic variability, as well as by global climate change and/or eutrophication  
1483 and shifts in nutrient ratios. The interaction of multiple environmental factors makes very  
1484 difficult to predict how global change will affect the distribution and frequency of HABs  
1485 (Hallegraeff, 2010). Broad scale changes in phytoplankton life-forms have been recorded  
1486 across the north west European shelf (Bedford et al., 2020). The carbonate chemistry of the  
1487 oceans is also changing (Ostle et al., 2015) and the HAB community is at an early stage in  
1488 understanding the effects of these changes on HAB species dynamics and biogeography  
1489 and their impacts (Fu et al., 2012, Riesebell et al., 2018, Raven et al., 2020).

1490 Wells et al., (2020) discuss the increasing concern that human-mediated environmental  
1491 parameters may alter the patterns, distribution and intensity of HABs. Data from the  
1492 continuous plankton recorder (CPR) has already revealed the redistribution of certain HAB  
1493 taxa in the North Sea, including *Dinophysis* spp. over the last five decades (Edwards et al.,  
1494 2006). In the southern North Sea, Nohe et al., (2020) have also observed a long-term  
1495 increase in the occurrence of HAB genera, such as *Pseudo-nitzschia*, *Alexandrium* and  
1496 *Prorocentrum*, during a period of de-eutrophication and ocean warming. In a model-based  
1497 analysis parameterised with laboratory data, Gobler et al., (2017) propose that ocean  
1498 warming has expanded the niche for harmful blooms of *D. acuminata* and *A. fundyense* (now  
1499 *A. catenella*) in northwest European waters since 1982. In their analysis of changes in  
1500 *Dinophysis* abundances identified to genus level in CPR data from offshore waters Dees et  
1501 al., (2017) did not find any statistically significant increases in annual mean cell abundance,  
1502 number of *Dinophysis* blooms, or bloom duration. Increases in the cell abundance of

1503 *Pseudo-nitzschia* species in the northeast Atlantic as a result of changing wind speed and  
1504 direction have been observed since the mid-1990s (Hinder et al., 2012). Analysis of DA  
1505 levels in UK shellfish from 2008 - 2017 showed an increase in the frequency of both DA  
1506 occurrence and toxic events in shellfish not including *Pecten maximus*, although there was  
1507 considerable annual variability in intensity and geographical location of toxic episodes  
1508 (Rowland-Pilgrim et al., 2019).

1509 Species previously only recorded from the warmer Mediterranean Sea, such as *Alexandrium*  
1510 *mediterraneum* U. John 2014, have recently been observed in the Atlantic coast in the Bay  
1511 of Biscay (Laza-Martínez et al., 2017). During the last few decades, the warm water period  
1512 has been prolonged by approximately a month in the Bay of Biscay and sea surface  
1513 temperature has increased at  $0.26 \pm 0.03$  °C per decade (Costoya et al., 2015), giving rise to  
1514 concern that in a future warmer ocean scenario this area could become suitable for the  
1515 settlement of other species currently present in the western Mediterranean Sea, such as the  
1516 PST-producer *A. pacificum* R.W. Litaker 2014. Despite ocean warming and associated  
1517 species distribution shifts being one of the most obvious consequences of climate change,  
1518 shifts in hydrodynamic forces are also expected to impact phytoplankton dynamics. Shifts in  
1519 winds affecting the upwelling off the Iberian Peninsula (Cabanias et al., 2003), cause  
1520 changes in upwelling/downwelling dynamics influencing *Gymnodinium catenatum* and  
1521 *Dinophysis* spp. occurrences.

1522 In the decades to come an increased focus will be placed on the oceans and coastal and  
1523 shelf sea areas to provide a source of seafood, as well as financial income to support society  
1524 under changing climatic conditions. HAEDAT provides a data source on harmful algal events  
1525 that need to be factored into future human adaption and management strategies.

1526

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1541

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- 2634



2635 **Figure headings**

2636

2637 **Figure 1. A, Map showing the central location (white dot) of each HAEDAT area code**  
 2638 **included in this study; PT – Portugal, ES – Spain, FR – France, GB – United Kingdom,**  
 2639 **IE – Ireland, FO – Faroe Islands, IS – Iceland. The African and Mediterranean**  
 2640 **coastlines are not included in this study. B, Map showing detail of Macaronesia.**

2641

2642 **Figure 2. Map showing locations (●) where routine monitoring for shellfish toxins**  
 2643 **takes place along the coastal areas of Spain, Portugal, France, Ireland and the UK**  
 2644 **included in the study area.**

2645

2646 **Figure 3 A- G. Barcharts of number of HAEDAT areas with events per year. The**  
 2647 **number of area codes per country are after the country name. The category ‘Total**  
 2648 **Mortalities’ includes events of benthic mortalities as well as dogs, birds, aquaculture**  
 2649 **and natural fish. Figures 3 F and G are highlighted in grey to flag that the datasets for**  
 2650 **Iceland and Faroe Islands are incomplete.**

2651

2652 **Figure 4. Distribution of HAEDAT events associated with PSTs along the European**  
 2653 **Atlantic margin; (A) total number of years with events in HAEDAT until 2018, number**  
 2654 **of years with events between; (B) 1999 – 2003, (C) 2004 – 2008, (D) 2009 – 2013, (E)**  
 2655 **2014 - 2018. Symbol size represents the number of years with events per area code.**  
 2656 **Data from 1992 -1994 and 2016 - 2018 have been entered for Iceland; no events from**  
 2657 **the Faroe Islands have been entered since 1990. Data from the Mediterranean and**  
 2658 **mainland African coastlines are not included.**

2659

2660 **Figure 5. Distribution of HAEDAT events associated with DSTs along the European**  
 2661 **Atlantic margin; (A) total number of years with events in HAEDAT until 2018, number**  
 2662 **of years with events between; (B) 1999 – 2003, (C) 2004 – 2008, (D) 2009 – 2013, (E)**  
 2663 **2014 - 2018. Symbol size represents the number of years with events per area code.**  
 2664 **Data from 1992 -1994 and 2016 - 2018 have been entered for Iceland; no events from**  
 2665 **the Faroe Islands have been entered since 1990. Data from the Mediterranean and**  
 2666 **mainland African coastlines are not included.**

2667

2668 **Figure 6. Distribution of HAEDAT events associated with ASTs along the European**  
 2669 **Atlantic margin; (A) total number of years with events in HAEDAT until 2018, number**  
 2670 **of years with events between; (B) 1999 – 2003, (C) 2004 – 2008, (D) 2009 – 2013, (E)**  
 2671 **2014 - 2018. Symbol size represents the number of years with events per area code.**  
 2672 **Data from 1992 -1994 and 2016 - 2018 have been entered for Iceland; no events from**  
 2673 **the Faroe Islands have been entered since 1990. Data from the Mediterranean and**  
 2674 **mainland African coastlines are not included.**

2675

2676 **Figure 7. Plot showing the (A ) maximum DA levels in *Pecten maximus* (circles) and**  
 2677 **other bivalve shellfish species (triangles) other shellfish (triangles) in the UK HAEDAT**  
 2678 **data (B) DA levels in *Pecten maximus* (circles) and other bivalve shellfish species**  
 2679 **(triangles) from associated monitoring data. The grey line on the x axis represents the**

2680 period when the requirement to monitor offshore *Pecten maximus* fishing areas had  
2681 stopped due to move to end product testing and shucking.

2682 **Figure 8. Distribution of HAEDAT events associated with AZTs along the European**  
2683 **Atlantic margin; (A) total number of years with events in HAEDAT until 2018, number**  
2684 **of years with events between; (B) 1999 – 2003, (C) 2004 – 2008, (D) 2009 – 2013, (E)**  
2685 **2014 - 2018. Symbol size represents the number of years with events per area code.**  
2686 **Data from 1992 -1994 and 2016 - 2018 have been entered for Iceland; no events from**  
2687 **the Faroe Islands have been entered since 1990. Data from the Mediterranean and**  
2688 **mainland African coastlines are not included.**

2689

2690 **Figure 9. Distribution of HAEDAT events associated with Ciguatera Poisoning along**  
2691 **the European Atlantic margin. Symbol size represents the number of years with**  
2692 **events per area code. The first record of CP comes from the Canary Islands, Spain in**  
2693 **2004 Routine monitoring began in the Canary Islands in 2011. There is no routine**  
2694 **monitoring for CP toxins elsewhere along the Atlantic European coastline.**

2695

2696 **Figure 10: Distribution of HAEDAT events showing mortalities of (A) aquaculture fish,**  
2697 **(B) natural fish, (C) benthic animals and (D) birds and dogs. Records start in the**  
2698 **1980s.**

2699

2700

2701 **Table headings**

2702

2703 **Table 1A. Shellfish toxin monitoring metadata for countries involved in this study**

2704

2705 **Table 1B. Regulatory shellfish toxin limits**

2706

2707 **Table 2. Incidents of human illness with paralytic shellfish poisoning (PSP), diarrhetic**  
2708 **shellfish poisoning (DSP), amnesic shellfish poisoning (ASP) Azaspiracid poisoning**  
2709 **(AZP) and ciguatera poisoning (CP).**

2710

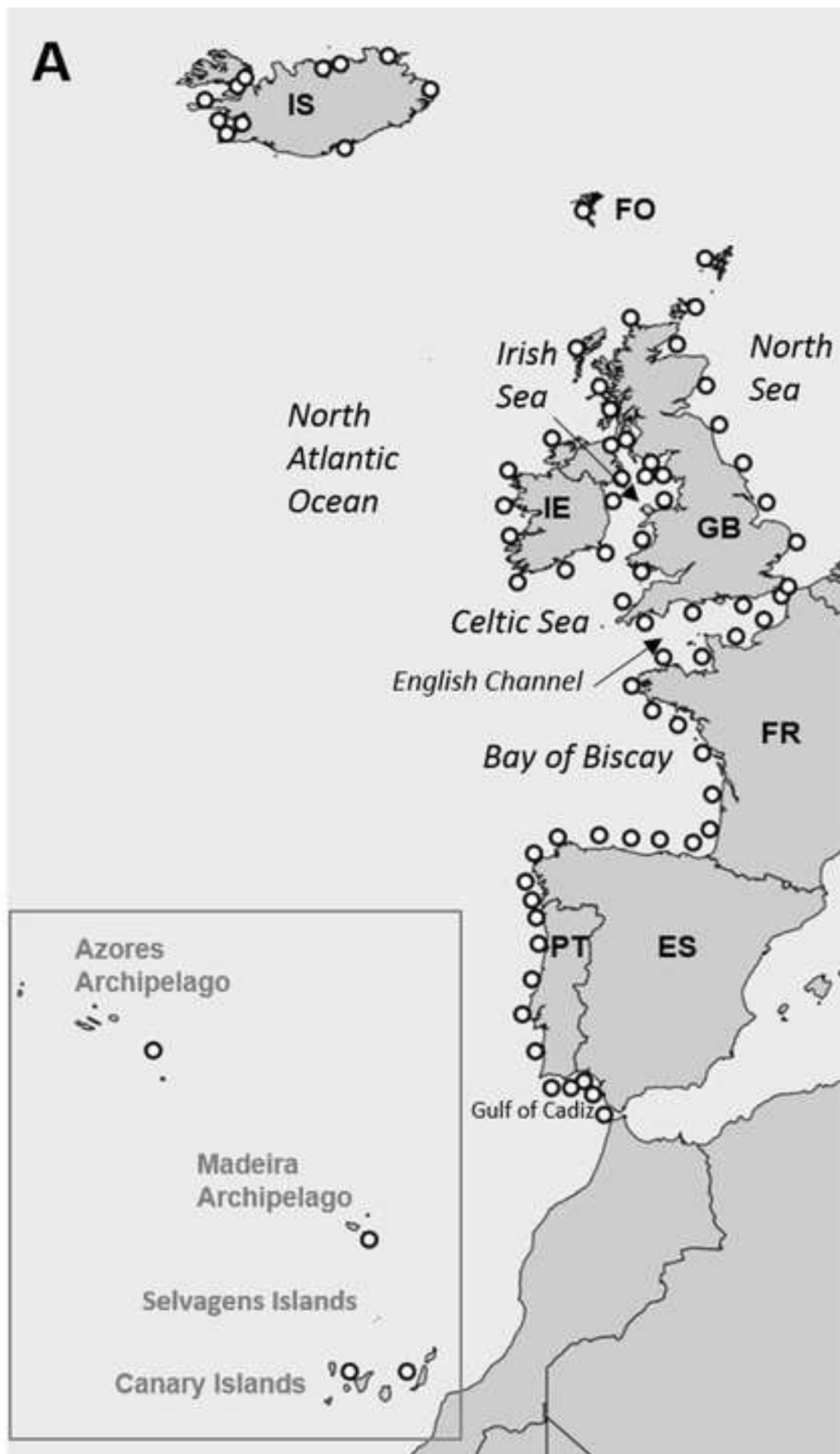
2711 **Table 3. Maximum toxin levels measured in different shellfish species from the study**  
2712 **area and date of occurrence**

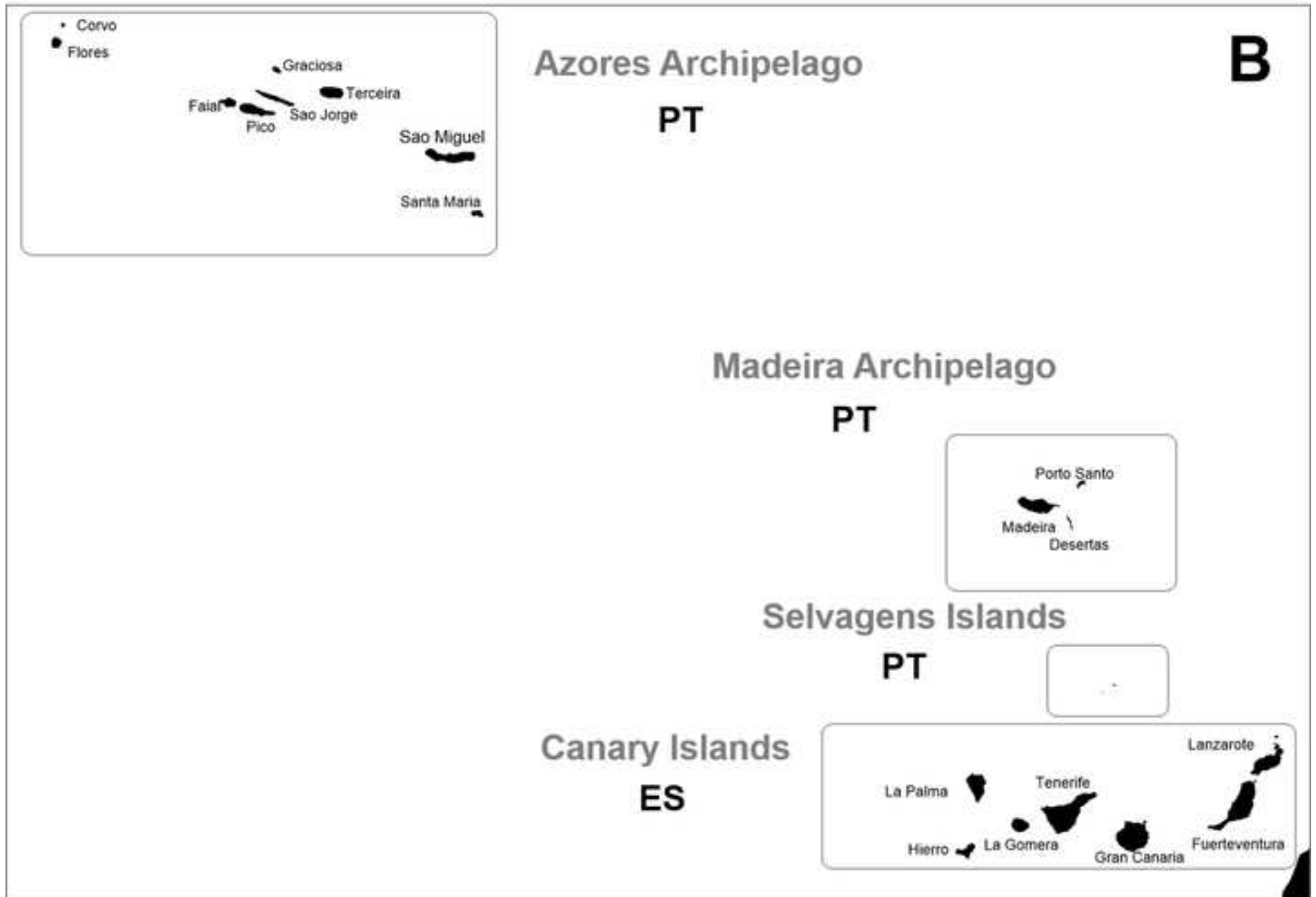
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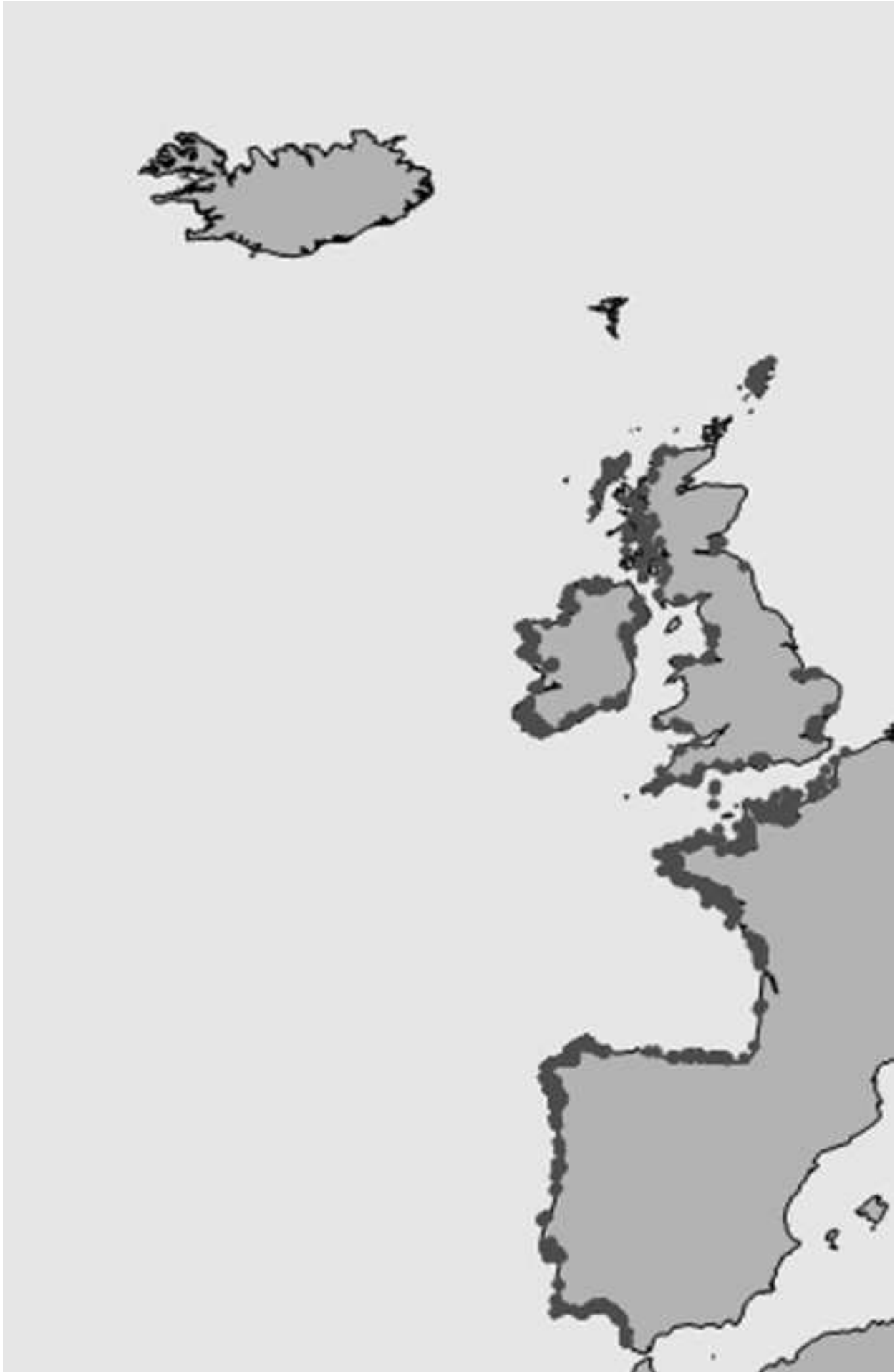
2714 **Table 4: Harmful microalgal species from the IOC taxonomic list and species**  
2715 **associated with fish and benthic mortality events from the study area**

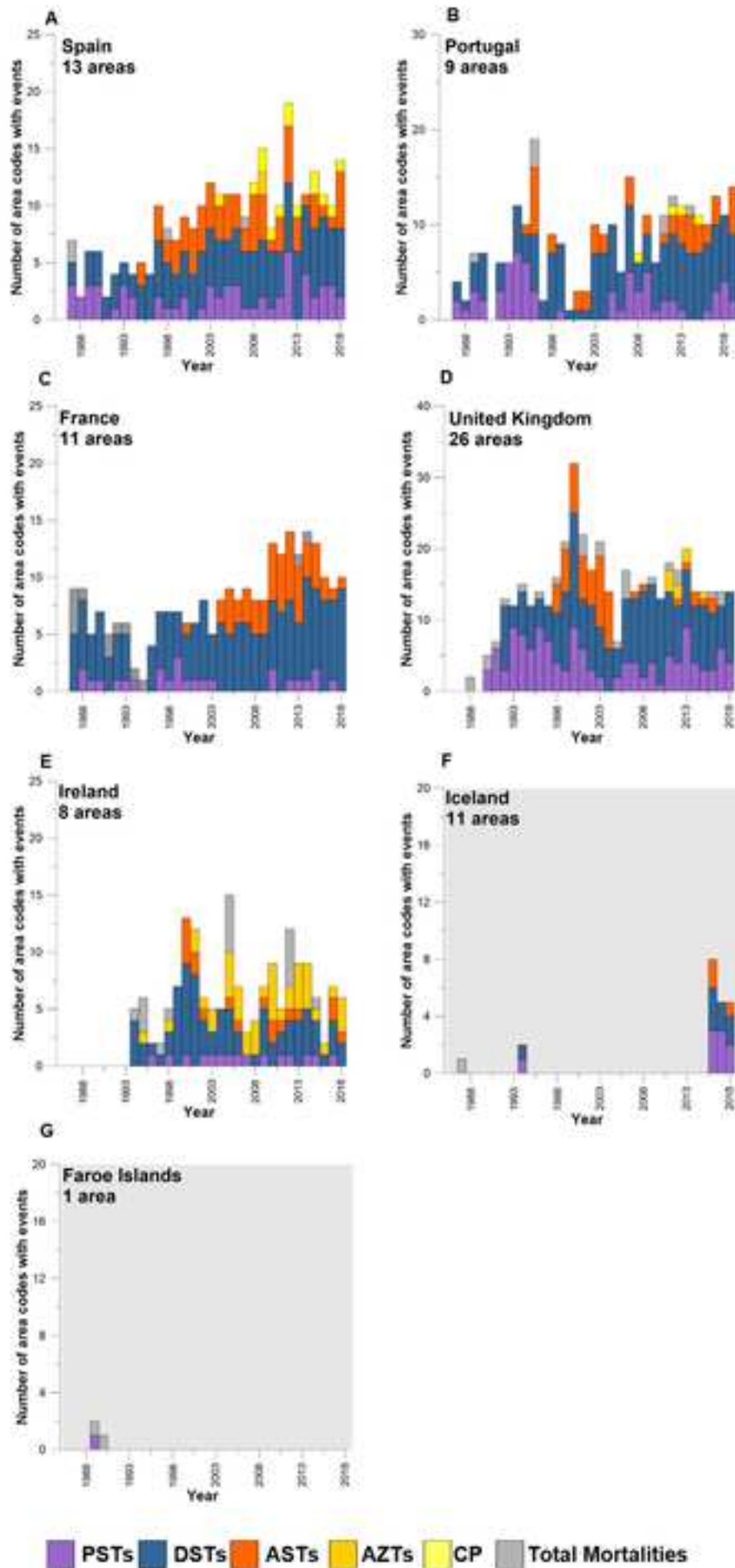
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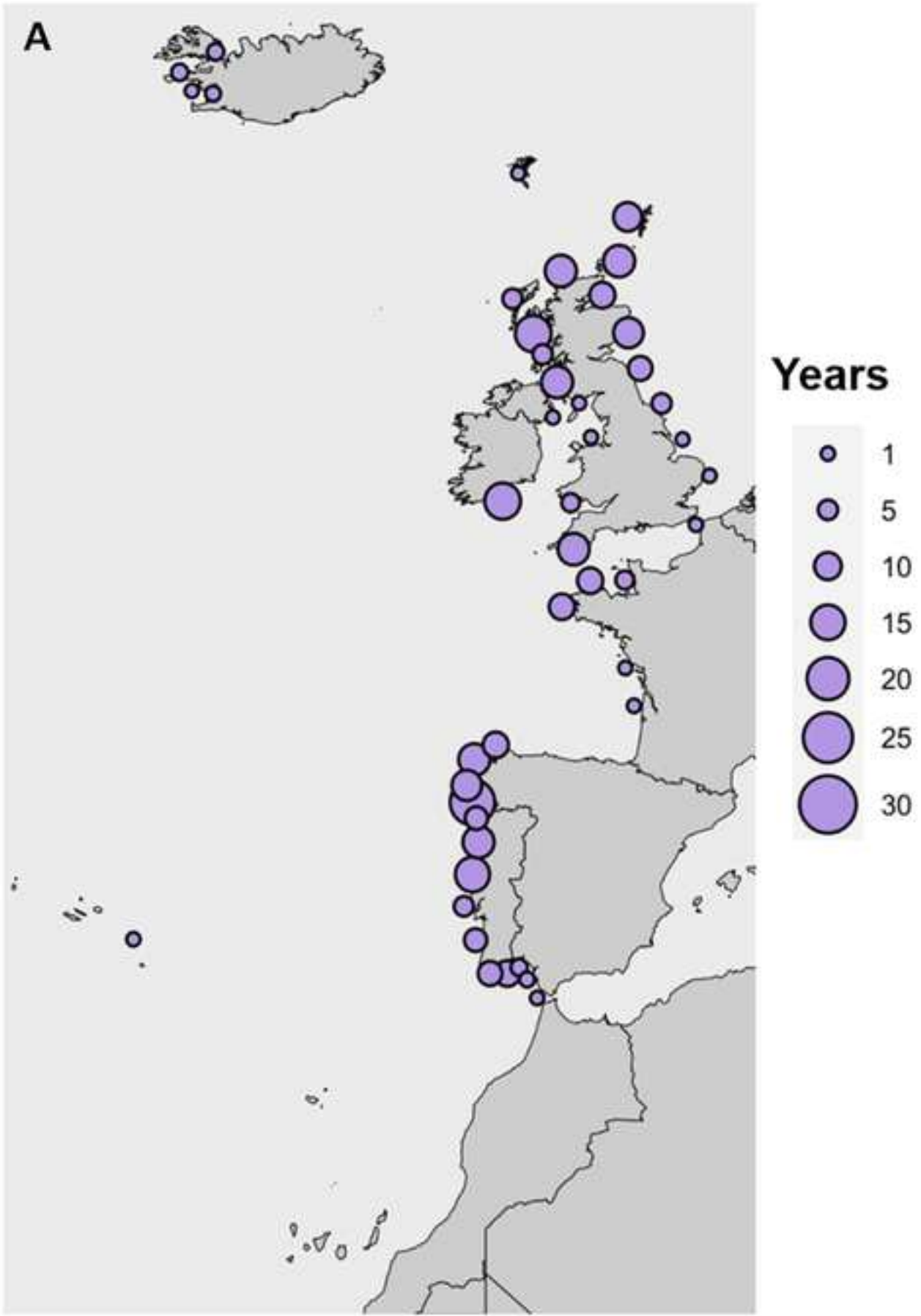
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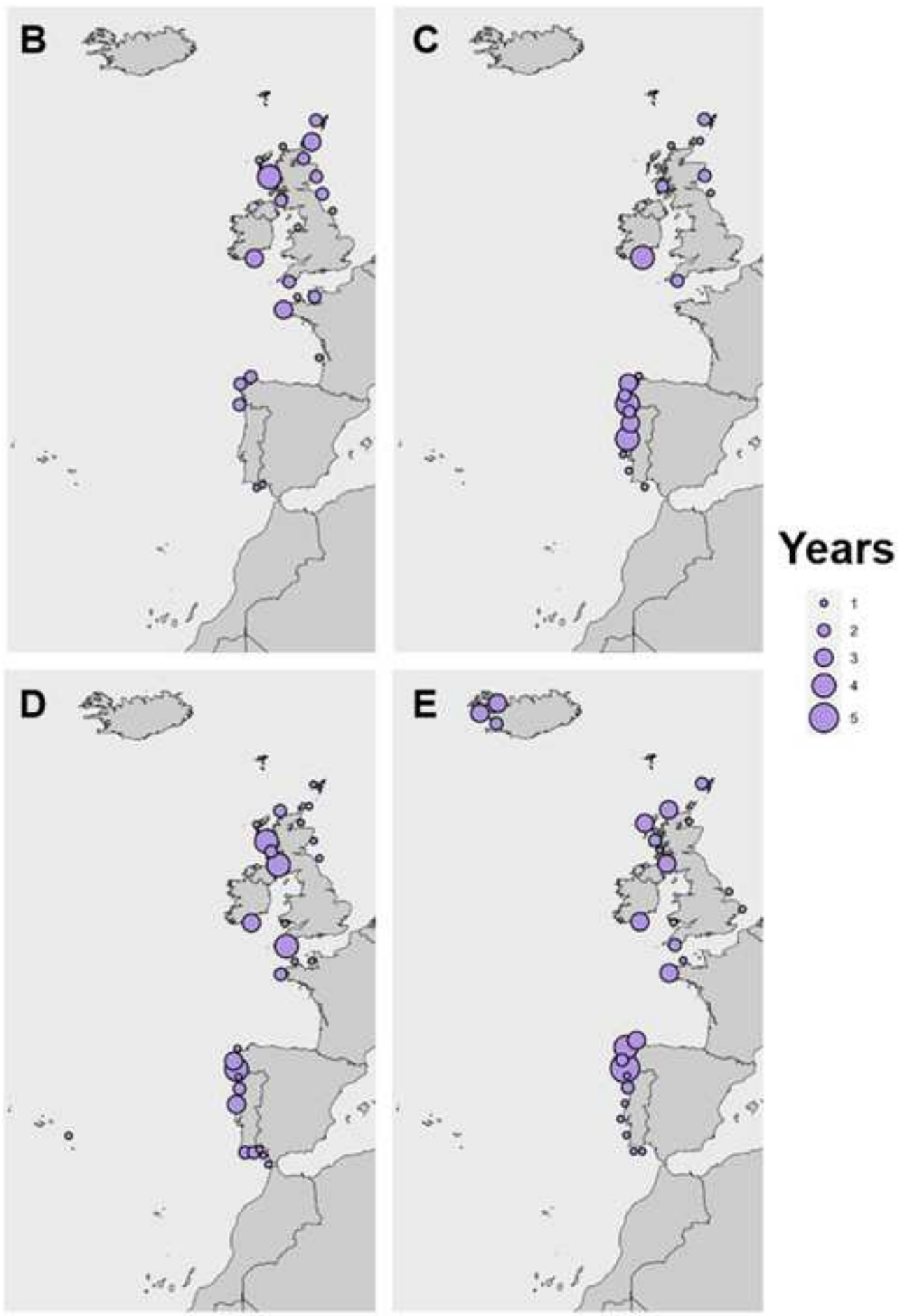




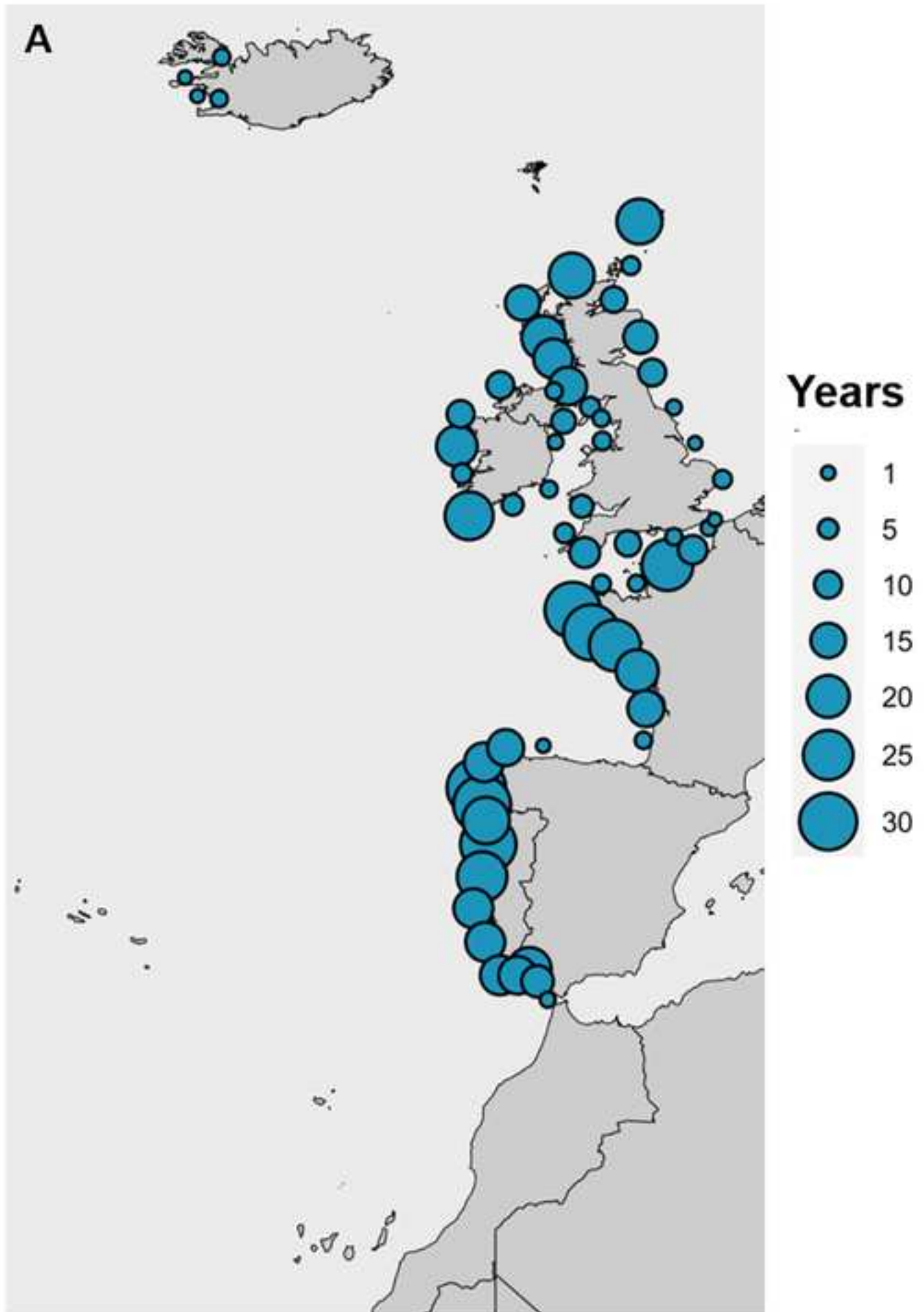


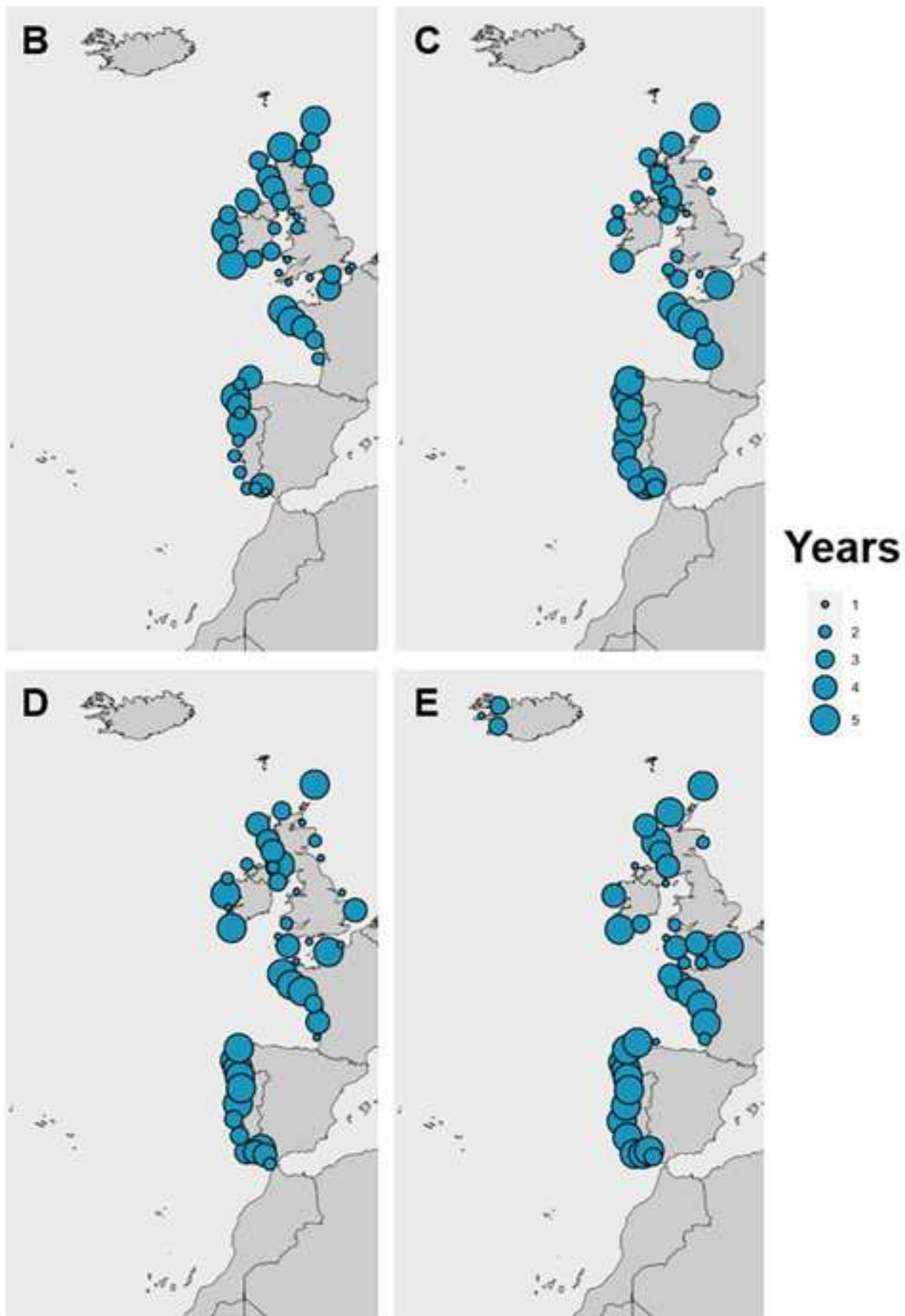


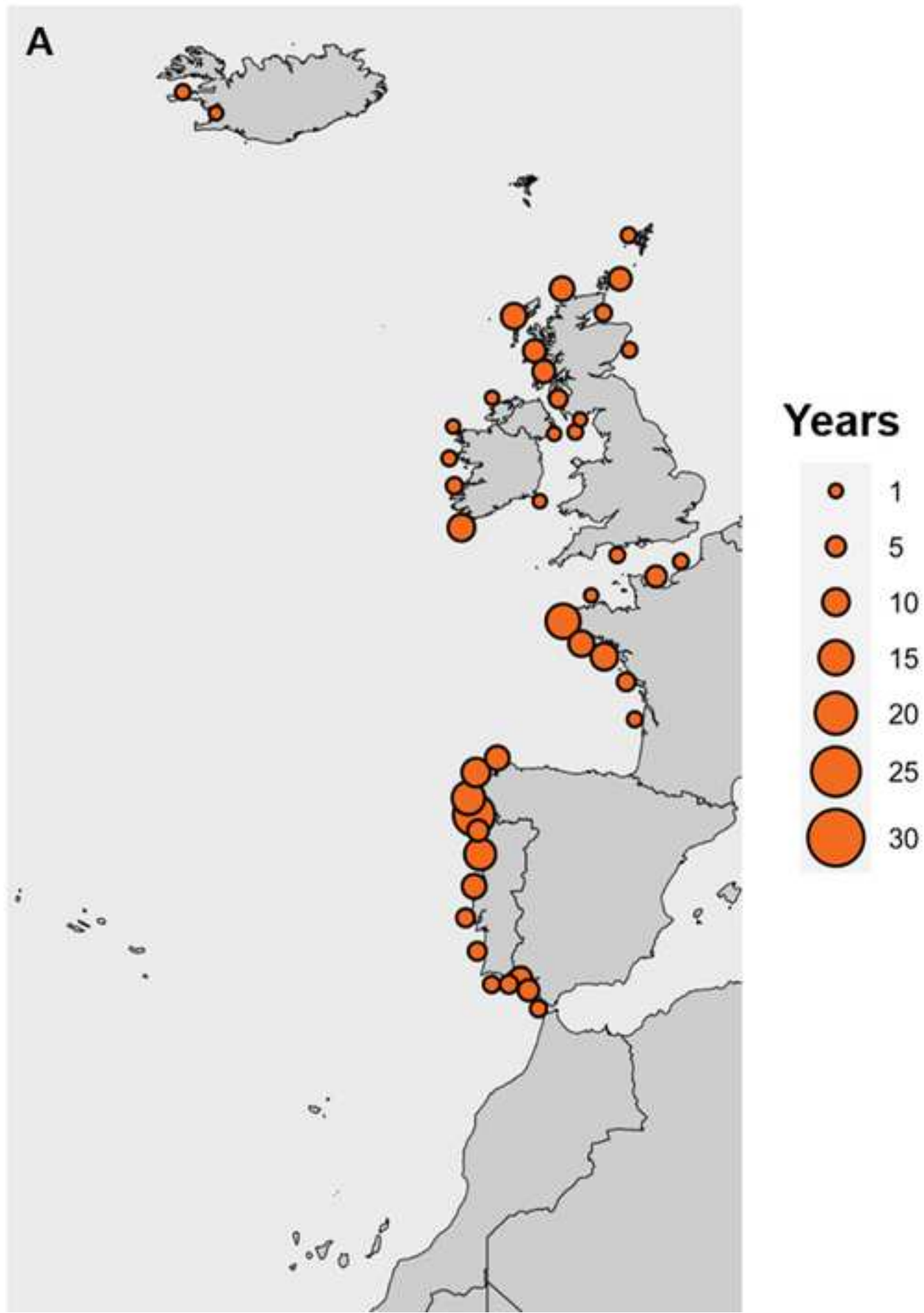


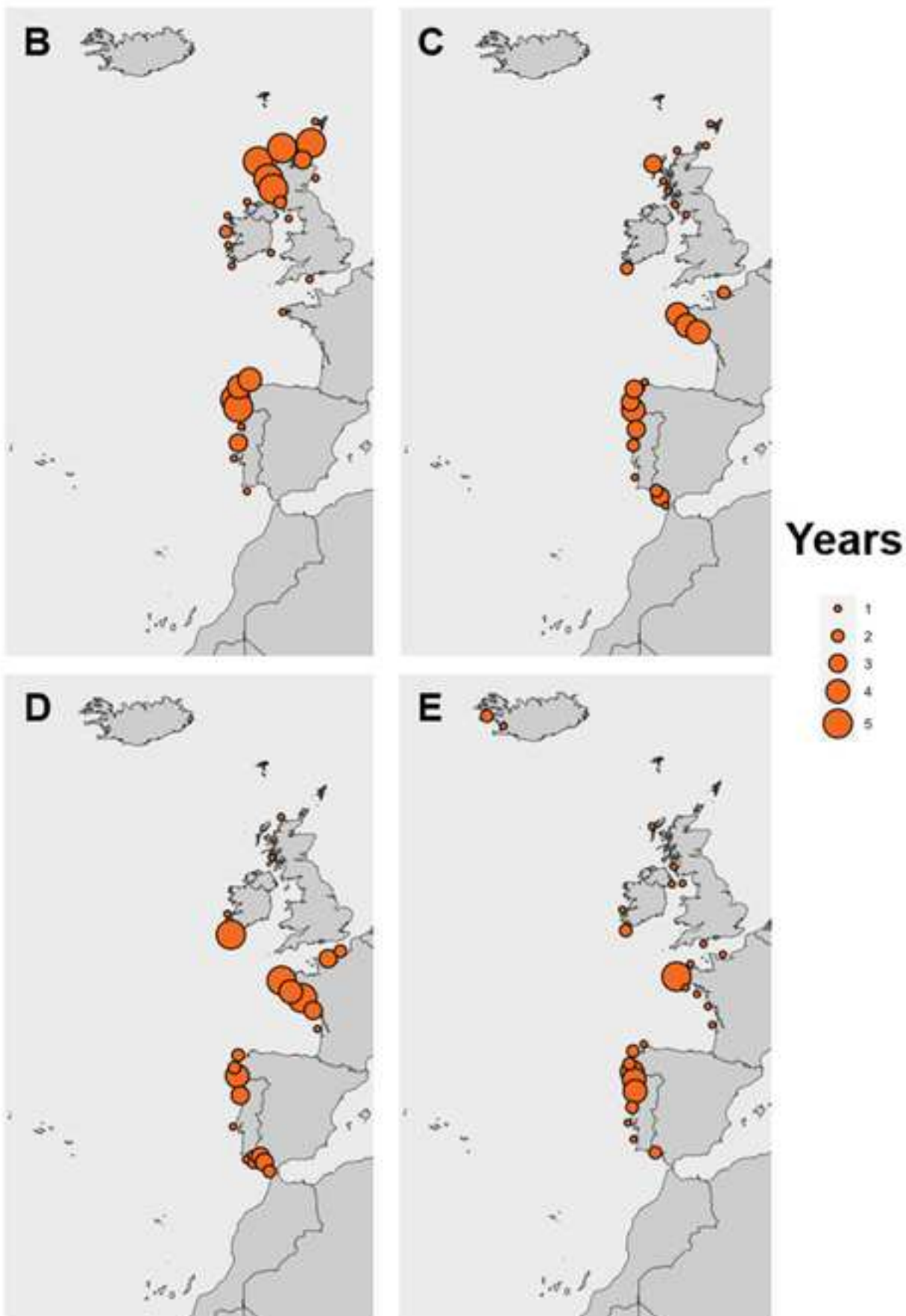


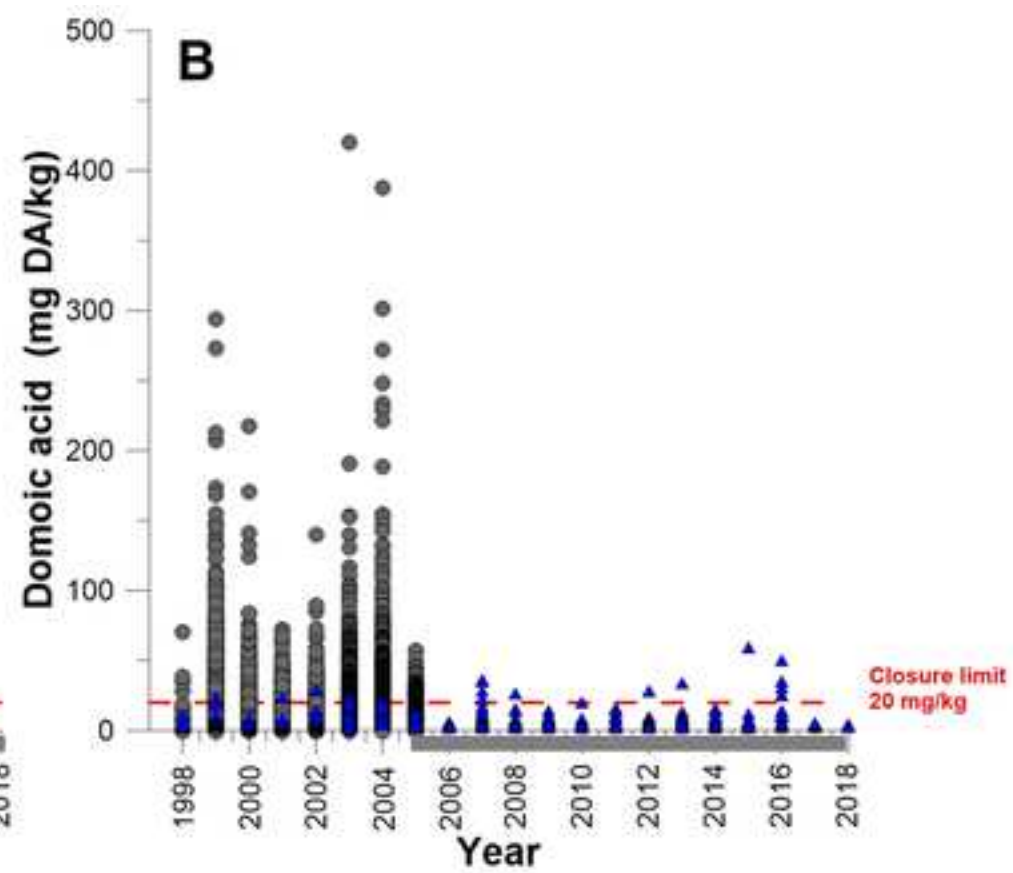
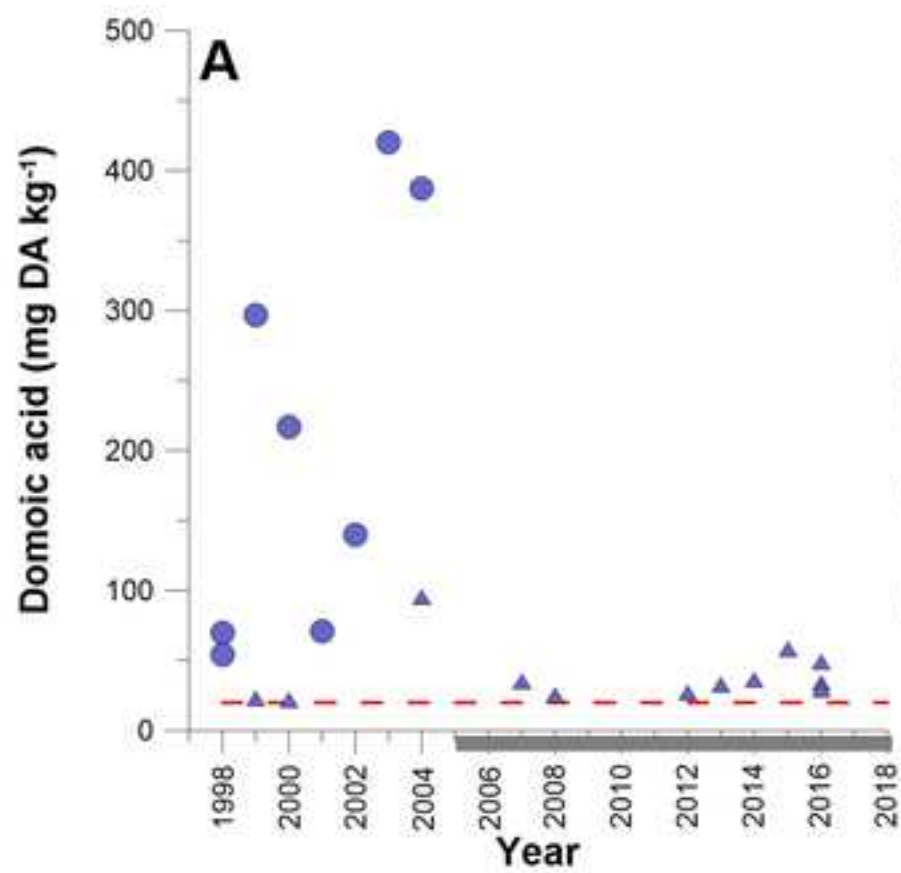


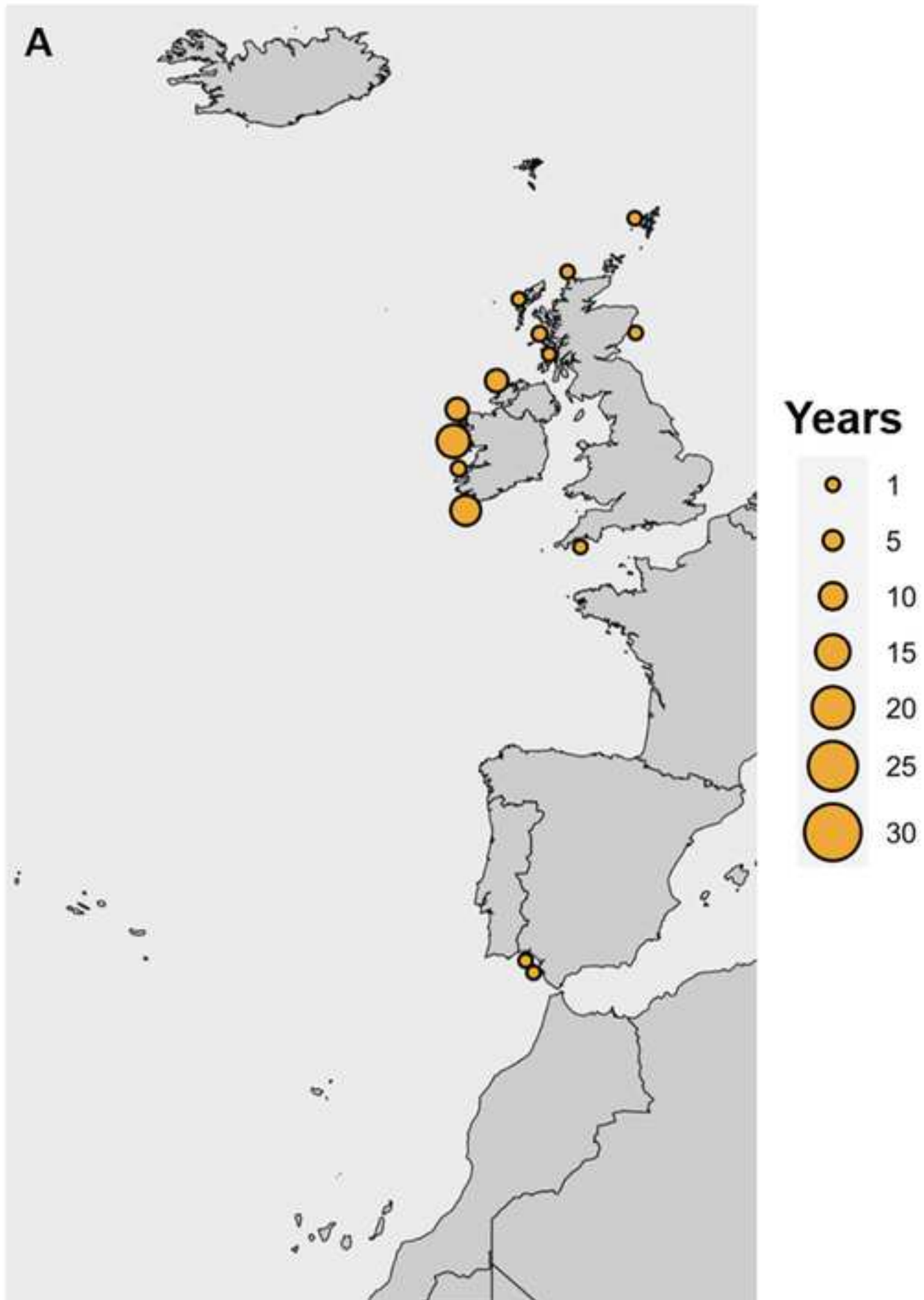




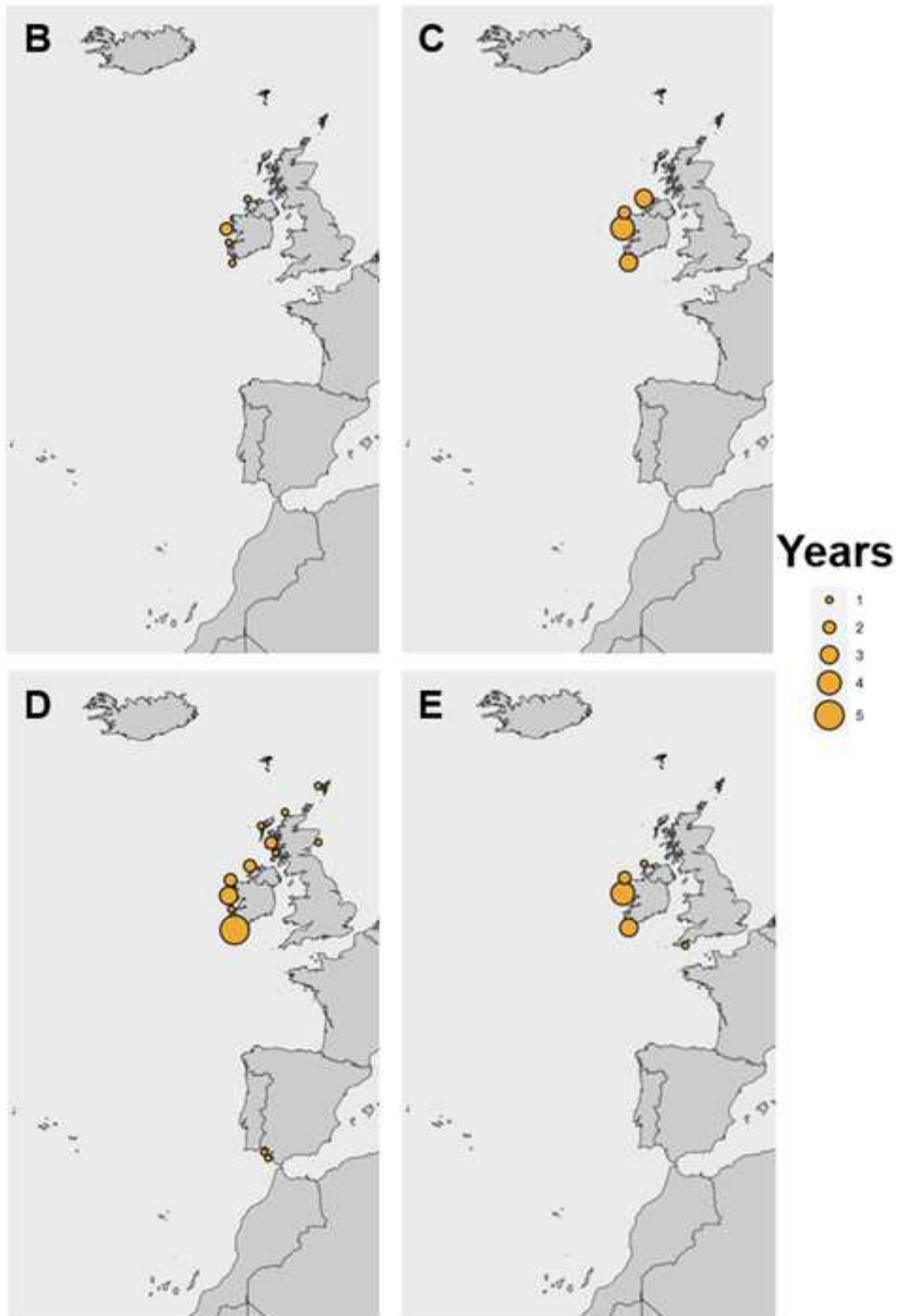










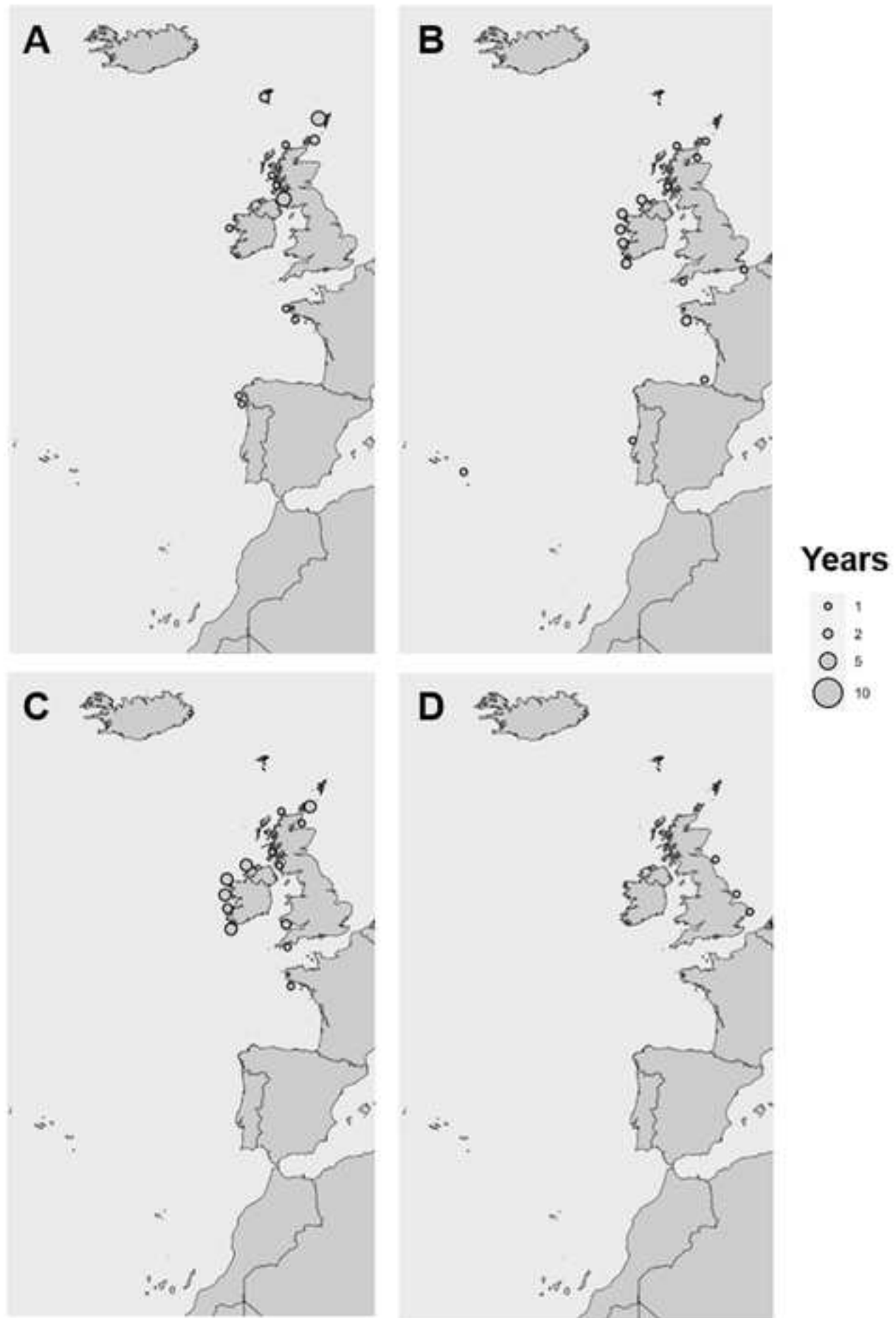




### Years







**Table 1A. Shellfish toxin monitoring metadata for countries involved in this study**

Country	Method Details	Shellfish Marine Biotoxin Group					
		Amnesic Shellfish Toxins	Azaspiracid Shellfish Toxins	Diarrhetic Shellfish Toxins	Paralytic Shellfish Toxins	Yessotoxins	Ciguatoxins
France	Method/Ref	HPLC Quilliam et al., 1995	LC-MS/MS EURLMB Reference	Mouse Bioassay Yasumoto (1978, 1984)	Mouse Bioassay AOAC 959.08	LC-MS/MS EURLMB Reference	Not Analysed
	Result Unit	mg DA kg <sup>-1</sup>	µg AZA1 eq. kg <sup>-1</sup>	Positive/Negative	µg STXdi-HCl eq. kg <sup>-1</sup>	mg YTX eq. kg <sup>-1</sup>	
	Dates	1999 - current	2009 - current	1987-2009	1988-current	2009 - current	
	Method/Ref			LC-MS/MS EURLMB Reference	HPLC EN 14526		
	Result Unit			µg OA eq. kg <sup>-1</sup>	µg STXdi-HCl eq. kg <sup>-1</sup>		
	Dates			2010 - current	During 2021		
Ireland	Method/Ref	HPLC Quilliam et al., 1995	LC-MS/MS Quilliam et al., 2001 modified by Hess et al., 2003	Rat Bioassay Kat et al., (1983)	Mouse Bioassay AOAC 959.08	LC-MS/MS EURLMB Reference	Not Analysed
	Dates	1999 - current	2001-2010	1990-1998	1999-2011	2012 - current	
	Result Unit	µg DA g <sup>-1</sup> , mg DA kg <sup>-1</sup>	µg AZA1 eq. g <sup>-1</sup>	Negative (-) / Toxicity (±, +, ++, +++)	µg STXdi-HCl eq. 100g <sup>-1</sup> µg STXdi-HCl eq. kg <sup>-1</sup>	mg YTX eq. kg <sup>-1</sup>	
	Method/Ref		LC-MS/MS EURLMB Reference	Mouse Bioassay Yasumoto (1978, 1984)	HPLC/UPLC-FLD AOAC 2005.06		
	Dates		2009 - current	1999-2011	2011-current		
	Result Unit		µg AZA1 eq. g <sup>-1</sup>	Positive/Negative	µg STXdi-HCl eq. kg <sup>-1</sup>		
	Method/Ref			LC-MS/MS Quilliam et al., 2001 modified by Hess et al., 2003			
	Dates			2001-2010			
	Result Unit			µg OA eq. g <sup>-1</sup>			
	Method/Ref			LC-MS/MS EURLMB Reference			

	Dates			2009 - current			
	Result Unit			$\mu\text{g OA eq. g}^{-1}$			
<b>Portugal</b>	Method/Ref	HPLC Quilliam et al., 1995	LC-MS/MS EURLMB Reference	Mouse Bioassay Yasumoto (1978, 1984)	Mouse Bioassay AOAC 959.08	LC-MS/MS EURLMB Reference	Not Analysed
	Dates	1997 - current	2017-current	1987-2003	1986-2003	2017-current	
	Result Unit	$\text{mg DA kg}^{-1}$	$\mu\text{g AZA1 eq kg}^{-1}$	Positive/Negative	$\mu\text{g STXdi-HCl}$ equivalents $\text{kg}^{-1}$	$\text{mg YTX eq. kg}^{-1}$	
	Method/Ref			LC-MS/MS Vale & Sampayo (2003)	HPLC Lawrence et al. 1995 modified by Vale & Sampayo 2001		
	Dates			2003-2013	2003-2008		
	Result Unit			$\mu\text{g OA eq. kg}^{-1}$	$\mu\text{g STXdi-HCl}$ equivalents $\text{kg}^{-1}$		
	Method/Ref			LC-MS/MS EURLMB Reference	HPLC AOAC 2005.06		
	Dates			2013-current	2008-current		
Result Unit			$\mu\text{g OA eq. kg}^{-1}$	$\mu\text{g STXdi-HCl}$ equivalents $\text{kg}^{-1}$			
<b>United Kingdom</b>	Method/Ref	HPLC Quilliam et al., 1995	LC-MS/MS EURLMB Reference	Mouse Bioassay Yasumoto (1978, 1995)	Mouse Bioassay AOAC (959.08)	LC-MS/MS EURLMB Reference	Not Analysed
	Dates	1998 - current	2011-current	1992-2011	1990-2008	2011-current	
	Result Unit	$\mu\text{g DA g}^{-1}$ , $\text{mg DA kg}^{-1}$	$\mu\text{g AZA1 eq. kg}^{-1}$	Positive/Negative	$\mu\text{g STX eq. } 100\text{g}^{-1}$	$\text{mg YTX eq. kg}^{-1}$	
	Method/Ref			LC-MS/MS EURLMB Reference	HPLC AOAC 2005.06 modified by Turner et al., 2009		
	Dates			2011-current	2008-current		
Result Unit			$\mu\text{g OA eq. kg}^{-1}$	$\mu\text{g STXdi-HCl eq. kg}^{-1}$			

<b>Iceland</b>	Method/Ref	HPLC Quilliam et al., 1995	LC-MS/MS Quilliam et al., 2001 modified by Hess et al., 2003	LC-MS/MS Quilliam et al., 2001 modified by Hess et al., 2003	Mouse Bioassay AOAC 959.08	LC-MS/MS EURLMB Reference	Not Analysed
	Dates	2004 - current	2005-2010	2005-2010	2009-2011	2012 - current	
	Result Unit	mg DA kg <sup>-1</sup>	µg AZA1 eq. g <sup>-1</sup>	µg OA eq. g <sup>-1</sup>	µg STXdi-HCl eq. 100g <sup>-1</sup> µg STXdi-HCl eq. kg <sup>-1</sup>	mg YTX eq. kg <sup>-1</sup>	
	Method/Ref		LC-MS/MS EURLMB Reference	LC-MS/MS EURLMB Reference	HPLC AOAC 2005.06		
	Dates		2009 - current	2009 - current	2011-current		
Result Unit	µg AZA1 eq. g <sup>-1</sup>		µg OA eq. g <sup>-1</sup>	µg STXdi-HCl eq. kg <sup>-1</sup>			
<b>Spain</b>	Method/Ref	HPLC AOAC 991.26	LC-MS/MS EURLMB Reference	Mouse Bioassay Yasumoto (1978, 1984)	Mouse Bioassay AOAC 959.08	LC-MS/MS EURLMB Reference	Neuroblastoma (neuro-2a) cell- based assay (CBA) Caillaud et al., 2012
	Dates	1994-current	2009-current	1980-2013	1977-2020	2009-current	2011
	Result Unit	µg DA g <sup>-1</sup> , mg DA kg <sup>-1</sup>	µg AZA1 eq. kg <sup>-1</sup>	Positive/Negative	µg STXdi-HCl eq. 100g <sup>-1</sup> µg STXdi-HCl eq. kg <sup>-1</sup>	mg YTX eq. kg <sup>-1</sup>	Positive/Negative
	Method/Ref			LC-MS/MS EURLMB Reference	HPLC / UPLC-FLD AOAC 2005.06		
	Dates			µg OA eq. kg <sup>-1</sup>	2020-current		
Result Unit	2009-current			µg STXdi-HCl eq. kg <sup>-1</sup>			

DA – Domoic Acid, OA - Okadaic Acid, STX – Saxitoxin, YTX – Yessotoxin, AZA - Azaspiracid

Note: The Mouse Bioassay (Yasumoto 1978, 1984) was used in many countries as the reference method for the detection of DSTs up until end 2014 when legislation 15/2011 (amending 2074/2005) came into effect in 2011. This enabled the use of LC-MS/MS methods (EURLMB Reference method) as the official reference method of analysis. The mouse bioassay methodology (depending on method type, observation time, symptomology of mortality and time of death) was also observed to co-extract other toxins in addition to DSTs, including AZAs, YTXs and Cyclic Imines including Spirolides (SPX).

**Table 1B. Regulatory shellfish toxin limits**

<b>Toxin group</b>	<b>Abbreviation</b>	<b>Maximum permissible limit</b>
Paralytic Shellfish Toxins	PSTs	800 micrograms of Saxitoxin di-HCl equivalent per kilogram ( $\mu\text{g STXdi-HCl eq. kg}^{-1}$ )
Diarrhetic Shellfish Toxins (Okadaic Acid, Dinophysistoxins and Pectenotoxins <sup>1</sup> together)	DSTs	160 micrograms of Okadaic Acid equivalents per kilogram ( $\mu\text{g OA eq. kg}^{-1}$ )
Amnesic Shellfish Toxins	ASTs	20 milligrams of Domoic Acid per kilogram ( $\text{mg DA kg}^{-1}$ )
Azaspiracid Toxins	AZTs	160 micrograms of Azaspiracid equivalents per kilogram ( $\mu\text{g AZA1 eq. kg}^{-1}$ )
Yessotoxins	YTXs	3.75 milligrams of Yessotoxin equivalent per kilogram ( $\text{mg YTX eq. kg}^{-1}$ )

<sup>1</sup> –Pectenotoxins is due to be deregulated and removed from legislation

**Table 2: Incidents of human illness with paralytic shellfish poisoning (PSP), diarrhetic shellfish poisoning (DSP), amnesic shellfish poisoning (ASP) Azaspiracid poisoning (AZP) and ciguatera poisoning (CP).**

Country	syndrome	illness/deaths	year	reference
<b>Spain</b>				
	PSP	63/0	1976	DXSP, 1996
	PSP	17/0	1986	DXSP, 1996
	PSP	27/0	1993-1994	DXSP, 1996
	DSP	5,000/0	1981	DXSP, 1996
	DSP	1/0	1982-1994	DXSP, 1996
	DSP	61/0	1995	DXSP, 1996
	DSP	1 outbreak/0	1999	DXSP, 1999
	DSP	2 outbreaks/0	2000-2006	DXSP, 2005; Granado et al., 2007
	DSP	4 outbreaks/0	2012	DXSP, 2012a,b
	DSP	6 families/0	2015	regional press
	DSP	1 family/0	2016	DXSP, 2016a
	ASP	2/0	2014	DXSP, 2014; Pazos et al., 2016
	CP	5/0	2004	Pérez-Arellano et al., 2005
	CP	115/0	2008-2018	SVEICC, 2020
<b>Portugal</b>				
	PSP	100/6	1946	Correia 1946
	PSP	21/1	1955	Pinto and Silva 1956
	PSP	9/0	1994	Carvalho et al., 1998
	PSP		2007	Rodrigues et al., 2008
	PSP	4/0	2012	Santos et al., 2014
	PSP	2/0	2018	de Carvalho et al., 2019
	DSP	18/0	1998	Vale 2020
	DSP	13/0	2002	Vale 2020

DSP	30/0	2012	Vale 2020
CP	7/0	2008	Gouveia et al., 2009
CP	12/0	2012	Costa 2017
CP	7/0	2015	Cebadera-Miranda et al., 2018

## France

DSP	3450/0	1983	Lassus et al, 2016
DSP	2070/0	1984	Lassus et al, 2016
DSP	10/0	2009	Santé Publique France
DSP	12/0	2012	Santé Publique France
DSP	12/0	2013	Santé Publique France
DSP	4/0	2014	Santé Publique France
DSP	5/0	2015	Santé Publique France
DSP	71/0	2016	Santé Publique France
DSP	18/0	2017	Santé Publique France
DSP	95/0	2018	Santé Publique France

## UK

PSP	30/2	1827	Ayres 1975
PSP	'several'/1	1858	Ayres 1975
PSP	3/1	1888	Ayres 1975
PSP	2/1	1904	Ayres 1975
PSP	19/1	1909	Ayres 1975
PSP	3/0	1958	Ayres 1975
PSP	78/0	1968	Ayres 1975
DSP	49/0	1997	Hinder et al., 2011
DSP	159/0	2006	McDougall and Midgley 2011
DSP	70/0	2013	Whyte et al., 2013
DSP	6/0	2019	Young et al., 2019

## Ireland

PSP	4/3	1872	Ayres 1975
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PSP	7/5	1890	Ayres 1975
AZP	8/0	1995	McMahon & Silke 1996
AZP	20-24/0	1997	Twiner et al. 2008
AZP	10/0	1998	Twiner et al. 2008
AZP	20-30/0	1998	Twiner et al. 2008
AZP	12-16/0	2000	Twiner et al. 2008
AZP	2/0	2008	Klontz et al. 2009
AZP	219/-	2008	EFSA 2008
AZP	15/0	2013	RASFF 2013a
AZP	112/-	2013	RASFF 2013b
DSP	132/0	2012	RASFF 2012
DSP	3/0	2014	RASFF 2014
DSP	31/0	2016	RASFF 2016

**Faroe Islands**

PSP	4/0	1984	Mortensen 1985
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**Table 3. Maximum toxin levels measured in different shellfish species from the study area and date of occurrence**

Shellfish	ASTs mg kg <sup>-1</sup>		AZTs µg kg <sup>-1</sup>		DSTs µg kg <sup>-1</sup>		PST µg kg <sup>-1</sup>		YTX mg kg <sup>-1</sup>	
<b>Ireland</b>										
<i>Cerastoderma edule</i>	9	29/05/2014	240	05/10/2015	790	02/09/2014	<LOD	-	<LOD	-
<i>Crassostrea gigas</i>	152	26/04/2005	340	26/11/2012	270	27/08/2012	323	01/07/2015	<LOD	-
<i>Mytilus edulis</i>	444.9	25/04/2005	8970	01/10/2005	6820	23/09/2014	1174	26/06/2017	<LOQ	-
<i>Ostrea edulis</i>	7	26/08/2003	200	22/08/2012	70	05/12/2005	<LOQ	-	<LOD	-
<i>Pecten maximus</i> (R)	1379	09/02/2004	320	30/09/2015	4620	20/10/2014	62	09/07/2017	<LOQ	-
<i>Ruditapes philippinarum</i>	34	15/05/2012	250	11/07/2012	60	10/12/2002	<LOD	-	<LOD	-
<i>Spisula solida</i>	54	09/05/2017	340	11/07/2012	650	06/08/2012	<LOD	-	<LOD	-
<b>France</b>										
<i>Cerastoderma edule</i>	-	-	-	-	11755	09/04/2012	3300	30/06/1998	-	-
<i>Crassostrea gigas</i>	110	01/04/2014	45	30/05/2011	1041	12/12/2012	7360	13/08/2001	-	-
<i>Mytilus edulis</i>	221	22/04/2014	53	12/06/2017	24862	22/04/2012	11664	16/04/2012	1.72	11/07/2018
<i>Pecten maximus</i> (TF)	861	21/04/2014	16	13/03/2017	2305	03/09/2014	543	25/07/2012	-	-
<i>Pecten maximus</i> (DG)	2269	24/09/2007								
<i>Ruditapes decussatus</i>	-	-	-	-	842	25/06/2013	5740	12/11/2001	-	-
<i>Ruditapes philippinarum</i>	123	19/04/2010	-	-	2278	22/04/2012	-	-	-	-
<b>UK</b>										
<i>Aequipecten opercularis</i>	7	15/06/2016	<LOQ	-	517	15/06/2016	<LOQ		1.9	15/06/2016
<i>Cerastoderma edule</i>	33	12/08/2013	157	06/01/2014	154	20/06/2016	1678	12/06/2012	<LOQ	-
<i>Crassostrea gigas</i>	8	01/05/2012	237	23/05/2013	682	03/09/2013	4392	28/04/2015	<LOQ	-
<i>Ensis</i> spp.	34	14/06/2016	26	07/08/2012	231	05/06/2012	33060	26/08/1998	<LOQ	-
<i>Mytilus</i> spp.	58	06/07/2015	626	11/10/2011	6950	17/07/2013	43050	27/07/1995	3.0	11/06/2012
<i>Ostrea edulis</i>	7	11/06/2001	<LOQ	-	61	27/07/2016	<LOQ	-	<LOQ	-
<i>Pecten maximus</i> (TF)	1294	03/10/2004	<LOQ	-	264	18/07/2012	2321	19/08/2015	-	-

<i>Pecten maximus</i> (G)	420	30/11/2003	<LOQ	-	<LOQ	-	1222	23/06/2014	-	-
<i>Spisula solidissima</i>	11	06/05/2014	209	14/08/2012	534	05/08/2014	2152	25/06/2013	<LOQ	-
<b>Spain</b>										
<i>Acanthocardia tuberculata</i>	24	29/04/2014	<LOQ	-	< LOQ	-	22150	17/01/2000	< LOQ	-
<i>Aequipecten opercularis</i>	63.	14/04/2015	< LOQ	-	3180	21/05/2014	9050	12/11/2014	< LOQ	-
<i>Callista chione</i>	166	05/02/2001	< LOQ	-	<LOQ	-	9500	26/01/2000	< LOQ	-
<i>Cerastoderma edule</i>	195	11/04/2000	90	03/08/2009	5448	07/07/2015	18500	10/08/2015	0.094	06/07/2016
<i>Chamelea gallina</i>	103	23/01/2001	575	20/07/2009	471	25/03/2019	15710	24/01/2000	< LOQ	-
<i>Crassostrea gigas</i>	107	27/05/2010	< LOQ	-	118	08/07/2020	9810	16/08/2012	< LOQ	-
<i>Donax trunculus</i>	75	13/04/2009	284	20/07/2009	2278	13/08/2018	17300	19/01/2000	< LOQ	-
<i>Ensis ensis</i>	150	10/04/2015	< LOQ	-	855	29/04/2016	4690	20/12/2005	< LOQ	-
<i>Ensis siliqua</i>	95	18/03/2004	< LOQ	-	1907	06/07/2015	6100	23/08/2000	< LOQ	-
<i>Haliotis tuberculata</i>	< LOQ	-	< LOQ	-	< LOQ	-	7300	02/01/2006	< LOQ	-
<i>Mimachlamys varia</i>	2	28/09/2020	< LOQ	-	333	19/09/2016	>15000	30/10/2012	< LOQ	-
<i>Mytilus galloprovincialis</i>	248	27/04/2005	< LOQ	-	12744	04/09/2014	40800	12/12/2005	1.434	18/08/2014
<i>Ostrea edulis</i>	17	19/04/2000	< LOQ	-	166	05/04/2016	1280	22/12/2005	< LOQ	-
<i>Pecten maximus</i>	980	13/02/2001	< LOQ	-	1271	10/07/2015	14460	22/08/2000	< LOQ	-
<i>Polititapes rhomboides</i>	228	08/04/2015	< LOQ	-	900	13/08/2014	16000	19/12/2005	< LOQ	-
<i>Ruditapes decussatus</i>	73	27/05/2010	< LOQ	-	425	10/10/2013	1890	03/06/2004	< LOQ	-
<i>Ruditapes philippinarum</i>	188	03/10/2008	< LOQ	-	994	10/10/2013	13800	15/12/2005	< LOQ	-
<i>Scrobicularia plana</i>	13	20/03/2017	< LOQ	-	< LOQ	-	400	30/10/2014, 24/11/2015	< LOQ	-
<i>Venerupis corrugata</i>	316	21/09/2004	< LOQ	-	1091	23/06/2015	10950	07/12/2005	< LOQ	-
<i>Venus verrucosa</i>	74	06/05/2003	< LOQ	-	< LOQ	-	3320	13/12/2011	< LOQ	-
<b>Portugal</b>										
<i>Cerastoderma edule</i>	82	Jun/Jul 2004	27	22/08/2016	888	Aug/Sep 2004	3174	09/01/2017	0.1	Aug/2020
<i>Crassostrea gigas</i>	56	25/3/2019	< LOQ	-	510	2/9/2019	4892	10/01/2017	0.1	02/05/2016
<i>Donax</i> spp.	-	-	27	29/08/2016	1990	Aug/Sep 2004	9800	29/09/1995	< LOQ	-

<i>Mytilus edulis</i>	102	07/04/2015	43	24/08/2016	1945	07/06/2016	59990	19/10/1995	0.9	06/07/2020
<i>Ruditapus decussatus</i>	72.	Jun/Jul 2004	< LOQ	-	667	22/10/2019	33600	26/10/1995	<LOQ	-
<i>Solen</i> sp.	37	Jun/Jul 2004	< LOQ	-	673	20/05/2019	2300	17/10/1995	<LOQ	-
<i>Spisula solida</i>	86	8/4/2015-	< LOQ	-	858	Aug/Sep 2004	2749	25/09/2018	0.2	08/06/2016

< LOD: < Limit of Detection

<LOQ: < Limit of Quantification

TF: Total Flesh

DG: Digestive gland

G: Gonad

R: Remainder tissue

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**Table 4: Harmful microalgal species from the IOC taxonomic list and species associated with fish and benthic mortality events from the study area**

Species	ES	PT	FR	IE	GB	FO	IS
<b>PST events</b>							
<i>Alexandrium andersonii</i> Balech, 1990	●			●			
<i>Alexandrium catenella</i> (Whedon & Kofoid) Balech, 1985					◆	◆	◆
<i>Alexandrium minutum</i> Halim 1960	◆	◆	◆	◆	◆		
<i>Alexandrium ostenfeldii</i> (Paulsen) Balech and Tangen 1955	●		●	●	●	●	
<i>Centrodinium punctatum</i> (Cleve) F.J.R.Taylor, 1976	●		●				
<i>Gymnodinium catenatum</i> H.W. Graham 1943	◆	◆					
<b>DST events</b>							
<i>Dinophysis acuminata</i> Claparède & Lachmann, 1859	◆	◆	◆	◆	◆	◆	◆
<i>Dinophysis acuta</i> Ehrenberg, 1859	◆	◆	◆	◆	◆	◆	◆
<i>Dinophysis caudata</i> Saville-Kent 1881	◆	●	●	●	● <sup>1</sup>	●	
<i>Dinophysis fortii</i> Pavillard 1924	●	●	●	●	● <sup>1</sup>		
<i>Dinophysis infundibulum</i> J.Schiller 1928	●				● <sup>1</sup>		
<i>Dinophysis norvegica</i> Claparède & Lachmann, 1859	● <sup>2</sup>		●	●	●	●	●
<i>Dinophysis ovum</i> (F.Schütt) T.H.Abé	◆	◆		●	●		
<i>Dinophysis sacculus</i> F.Stein, 1883	●	●	●				
<i>Dinophysis tripos</i> Gourret, 1883	●	●	●	●	● <sup>3</sup>		
<i>Phalacroma rotundatum</i> (Claparède & Lachmann) Kofoid & J.R.Michener, 1911	●	●	●	●	●		

Species	ES	PT	FR	IE	GB	FO	IS
<i>Prorocentrum hoffmannianum</i> M.A.Faust, 1990	●						
<i>Prorocentrum lima</i> (Ehrenberg) F.Stein, 1878	●	●	●	●	●		
<i>Prorocentrum rhaythmum</i> A.R.Loeblich III, Sherley & Schmidt, 1979		●					
<b>AZT events</b>							
<i>Amphidoma languida</i> Tillmann, Salas & Elbrächter, 2012	◆			●	●		
<i>Azadinium dexteroporum</i> I.Percopo & A.Zingone, 2013							
<i>Azadinium poporum</i> Tillmann & Elbrächter, 2011	●		●	●	●		
<i>Azadinium spinosum</i> Elbrächter & Tillmann, 2009	●			◆	●		
<b>YTX</b>							
<i>Gonyaulax spinifera</i> (Claparède & Lachmann) Diesing, 1866		●	●	●	●		
<i>Lingulodinium polyedra</i> (F.Stein) J.D.Dodge, 1989		●	●	●	●		
<i>Protoceratium reticulatum</i> (Claparède & Lachmann) Bütschli 1885	●	●	●	●	●		
<b>Pinnatoxin</b>							
<i>Vulcanodinium rugosum</i> Nézan & Chomérat, 2011	●		●				
<b>Other</b>							
<i>Prorocentrum cordatum</i> (Ostenfeld) J.D.Dodge, 1975					●		

Species	ES	PT	FR	IE	GB	FO	IS
<b>AST events</b>							
<i>Halamphora coffeaeformis</i> (C.Agardh) Levkov, 2009	●				●		
<i>Pseudo-nitzschia abrensis</i> Pérez-Aicua & Orive, 2013	●						
<i>Pseudo-nitzschia australis</i> Frenguelli, 1939	◆	◆	◆	◆	◆		
<i>Pseudo-nitzschia brasiliiana</i> N.Lundholm, G.R.Hasle & G.A.Fryxell, 2002	●						
<i>Pseudo-nitzschia caciantha</i> Lundholm, Moestrup & Hasle, 2003					●		
<i>Pseudo-nitzschia calliantha</i> Lundholm, Moestrup & Hasle, 2003	●	●			●		
<i>Pseudo-nitzschia cuspidata</i> (Hasle) Hasle, 1993		●			●		
<i>Pseudo-nitzschia delicatissima</i> (Cleve) Heiden, 1928	●	●	●	●	●		
<i>Pseudo-nitzschia fraudulenta</i> (Cleve) Hasle, 1993	●	●	●	●	●		
<i>Pseudo-nitzschia hasleana</i> Lundholm, 2012	●						
<i>Pseudo-nitzschia multiseriata</i> (Hasle) Hasle, 1995	●	●	●	●	◆		
<i>Pseudo-nitzschia multistriata</i> (Takano) Takano, 1995	●	●	●				
<i>Pseudo-nitzschia plurisecta</i> Orive & Pérez-Aicua, 2013	●		◆				
<i>Pseudo-nitzschia pseudodelicatissima</i> (Hasle) Hasle, 1993	●	●	◆ <sup>4</sup>	●	●		◆ <sup>4</sup>
<i>Pseudo-nitzschia pungens</i> (Grunow ex Cleve) G.R.Hasle, 1993	●	●	◆	●	●		
<i>Pseudo-nitzschia seriata</i> (Cleve) H.Peragallo, 1899				●	◆		◆
<i>Pseudo-nitzschia subpacifica</i> (Hasle) Hasle, 1993	●	●			●		

Species	ES	PT	FR	IE	GB	FO	IS
<b>CP</b>							
<i>Gambierdiscus australes</i> Chinian & M.A.Faust, 1999	◆						
<i>Gambierdiscus belizeanus</i> M.A.Faust, 1995	●						
<i>Gambierdiscus caribaeus</i> Vandersea, Litaker, M.A.Faust, Kibler, W.C.Holland & P.A.Tester, 2009	●						
<i>Gambierdiscus carolinianus</i> Litaker, Vandersea, M.A.Faust, Kibler, W.C.Holland & P.A.Tester, 2009	●						
<i>Gambierdiscus excentricus</i> S.Fraga, 2011	◆	◆					
<i>Gambierdiscus silvae</i> S.Fraga & F.Rodríguez, 2014	●						
<b>Palytoxins</b>							
<i>Ostreopsis heptagona</i> D.R.Norris, J.W.Bomber & Balech, 1985		●					
<i>Ostreopsis cf. ovata</i> Fukuyo, 1981		●	●				
<i>Ostreopsis cf. siamensis</i> Johs.Schmidt, 1901	●	●	●				
<b>Ichthyotoxins and other fish killing/benthic mortality mechanisms</b>							
<i>Karenia brevis</i> (C.C.Davis) Gert Hansen & Moestrup, 2000					● <sup>1</sup>		
<i>Karenia concordia</i> F.H.Chang & K.G.Ryan, 2004		●					
<i>Karenia mikimotoi</i> (Miyake & Kominami ex Oda) Gert Hansen & Moestrup, 2000	●	●	◆	◆	◆		
<i>Karenia papilionacea</i> A.J.Haywood & K.A.Steidinger, 2004	●	●	●				
<i>Karlodinium armiger</i> Bergholtz, Daugbjerg & Moestrup, 2006	●						

*Karlodinium gentienii* E.Nézan, N.Chomérat & R.Siano, 2014

●

Species	ES	PT	FR	IE	GB	FO	IS
<i>Karlodinium veneficum</i> (D.Ballantine) J.Larsen, 2000	●				● <sup>5</sup>		
<i>Margalefidinium polykrikoides</i> (Margalef) F.Gómez, Richlen & D.M.Anderson, 2017	●						
<i>Polykrikos hartmannii</i> W.M.Zimmermann, 1930	●	●					
<i>Takayama cladochroma</i> (J.Larsen) M.F.Salas, Bolch & Hallegraeff, 2003		●					
<i>Chattonella antiqua</i> (Y.Hada) C.Ono, 1980	●						
<i>Chattonella marina</i> (Subrahmanyam) Hara & Chihara, 1982			●				
<i>Pseudochattonella farcimen</i> (Eikrem, Edvardsen & J.Thronksen) Eichrem 2009	●						
<i>Vicicitus globosus</i> (Y.Hara & Chihara) F.H.Chang 2012	●						
<i>Fibrocapsa japonica</i> S.Toriumi & H.Takano, 1973	●	●	●	●	●		
<i>Heterosigma akashiwo</i> (Y.Hada) Y.Hada ex Y.Hara & M.Chihara, 1987	●	●	◆	◆	◆		◆
"Flagellate X"				◆	◆		
<i>Prymnesium calathiferum</i> Chang & Ryan, 1985	●						
<i>Prymnesium parvum</i> N. Carter, 1937	●						
<i>Prymnesium polylepis</i> (Manton & Parke) Edvardsen, Eikrem & Probert, 2011					●		



Species	ES	PT	FR	IE	GB	FO	IS
<b>Other species/genera associated with mortality events</b>							
<i>Chaetoceros calcitrans</i> (Paulsen) Takano, 1968		●					
<i>Octactis speculum</i> (Ehrenberg) F.H.Chang, J.M.Grieve & J.E.Sutherland 2017	●						
<i>Mesodinium rubrum</i> (Lohmann, 1908)		●					
<i>Lepidodinium chlorophorum</i> (M.Elbrächter & E.Schnepf) Gert Hansen, Botes & Salas, 2007			●				
<i>Phaeocystis</i> sp. Lagerheim, 1893						●	

◆ Dominant species associated with events

- <sup>1</sup> Recorded in Parke and Dixon 1976
- <sup>2</sup> Single record
- <sup>3</sup> Infrequently observed
- <sup>4</sup> Identified before Lundholm et al., 2003
- <sup>5</sup> Isolated by Parke and Dixon 1950