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1 **Ecohydrological turnover in overstocked Aleppo pine plantations: does the effect**  
2 **of thinning, in relation to water, persist at the mid-term?**

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37 **Abstract**

38 In Mediterranean pine plantations forest dieback and tree mortality are not only related  
39 to increased drought, but also to a lack of management, which intensifies inter-tree  
40 competition for available soil water. In this complex context simple but also difficult  
41 questions such as why, how and when manage forests should be directly responded and  
42 quantified by applied science. In this study we specifically analysed the forest-water  
43 relationships of an Aleppo pine plantation where experimental thinning was carried out  
44 ten years ago at three different intensities (H: high-, M: moderate- and L: low-thinning  
45 plots plus a control one, C). To this end, we again measured tree sap flow, soil water  
46 content and meteorological conditions. In addition, the relative importance (RI) of  
47 thinning intensity and environmental drivers when explaining tree/stand-water at the  
48 short-term were compared with those obtained in this study in order to elucidate how  
49 the role of thinning intensity may change on time. The impact of thinning on soil water  
50 content showed that significant differences were maintained after ten years  
51 ( $H > M > L > C$ ), but that values between the different thinning intensities were closer than  
52 those observed at the short-term. In contrast, tree transpiration from the high-thinning  
53 plot was very similar to that from the moderate-thinning one (means of 13 and 14.7  
54  $l \cdot day^{-1}$ , respectively). These results support the idea that an excessive forest opening  
55 makes the understorey compete more strongly for water, thus counterbalancing the  
56 higher tree transpiration observed in the short-term. The combined analyses of thinning  
57 intensity and environmental drivers highlight how the role of thinning intensity in  
58 controlling tree and stand transpiration in the short-term was clearly replaced by soil  
59 water availability ten years after the thinning intervention (RI means from 13.1 to  
60 39.5% for soil water availability and from 26.8 to 19.0% for thinning intensity). Our  
61 results support the need to study how the transpiration-soil water relationships  
62 progressively change over the distance in time from thinning in order to assess the  
63 impact of understorey properly and thus systematically calculate the ecohydrological  
64 turnover at every thinning intensity tested.

65 **Keywords:** *Pinus halepensis*, forest density reduction, stand transpiration, sap flow,  
66 thinning timing.

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## 70 **1. Introduction**

71 Application of the most recent knowledge from disciplines such as forest ecology or  
72 forest ecohydrology to managing forests is still far from complete (Jackson et al., 2017).  
73 This is seen clearly when academic results are not framed and translated into technical  
74 guidelines, leaving foresters unaccompanied in their decision-making processes, which  
75 are especially tough in a context of climate change. It is here where simple, but also  
76 difficult questions such as why, where and how manage forests should be directly  
77 responded and quantified by applied science. This is particularly important in  
78 Mediterranean areas where the negative effects of climate change on forest structure and  
79 function are already noticeable (Martinez-Vilalta and Piñol, 2002; McDowell and Allen,  
80 2015) and are predicted to increase in the future through more intense droughts (Lindner  
81 et al., 2014; Soteriades et al., 2017) and the subsequent changes in forest-water  
82 relationships (Tague et al., 2019). A clear example is the Aleppo pine plantations  
83 growing in Eastern Spain, where silviculture is called to play a significant role in  
84 adapting them to the new ecological conditions.

85 The role of forest density reduction in hydrology has become steadily more important,  
86 especially in regions where reductions in streamflow are significantly affected by forest  
87 encroachment at headwaters, which then affects water resources downstream (Lorenzo-  
88 Lacruz et al., 2012; Hidalgo-Muñoz et al., 2015; Buendia et al., 2016). Several studies  
89 have highlighted the strong inter-dependence between water intercepted and transpired  
90 by vegetation (green water) and available water (blue water), from paired catchment  
91 experiments designed to isolate the effects of forest cover manipulation on outlet flows  
92 (Bosch and Hewlett, 1982; Brown et al., 2005; Coble et al., 2020) to those carried out at  
93 the stand scale for analysing the effects of thinning on stand-water relations (Dung et  
94 al., 2012; del Campo, 2019; Gavinet et al., 2019). The impact of thinning on increasing  
95 soil water content availability is seen very clearly in overstocked plantations at the stand  
96 scale (Ganatsios et al., 2010; del Campo et al., 2014, 2018). In this context, concepts  
97 such as “hydrology-based silviculture” (Molina and del Campo, 2012), “eco-hydrology-  
98 based forest management” (del Campo and González-Sanchis, 2017), “adaptive water-



99 saving silviculture” (David et al., 2011) or “watering the forest for the trees” (Grant et  
100 al., 2013) are proposed. These concepts emphasise the key message that forest  
101 management should be strongly biased towards forest-water relationships in critical  
102 areas by watering the remaining trees after intervention, which improves forest  
103 resilience (Grant et al., 2013; Tsamir et al., 2019).

104 Although the question of why forests should be managed in terms of forest-water  
105 relations is already well supported, in the authors’ opinion, there are others that remain  
106 unclear and need further hydrological studies. Tree density reduction (optimum thinning  
107 intensity) and when to thin out again (how long the effects last) are the second and third  
108 questions that need to be tackled. Raf-Yaseef et al. (2010), when proposing an optimum  
109 canopy cover for maintaining forest productivity, based their view on hydrological flux  
110 components in a pine forest growing under semi-arid conditions. In contrast to this  
111 approach, assessing hydrologic impacts of thinning is normally achieved by comparing  
112 a plot treated by common timber procedures with another plot acting as a control  
113 (Simonin et al., 2007; Grant et al., 2013; del Campo et al., 2018). Furthermore, most of  
114 the available studies on the topic are focused in the short-term effects (Raf-Yaseef et al.,  
115 2010; Gebhardt et al., 2014; del Campo et al., 2019), so the longer temporal dynamics  
116 of forest canopy and understorey are rarely considered. This aspect is especially  
117 important when steady changes in water evaporation from soil surface and/or in  
118 understorey rainfall interception and transpiration may counterbalance the positive  
119 thinning impact for the remaining trees of increased net rainfall in the short- term (Raf-  
120 Yaseef et al., 2010; Gebhardt et al., 2014; del Campo and González-Sanchis, 2017).

121 Different forest density reductions coupled with long-term monitoring schemes would  
122 show for instance when a thinned stand has similar evapotranspiration to the untreated  
123 one. The result would support whether to thin out again or define a new silviculture  
124 strategy for that specific thinning intensity. This water-oriented approach can  
125 complement and technically support current frameworks for adaptive silviculture to  
126 climate change, such as the framework of Millar et al. (2007) who defined resistance,  
127 resilience, transition and inaction treatments. Thus, measurement of the temporal  
128 dynamics in forest-water relationships may help to evaluate properly these four

129 treatment categories at a particular site, since it can complement more common  
130 information regarding growth and forest structure.

131 The present study revisits an Aleppo pine experimental deployment where positive  
132 thinning effects were demonstrated in several aspects in the short-term, such as rainfall  
133 partitioning (Molina and del Campo, 2012), tree growth (Fernandes et al., 2016) and  
134 water balance at the stand scale (del Campo et al., 2014). This study has the general  
135 objective of assessing the impact of thinning intensity on these relationships ten years  
136 after the forest intervention. Specific objectives are to (i) analyse the single role of  
137 thinning intensity on soil water content and tree transpiration variables; ii) assess the  
138 changes in relative importance of thinning intensity and environmental conditions when  
139 comparing tree- and stand-water relationships between the short- and the mid-term. By  
140 addressing these objectives, we provide new insights to better define the  
141 ecohydrological turnover as a function of thinning intensity when managing  
142 Mediterranean pine plantations characterized by excessive tree density.

## 143 **2. Materials and Methods**

### 144 *2.1. Study site*

145 The study was conducted at a planted *P. halepensis* area located in the public forest “La  
146 Hunde y Palomeras” in eastern Spain (39° 05' N, 1° 12'W; 950 m a.s.l). Like many  
147 Mediterranean areas in Spain, the site is characterized by large pine plantations, dating  
148 from the national reforestation programmes of the 1950s and 1960s, which had a clear  
149 soil-water conservation objective. Mean annual values for temperature, Penman-  
150 Monteith reference evapotranspiration and rainfall are 13.7°C, 749 and 466 mm,  
151 respectively. The soils, leptosols according to the World Reference Base for Soil  
152 Resources (WRB, 2014), display a basic pH of 7.6, are relatively shallow (50–60 cm)  
153 and have a sandy–silty loam texture. The slope in the planted area is less than 5%. There  
154 are three main understorey woody species in the plantation, *Quercus ilex* sbsp. *ballota*  
155 (Desf.) Samp. (sapling density of 1206.9±566.8), *Juniperus oxycedrus* L. (sapling  
156 density of 2066.5±1346.2) and *J. phoenicea* L. (sapling density of 4391.8±416.4). The  
157 forest has not been managed since its establishment except for the usual linear-strip  
158 thinning to prevent forest fire propagation and for the experiments described below.

159        *2.2. Experimental design*

160    In February 2008, an experiment including four thinning intensities (see below) was  
161    carried out in three replicates or blocks, each with four square 30 m-side experimental  
162    units. One unit was not thinned (control, C, 83% of forest cover, 1,289 trees·ha<sup>-1</sup>); and  
163    the other units were thinned at three different intensities: high (H, 16% of forest cover,  
164    178 trees·ha<sup>-1</sup>), moderate (M, 46% of forest cover, 478 trees·ha<sup>-1</sup>) and low (L, 64% of  
165    forest cover, 689 trees·ha<sup>-1</sup>) (more details in Molina and del Campo, 2012). Thinning  
166    removed the less developed trees and achieved a relatively homogeneous tree  
167    distribution (based on forest cover). All the biomass removed was piled outside the  
168    plots.

169    From 2008 to 2012, forest structure, growth and hydrologic variables such as rainfall  
170    interception or tree sap flow were continuously measured in order to clarify how  
171    thinning affected various ecohydrological processes in the short-term (t<sub>1</sub> period). This  
172    study revisits experimental units (hereafter plots) 10 years after the forest management  
173    intervention and measures again sap flow and soil water content in the same trees and  
174    locations than in the t<sub>1</sub> period (block I) in order to test the effect of thinning in the mid-  
175    term (t<sub>10</sub> period).

176        *2.3. Forest structure monitoring*

177    Forest inventories in 2018 obtained the following information: diameter at breast height  
178    (cm), tree height (m), basal area (m<sup>2</sup> ha<sup>-1</sup>), forest cover (%) and leaf area index (LAI --  
179    unitless). All measurements were made in areas at least 2 m away from the plot limits to  
180    avoid edge effects. The diameters and heights were measured by tapes and clinometers,  
181    respectively. Forest cover was measured with a vertical densitometer (GRS, USA) with  
182    50 readings per experimental unit in a 4x4m grid. LAI was calculated with a LAI-2000  
183    sensor (LI-COR, 1991), following Molina and del Campo (2011), in order to take the  
184    measurements under direct solar radiation, with a single sensor. Briefly, 6 “B” type  
185    measurements were carried out per experimental plot along 2 perpendicular axes, 3 per  
186    axis. 4 “A” type measurements were made in nearby clearings, 2 for each axis. LAI  
187    estimation was performed by taking into account only the fourth ring by means of C-

188 2000 software. Table 1 shows the measured forest structure variables one ( $t_1$ ) and ten  
189 years ( $t_{10}$ ) after the thinning intervention.

#### 190 *2.4. Sap flow measurements and determinations*

191 Sap flow was measured following the same methodology as in the previous study (del  
192 Campo et al., 2014) from June 2018 to October 2019. Heat pulse velocity was measured  
193 through the HRM method (HRM sensor, ICT International, Australia) by installing one  
194 sensor per sample tree on the north side and at a height of 1.3 m. A heater emits the heat  
195 pulse, and the temperature increase is then measured by two thermocouples located at  
196 27.5 and 12.5 mm from their bases (outer and inner). Each pair of measurements is then  
197 used to calculate the heat pulse velocity at the two depths, which is converted to sap  
198 flow velocity,  $v_s$  (Burgess et al., 2001). The sensors were powered by a voltage  
199 regulator connected to a 12-V battery and several solar panels.

200 Sapwood area was obtained by subtracting heartwood area from the inner-bark area  
201 (Giuggiola et al., 2013) from two cores per sample tree. The sapwood area was divided  
202 into four different sections with different  $v_s$  values assigned, in order to calculate daily  
203 values of tree transpiration ( $Tr_{tree}$ ,  $l\ day^{-1}$ ) (del Campo et al., 2014; Delzon et al., 2004):  
204 (1) the  $v_s$  from the outer thermocouple was assigned to the sapwood area from the  
205 cambium to the middle point located between the outer and inner thermocouples (i.e.  
206 20-mm depth); (2) the  $v_s$  from the inner thermocouple was assigned to the sapwood  
207 area from the middle point to the inner depth of the sensor (27.5-mm depth from the  
208 cambium); and (3) the remaining area from the inner depth to the beginning of the  
209 heartwood (or to the pith, if there was no heartwood) was divided into two halves. Then,  
210 the  $v_s$  value from the inner thermocouple was multiplied by 0.75 and 0.25, respectively.  
211 Stand transpiration ( $Tr_{stand}$ , mm) was obtained by multiplying mean tree transpiration by  
212 the tree density of each experimental plot. Finally, normalized tree transpiration ( $Tr_{norm}$ )  
213 was obtained by dividing tree transpiration by the sapwood area of each sample tree ( $l$   
214  $day^{-1}\ cm^{-2}$ ).

#### 215 *2.5. Environmental measurements*

216 Daily rainfall (Gr, mm) at the study site was obtained from a rain gauge located 2 km  
217 away from the experimental plots (“Ayora-La Hunde” station, belonging to the Spanish  
218 SAIH network). Air temperature (°C) and relative humidity (%) were measured by a  
219 single sensor (RH/T sensor, Decagon Devices, Pullman, USA) placed 2 m high in an  
220 open area located 400 m from the experimental plots. These data were subsequently  
221 used to obtain values for vapour pressure deficit on a daily scale (VPD, KPa). Gaps in  
222 data were filled by linearly regressing our measurements with those taken at a nearby  
223 research site (del Campo et al., 2019).

224 Soil water content (SWC,  $\text{m}^3 \text{m}^{-3}$ ) during the study period was continuously measured  
225 in all experimental plots every 20 min by means of FDR sensors (EC-TM, Decagon  
226 Devices Inc., Pullman, WA) connected to EM-50 (Decagon) dataloggers. As between 6  
227 and 9 sensors were already installed at 30 cm depth in each plot (criteria explained in  
228 del Campo et al., 2014), those not working were replaced by new FDR sensors. Given  
229 the wide soil variability among the plots (different water holding capacities), SWC was  
230 normalized by field capacity (SWC/FC) for each sampling point to assist comparisons  
231 between the plots. Field capacity was calculated from the average of the SWC readings  
232 for the dates after rainfall with depth higher than 30 mm. The SWC value when the  
233 drainage slopes changed in the time series was taken into account.

#### 234 *2.6. Data treatment and statistical analyses*

235 To test the single effect of thinning intensity on tree-water relationships while being  
236 consistent with previously reported results (del Campo et al., 2014), days were again  
237 classified in 4 types according to daily precipitation and daily mean temperature. First,  
238 days were grouped into dry or wet spells (D, W). A dry spell began when none of the  
239 previous 14 consecutive days recorded daily precipitation greater than 5 mm. Secondly,  
240 in either period, each single day was classified as cool or warm (C, W) if its mean  
241 temperature was, respectively, lower or higher than the overall mean. The DC, DW, WC  
242 and WW codes were used for each day-type.

243 Univariate ANOVA was used for testing for differences in tree transpiration,  
244 normalized transpiration and SWC/FC between the plots (treatment as fixed factor)  
245 when grouping by day-type. When ANOVA indicated significant differences, the Tukey

246 post-hoc test was selected for the comparison of multiple means. In every case, the data  
247 were examined to ensure normality with the Kolmogorov–Smirnov test; and  
248 homogeneity of variance, with the Levene test. When these assumptions were violated,  
249 the variables were transformed to achieve homoscedasticity or, alternatively, the non-  
250 parametric Kruskal–Wallis test or the Tamhane T2 tests for comparing multiple means  
251 were used.

252 Multivariate analyses studied the combined effects of thinning intensity, environmental  
253 factors and time elapsed from thinning ( $t_1$  vs.  $t_{10}$ ) on SWC and the different transpiration  
254 variables considered (normalized transpiration, tree and stand transpiration). The  
255 machine learning technique of boosted regression tree models (BRT) was used to assess  
256 the relative importance (RI) of the predictors set on the response variables (Elith et al.,  
257 2008). This was performed in R software (R CoreTeam, 2015) by using the “gbm”  
258 package (Elith and Leathwick, 2017; Ridgeway, 2017). Gaussian distribution was  
259 selected for our independent variables. The train function in the “caret” package  
260 calculated the optimal values for the most important parameters in the BRT analyses  
261 (Gu et al., 2019). The function tries a number of different parameters, compares the  
262 error rates and then suggests the smallest parameter that generates an appreciable  
263 decrease in the error rate, following some rules of thumb described in previous studies  
264 of BRT procedures (Elith et al., 2008; Elith and Leathwick, 2017). As a result, we used  
265 shrinkage (learning rates) of 0.1, tree complexity of 5 and bag fraction of 0.5. The  
266 minimum number of trees was in all cases above 1,600. RI measures the number of  
267 times a predictor variable is selected for splitting, weighted by the squared improvement  
268 in the model as a result of each split, averaged over all trees and calculated so that it  
269 adds up to 100 (Elith et al., 2008). The higher the RI, the stronger the influence of a  
270 certain predictor. In addition, partial dependency plots (PDP) were produced in order to  
271 further study the effect of thinning intensity in the fitted functions for the tested  
272 dependent variables. See, for instance, del Campo et al. (2019) to see more details about  
273 applying this methodology to test thinning effects on forest hydrology.

### 274 **3. Results**

#### 275 *3.1. Meteorological conditions*

276 Both studied years (2018 and 2019) had intense water deficits, with accumulated  
277 reference evapotranspiration more than double the rainfall (1,272 and 1,311 mm for  
278 ETo vs. 491 and 566 mm for rainfall) and with long rainless periods in the spring and  
279 summer seasons (91 and 108 days in 2018 and 2019 with daily rainfalls lower than 5  
280 mm). Temporal dynamics of vapour pressure deficit and temperature were quite similar  
281 in both the studied years, while rainfall and water deficit differed slightly, especially in  
282 the January-May period (Figure 1): 2018 had more homogenous rainfall events, while  
283 these were more intense and of greater magnitude in 2019. Worthy of note was a total of  
284 181.6 mm fallen during 4 days in April 2019 (90.2 mm in one day alone). These aspects  
285 were clearly reflected in the climatic water deficit: about -100 mm at the beginning of  
286 June and a quite similar decreasing slope from this moment until mid-August in both  
287 study years (Figure 1).

288 *3.2. Univariate analyses: Effects of thinning intensity on vegetation-water relations*  
289 *ten years after the forest intervention*

290 The ratio of SWC over field capacity varied significantly between experimental plots  
291 (Figure 2 and Table 2). H and M plots showed the highest values during the study  
292 (Table 2), except for the period of slow SWC drainage (at about  $SWC/FC < 0.5$ ) after  
293 the highest soil water recharge ( $SWC/FC > 1$ ) (total rainfall of 177 mm from 18<sup>th</sup> to 24<sup>th</sup>  
294 April 2019) (Figure 2). During this time period and until the next intense showers, the L  
295 plot had a dynamic that contrasted with the other plots, characterized by higher and  
296 more persistent SWC availability. In contrast, the C plot showed the lowest values  
297 during most of the study period. In addition, a Kruskal-Wallis test revealed non-  
298 significant differences between the experimental plots when the relative SWC/FC  
299 increments for rainfall events higher than 5 mm were compared (inlet in Figure 2).

300 Significant differences between the experimental plots were observed in the 4 day-types  
301 considered in normalized tree transpiration ( $Tr_{norm}$ ,  $l\ day^{-1}\ cm^{-2}$ ) and tree transpiration ( $l$   
302  $day^{-1}$ ) (Table 2). Results for  $Tr_{norm}$  clearly ranked thinning intensities as  $L < C < M < H$   
303 (except for WW, with  $L > C$ ) and two contrasting groups appeared (C with L vs. M with  
304 H) (Figure 3 and Table 2), while this ranking changed to  $L < C < H < M$  in the case of tree  
305 transpiration, with two or three different groups (Figure 4 and Table 2).

306           3.3. *Multivariate analyses: the combined effects of thinning intensity, environmental*  
307                           *factors and the time elapsed since forest intervention*

308 Boosted regression tree (BRT) models were fitted to compare the contribution of  
309 environmental conditions and thinning intensity to green and blue waters in the short-  
310 and the mid-term (eight different models). All models gave high cross-validation (CV)  
311 correlations ranging from 0.76 to 0.98 (Table 3) and were clearly lower than those  
312 correlations obtained for the training data. The former correlations are indicative of the  
313 predictive ability of the models, while the latter indicate the explanatory performance of  
314 the predictors set. In any case, all transpiration variables were better explained/predicted  
315 than the SWC one (Table 3).

316 The relative importance (RI) for every predictor in all the variables relating to green  
317 water was calculated at different spatial scales ( $Tr_{norm}$ , tree transpiration and stand  
318 transpiration), whilst only the stand scale was considered for blue water, through the  
319 mean SWC/FC values (Figure 5). It is interesting to see how RI changes for a particular  
320 predictor when moving from one period to another ( $t_1$  vs.  $t_{10}$ ) and also when comparing  
321 among the variables to be explained. The RI of thinning intensity was lower, despite the  
322 time period for blue water, than that of reference evapotranspiration, with RI of about  
323 70% for both  $t_1$  and  $t_{10}$  periods (Figure 5). The influence of SWC on the three  
324 transpiration variables showed marked increases when moving from  $t_1$  to  $t_{10}$  (RI values  
325 from 8.4-17.9% in  $t_1$  to 38.1-41.0% in  $t_{10}$ ), whilst the thinning intensity itself showed an  
326 opposite trend (mean decrease of 36.5%). This suggests that SWC regains its influence  
327 on green water once it has become a limiting factor over time, regardless of the plot. In  
328 fact, vapour pressure deficit showed the opposite pattern, with a marked decrease from  
329  $t_1$  to  $t_{10}$  but of lower magnitude (demand and offer aspects of transpiration). Therefore,  
330 the SWC effect increased when taking into account the time from thinning intervention  
331 for all variables; and the opposite was observed for the effect of thinning.

332 Partial dependence plots (PDP) indicated that the thinning intensity effects on  
333 transpiration variables contrasted between  $t_1$  and  $t_{10}$  periods. In the cases of  $Tr_{norm}$  and  
334 tree transpiration, the most interesting result was the clear change when looking at the  
335 temporal effect in the H plot, from a strong positive impact at  $t_1$  to being very close to



336 the other plots at  $t_{10}$  (Figure 6). Regarding stand transpiration, the H plot behaved very  
337 differently at  $t_1$  than the other plots by clearly reducing the mean stand transpiration,  
338 while for the  $t_{10}$  period, the C plot had a significantly higher mean than the other plots  
339 (Figure 6).

#### 340 **4. Discussion**

341 The results presented in this study allow for a quantitative assessment of the  
342 hydrological impacts of thinning intensity in mature Aleppo pine plantations  
343 characterised by excessive forest density. In this section, we firstly discuss about the  
344 changes in soil water content and transpiration ten years after the forest thinning  
345 intervention. Then, the quantification of the environmental and thinning impacts on  
346 these water cycle components at both the short- and the mid- term is used for assessing  
347 how the role of thinning change with time in this type of forests.

##### 348 *4.1. Vegetation-water relationships ten years after thinning*

349 This study also observed differences in the FC values between experimental units (data  
350 not shown) as in the previous study that analysed the water balance at the study site (del  
351 Campo et al., 2014). SWC/FC dynamics are thus expected to be better explained than of  
352 SWC by above-ground hydrological processes (including forest-floor processes), plant-  
353 soil-water dynamics in the 0-30 cm soil profile and, to a lesser extent, soil structure in  
354 the soil volume explored by the probes. The results given in Figure 2 regarding relative  
355 increments (inlet) focused on studying how vegetation structure (canopy plus  
356 understorey) may affect rainfall partitioning. This is based on the assumption that soil  
357 infiltration among the experimental plots is behaving in a similar way as a consequence  
358 of the time elapsed from thinning (Di Prima et al., 2013; Lull et al., 2020). Our results  
359 revealed non-significant differences in the experimental plots for rainfall events higher  
360 than 5 mm. The C and L plots had similar forest cover, basal area and LAI in 2018  
361 (Table 1). While L, M and H plots had significant increases from 2008 to 2018, they  
362 were not observed in the C plots. Depending on the metric considered, values in 2018  
363 were close for L and M plots, and H had the lowest values in all the stand variables.  
364 Given this canopy structure, our data suggest that the highest rainfall interception by  
365 understorey (here considered as shrubs and tree saplings) in the H plot (and in the M  
366 plot to a lesser extent) is counterbalancing the forest cover effect in the other plots. On

367 the other hand, the comparisons of SWC/FC values among the experimental plots  
368 indicated differences that depended on the day-type, with H and M plots showing the  
369 highest values, while L and C plots had very similar ones. These results show a quite  
370 similar pattern to the pattern observed two years after thinning (del Campo et al., 2014),  
371 although in the previous study the differences between experimental plots were greater,  
372 especially between H and the remaining plots. While the understorey plays an important  
373 role by differently affecting rainfall partitioning, it does not seem to follow the same  
374 pattern in its impact on SWC/FC. Shrubs and young oak and pine trees (field  
375 observations) in H and M plots reduced soil water content, but these reductions were not  
376 enough to counterbalance the effects of tree transpiration in the L and C plots. Changes  
377 following thinning are related to the intensity of disturbance not only in overstorey but  
378 also in understorey vegetation and forest floor (Ares et al., 2010). In general, thinning  
379 intensity increases understorey vegetation cover (Ares et al., 2010; Bataineh et al.,  
380 2014). Trentini et al. (2017), for instance, found higher increases in understorey cover in  
381 the severe-thinning plots than in the moderate-thinning ones in loblolly pine plantations,  
382 although the results are limited to the two years after thinning. In our case, field  
383 observations in 2012 (Lacovelli, 2013) indicated understorey vegetation cover of 17.8,  
384 11.9, 10.5 and 29.9% for C, L, M and H, respectively. Although these observations  
385 were limited to the 4 years after thinning, they support the ideas presented here dealing  
386 with the differential effects of understorey depending on thinning intensity.

387 For sap flow, we looked again at the arguments given in del Campo et al. (2014) for the  
388 validity of our calculations of the role of thinning intensity when using the heat pulse  
389 method. It is well documented that the trees remaining after thinning enjoy greater  
390 availability of limited resources such as soil water, PAR and nutrients, and they  
391 subsequently increase their transpiration rates, at least in the short-term (Medhurst et al.,  
392 2002; Grant et al., 2003; del Campo et al., 2019). Two complementary variables ( $Tr_{norm}$   
393 and tree transpiration) were employed in this study for understanding the role of  
394 thinning intensity ten years later its application. Our  $Tr_{norm}$  results clearly indicate trees  
395 behaving very similarly in L and C and in M and H (Figure 4 and Table 2), while these  
396 patterns were less consistent when looking at tree transpiration (Figure 3 and Table 2),  
397 given the distinct tree size increment induced by thinning intensity (Table 1). On

398 examining dates when soil water availability was not a limiting factor in any of the  
399 plots, higher  $Tr_{norm}$  values in M and H indicate higher hydraulic sapwood conductivity  
400 due to tracheids being more functional. In fact, when comparing outer and inner  
401 measurements (at 12.5 and 27.5 mm from cambium, respectively) for these days, i.e. the  
402 radial variation in sap flow velocity, the mean relative ratios of inner over outer are  
403 linearly related to thinning intensity: 133.8, 116.8, 73.5 and 46.9% for H, M, L and C  
404 plots, respectively. This result is quite surprising given that radial profile is normally  
405 characterized by the outermost part giving low sap flow velocity, the maximum at about  
406 1 cm sapwood depth and declining sap flow velocity with sapwood depth from the  
407 maximum on (Ford et al., 2004; del Campo et al., 2014; Berdanier et al., 2016;). We  
408 hypothesized that the better sapwood hydraulic conductivity induced by thinning in the  
409 short-term (inner tracheid with higher lumens) (Medhurst et al., 2002; del Campo et al.,  
410 2014) was steadily reduced over time (outer tracheid with lower lumens), but still  
411 persisted ten years after thinning when at least 60% of tree density is harvested (M  
412 plot). On the other hand, when looking at tree transpiration, the most remarkable result  
413 was that the M plot showed the highest values (Table 2), in contrast to the results of del  
414 Campo et al. (2014) with those for the H plot. Dendrochronological measurements  
415 taken at the site during 2019 (results not published) indicate that the significant  
416 differences in basal area increment between the H and M plots disappear three years  
417 after thinning. Thus, these results also support that the highest understorey development  
418 observed in the H plot greatly affected tree-water relations, making trees from the H and  
419 M plots to have very similar sapwood areas despite their differences in forest structure.

420 *4.2. Short-term versus mid-term effects of thinning intensity and environmental*  
421 *conditions on green and blue water*

422 BRT models were used to clarify how the relevant factors driving SWC and  
423 transpiration change their relative importance (RI) when the time periods elapsed from  
424 thinning are at 2 years ( $t_1$  period) and 10 years ( $t_{10}$  period) (this study). For the former,  
425 the RI of predictors did not substantially change between periods. Thinning, regardless  
426 of its intensity and timing, showed a slight effect on soil water dynamics on a daily  
427 scale (very similar to that of rainfall), while meteorological variables related to the  
428 water demand by atmosphere exercised great and persistent control (expressed as

429 reference evapotranspiration). These results contradict findings that showed rainfall  
430 characteristics playing a significant role in SWC dynamics (Bachmair et al., 2012; del  
431 Campo et al., 2019; Molina et al., 2019), but also other studies indicating that the  
432 thinning effect was higher than the other factors studied (Breda et al., 1995; del Campo  
433 et al., 2019). Despite this, it is important to note that thinning had a significant effect on  
434 SWC/FC at both time scales (Table 2) and that there were greater differences between  
435 the experimental plots in the short-term (mean differences of 24.0% and 9.3% in C and  
436 H plots in the short- and the mid-term, respectively). The BRT results for the  
437 transpiration variables may help understand these results. Water demand  
438 (meteorological conditions and thinning) is shown to clearly control transpiration in the  
439 short-term. However, when moving from the  $t_1$ - to  $t_{10}$ - period, the thinning impact  
440 becomes reduced, while there is an important shift in the role of SWC, with RI increases  
441 higher than 50% in all cases. Therefore, ten years after thinning the dependency of  
442 transpiration on SWC is clear. In fact, the greater homogenisation between the treated  
443 plots as observed in the PDP for stand transpiration (reducing the values when  
444 compared to the C plot) and the increased variability of tree transpiration during the  $t_{10}$   
445 period (Figure 6) indicate that more detailed information is required at the tree scale  
446 (soil characteristics, understorey and SWC) to deepen our understanding of vegetation-  
447 water interactions at the plot scale. We can thus affirm that the short-term benefits  
448 caused by thinning on transpiration diminish, and the local ecosystems dynamics  
449 observed in the experimental plots are playing the highest control by affecting soil water  
450 content ten years after the intervention. Therefore, addressing how the relationships  
451 between transpiration and SWC progressively change over time can be a systematic way  
452 to evaluate whether repeat thinning (ecohydrological turnover) or to adopt new  
453 silvicultural strategies depending on initial density reduction.

## 454 **5. Conclusions**

455 Our study focused on the mid-term effects of thinning intensity on vegetation-water  
456 relationships with a view to improving criteria for managing Mediterranean pine  
457 plantations. To the authors' knowledge, this type of information is lacking and the time  
458 elapsed from thinning together with the optimum tree density are not questions

459 normally addressed when the impacts of silviculture on water cycle components are  
460 studied. When analysing the single impact of thinning intensity ten years after  
461 intervention, the most remarkable result was that the highest tree transpiration was  
462 observed in the moderate-thinned plot (M>H>C>L). The excessive tree opening in the  
463 high-thinned plot led to the highest ground cover and sapling density, thus greatly  
464 affecting tree water use and growth. In addition, the decreasing role of thinning intensity  
465 and the increase of that for soil water content highlight that short-term effects of  
466 thinning do not persist ten years after intervention (increasing role of soil water  
467 availability vs. decreasing role of water demand). Therefore, studying how  
468 transpiration-soil water relationships progressively change over time may be a  
469 systematic way to estimate the ecohydrological turnover at every thinning intensity  
470 tested, especially when reductions on tree transpiration are expected due to understorey  
471 competition. On the other hand, the results for the non-thinned plot, with better  
472 physiological performance than the low-thinned one, show that a strategy of non-  
473 intervention could be pertinent under proper environmental conditions (no tree mortality  
474 observed), while the low-thinning intervention would require intense forest  
475 management (ecohydrological turnover < 10 years) to maintain a stable state. In any  
476 case, in a context characterized by increased aridity in semi-arid areas, time elapsed  
477 from thinning intervention should be further evaluated in different forest types in order  
478 to improve our understanding of thinning intensity impacts on forest-water relations,  
479 and thus providing useful information to forest managers.

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658

659

660

661 *Figure captions*

662 **Figure 1.** Daily time series of rainfall (mm), mean vapour pressure deficit (VPD, KPa),  
663 mean temperature (T, °C), and daily accumulated difference between rainfall and  
664 reference evapotranspiration (mm) for each study year

665 **Figure 2.** Mean daily values of soil water content over field capacity (SWC/FC) for  
666 each experimental unit. Bars in the inset figure are the mean (standard deviations also  
667 shown) increments of SWC/FC calculated for rainfall events > 5 mm as the relative  
668 differences between the initial and final values, divided by the initial ones and  
669 multiplied by 100. H: high-thinned plot, M: moderate-thinned plot, L: low-moderate  
670 thinned plot, C: control plot

671 **Figure 3.** Time series of mean transpiration normalized by sapwood area ( $l/cm^2 \cdot day$ )  
672 for each experimental plot during the 2018 summer. H: high-thinned plot, M: moderate-  
673 thinned plot, L: low-moderate thinned plot, C: control plot

674 **Figure 4.** Hourly dynamics of tree transpiration ( $l/h$ ) for each experimental plot (means  
675 from four sampled trees) in two-day periods. SWC is the mean soil water content ( $cm^3$   
676  $cm^{-3}$ ) from all the experimental plots, while VPD is the mean vapour pressure deficit  
677 (KPa). Note the differences in the ranges of Y axes. H: high-thinned plot, M: moderate-  
678 thinned plot, L: low-moderate thinned plot, C: control plot

679 **Figure 5.** Relative importance (RI, %) obtained from the BRT models whose  
680 coefficients are given in Table 3. The predictors for each variable were selected after  
681 studying Spearman correlations and selecting the higher ones correlated with the

682 dependent variable when two predictors were linearly related. Trat: application of  
683 thinning; VPD: vapour pressure deficit; MaxT: maximum daily temperature; SWC:  
684 mean daily soil water content; RH: mean daily relative humidity; Wind: mean daily  
685 wind velocity; EtP: daily accumulated reference evapotranspiration; P: daily  
686 accumulated rainfall

687 **Figure 6.** Partial dependence plots (PDP) of the BRT models, showing the fitted  
688 functions for the predictor thinning intensity (H, M, L, C) in transpiration variables  
689 (normalized transpiration, tree transpiration and stand transpiration) at both the time  
690 scales considered,  $t_1$  and  $t_{10}$ . The Y-axes are centred to have zero mean over the data  
691 distribution and spans in units of standard deviation from the mean predicted response  
692 value. H: high-thinned plot, M: moderate-thinned plot, L: low-moderate thinned plot, C:  
693 control plot

694

**Table 1.** Mean values in the experimental plots for the stands' metrics one ( $t_1$ ) and ten years ( $t_{10}$ ) after thinning intervention.  $t_1$  data is taken from Molina and del Campo (2011). Thinning resulted in tree densities of 1289, 688, 478 and 177 trees·ha<sup>-1</sup> for control (C), low-thinned plot (L), moderate-thinned plot (M) and high-thinned plot (H), respectively. BA: basal area, Cover: forest cover, LAI: leaf area index, DBH: diameter at breast height, Height: total tree height

Plot	BA (m <sup>2</sup> ·ha <sup>-1</sup> )		Cover (%)		LAI (m <sup>2</sup> ·m <sup>-2</sup> )		DBH (cm)		Height (m)	
	$t_1$	$t_{10}$	$t_1$	$t_{10}$	$t_1$	$t_{10}$	$t_1$	$t_{10}$	$t_1$	$t_{10}$
C	40.1	37.7	83.3	88.9	2.6	2.9	17.9	21.2	11.5	11.2
L	27.2	34.5	46.0	91.5	1.7	2.3	20.2	25.0	12.2	12.3
M	18.2	30.3	50.0	80.8	1.7	1.9	23.2	29.0	11.3	12.4
H	9.4	16.9	22.0	41.4	0.5	0.9	23.4	28.3	12.2	11.5

**Table 2.** Mean values of daily transpiration normalized by sapwood area (Trnorm, l/cm<sup>2</sup> day), tree transpiration (l/day) and soil water content over field capacity (SWC/FC). Different letters indicated significant differences in the post-hoc analyses after a significant effect of thinning was indicated by ANOVA or Kruskal-Wallis test. Results are grouped by day-type according first to rainfall and then to temperature as explained in the text: DC: dry and cool; DW: dry and warm; WC: wet and cool; WW: wet and warm. C: control plot, L: low-thinned plot, M: moderate-thinned plot, H: high-thinned plot

	C	L	M	H
<hr/>				
Trnorm (l/cm <sup>2</sup> day)				
DC	0.008a	0.006a	0.025b	0.030b
DW	0.033a	0.023b	0.064b	0.066b
WC	0.01a	0.009b	0.025b	0.028b
WW	0.003a	0.005a	0.024b	0.027b
<hr/>				
Tree transpiration (l/day)				
DC	1.93a	1.19a	12.33b	8.97b
DW	7.16a	4.56b	23.4c	23.56c
WC	2.43a	1.56b	12.07c	9.21c
WW	0.63a	0.93b	11.21c	10.26d
<hr/>				
SWC/FC				
DC	0.42a	0.39b	0.43a	0.47c
DW	0.48a	0.48a	0.47a	0.53b
WC	0.52a	0.52a	0.61b	0.55a
WW	0.51a	0.53ab	0.58b	0.54b
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**Table 3.** Summary of the BRT models fitted for explaining normalized transpiration ( $Tr_{norm}$ ), tree transpiration and SWC/FC in the two time periods considered: one ( $t_1$ ) and ten ( $t_{10}$ ) years after thinning intervention. The predictors were selected after studying Spearman correlations and selecting those higher correlated with the dependent variable when they were linearly related. In parenthesis are presented the standard errors of the coefficients

Time period	Variable	N ° trees	Mean total deviance	Mean residual deviance	Estimated CV deviance	Training data correlation	CV correlation
t1	$Tr_{norm}$	3350	0.001	<0.001	<0.001 (<0.001)	0.998	0.976 (<0.001)
	Tree transpiration	3000	96.545	0.368	4.777 (0.466)	0.998	0.976 (0.001)
	Stand transpiration	3250	0.195	0.001	0.014 (0.001)	0.996	0.963 (0.004)
	SWC/FC	5600	0.063	0.008	0.024 (0.001)	0.939	0.786 (0.011)
t10	$T_{norm}$	2300	0.001	<0.001	<0.001 (<0.001)	0.999	0.974 (0.0004)
	Tree transpiration	1600	168.051	0.589	7.25 (0.515)	0.998	0.979 (0.001)
	Stand transpiration	3000	0.656	0.002	0.043 (0.004)	0.999	0.968 (0.002)
	SWC/FC	2900	0.014	0.002	0.006 (0.001)	0.932	0.759 (0.027)

















