

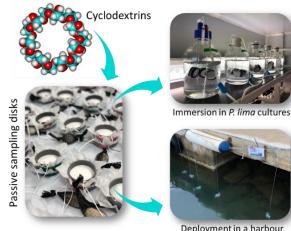
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| 1  | Cyclodextrin polymers as passive sampling materials for lipophilic  |  |  |  |  |  |  |  |
|----|---|--|--|--|--|--|--|--|
| 2  | marine toxins in Prorocentrum lima cultures and a Dinophysis sacculus   |  |  |  |  |  |  |  |
| 3  | bloom in the NW Mediterranean Sea   |  |  |  |  |  |  |  |
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# 11 Graphical abstract



Deployment in a harbour during a *D. sacculus* bloom

# 15 Abstract

16 Cyclodextrins, cyclic oligomers that form a conical structure with an internal cavity, are proposed 17 as new and sustainable materials for passive sampling of lipophilic marine toxins. Two 18 applicability scenarios have been tested. First, disks containing  $\beta$ -cyclodextrin-hexamethylene 19 diisocyanate ( $\beta$ -CD-HDI) and  $\beta$ -cyclodextrin-epichlorohydrin ( $\beta$ -CD-EPI) polymers were 20 immersed in Prorocentrum lima cultures for different days (2, 12 and 40). LC-MS/MS analysis 21 showed capture of free okadaic acid (OA) and dinophysistoxin-1 (DTX1) by cyclodextrins at 22 contents that increased with immersion time. Cyclodextrins resulted more efficient in capturing 23 DTX1 than OA. In a second experiment, disks containing  $\beta$ -CD-HDI,  $\beta$ -CD-EPI,  $\gamma$ -CD-HDI and  $\gamma$ -CD-24 EPI were deployed in harbor waters of El Masnou (NW Mediterranean Sea) during a Dinophysis 25 sacculus bloom in February 2020. Free OA and pectenotoxin-2 (PTX2) were captured by 26 cyclodextrins. Toxin contents were higher at sampling points and sampling weeks with higher 27 D. sacculus cell abundance. In this case, PTX2 capture with cyclodextrins was more efficient than 28 OA capture. Therefore, cyclodextrins have provided information regarding the toxin profile of a 29 P. lima strain and the spatial and temporal dynamics of a D. sacculus bloom, proven efficient as 30 passive sampling materials for environmental monitoring.

#### 31 Keywords

32 Cyclodextrin, okadaic acid (OA), dinophysistoxin-1 (DTX1), pectenotoxin-2 (PTX2), Prorocentrum

- 33 *lima*, *Dinophysis sacculus*.
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# 39 **1. Introduction**

Harmful algal blooms (HABs) are increasing in geographical expansion, frequency and severity,
some of the possible reasons being ocean warming, eutrophication and globalization (Wells et
al., 2020). HABs represent a threat for food safety and consumers, since toxins produced by toxic
microalgae are accumulated by shellfish and fish. Regarding shellfish safety, monitoring
programs involve sampling of shellfish for analysis of marine toxins as well sampling of seawater
for phytoplankton identification and counting. Early warning of HABs and shellfish contamination
would be a useful approach to facilitate management and protect human health.

47 Solid-phase adsorption toxin tracking (SPATT) was conceived by MacKenzie in 2004 (MacKenzie 48 et al., 2004). The technique involves the passive adsorption of toxins on porous synthetic resins 49 for the subsequent extraction and analysis. These resins are encased into different types of 50 frames and are deployed into the water column, where they can adsorb the toxins released by 51 toxic microalgae. Passive samplers have been proposed as an early warning tool to forecast 52 shellfish contamination or at least as complementary tool in monitoring programs. The main 53 advantages of passive samplers are simplicity, low cost, low matrix effects when analyzing the 54 resins, spatially and temporally integrated responses, and accumulative capacity. However, some 55 issues still need to be resolved, such as optimal deployment times, saturation limits, lack of 56 calibration and standardization, and the insufficient knowledge about the correlation with toxin 57 contents in shellfish. Additionally, a major limitation is that they allow the monitoring of 58 dissolved toxins only. Nevertheless, passive samplers already have an undeniable application in 59 environmental monitoring and research, since they can provide information on HABs such as 60 geographical and temporal distribution, environmental persistence and toxin dynamics.

Diaion<sup>®</sup> HP-20 has been the adsorbent substrate most commonly used in passive samplers (Roué
et al., 2018) both in microalgae cultures (Fux et al., 2008; Li et al., 2011; Kudela, 2017) and field
studies (MacKenzie et al., 2004; Zendong et al., 2015; Kudela, 2017). Unlike this resin, which

adsorbs toxins, cyclodextrins (CDs) can capture organic compounds by supramolecular 64 65 interactions, which may result in different affinity, kinetics and saturation behaviors. 66 Cyclodextrins are cyclic  $\alpha$ -1 $\rightarrow$ 4-linked glucose oligomers that form a conical structure with an 67 essentially hydrophobic internal cavity filled with disordered water molecules and two external 68 hydrophilic rims decorated with hydroxyl groups. The number of glucose units in the most 69 common cyclodextrins, 6 in  $\alpha$ -CD, 7 in  $\beta$ -CD and 8 in  $\gamma$ -CD, dictates the size of the cavity, which 70 allows the inclusion of a variety of organic molecules of appropriate size, shape and polarity 71 (Villalonga et al., 2007). They have been exploited in different fields, such as in targeted therapy 72 as drug carriers (Ramirez et al., 2006a, 2006b, 2007), and in biosensors for electrode surface 73 modification and signal amplification (Ortiz et al., 2011a, 2011b, 2011c, 2011d, 2012, 2014; Wajs 74 et al., 2014, 2016). Nevertheless, until now they had never been used for marine toxin tracking. 75 In this work, several insoluble cyclodextrin polymers (6-cyclodextrin-hexamethylene 76 *β*-cyclodextrin-epichlorohydrin diisocyanate (*β*-CD-HDI), (*β*-CD-EPI), y-cyclodextrin-77 hexamethylene diisocyanate ( $\gamma$ -CD-HDI) and  $\gamma$ -cyclodextrin-epichlorohydrin ( $\gamma$ -CD-EPI)) (Fig. 1) 78 have been immersed in Prorocentrum lima cultures and deployed in harbor waters during a 79 Dinophysis sacculus bloom for their evaluation as new and sustainable passive sampling materials. The commercial Diaion<sup>®</sup> HP-20 has been used as a control. Results have been useful 80 81 to obtain information about the toxin profile of the P. lima strain and to elucidate the toxin 82 production, development and dynamics of the *D. sacculus* HAB.

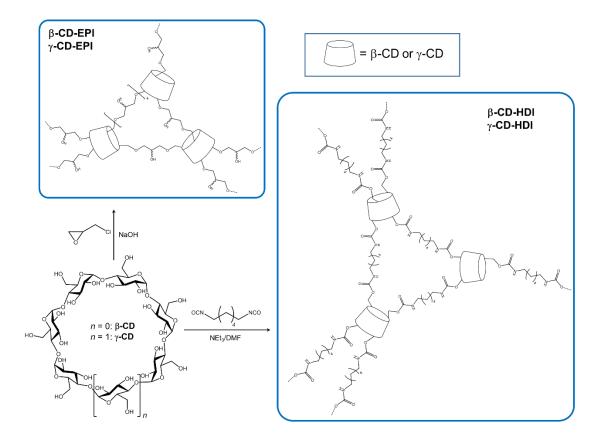


Figure 1. Schematic representations of β-CD-HDI, β-CD-EPI, γ-CD-HDI and γ-CD-EPI and their syntheses
 from native β-CD and γ-CD.

# 86 **2. Materials and methods**

# 87 2.1. Reagents and materials

88  $\beta$ -CD-HDI and  $\gamma$ -CD-HDI were synthesized by crosslinking the native dried CDs with 89 hexamethylene diisocyanate (1:7 and 1:8 molar ratio, respectively) in dimethylformamide containing triethylamine (Mohamed et al., 2011).  $\beta$ -CD-EPI and  $\gamma$ -CD-EPI were prepared by 90 91 reaction of the native CDs with epichlorohydrin (1:14 and 1:16 molar ratio, respectively) in NaOH 92 (Crini et al., 1998) (Fig. 1). The products were purified by Soxhlet extraction with EtOH and water. 93 Diaion® HP-20 Supelco resin was obtained from VidraFoc (Barcelona, Spain). Certified reference 94 material of okadaic acid (OA) (15.56 µg mL<sup>-1</sup> in MeOH) was obtained from CIFGA (Lugo, Spain). 95 Dinophysistoxin-1 (DTX1) (8.52  $\mu$ g mL<sup>-1</sup> in MeOH) and pectenotoxin-2 (PTX2) (4.40  $\mu$ g mL-1 in 96 MeOH) were obtained from the National Research Council of Canada (NRC, Halifax, Canada).

Passive sampling disks were constructed by placing 1 g (cultures) or 10 g (harbor) of *θ*-CD-HDI, *θ*-CD-EPI, *γ*-CD-HDI, *γ*-CD-EPI or Diaion<sup>®</sup> HP-20 between two layers of 1 µm nylon mesh (Sefar
Maissa S.A.U., Cardedeu, Barcelona, Spain), clipped between two cylindrical PVC rings (4-cm
diameter for immersion in cultures and 7-cm diameter for deployment in a harbor) (Fig. 1SA).
The passive sampling disks to be deployed in the harbor were provided with a counterweight to
ensure stability. Cyclodextrins and resin were activated by soaking the disks in MeOH for 15 min
and rinsing them with milli-Q water.

### 104 2.2. Immersion of cyclodextrins in *Prorocentrum lima* cultures

105 Clonal cultures of P. lima strain IRTA-SMM-17-47 (GenBank accession number: MW328564) from 106 IRTA collection were grown in modified ES medium (Provasoli, 1968), first in Nunclon™ cell 107 culture polystyrene flasks (Thermo Fisher Scientific) and afterwards in glass bottles. Modified ES 108 medium was prepared with sterile aged seawater obtained from L'Ametlla de Mar (Spain), 109 Mediterranean Sea (40.8465° N; 0.77243° E) at 10 m depth, which was passed through an 110 activated carbon-PTFE membrane filter (Thermo Fisher Scientific) and a 0.22-µm cellulose 111 acetate filter (Merck KGaA, Germany). The salinity was adjusted to 36 with milli-Q water. Cultures 112 were maintained at 24  $\pm$  0.5 °C under a light intensity of 110  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> with a 12:12 h 113 light:dark regime. Passive sampling disks containing of  $\beta$ -CD-HDI,  $\beta$ -CD-EPI or Diaion<sup>®</sup> HP-20 114 were immersed into P. lima cultures (3 disks per glass bottle) at day 0, and collected at day 2, day 115 12 and day 40 (Fig. 1SB) (at the time of the experiment,  $\gamma$ -CD-HDI and  $\gamma$ -CD-EPI were not 116 available). A culture with no passive sampling disks was used as a control to evaluate if their 117 presence had any effect on the culture growth. Two aliquots of each culture were taken every few days, fixed with 3% Lugol's iodine, and cells were counted in duplicate using a Kolkwitz 118 119 chamber (Hydro-Bios, Altenholz, Germany) under an inverted light microscope (Leica DMIL, 120 Spain). Cultures (~4 L) were harvested at day 40 through vacuum filtration using a 5- $\mu$ m nylon 121 mesh (Sefar Maissa S.A.U., Spain).

### 122 **2.3.** Deployment of cyclodextrins in a harbor during a *Dinophysis sacculus* bloom

Two consecutive deployments lasting 7 days (from 14/02/2020 to 21/02/2020, and from 21/02/2020 to 28/02/2020) were performed at 5 sampling points of El Masnou harbor (NW Mediterranean Sea) during a *D. sacculus* bloom (Fig. 2S). Passive sampling disks containing of *B*-CD-HDI, *B*-CD-EPI, *γ*-CD-HDI, *γ*-CD-EPI or Diaion<sup>®</sup> HP-20 (in duplicate) were deployed at 1.2 m depth and left for 1 week (Fig. 1SC). Phytoplankton cells were counted under an inverted light microscope (Leica DMIL, Spain), following the Utermöhl method (Utermöhl, 1931).

### 129 2.4. Toxin extraction

130 The passive sampling disks were soaked in milli-Q water for 30 min. Afterwards, the embroidery 131 hoop was opened, and the B-CD-HDI, B-CD-EPI, y-CD-HDI, y-CD-EPI or Diaion® HP-20 were 132 transferred to beakers and incubated with MeOH (40 mL when using 1 g (cultures) and 80 mL 133 when using 10 g (harbor)) for 2 h. Cyclodextrins and resin were then transferred to low frequency 134 polyvinyl chloride (LPVC) plastic filtration columns containing 1 μm nylon mesh filters and frits, 135 vacuum was applied with a Vac-Elut SPE vacuum manifold (Varian, Harbor City, CA, USA), and the 136 MeOH was collected. Rinsing was performed with additional MeOH (20-30 mL approx.), which 137 was also collected. The total volume of eluate was evaporated to dryness in a Syncore Buchi 138 (Flawil, Switzerland) and redissolved in 0.5 (when using 1 g) or 4 mL (when using 10 g) of MeOH. 139 Prorocentrum lima culture media (0.5 L) were filtered through Empore<sup>™</sup> C18 SPE Disks (Supelco, 140 Sigma-Aldrich, Tres Cantos, Madrid, Spain). Disks were first conditioned with 10 mL of MeOH and

141 10 mL of milli-Q water. Then, culture media were loaded, vacuum was applied, and the collected 142 media were discarded. Samples were then eluted with 20 mL of MeOH. *Prorocentrum lima* 143 cultures filters were sonicated 3 times in 150 mL of MeOH for 30 min. The three extracts were 144 joined and centrifuged at 3,000 rpm for 10 min, and the supernatant was kept.

To investigate the possible presence of fatty acid acyl esters, alkaline hydrolysis of the extracts
was performed the same day of analysis by adding 125 μL of 2.5 M NaOH in 1.25 mL of extract

in a HPLC vial (the same ratio was maintained when hydrolyzing extracts coming from 1 g of
cyclodextrin or resin), vortexing for 0.5 min, and heating at 76 °C for 40 min. Samples were then
cooled at room temperature, neutralized with 125 μL of 2.5 M HCl, and vortexed for 0.5 min.
All extracts were passed through 0.2-μm PTFE syringe filters and stored at -20 °C until LC-MS/MS
analysis.

# 152 2.4. LC-MS/MS analysis

153 LC-MS/MS analyses were conducted on a 1200 LC system (Agilent Technologies, Santa Clara, CA) 154 coupled with a 3200 QTRAP triple quadrupole mass spectrometer through a TurboV electrospray 155 ion source (Applied Biosystems, Foster City, CA), using a previously described methodology 156 (García-Altares et al., 2016; Leonardo et al., 2018). Samples were analyzed on an XBridge BEH C8 157 column, 2.5 μm, 2.1 × 50 mm and an XBridge BEH C8 Prep Guard cartridge, 2.5 μm, 2.1 x 5 mm 158 (Waters, Milford, MA, USA). A binary gradient was programmed with ultrapure milli-Q water 159 (mobile phase A) and 90:10 v:v acetonitrile:water (mobile phase B), both containing 6.7 mM of 160 ammonium hydroxide. Mobile phases were filtered through 0.2-µm nylon membrane filters 161 (Whatman, Springfield Mill, UK). Chromatographic separations were performed at 30 °C using a 162 flow rate of 500  $\mu$ L min<sup>-1</sup>. The elution gradient started at 20% B, reached 100% B in 8 min, held 163 for 1 min, then back to 20% B in 1 min and equilibrated for 2 min before the next run started. 164 The injection volume was 10  $\mu$ L and the auto-sampler was set at 4 °C. A total run time of 12 min 165 was used. Lipophilic toxins were analyzed in both negative (-ESI) and positive (+ESI) mode, 166 selecting two product ions per toxin to allow quantification (the most intense transition) and 167 confirmation (the second intense transitions). Identification was supported by toxin retention 168 time and multiple reaction monitoring (MRM) ion ratios. Monitored transitions of the detected 169 toxins were 803.5>255.0 m/z (MRM1) and 803.5>113.0 m/z (MRM2) for OA, 817.5>255.2 m/z 170 (MRM1) and 817.5>113.1 m/z (MRM2) for DTX1, and 876.5>213.3 m/z (MRM1) and 876.5>823.5 m/z (MRM2) for PTX2. Calibration curves were performed in the range of 2 ng mL<sup>-1</sup> 171

- 40 ng mL<sup>-1</sup> for OA and DTX1, and 5 ng mL<sup>-1</sup> – 50 ng mL<sup>-1</sup> for PTX2, at six calibration levels.
Calibration curve linearities were confirmed before and after each sample set. Curve correlation
coefficients (*r*<sup>2</sup>) had to exceed 0.98 and slope deviations had to be below 25% to pursue toxin
quantifications. Limits of detection (LODs, signal/noise > 3) were 1.3 ng/mL for OA and DTX1,
and 1.7 ng/mL for PTX2. Limits of quantification (LOQs, signal/noise > 10) were 4 ng/mL for OA
and DTX1, and 5 ng/mL for PTX2. Samples were analyzed in duplicate.

# 178 **2.5. Statistical analysis**

179 Differences in toxin concentration (*i.e.*, OA and PTX2) among passive sampling disks (*i.e.*,  $\beta$ -CD-180 HDI,  $\beta$ -CD-EPI,  $\gamma$ -CD-HDI,  $\gamma$ -CD-EPI and Diaion<sup>®</sup> HP-20), sampling points, and between weeks 181 were analyzed with analysis of variance (three-way ANOVA). In addition to P values, partial eta squared  $(\eta_p^2)$  was used as a measure of effect size (*i.e.*, the importance of factors). Similar to  $r^2$ , 182 183 partial  $\eta_p^2$  is the proportion of variation explained for a certain effect, and does not depend on 184 the number of sources of variation used in the ANOVA, thus it could be compared among different designs (Tabachnick and Fidell, 2001). In contrast to P value,  $\eta_p^2$  has the advantage of 185 186 allowing the proper comparison of treatments, whereas a lower P value does not necessarily 187 mean that a factor has stronger effect (see e.g., Alcaraz et al., 2008). Adjusted (or marginal) 188 means of a dependent variables are the means for each level of the factor adjusted for the other 189 variables (see *e.g.*, Alcaraz et al., 2015), and were used to describe differences. Student's *t* test 190 was used to analyze differences in toxin concentration (OA vs. PTX2) for each passive sampling 191 disk. Quantitative variables were log-transformed prior to analysis because homoscedasticity 192 and linearity were clearly improved. All statistical analyses were performed with SPSS 26.0.

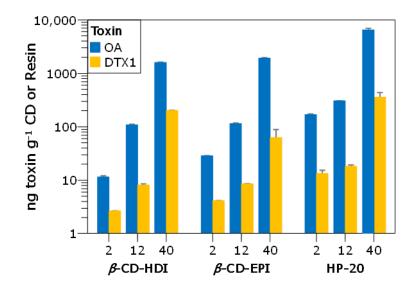
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#### 195 **3. Results**

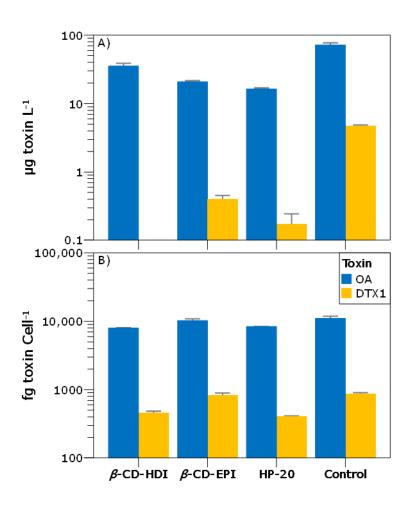
### 196 **3.1.** Analysis of cyclodextrins immersed in *Prorocentrum lima* cultures

Toxins captured by  $\beta$ -CD-HDI,  $\beta$ -CD-EPI and Diaion<sup>®</sup> HP-20 immersed in *P. lima* cultures were analyzed by LC-MS/MS, which revealed the presence of free OA and DTX1 in all of them (Fig. 2). Although it is evident that Diaion<sup>®</sup> HP-20 provided the highest values, both cyclodextrins showed OA and DTX1 capture. The OA contents at the different days were 7, 35 and 24% for  $\beta$ -CD-HDI and 17, 38 and 30% for  $\beta$ -CD-EPI, compared to Diaion<sup>®</sup> HP-20. The DTX1 contents were 20, 44 and 56% for  $\beta$ -CD-HDI and 31, 47 and 17% for  $\beta$ -CD-EPI, compared to Diaion<sup>®</sup> HP-20. OA and DTX1 contents showed exponential trends with culture day.



<sup>205</sup> Figure 2. Free OA and DTX1 captured by the passive sampling disks immersed in Prorocentrum lima 206 cultures and collected at day 2, day 12 and day 40. Each bar corresponds to 1 disk analyzed twice. 207 Prorocentrum lima culture media and the corresponding microalgal cells at the moment of 208 harvesting were also analyzed (Fig. 3). The control culture, with neither cyclodextrins nor resin, 209 showed the highest toxin levels in the culture media. Nevertheless, it is interesting to mention 210 that the total number of cells at harvesting in the control culture was higher than in the cultures 211 with passive sampling disks, where the number of cells reached 54-65% that of the control 212 culture (Fig. 3S and Table 1S). The culture media with Diaion® HP-20 showed the lowest OA 213 contents (23% that of the control), followed by  $\beta$ -CD-EPI (29%) and finally  $\beta$ -CD-HDI (50%) (Fig. 214 3A), trend that was the opposite of that observed in the passive sampling materials (Fig. 2). Even

215 normalizing to the number of microalgal cells, the trend was the same. DTX1 contents were also 216 lower in the culture media with Diaion<sup>®</sup> HP-20 than in the culture media with  $\beta$ -CD-EPI. However, 217 this difference and the lack of DTX1 in the culture media with  $\beta$ -CD-HDI may be simply due to 218 the fact that all DTX1 concentrations were very close to the LOD. When observing the toxin 219 contents in the microalgal cells, no clear trends were observed (Fig. 3B).



# 220

Figure 3. Free OA and DTX1 present in the *P. lima* culture media (A) and in the microalgal cells (B) at day 40.
The analysis of hydrolyzed extracts showed fatty acid acyl OA esters in all cell pellets and small amounts of fatty acid acyl DTX1 esters in the cell pellets corresponding to the culture with *b*-CDEPI (Fig. 4S). No esters were found in the culture media. Regarding the passive sampling disks,
OA and DTX1 esters were detected in *b*-CD-EPI even after only 2 days, and OA esters were
present in all cyclodextrins and the resin at the last sampling.

# 3.2. Analysis of cyclodextrins deployed in a harbor during a *Dinophysis sacculus* bloom

229 Toxins captured by *B*-CD-HDI, *B*-CD-EPI, *y*-CD-HDI, *y*-CD-EPI and Diaion<sup>®</sup> HP-20 deployed at 5 230 sampling points of El Masnou harbor (NW Mediterranean Sea) during two consecutive weeks of 231 a D. sacculus bloom were analyzed by LC-MS/MS. The analysis revealed the presence of OA and 232 PTX2 in all of them, sometimes at very different levels (Fig. 4, Fig. 5 and Fig. 6). Unlike the 233 experiment in *P. lima* cultures, DTX1 and esters were not found. It is important to mention that 234 although the LC-MS/MS analysis of extracts from  $\beta$ -CD-HDI revealed presence of OA, the 235 chromatographic peaks did not fulfil the analytical standard criteria and thus, quantification was 236 not possible. This effect was probably due to the presence of matrix compounds that interfere 237 in the analysis for this specific sampling material. Further work would be necessary to remove 238 this interference and quantify OA.

239 In general, considerable differences were observed between sampling points (ANOVA, P < 0.0001 240 for OA, and P < 0.0001 for PTX2, see Table 2S), P2 showing the highest toxin contents, followed 241 by P3, and with P1, P4 and P5 showing much lower toxin contents (Table 2S, Fig. 5S and 6S). This 242 trend is in accordance with the D. sacculus cell abundance distribution, which in the first 243 sampling week was 91,341 cells L $^1$  in P2, 62,195 cells L $^1$  in P3 and between 880 and 3,040 cells L $^1$ 244 <sup>1</sup> in P1, P4 and P5. Additionally, in P2 and P3, toxin contents in sampling week 1 were higher than 245 in sampling week 2 (Table 2S, Fig. 7S and 8S), also following the temporal variation of D. sacculus 246 cell abundance, which decreased at the second deployment (e.g. to 50,949 cells L<sup>-1</sup> in P2 and 40,851 cells L<sup>-1</sup> in P3). 247

The global effect of type of cyclodextrin can be observed in Fig. 7, where data points have been merged. In general terms, the trend for OA contents was Diaion >  $\beta$ -CD-EPI >  $\gamma$ -CD-EPI >  $\gamma$ -CD-HDI and the trend for PTX2 contents was  $\gamma$ -CD-HDI >  $\beta$ -CD-HDI > Diaion >  $\gamma$ -CD-EPI >  $\beta$ -CD-EPI. The OA contents were 17, 12 and 9% for  $\beta$ -CD-EPI,  $\gamma$ -CD-EPI and  $\gamma$ -CD-HDI, respectively, compared to Diaion® HP-20. The PTX2 contents were 182, 144, 70 and 27% for  $\gamma$ -CD-HDI,  $\beta$ -CD-HDI,  $\gamma$ -CD-EPI and  $\beta$ -CD-EPI, respectively, compared to Diaion® HP-20. Therefore, although Diaion® HP-20 is better to capture OA, CD-HDIs are more efficient for PTX2.

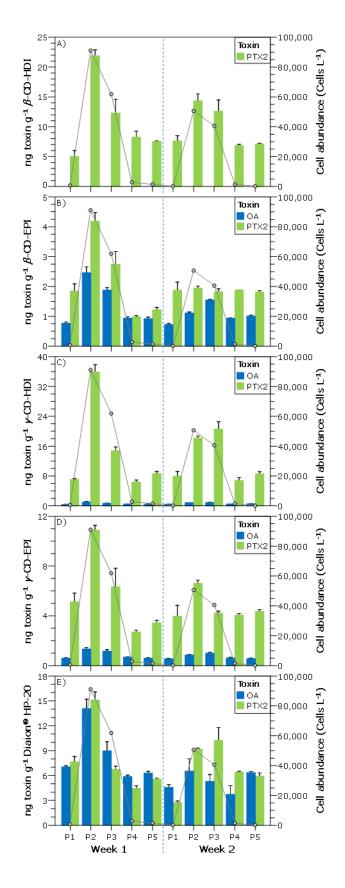


Figure 4. Left axis: OA and PTX2 captured by the passive sampling disks deployed El Masnou harbor (NW
 Mediterranean Sea). Right axis: *Dinophysis sacculus* cell abundance in the water column (average from
 deployment and collection days). Each bar corresponds to the mean of 2 disks (duplicates) analyzed
 twice.

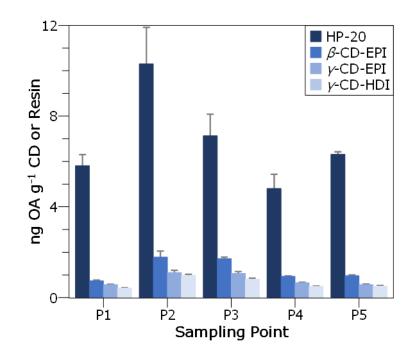


Figure 5. OA captured by the passive sampling disks per cyclodextrin/resin and sampling point (P1 to P5)
 of El Masnou harbor (NW Mediterranean Sea). Each bar corresponds to the mean of 4 disks (duplicates
 and 2 weeks) analyzed twice.

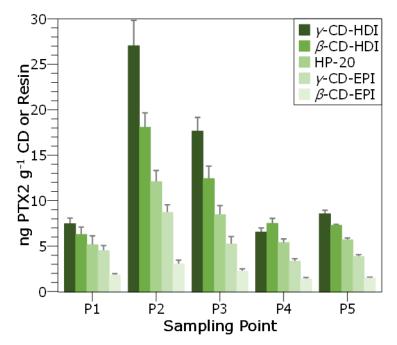


Figure 6. PTX2 captured by the passive sampling disks per cyclodextrin/resin and sampling point (P1 to
 P5) of El Masnou harbor (NW Mediterranean Sea). Each bar corresponds to the mean of 4 disks
 (duplicates and 2 weeks) analyzed twice.

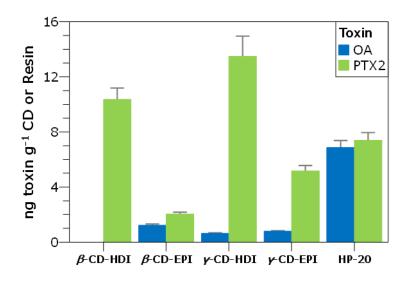


Figure 7. Total OA and PTX2 captured by the passive sampling disks per cyclodextrin/resin deployed at El
 Masnou harbor (NW Mediterranean Sea). Each bar corresponds to the mean of 20 disks (duplicates, 2
 weeks and 5 points) analyzed twice. Error bars are the standard error of the means.

272

# 273 4. Discussion

### 274 4.1. Cyclodextrins immersed in *Prorocentrum lima* cultures

275 The immersion of  $\beta$ -CD-HDI,  $\beta$ -CD-EPI and Diaion<sup>®</sup> HP-20 in *P. lima* cultures for different days 276 provided information about the toxin production of the strain combined with the toxin capture 277 efficiency of the different passive sampling materials. LC-MS/MS analysis revealed the presence 278 of OA and DTX1 in all extracts even at day 2 and, as expected, toxin contents showed an 279 exponential increase trend with culture day. Although Diaion® HP-20 provided the highest toxin 280 contents, both cyclodextrins also showed OA and DTX1 capture. Except for DTX1 at day 40,  $\beta$ -281 CD-EPI was slightly more efficient in capturing toxins than  $\beta$ -CD-HDI, and this observation was 282 more evident at day 2 than at days 12 and 40, suggesting a faster capture rate of the former 283 cyclodextrin. The percentage decrease of DTX1 in  $\beta$ -CD-EPI at day 40 (17%) could be due to 284 different experimental parameters, such as a lower DTX1 production at that stage of the culture 285 or the saturation of the passive sampling material. In general terms, although DTX1 values were 286 around 10-fold lower than OA values, DTX1 capture with cyclodextrins was more efficient than 287 OA capture compared to Diaion® HP-20. The additional methyl moiety in one of the extremes of DTX1 (Fig. 9S) makes it less polar than OA, characteristic that could be favoring the interaction
with the cyclodextrins, as previously observed for Diaion<sup>®</sup> HP-20 (Li et al., 2011).

290 When making all these comparisons, it is important to keep in mind that  $\beta$ -CD-HDI,  $\beta$ -CD-EPI and 291 Diaion® HP-20 were immersed in different glass bottles during culture, in order to avoid 292 competition between them. Therefore, differences in microalgae growth and toxin composition 293 of the media cannot be discarded. Analysis of the culture media and the corresponding 294 microalgal cells contributed to better characterize the system. The analysis of P. lima culture 295 media at the moment of harvesting revealed lower dissolved toxin contents in the cultures with 296 passive sampling disks than in the control. However, the number of P. lima cells was also lower. 297 A possible explanation is that the presence of the disks may have inhibited the culture growth 298 (Table 1S), by decreasing light exposure or capturing culture media components (e.g. nutrients, 299 vitamins and metals). For those cultures with passive sampling disks, the trend of dissolved OA 300 content in the media was inversely proportional to that in the passive sampling material, 301 observation that supports the capture efficiency previously observed, which is Diaion<sup>®</sup> HP-20 > 302  $\beta$ -CD-EPI >  $\beta$ -CD-HDI. Regarding the toxin contents in microalgal cells, no clear trends were observed among passive sampling materials and no significant differences with the control. This 303 304 seems to indicate that the passive sampling disks, although are affecting culture growth, may 305 not be affecting toxin production per cell.

Regarding to absolute values and compared to other works, much lower toxin contents are obtained herein (e.g. 168 ng OA g<sup>-1</sup> and 13 ng DTX1 g<sup>-1</sup> for the Diaion<sup>®</sup> HP-20 after 48 h in front of 982 ng OA g<sup>-1</sup> and 846 ng DTX1 g<sup>-1</sup> found by Fux et al., 2008), differences probably due to the strain, its age and the culture experimental conditions.

Prorocentrum lima is a well-known producer of OA, DTX1, DTX2 and several types of esters, the
toxin profile and contents depending on the strain and growing conditions (Pan et al., 1999,
Bravo et al., 2001, Nascimento et al., 2005, Paz et al., 2007, Morton et al., 2009, Vale et al., 2009,

313 Li et al., 2012, Hu et al., 2017). However, no esters or only trace amounts are usually found in 314 culture media (Pan et al., 1999, Nascimento et al., 2005), probably because they are hydrolyzed 315 before their release from the cells (Hu et al., 2017). In the current work, esters were not found 316 in the culture media either. Regarding their presence in passive samplers, a previous work 317 showed that OA esters were detected in SPATT bags with Diaion® HP-20 (Mackenzie et al., 2011). 318 In the current work, OA and DTX1 esters were detected in some passive sampling disks, mainly 319 in those from the last sampling. The lipophilic character of the fatty acid acyl ester derivatives 320 makes them suitable to be captured by the cyclodextrins and the Diaion® HP-20 resin. Therefore, 321 these passive sampling materials could be pre-concentrating esters once released from the cells, 322 allowing their detection better than in the media.

### 323 4.2 Cyclodextrins deployed in a harbor during a Dinophysis sacculus bloom

The deployment of β-CD-HDI, β-CD-EPI, γ-CD-HDI, γ-CD-EPI and Diaion<sup>®</sup> HP-20 passive samplers 324 325 during a D. sacculus bloom revealed the capture of OA and PTX2. However, there is not a clear 326 indication about which was the most predominant toxin, since different passive sampling 327 materials provide different trends (Fig. 4). Additionally, in the current work, toxin levels in the 328 cyclodextrins (or in the commercial resin used as a control) did not reach the values found in 329 other works, which may suggest an overall lower toxin production from the bloom. The toxin 330 concentration and profile of D. sacculus may vary depending on the strain, its geographical 331 origin, the experimental parameters of the culture and, of course, multiple environmental 332 conditions in the case of field samples. In the Mediterranean, D. sacculus blooms have been 333 associated to OA (Cañete et al., 2008; Garibo et al., 2014; García-Altares et al., 2016; Leonardo 334 et al., 2018; Giacobbe et al., 2000), PTX2 (Cañete et al., 2008; García-Altares et al., 2016; and 335 sometimes DTX1, to a lesser extent (Giacobbe et al., 2000). In a previous study performed by our 336 group during a D. sacculus bloom in Alfacs Bay (NW Mediterranean Sea), 200 km to the south of 337 Masnou harbor, PTX2 was the main component in the toxin profiles of phytoplankton aggregates 338 (up to 668 pg PTX2 cell<sup>-1</sup> in front of 461 pg OA cell<sup>-1</sup>), while OA was the most concentrated toxin

in Diaion<sup>®</sup> HP-20 SPATTs (94 ng OA g<sup>-1</sup> in front of 42 ng PTX2 g<sup>-1</sup>) (García-Altares et al., 2016). In 339 340 another work performed with a culture of a D. sacculus strain from Galicia (NE Atlantic Ocean), 341 PTX2 was also the main toxin in the cells (13 pg cell<sup>-1</sup>), followed by OA (8 pg cell<sup>-1</sup>), whereas OA 342 was more abundant in the medium (28 ng OA mL<sup>-1</sup> in front of 23 ng PTX2 mL<sup>-1</sup>) (Riobó et al., 343 2013). In that work, only traces of DTX1 were observed in the cell pellet extract, but not in the 344 medium. OA contents in SPATT discs deployed during a D. acuta event in the west coast of Ireland 345 (Atlantic Ocean) were also much higher than PTX2, followed by DTX2 (maximum of 5645 ng OA g<sup>-</sup> 346 <sup>1</sup>, 1265 ng PTX2 g<sup>-1</sup> and 533 ng DTX2 g<sup>-1</sup>) (Fux et al., 2009). The deployment of passive samplers 347 in Galicia (NE Atlantic Ocean) during a bloom where several Dinophysis species were present 348 (mainly D. ovum and D. acuminata, but also D. acuta and D. caudata, depending on the day) also 349 followed this pattern (maximum 4495 ng OA g<sup>-1</sup>, 2705 ng PTX2 g<sup>-1</sup> and 1876 ng DTX2 g<sup>-1</sup>) (Pizarro 350 et al., 2013). On the contrary, toxin contents found in SPATT discs deployed during another 351 D. acuta bloom near the south-west coast of Ireland (Atlantic Ocean) were higher for PTX2 352 (between 1.1 and 4.5  $\mu$ g g<sup>-1</sup>), followed by DTX2 (between 0.9 and 3.7  $\mu$ g g<sup>-1</sup>) and finally OA 353 (between 0.8 and 2.9  $\mu$ g g<sup>-1</sup>) (Fux et al., 2010), and the deployment of SPATT bags during a 354 D. acuminata bloom in New Zealand also resulted in higher levels of PTX2 compared to OA and 355 DTX1, which were 1.73 μg PTX2 g<sup>-1</sup> and 0.11 μg OA/DTX1 g<sup>-1</sup> when the maximum cell numbers of 356 D. acuminata were observed. All these works underline the complexity in the understanding and 357 elucidation of toxin profiles.

Nevertheless, results provided herein showed interesting and informative trends. Regarding location distribution, the highest toxin contents, for both OA and PTX2, were detected in the sampling points with the highest *D. sacculus* cell abundance (Fig. 4). When looking at the geographical position of the sampling points (Fig. 2S), P2 is in an interior corner of the harbor, followed by P3, and finally by P4, P5 and P1. Thus, a correlation with the spatial *D. sacculus* bloom dynamics is observed, *D. sacculus* cells accumulating in the most confined part of the harbor. Additionally, apart from the *D. sacculus* cell abundance, the diffusion of the dissolved toxins may be playing a role. Regarding temporal distribution, data from P2 and P3 clearly demonstrate that toxin contents correlate with the temporal variation of *D. sacculus* cell abundance. Another important issue is that OA and PTX2 were detected in almost all samples, even at very low *D. sacculus* cell abundances (as low as 400 cells L<sup>-1</sup>), which indicated how common traces of these toxins are in seawater and how stable they are (already demonstrated at least for OA, Blanco et al., 2018), and also how sensitive the SPATT strategy is.

371 It is evident that PTX2 capture with cyclodextrins was more efficient than OA capture (Fig. 7). 372 This can be explained considering the structures of both toxins and cyclodextrin polymers and 373 the interactions involved in the capture process. The Diaion® HP-20 resin is known to capture a 374 wide range of organic molecules from aqueous solution through a hydrophobic binding 375 mechanism involving its styrene-divynilbenzene matrix. That is why it showed no significantly 376 different OA and PTX2 contents (Student's t-test, P = 0.53) and is not selective to any of the toxins 377 as compared with the cyclodextrin-based materials that show higher contents for PTX2 378 (Student's t-test, P < 0.0001 in all cases). Unlike OA and DTX1, OA and PTX2 have very different 379 structures with different overall hydrophilic/hydrophobic balances (Fig. 9S). PTX2 is a neutral 380 molecule with a ring structure composed by oxolane and oxane rings connected by aliphatic 381 spacers (O'Rourke et al., 2017). This makes PTX2 a polar but essentially hydrophobic molecule, 382 which could favor its capture by cyclodextrins and may explain the higher capture efficiency of 383 the cyclodextrin polymers over Diaion® HP-20. On the other hand, OA is also composed by 384 oxolane and oxane rings but in a linear structure and possesses an ionizable carboxylic acid group 385 at the A ring resulting in a higher polarity and solubility in aqueous solution (Mackenzie et al., 386 2004). This may explain, in general terms, its lower capture by the cyclodextrins as compared to 387 the commercial resin.

In the case of the cyclodextrin materials, their capture efficiency should be a combination of the
cavity size and the nature of the bridging units. Regarding OA and cavity size, capture efficiencies
with *β*-CD-EPI, consisting of seven glucopyranose units, were only slightly higher (Table 2S and

Fig. 10S) than with  $\gamma$ -CD-EPI, consisting of eight glucopyranose units (it is important to mention that absolute OA contents were in general very low). Therefore, the cavity size plays a negligible effect, if any, in the capture process of OA. Nevertheless, when looking at the PTX2 results,  $\gamma$ -CD-HDI and  $\gamma$ -CD-EPI provided significantly higher capture efficiencies than their  $\beta$ -CD counterparts (Table 2S and Fig. 11S), suggesting that the larger internal diameter (0.95 nm for  $\gamma$ -CDs in front of 0.78 nm for  $\beta$ -CDs (Szejtli, 1998)) could be playing a role in accommodating the PTX2 molecule, most likely through the D/E rings.

398 On the other hand, the role of the bridging units was more evident in the case of PTX2 than OA. 399 Both CD-EPIs, which contain polar hydroxyalkyl groups of different lengths depending on the 400 degree of polymerization, were slightly more efficient than CD-HDI in capturing the more polar 401 OA (Table 2S and Fig. 10S). On the contrary, CD-HDIs were much more efficient in capturing the 402 more lipophilic PTX2 than CD-EPIs (Table 2S and Fig. 11S). The explanation could rely on the 403 higher hydrophobicity of the HDI spacer (which contains six CH<sub>2</sub> groups connected to the CD by 404 O(C=O)NH groups) compared to EPI that provides a more hydrophobic environment for PTX2, as 405 well as the occurrence of hydrogen bond interactions between the amido and OH groups of the 406 capture polymer with the polar groups of the toxin. Therefore, the nature of the bridging units 407 is certainly playing a more decisive role than the cavity size in this case as evidenced by the trend 408  $\gamma$ -CD-HDI >  $\beta$ -CD-HDI > Diaion >  $\gamma$ -CD-EPI >  $\beta$ -CD-EPI. Another important point to consider is that, 409 in contrast to Diaion<sup>®</sup> HP-20,  $\gamma$ -CD-HDI and  $\beta$ -CD-HDI are not porous materials and the capture 410 of PTX2 occurs mainly on the surface of the particles. Hence, the high capture efficiency showed 411 by materials with a lower active surface such as both cyclodextrin polymers is indicative of the 412 high strength of the intermolecular interactions involved.

An experiment was performed in the lab, with seawater spiked with the two toxins at equimolar concentrations, to investigate if competition of OA and PTX2 for the cyclodextrin cavities could explain the results obtained in the *in-situ* deployment experiment. However, all cyclodextrins were able to capture both toxins with recovery values of about 80%, and no competition was 417 observed. In fact, although the saturation of the cyclodextrins was not evaluated, it is evident 418 that toxin contents in the cyclodextrins from the *in-situ* deployment experiment were far from 419 saturation (much lower toxin contents than those reached in the culture experiment). Thus, what 420 is happening in nature is much more complex and other environmental parameters are certainly 421 playing a significant role. Since cyclodextrins are not specific for marine toxins, capture and 422 competition of other compounds from seawater (e.g. chemical contaminants, organic matter, 423 micro/nanoplastics and demucilaged seeds) cannot be discarded.

### 424 **4.3 General discussion**

425 The cyclodextrin-based materials tested in this work have provided useful information regarding 426 the toxin profile of a *P. lima* strain and the spatial and temporal dynamics of a *D. sacculus* bloom. 427 It is necessary to take into account that these passive sampling materials are capturing dissolved 428 toxins from the culture media or the seawater, during a time interval, and with different 429 efficiencies. Therefore, as observed in this work, discrepancies may arise among them. Although 430 more work is required to better understand the results and to fully characterize the two case 431 studies, multiple experimental parameters are not under control (e.g. diffusion of dissolved 432 toxins, competition of toxins with other compounds that can also be captured by cyclodextrins, 433 stability of dissolved toxins, environmental conditions, etc.). Nevertheless, this work is a step 434 forward to understand what is happening in nature.

Compared to other passive sampling materials, cyclodextrins have the advantages of being easy to manufacture, cheap and ecologically sustainable, and their chemical and structural versatility could allow a rational synthesis according to the type of toxin to be captured. Therefore, they could be useful as early warning tools in monitoring programs. To this purpose, toxin contents in shellfish from specific regions should be determined, tailor-made cyclodextrins should be tested, and correlation between both should be established.

441

### 442 **5. Conclusions**

443 Summarizing, the results of the experiments described herein demonstrate the potential of 444 cyclodextrin polymers as new materials for passive sampling of lipophilic marine toxins. In the 445 culture experiment, OA and DTX1, and related esters at a lower extent, have been captured along 446 P. lima culture time, the first signals being detected even after only 2 days. In the in-situ 447 deployment experiment, toxin contents were significantly higher in the sampling points and 448 dates where D. sacculus cell abundance was also higher, the effect being more evident for PTX2 449 than for OA. While the exact capture mechanism and the role of cavities and spacers is currently 450 under study, the evaluated cyclodextrin-based materials have already proven efficient in 451 providing integrated contents of toxins released into culture media and the environment. Further 452 investigation is underway to evaluate the capture and equilibrium rates, saturation, regeneration 453 and reusability, to remove matrix effects and to establish the correlations with shellfish 454 contamination with the aim to develop novel passive sampling materials able to satisfy the 455 environmental monitoring demands of specific geographic regions.

456

#### Sample CRediT author statement

457 Monica Campas: Conceptualization, Methodology, Investigation, Resources, Writing - original 458 draft, Writing - review & editing, Supervision, Project administration, Funding acquisition. Maria 459 Rambla-Alegre: Methodology, Investigation, Writing - review & editing. Charlotta Wirén: 460 Investigation, Formal Analysis, Writing - review & editing. Carles Alcaraz: Formal Analysis, 461 Visualization, Writing - review & editing. María Rey: Methodology, Investigation, Writing - review 462 & editing. Anna Safont: Investigation, Writing - review & editing. Jorge Diogène: Methodology, 463 Writing - review & editing. Mabel Torréns: Resources, Writing - review & editing. Alex Fragoso: 464 Conceptualization, Resources, Writing - review & editing, Project administration, Funding 465 acquisition.

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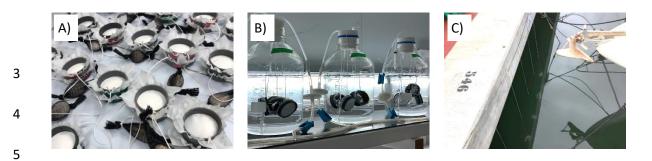
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# 602 Acknowledgments

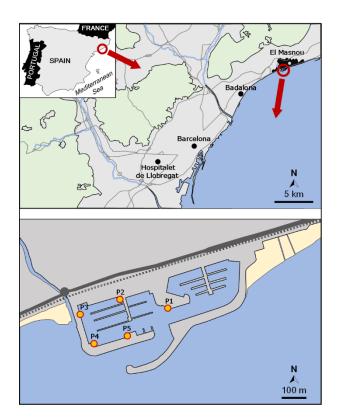
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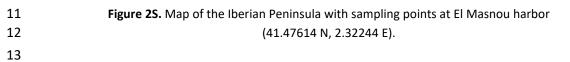
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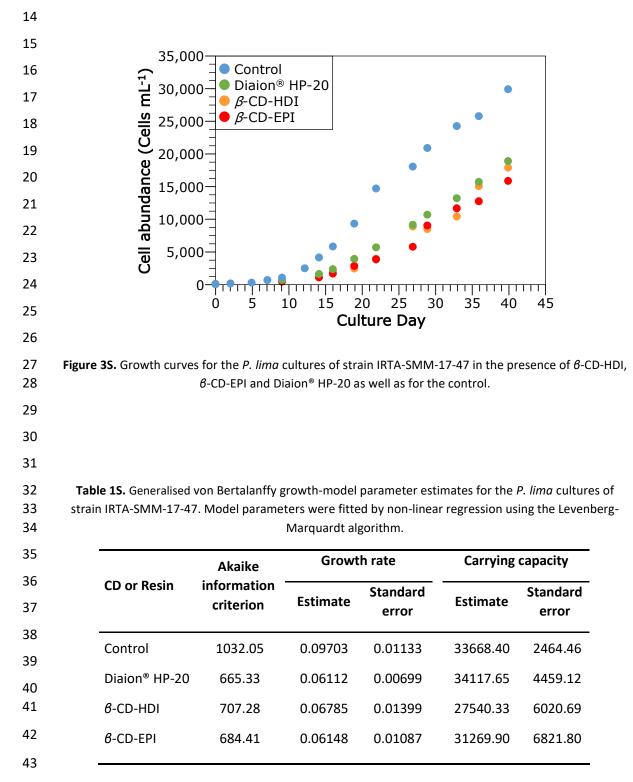
# Supplementary information

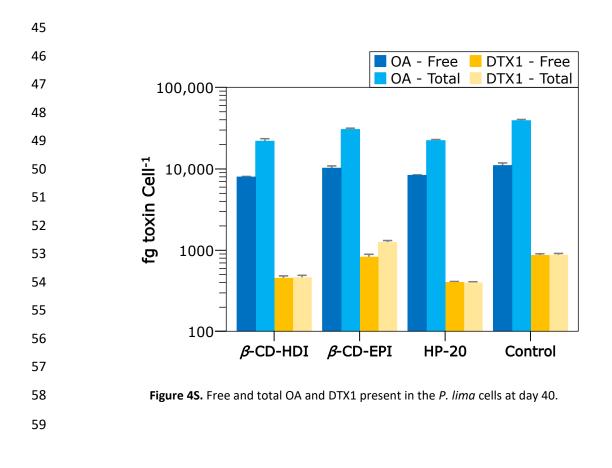


- **Figure 1S.** Passive sampling disks containing β-CD-HDI, β-CD-EPI, γ-CD-HDI, γ-CD-EPI or Diaion<sup>®</sup> HP-20 (A), immersed in *P. lima* cultures (B), and deployed in El Masnou harbor waters (C).









60 **Table 2S.** Three-way ANOVAs on the effects of passive sampling disk, sampling point and week

61 on toxin concentration (A), and ANOVAs on the effects of passive sampling disk, zone (Z1 =

| 62 | samp |
|----|------|
| 63 |      |

ling points P2 and P3, Z2 = sampling points P1, P4 and P5) and week on toxin concentration (B).

|                           | Toxin          |         |          |                |        |         |          |            |  |
|---------------------------|----------------|---------|----------|----------------|--------|---------|----------|------------|--|
| Factor                    | OA             |         |          |                | PTX2   |         |          |            |  |
|                           | F              | df      | Р        | $\eta_{p}^{2}$ | F      | df      | Р        | $\eta_p^2$ |  |
| A) Among Points           |                |         |          |                |        |         |          |            |  |
| Disk                      | 1417           | 3, 120  | < 0.0001 | 0.973          | 502.2  | 4, 150  | < 0.0001 | 0.931      |  |
| Point                     | 97.54          | 4, 120  | < 0.0001 | 0.765          | 163.3  | 4, 150  | < 0.0001 | 0.813      |  |
| Week                      | 40.84          | 1, 120  | < 0.0001 | 0.254          | 5.395  | 1, 150  | 0.022    | 0.035      |  |
| Disk × Point              | 4.243          | 12, 120 | < 0.0001 | 0.298          | 4.976  | 16, 150 | < 0.0001 | 0.347      |  |
| Disk × Week               | 18.15          | 3, 120  | < 0.0001 | 0.312          | 0.674  | 4, 150  | 0.611    | 0.018      |  |
| Point × Week              | 14.48          | 4, 120  | < 0.0001 | 0.326          | 26.01  | 4, 150  | < 0.0001 | 0.410      |  |
| Disk × Point × Week 1.337 |                | 12, 120 | 0.207    | 0.118          | 1.514  | 16, 150 | 0.101    | 0.139      |  |
| B) Between Zones          |                |         |          |                |        |         |          |            |  |
| Disk                      | 894.7          | 3, 144  | < 0.0001 | 0.949          | 222.96 | 4, 180  | < 0.0001 | 0.832      |  |
| Point                     | 251.2          | 1, 144  | < 0.0001 | 0.636          | 250.93 | 1, 180  | < 0.0001 | 0.582      |  |
| Week                      | 35.58          | 1, 144  | < 0.0001 | 0.198          | 5.440  | 1, 180  | 0.021    | 0.029      |  |
| Disk × Point              | 3.300          | 3, 144  | 0.022    | 0.064          | 5.135  | 4, 180  | 0.001    | 0.102      |  |
| Disk × Week               | 12.82          | 3, 144  | < 0.0001 | 0.211          | 0.151  | 4, 180  | 0.962    | 0.003      |  |
| Point × Week              | 17.26          | 1, 144  | < 0.0001 | 0.107          | 16.97  | 1, 180  | < 0.0001 | 0.086      |  |
| Disk × Point × Wee        | <b>k</b> 1.505 | 3, 144  | 0.216    | 0.030          | 1.167  | 4, 180  | 0.327    | 0.025      |  |

64

65 Okadaic acid concentration differed significantly among passive sampling disks, sampling points 66 and weeks. Sampling points P2 and P3 showed the highest OA values (Fig. 5S), which decreased 67 the second week (Fig. 7S). Among passive sampling disks, Diaion<sup>®</sup> HP-20 showed the highest 68 values, followed (but much lower) by  $\beta$ -CD-EPI,  $\gamma$ -CD-EPI, and  $\gamma$ -CD-HDI (Fig. 10S). This pattern 69 was similar in all sampling points (Fig. 5). There was also disk × point, disk × week, and point × 70 week interactions, which can be explained by small deviations from the general pattern of OA 71 concentration among passive sampling disks, sampling points and weeks. According to  $\eta_p^2$ 72 values, differences in OA values were mainly explained by the passive sampling disk, followed 73 by sampling point, since week and the significant interactions had a minor weight.

74 Pectenotoxin-2 concentration differed significantly among passive sampling disks, sampling 75 points and weeks. Sampling points P2 and P3 showed the highest concentration values (Fig. 6S), 76 which decreased the second week (Fig. 8S). Among passive sampling disks,  $\gamma$ -CD-HDI showed the 77 highest values, followed by  $\beta$ -CD-HDI, Diaion<sup>®</sup> HP-20,  $\gamma$ -CD-EPI, and  $\beta$ -CD-EPI (Fig. 11S). This 78 pattern was similar in all sampling points (Fig. 6). There was also disk × point and point × week 79 interactions, which can be explained by small deviations from the general pattern of PTX2 80 concentration among passive sampling disks, sampling points and weeks. According to  $\eta_p^2$ 81 values, passive sampling disk and sampling point were the most important factors in explaining 82 differences in PTX2 values since week and the significant interactions had a minor weight.

When sampling points were pooled in two different zones (zone 1 including sampling points 2 and 3, and zone 2 including sampling points 1, 4 and 5) according to toxin concentration, the same results were obtained. Figure 5S. Total OA captured by the passive sampling disks per sampling point (P1 to P5) of El Masnou
 harbor (NW Mediterranean Sea). Each point corresponds to the mean of 20 disks (duplicates, 2 weeks

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and 4 cyclodextrins/resin) analyzed twice. Error bars are the standard error of the means.

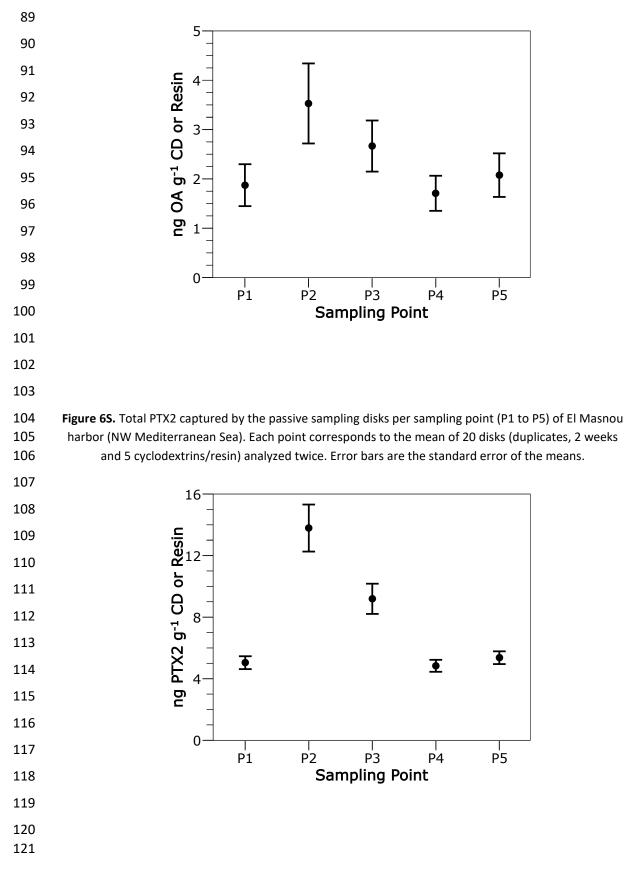


Figure 7S. Total OA captured by the passive sampling disks per sampling week (W1 and W2) and sampling point group of El Masnou harbor (NW Mediterranean Sea). Each point corresponds to the mean of 25 disks (duplicates, 2 or 3 sampling points and 5 cyclodextrins/resin) analyzed twice. Error bars are the standard error of the means.

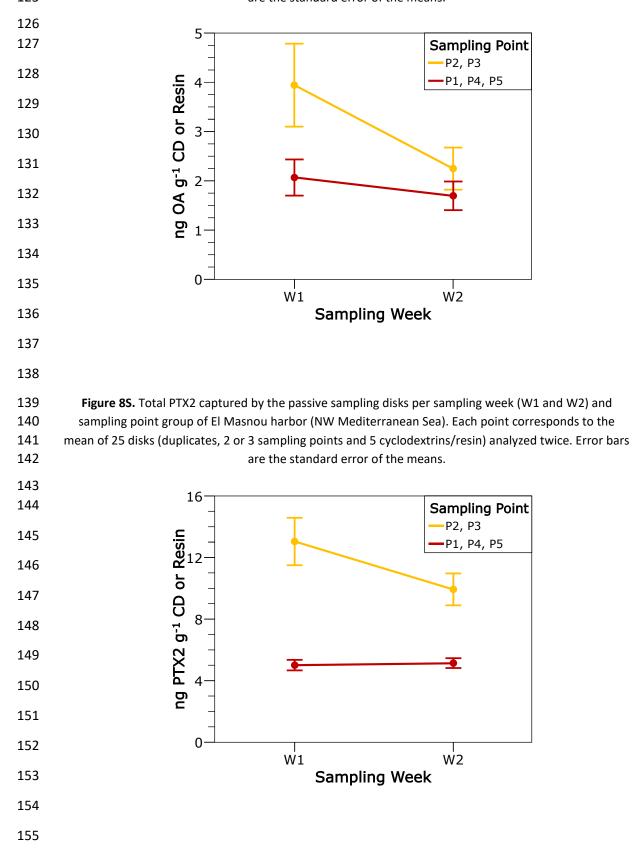
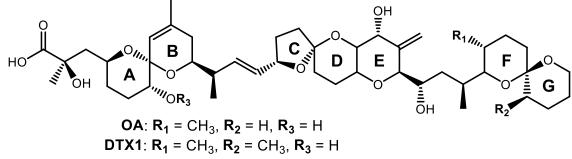
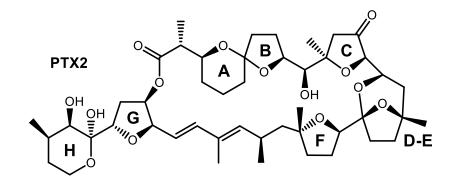
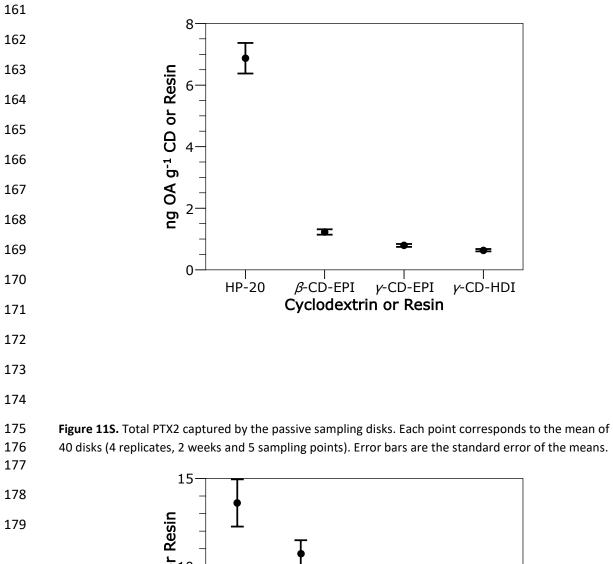


Figure 9S. Chemical structures of OA, DTX1 and PTX2.





159 Figure 10S. Total OA captured by the passive sampling disks. Each point corresponds to the mean of 40 160 disks (4 replicates, 2 weeks and 5 sampling points). Error bars are the standard error of the means.



40 disks (4 replicates, 2 weeks and 5 sampling points). Error bars are the standard error of the means.

