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1       **High-pressure processing inactivation of *Salmonella* in raw pet food**  
2                   **for dog is enhanced by acidulation with lactic acid**

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## Abstract

21  
22 Raw pet food market is growing at rapid rate due to the raising perception as a natural  
23 option and the potential health benefits. However, raw pet food also may pose health  
24 concerns due to the occurrence of pathogenic bacteria such as *Salmonella* spp. High-  
25 pressure processing (HPP) is known as a non-thermal technology to inactivate  
26 microorganisms in food, preserving the nutritional characteristics with minimal impact  
27 on organoleptic traits. In this framework, the effects of pressure intensity (450-750  
28 MPa), pressure-holding time (0-7 min) and lactic acid concentration (0-7.2 g/kg) on the  
29 inactivation of *Salmonella* spp. by HPP in chicken-based raw pet food intended for dogs  
30 was evaluated through a central composite design. *Salmonella* reduction ranged from  
31 0.76 to >9 log units depending on the combination of factors, which were all linearly  
32 correlated with inactivation. The rate of inactivation slowed down after an initial rapid  
33 drop of *Salmonella* levels during treatments, which was reflected as a quadratic term of  
34 holding time. The interaction between factors and the quadratic terms of pressure and  
35 lactic acid concentration were not statistically significant and therefore not included in  
36 the final model. According to the stochastic assessment, after treatments at 500 MPa for  
37 4 min, the probability of a non-acidulated product being contaminated with *Salmonella*  
38 decreased to 0.03 %. For these products, an increase in holding-time duration from 4 to  
39 6 min at 500 MPa, decreased the probability of non-conforming products by  
40 approximately 50-fold. Remarkably, for products acidulated with 3.6 g/kg of acid lactic,  
41 the same increase in treatment duration reduced the probability of non-conforming  
42 products in approximately 475-fold. The results highlight the relevant influence of  
43 processing parameters and intrinsic factors associated with the product formulation (i.e.  
44 lactic acid causing a slight pH decrease) on the lethality of *Salmonella* in pressurized

45 raw pet food. The polynomial model provided constitutes a useful decision-support tool  
46 for optimizing HPP of raw pet food, considering matrix acidulation by lactic acid as a  
47 strategy to enhance *Salmonella* lethality to comply with current regulations concerning  
48 pet food microbiological safety.

49 **Keywords:** HPP, modelling, predictive microbiology, pet food, salmonellosis.

## 50 **1. Introduction**

51 Raw pet food is composed of pieces of uncooked meat together with animal by-products and  
52 vegetables not subjected to thermal treatments, prepared at domestic environments or supplied  
53 commercially as fresh, frozen or freeze-dried products (Freeman et al., 2013; Davies et al.,  
54 2019). Feeding dogs with products containing raw meat has become a popular practice in  
55 recent years, since these products are considered as a more “natural” option in comparison  
56 with conventionally processed pet food (Davies et al., 2019; Hellgren et al., 2019).  
57 Improvements on pet behaviour, immune function, skin and dental health are among the  
58 claimed benefits of raw pet food diets (Joffe & Schlesinger, 2002; Finley et al., 2008).

59 Regulations of different countries apply zero tolerance regarding the occurrence of  
60 *Salmonella* in pet food (European Parliament and Council, 2009; European Commission,  
61 2011; FDA, 2013). Therefore, manufacturers should ensure that raw pet food placed in the  
62 market is not contaminated with this pathogen. *Salmonella* prevalence is higher in raw pet  
63 food than in conventional processed pet food because raw food does not undergo a lethality  
64 process to inactivate bacteria (Hellgren et al., 2019). In Italy, a survey conducted with chicken  
65 raw material available for pet food manufacture resulted in the detection of *Salmonella* in  
66 12% of the evaluated samples (Bacci et al., 2019). Van Bree et al. (2018) reported 20% out of  
67 35 commercial samples of raw pet food contaminated with *Salmonella* in the Netherlands.  
68 Domesle et al. (2021) reported a turkey-based raw pet food contaminated with three different  
69 serovars of *Salmonella*. The occurrence of outbreaks or sporadic cases of animal  
70 salmonellosis associated with contaminated dog foods provides evidence of the risk of  
71 feeding-*Salmonella* contaminated products to pets (Schotte et al., 2007; Behravesh et al.,  
72 2010; Imanishi et al., 2014; Jones et al., 2019).

73 To limit the health risk for animals due to contaminated raw pet food, high-pressure  
74 processing (HPP) is proposed as a non-thermal process to inactivate pathogenic bacteria in

75 this type of products, with minimal impact on nutritional and organoleptic characteristics. It  
76 has been demonstrated that the efficacy of HPP to promote bacterial inactivation depends on a  
77 series of factors, including processing parameters and matrix related intrinsic factors, e.g. fat,  
78 protein, pH and  $a_w$  (Hereu et al., 2012; Bover-Cid et al., 2015; Possas et al., 2017; Bover-Cid  
79 et al., 2019; Serra-Castelló et al., 2021). However, studies on *Salmonella* inactivation on raw  
80 meat-based pet food by HPP are scarce.

81 Predictive microbiology models are practical tools to understand and quantify the impact of  
82 factors that affect microbial behaviour in foods and to optimize the application of  
83 technological interventions such as HPP. The survival kinetics of *Salmonella* have been  
84 modelled in dry pet food during heat treatment (Rachon et al., 2016) and during long term  
85 storage (Lambertini et al., 2016), but to date no modelling approach has been conducted to  
86 describe the inactivation of *Salmonella* due to the application of HPP in a raw pet food  
87 intended for dog.

88 In this context, the purpose of the present study was to build and to evaluate a mathematical  
89 model describing the inactivation of *Salmonella* in chicken-based raw pet food intended for  
90 dogs by HPP as a function of processing parameters, i.e., pressure intensity and holding time,  
91 as well as lactic acid concentration as a key parameter of product formulation. The lactic acid  
92 was added to lower the pH of raw pet food in order to evaluate to which extent acidulation  
93 enhanced pressure-inactivation of *Salmonella*.

## 94 **2. Material & Methods**

### 95 **2.1. Experimental design**

96 A Central Composite Design (CCD) was performed in order to evaluate the influence of the  
97 three variables: pressure intensity (450-750 MPa), pressure-holding time (0-7 min) and lactic  
98 acid concentration (0-7.2 g/kg) on the efficacy of HPP treatments to inactivate *Salmonella*

99 spp. in chicken-based raw pet food samples. Twenty-one trials were randomly performed in  
100 triplicate in accordance with the CCD, consisting of i) eight trials on factorial points, ii) six  
101 trials on axial points, iii) seven trials on the central point to enable the evaluation of the  
102 experimental error and the lack-of-fit of the model. The experimental layout regarding  
103 variables and levels is shown in Table 1 and the specific combination of conditions for the  
104 twenty-one trials performed are depicted in Table 2.

105 The ranges set for the technological factors (Table 1), *i.e.* pressure intensities and pressure-  
106 holding times, were set based on previous studies, which demonstrated the effectiveness of  
107 HPP treatments at 450-750 MPa for up to 7 min to inactivate pathogenic bacteria in foods,  
108 including pet food (Jofré et al., 2009; Bover-Cid et al., 2017; Serra-Castelló et al., 2021).

## 109 **2.2. Bacterial strain and culture preparation**

110 A three-strain cocktail mixture of *Salmonella* Derby CTC1022, *Salmonella* Typhimurium  
111 GN0085 and *Salmonella* Enteritidis GN0082, isolated from pork and chicken meat, was used  
112 for samples inoculation. These strains were selected based on their higher pressure-resistance  
113 in comparison with other 7 *Salmonella enterica* strains tested in a previous screening in which  
114 inoculated pet food samples were pressurized at 400 MPa for 5 minutes (Serra-Castelló, et al.,  
115 2021). Each strain was grown on Plate Count Agar (PCA, Merck, Darmstadt, Germany) at 37  
116 °C for 18 h. A colony was picked and confluent grown in a new PCA plate at 37 °C for 18 h.  
117 Bacterial biomass was collected and resuspended with a cryoprotectant solution consisting of  
118 0.3% of beef extract (Difco Laboratories, Detroit, MI, USA), 0.5% of Tryptone (Oxoid Ltd.,  
119 Basingtok, Hampshire, UK) and 20% of glycerol and frozen at -80 °C until being used.  
120 Cultures were thawed at room temperature before being used. The freeze culture is  
121 representative of the status of *Salmonella* in raw materials used to produce the raw pet food,  
122 which are usually stored frozen. Moreover, frozen cultures are known to be more resistant to

123 HPP than freshly growth cultures, thus this procedure allow to account for the worse-case  
124 scenario (Hereu et al., 2014).

### 125 **2.3. Raw pet food preparation/formulation**

126 The raw ingredients for pet food manufacture were provided by Affinity Petcare SA and  
127 prepared according to a commercial formulation as described in Serra-Castelló et al. (2021).  
128 Briefly, raw pet food included chicken (as the main component), vegetables, antioxidants and  
129 vitamins and minerals. *Salmonella* was not detected in non-inoculated samples (25 g) of raw  
130 pet food. Pet food was prepared in a block format of ca. 10 cm diameter and stored frozen as  
131 1.5 cm-thick slices. Before the experiments, the necessary number of slices were thawed, and  
132 lactic acid was incorporated to the samples according to the concentrations set in the CCD  
133 (Table 1) by adding the appropriate amount of a lactic acid solution (71 % v/v) kindly  
134 provided by CORBION® and kept at  $4 \pm 1$  °C during 24 h before pressurization Samples were  
135 inoculated with the *Salmonella* cocktail at a concentration of  $10^8$ - $10^9$  cfu/g and vacuum-  
136 packed in PA/PE bags (oxygen permeability of 50 cm<sup>3</sup>/m<sup>2</sup>/24 h and a low water vapor  
137 permeability of 2.8 g/m<sup>2</sup>/24 h; Sistemvac, Estudi Graf S.A., Girona, Spain) 1h before HPP.  
138 The  $a_w$  and pH of samples were measured before and after HPP treatments with an Aqualab™  
139 equipment (Series 3, Decagon Devices Inc., Pullman, WA, USA) and with a penetration 52–  
140 32 probe connected to a PH 25 portable pH-meter (Crison Instruments S.A., Alella, Spain),  
141 respectively.

### 142 **2.4. High-pressure processing**

143 Vacuum-packed raw pet food samples were pressurised at the target time-pressure  
144 combinations corresponding to the CCD (Table 1). For pressures up to 600 MPa, the  
145 equipment used was a Wave 6000 Hiperbaric (Burgos, Spain), while a pilot equipment (Thiot  
146 ingenierie, Bretenoux, France – Hiperbaric, Burgos, Spain) was used for pressures above 600



147 MPa. The come up of pressure was on average 200 MPa/min, while the release was almost  
148 immediate. The initial temperature of pressurization fluid (water) was set at 9°C. Compression  
149 heating was expected to be about 3 °C/100 MPa (Patazca et al., 2007).

## 150 **2.5. Microbiological determinations**

151 Raw pet food samples were 10-fold diluted in 0.1 % Bacto Peptone (Difco Laboratories,  
152 Detroit, MI, USA) with 0.85 % NaCl (Merck, Darmstadt, Germany) and homogenized for 1  
153 min in a Blender Smasher (bioMérieux, Marcy-l'Étoile, France). The homogenates were  
154 serially diluted and plated onto *Salmonella* Plus chromogenic medium (SPCM, CHROMagar™  
155 *Salmonella* Plus; CHROMagar, Paris, France). Colonies were enumerated after incubation at 37  
156 °C for 2 to 5 days (in case of pressurized samples). For expected counts below the detection  
157 limit by plate counting (4 cfu/g, resulting from plating 4 ml of homogenate in a 14 cm-  
158 diameter plate), the presence of *Salmonella* spp. was investigated in 25 g of sample after  
159 selective enrichment of the homogenate in Rappaport-Vassiliadis (RV) broth (Oxoid Ltd.,  
160 Basingstoke, Hampshire, UK) for 48 h at 41.5 °C. The presence of *Salmonella* in the enriched  
161 homogenates was confirmed by PCR using the PrepSEQ™ Rapid Spin Sample Preparation  
162 Kit (Applied Biosystems) and MicroSEQ™ *Salmonella* spp. Detection Kit (Applied  
163 Biosystems). For modelling purposes, detection of *Salmonella* below the plate detection level  
164 was considered -1.0 log cfu/g. Microbiological determinations were conducted in vacuum-  
165 packaged samples, pressurized (HPP) or non-pressurized (non-HPP) and either acidulated or  
166 non-acidulated in triplicate for each combination of factors considered in the CCD. Vacuum-  
167 packaged non-acidulated or acidulated samples that were not pressurized were defined as  
168 controls. Inactivation of *Salmonella* spp. in vacuum-packaged pet food samples was  
169 expressed in terms of logarithmic reductions as the difference between counts in non-  
170 acidulated or acidulated pressurized-samples ( $N$ ) and controls, i.e., their respective non-  
171 acidulated or acidulated non-pressurized samples ( $N_0$ ), i.e.,  $\log(N/N_0)$ .

## 172 2.6. Data analysis and statistical modelling

173 The statistical significance of the differences in the pH of raw pet foods before and after HPP  
174 was tested through a t-test. The effects of pressure intensity, pressure holding time and acid  
175 lactic concentration on the inactivation of *Salmonella* spp. in raw pet food was investigated by  
176 using the Response Surface Methodology. The “rsm” package for R software (R Core Team,  
177 2019) was used to fit quadratic model for each response shown in Equation 1.

$$178 \quad \log(N/N_0) = \beta_0 + \sum_{i=1}^n \beta_i x_i + \sum_{i=1}^n \beta_{ii} x_i^2 + \sum_{i=j=1}^n \beta_{ij} x_i x_j \quad \text{Equation 1}$$

179 Where  $\log(N/N_0)$  is the logarithmic reduction of *Salmonella*;  $\beta_0$  is a constant;  $\beta_i$ -  $\beta_n$  are model  
180 coefficients and  $x_i$ - $x_n$  are the independent variables (i.e., pressure intensity, pressure holding  
181 time and lactic acid).

182 To obtain the polynomial equation that best fitted to the experimental data without  
183 compromising parsimony, only the significant terms ( $p \leq 0.05$ ) derived from each factor were  
184 kept in the final model as indicated by a backward stepwise regression approach. The  
185 goodness of fit and the statistical significance of the model were evaluated by means of the  
186 root mean square error (RMSE) and the significance of the regression model and the  
187 estimated parameters as well as the lack-of-fit test. Response surface graphs were drawn with  
188 the value of the independent variable not shown but kept at the central point of the CCD.

## 189 2.7. Model performance evaluation

190 Observed inactivation data (i.e. log reduction) obtained in additional independent experiments  
191 were compared with model predictions in order to evaluate its performance. Treatments with  
192 foreseeable conditions to be applied at industrial level (EFSA BIOHAZ Panel et al., 2022),  
193 i.e. 500 MPa for 4 and 6 min, were applied in products formulated with 3.6 g/kg of lactic acid  
194 and products not acidulated. The observed experimental data was compared with model

195 predictions, taking into consideration the 95 % prediction interval of the model. The model  
196 was considered acceptable when inactivation observed data were within the 95 % prediction  
197 interval of the model.

### 198 **3. Results**

#### 199 **3.1. Reductions of *Salmonella* spp. in raw pet food due to HPP**

200 The addition of lactic acid in raw pet food at concentrations ranging from 0 to 7.2 g/kg  
201 yielded samples with pH varying from 6.97 to 5.72, respectively (Table 2). Differences in  
202 *Salmonella* counts between non-acidulated and acidulated samples before HPP were not  
203 microbiologically relevant (<0.5 log units). No significant differences were detected between  
204 the pH of samples before and after HPP treatments ( $p > 0.05$ ). The  $a_w$  of samples was neither  
205 affected by HPP application nor the addition of lactic acid and was  $\geq 0.99$  in all cases.

206 Inactivation of *Salmonella* by HPP expressed as  $\log(N/N_0)$  for each combination of factors of  
207 the CCD is shown in Table 2. By increasing both pressure intensity and pressure-holding  
208 time, an increase in *Salmonella* inactivation was observed. The maximum reduction achieved  
209 in the present experiments was 9.33 log units, when a treatment at the highest pressure level  
210 evaluated was applied (i.e. 750 MPa, Trial 21). During this treatment, levels of *Salmonella*  
211 decreased to values below plate count detection, although its presence was detected after  
212 enrichment of 25 g of the sample. The increase in pressure intensity from 450 to 750 MPa  
213 while keeping time and lactic acid concentrations at the central point of the CCD (i.e. 3.5 min  
214 and 3.6 g/kg, respectively), increased the inactivation by 7.3 additional log units. Moreover,  
215 for treatments at 600 MPa in products containing 3.6 g/kg of lactic acid, an increase in  
216 holding time from 0 to 7 minutes resulted in a 6 log reduction (Trials 6 and 16). Considering  
217 the addition of lactic acid, an increase from 1.5 to 5.7 g/kg of raw pet food, led to an increase  
218 of the HPP inactivation by 1.4 additional log units of reduction in treatments at 511 MPa/1.4

219 min (Trials 2 and 3). The same increase in lactic acid concentration at 689 MPa/1.4 min  
220 resulted in an acid-related reduction of *Salmonella* of 2.5 log (Trials 17 and 18). In these  
221 experiments, the increase in lactic acid concentrations reduced the pH of raw pet food  
222 samples from 6.5 to 5.8 (Table 2).

### 223 **3.2. Modelling the inactivation of *Salmonella* spp. in raw pet food by HPP**

224 The coefficients of the empirical model (Equation 1) quantifying the relationship between the  
225 *Salmonella* inactivation in raw pet food and the independent factors evaluated, i.e. pressure,  
226 pressure-holding time and lactic acid concentration, are shown in Table 3. The model is  
227 statistically significant as indicated by the  $F$ -value = 268.1 ( $p \leq 0.00001$ ) and the non-  
228 significant lack-of-fit test ( $F$ -value = 5.2;  $p > 0.05$ ). Moreover, the low RMSE value of 0.677  
229 indicated a satisfactory goodness of fit.

230 The response surface graphs generated based in the obtained model are shown in Figure 1.  
231 The three factors evaluated were positively correlated with the inactivation of *Salmonella* spp.  
232 in raw pet food and are present in the model as linear terms ( $p \leq 0.05$ ). Effect estimates  
233 indicated that pressure intensity was the quantitatively most important factor influencing  
234 inactivation, followed by pressure-holding time. Interactions between the factors were not  
235 significant ( $p > 0.05$ ) and thus not included in the final model.

236 A non-linear relationship between *Salmonella* inactivation and pressure-holding time was  
237 marked and reflected by the presence of a quadratic term in the model. It means that by  
238 increasing the duration of pressure treatments, there is a slowing down on reductions, with  
239 higher inactivation rates at the beginning of pressurization (Figure 1a and 1b). The results of  
240 model performance evaluation are shown in Table 4. The model could be successfully applied  
241 to predict the inactivation of *Salmonella* in raw pet food containing 0 or 3.6 g/kg of lactic acid

242 treated at 500 MPa for 4 and 6 min, as independent data obtained in additional experiments  
243 carried out at these conditions fall within the 95 % prediction interval of the model.

244 The contour plot showing the combination of pressure intensity and holding time that allow to  
245 accomplish a target isoreduction level in raw pet food containing 4 g/kg of lactic acid is  
246 shown in Figure 2a. It can be deduced by checking the plot that by applying treatments at 500  
247 MPa for 6 min, a 4 log reduction in *Salmonella* levels would be achieved. Additionally, to  
248 achieve a 6 log reduction at 600 MPa, treatment duration might be at least of 4 min.

## 249 **4. Discussion**

### 250 **4.1. *Salmonella* spp. inactivation in raw pet food by HPP**

251 The results of the present study highlighted the role of processing parameters on the lethality  
252 of HPP, as reported in previous investigations in foods other than raw pet food (Bover-Cid et  
253 al., 2017; Possas et al., 2017). Moreover, they revealed that the HPP-resistance of *Salmonella*  
254 in chicken-based raw pet food was lower in comparison with dry-cured meat products and  
255 comparable to the inactivation levels achieved with the pressurization of the pathogen in  
256 liquid matrices or culture broth. *Salmonella* reductions in the range of 4-8 log were reported  
257 after pressurization of culture broth at 350-550 MPa up to 10 min (Lee & Kaletunç, 2010;  
258 Maitland et al., 2011), while notably lower reductions, within the range 2-4 log, were reported  
259 in dry-cured ham (with a  $a_w$  of 0.88) subjected to 450-750 MPa for 5 min. These differences  
260 would be associated with the protective effect of the low  $a_w$  of the matrix on the lethality of  
261 HPP on *Salmonella*, since higher microbial reductions have been quantified in matrices with  
262 higher  $a_w$ , such as the raw pet food under study ( $a_w > 0.99$ ) (Bover-Cid et al., 2015; Georget et  
263 al., 2015). Besides the effect of  $a_w$ , additional reductions in raw pet food in comparison with  
264 other meat products can be associated with the pH decrease through the addition of lactic acid

265 which could be explained by the lower resistance of pathogens to HPP in more acidulated  
266 conditions (Alpas et al., 2000).

267 Due to the lack of studies dealing with the pressure-induced inactivation of *Salmonella* in raw  
268 pet food, comparison of results with data obtained during raw poultry pressurization seems  
269 reasonable, since chicken meat is the main ingredient of the raw pet food under study (80 %  
270 w/w). Reductions of 3.35 and 3.5 log in *Salmonella* levels were achieved after the  
271 pressurization at 450 MPa for 5 min of inoculated ground chicken (Sheen et al., 2015) and  
272 chicken fillets (Kruk et al., 2011), respectively. In line with these investigations, in the present  
273 study the application of 450 MPa for a slightly shorter time yielded a slightly lower log  
274 reduction (2 log, Trial 1).

275 In the present study, acidulation by adding acid lactic was effective in increasing *Salmonella*  
276 inactivation. Besides acidulation, additional control measures can be applied together with  
277 HPP to promote the inactivation of *Salmonella* and to avoid the growth of pressure-injured  
278 cells during storage of raw pet food, including refrigeration of pressurized products (Jofré et  
279 al., 2010; Lerasle et al., 2014). For instance, Morales et al. (2009) found no recovery of  
280 pressure-injured cells of *Salmonella* in chicken fillets subjected to treatments at 300 and 400  
281 MPa for up to 20 min during the subsequent storage at 4 °C for 72 hours. Therefore, the  
282 storage of pressurized raw pet food under refrigeration according to manufacture  
283 recommendations would assist the compliance with current regulations for *Salmonella*.

284 The non-linear relationship between *Salmonella* and pressure-holding time found in the  
285 present article is compatible with the occurrence of a tail of resistant cells which may indicate  
286 the presence of subpopulations of *Salmonella* with different susceptibilities to pressure  
287 (Tamber, 2018). The same non-linear trend was observed in other studies modelling the  
288 microbial pressure-induced inactivation in foods (Hereu et al., 2012; Tananuwong et al.,

289 2012; Lerasle et al., 2014). From the technological point of view, the occurrence of a tail  
290 during microbial inactivation has remarkable implications. Since the inactivation rate in the  
291 tail part is drastically reduced, no significant additional *Salmonella* reductions would be  
292 achieved by increasing processing times, which means that additional operational costs  
293 derived from increased pressure-holding times could be avoided. Based on capital costs, an  
294 economically reasonable holding time to be applied at industrial level was estimated in a  
295 maximum of 6 min (Garriga et al., 2004). On the other hand, regarding food safety, the  
296 occurrence of a tail of resistant cells is a concern during the subsequent storage and handling  
297 practices. Even if resistant cells may be sublethally damaged, they can recover and initiate  
298 growth if the intrinsic and storage conditions are favourable (Hereu et al., 2014).

299 Recommendations regarding the required lethality of HPP treatments to eliminate *Salmonella*  
300 in raw pet food have not been established. However, the application of technologies  
301 alternative to the thermal treatment such as HPP must ensure the reduction of the loads of  
302 pathogenic microorganisms in foods in about 4 to 6 log reductions (IFT, 2002). Considering  
303 that a HPP treatment should assure those reductions of *Salmonella* in raw pet food, the model  
304 developed in this study can be applied, for instance, to set the appropriate processing  
305 parameters, assuming the addition of a fixed lactic acid concentration.

306 On the other hand, according to the requirements established in the US for the production of  
307 fully cooked poultry products, a lethality process which must include a cooking step may  
308 assure a 7-log reduction of *Salmonella* (CFR, 2018). Simulations using the developed model  
309 indicate that this target inactivation would only be achieved in raw pet food formulated with  
310 lactic acid. For example, a 7-log reduction would be achieved when applying a treatment at  
311 600 MPa for at least 4.2 min in raw pet food containing 7 g/kg of lactic acid (Figure 2b). By  
312 reducing the lactic acid concentration to 6 g/kg, the minimum holding time of a HPP  
313 treatment at 600 MPa required to achieve the target inactivation would increase to 5 min

314 (Figure 2b). Therefore, the model developed in the present study can be applied to define HPP  
315 parameters and lactic acid concentrations required to achieve desired levels of *Salmonella*  
316 inactivation, being an important tool for process assessment and optimization in view of food  
317 safety assurance.

#### 318 **4.2. Validation of HPP as a killing step in raw pet food using the FSO concept**

319 The validation of a control measure provides evidence that a specific process will result in  
320 products that meet microbiological and quality requirements (Zwietering et al., 2010).  
321 Considering that there is no specification of the number of *Salmonella* reductions that may be  
322 reached during HPP treatments applied to pet food, the management of the food safety of this  
323 product can be approached through Food Safety Objective (FSO) concept (ICMSF, 2002). In  
324 the present study a stochastic approach (Zwietering et al., 2010) was used to evaluate the  
325 probability that HPP treatments would result in products that comply with current regulations  
326 concerning *Salmonella* in pet food. The FSO is the maximum level of the pathogen that are  
327 tolerated at the moment of consumption and can be calculated by means of Equation 2.

$$328 \quad H_0 - \sum R + \sum I \leq FSO \quad \text{Equation 2}$$

329 where  $H_0$  is the initial level of *Salmonella* contamination in raw pet food;  $\sum R$  is the total  
330 reduction of *Salmonella* during processing, e.g. by HPP application; and  $\sum I$  is the total  
331 *Salmonella* increase (growth and/or recontamination) during the whole process.

332 To determine whether a food batch meets an FSO, the distribution of initial levels of the  
333 pathogen ( $H_0$ ) within a food must be understood (van Schothorst et al., 2009). The initial  
334 *Salmonella* concentration in chicken-based raw pet food was estimated by applying the  
335 probabilistic approach published by Valero et al., 2014 based on presence/absence data



336 provided by the pet food producer and was described by a normal distribution with mean -  
337 1.55 log cfu/g and standard deviation 0.51 log cfu/g.

338 Growth of *Salmonella* and recontamination after HPP treatments were deemed negligible (i.e.,  
339  $\Sigma I = 0$ ) since products were pressurized in their package and after HPP they stored frozen or  
340 under refrigeration temperatures not supporting the growth of *Salmonella* (ICFMH, 1996).  
341 *Salmonella* reduction observed in HPP treatments were expressed as normal distributions ( $\Sigma R$ ,  
342 Table 5). The *FSO* was set at  $< -1.41$  log cfu/g, which corresponds to the logarithm of 1 cfu in  
343 25 g of product, the maximum level of *Salmonella* in accordance with regulations that require  
344 no detection in 25 g of product. It is assumed that 95% of the distribution of concentration  
345 must satisfy the test limit so that the *FSO* is met.

346 The stochastic assessment indicated that a high number of contaminated product units could  
347 be present in a lot, i.e., up to ca. 38 %. The percentage of non-conforming products regarding  
348 the *FSO* and the overall distribution of *Salmonella* in acidulated and non-acidulated products  
349 subjected to pressurization are shown in Table 5. After treatments at 500 MPa/4 min, the  
350 probability of a non-acidulated product being contaminated with *Salmonella* decreased to  
351 0.03 %. For these products, an increase in holding-time duration from 4 to 6 min at 500 MPa,  
352 decreased the probability of non-conforming products in approximately 50-fold (Table 5).  
353 Remarkably, for products acidulated with 3.6 g/kg of acid lactic, the same increase in  
354 treatment duration reduced the probability of non-conforming products in approximately 475-  
355 fold.

356 By increasing the acid lactic concentration from 0 to 3.6 g/kg and applying 500 MPa for 4  
357 min, the probability of non-conforming units was reduced by approximately 30-fold, while  
358 the same increase in lactic acid concentration in parallel with the increase in pressure-holding  
359 time from 4 to 6 minutes would reduce the prevalence of *Salmonella* expressed as percentage

360 of contaminated units per batch to approximately 0. The impact of acidulation and HPP  
361 treatments in the distribution of *Salmonella* in raw pet food can be seen in Figure 3, where it  
362 can be noted that the distribution of *Salmonella* in acidulated products is shifted to the left of  
363 the graph, representing lower concentrations.

## 364 **5. Conclusions**

365 The inactivation of *Salmonella* spp. by HPP in chicken-based raw pet food intended for dogs  
366 was dependent of the pressure intensity and holding time and could be notably enhanced by  
367 the lactic acid addition in the product formulation. By increasing the values of the three  
368 factors, higher inactivation is quantified, although the inactivation rate significantly decreases  
369 at holding times of 4-6 min due to the occurrence of a tail of pressure-resistant cells, which  
370 should be considered not only from the food safety point of view but from the operational and  
371 economic perspective. The model developed in the present study is suitable to assess and  
372 optimize the impact of HPP conditions. The model constitutes a useful decision support tool  
373 to assist pet food producers on setting appropriate combinations of processing parameters and  
374 lactic acid concentrations on raw chicken-based pet food formulations to achieve desired  
375 levels of *Salmonella* inactivation to assure the compliance with the microbiological criteria  
376 regulation.

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384 **8. References**

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576 **Figure captions**

577

578 **Figure 1.** Response surface graphs of high-pressure processing (HPP)-induced  
579 inactivation of *Salmonella* spp. in raw pet food according to the developed model. (a)  
580 Pressure intensity and lactic acid concentration effects; (b) Holding time and lactic acid  
581 concentration effects. The factors not included in each graph are maintained at the  
582 central value of the central composite design; time = 3.5 min in graph (a) and pressure =  
583 600 MPa in graph (b).

584

585 **Figure 2.** Contour plots describing the inactivation effect of high-pressure processing  
586 (HPP) in raw pet food at different combinations of (a) pressure intensity and pressure-  
587 holding time at a lactic acid concentration = 4 g/kg of raw pet food and (b) lactic acid  
588 and pressure-holding time at 600 MPa. Numbers in each line indicate the inactivation  
589 value, i.e.  $\log(N/N_0)$ .

590

591 **Figure 3.** Probability distribution of the initial level of contamination of *Salmonella*  
592 ( $\log$  cfu/g) in chicken-based raw pet food ( $H_0$ , - - -) and after pressurization at 500  
593 MPa for 4 min of products acidulated with 3.6 g/kg (—) and non-acidulated  
594 products (.....). The vertical dashed line indicates the FSO < -1.4  $\log$  cfu/g.

595

**Table 1.** Selected variables (factors) and the corresponding five levels used in the Central Composite Design (CCD).

Levels <sup>a</sup>	Factors		
	Pressure intensity (MPa)	Holding time (min)	Lactic acid (g/kg)
-1.68	450	0.0	0.0
-1.0	511	1.4	1.5
0	600	3.5	3.6
+1.0	689	5.6	5.7
+1.68	750	7.0	7.2

<sup>a</sup>Considering the circumscribed central composite experimental design for three factors, the scaled value for  $\alpha$  relative to the coded values  $\pm 1$  was 1.68 ( $2^{3/4}$ ) in order to maintain rotatability and orthogonality.

**Table 2.** *Salmonella* inactivation on raw pet food samples after high pressure processing treatments at each combination of the Central Composite Design (CCD).

<b>Trial</b>	<b>Pressure (MPa)</b>	<b>Time (min)</b>	<b>Lactic acid (g/kg)<sup>a</sup></b>	<b>Inactivation (log N/N<sub>0</sub>)<sup>b</sup></b>
1	450	3.5	3.6 (6.08 ± 0.07)	-2.01 ± 0.15
2	511	1.4	1.5 (6.50 ± 0.03)	-0.84 ± 0.07
3	511	1.4	5.7 (5.77 ± 0.02)	-2.21 ± 0.04
4	511	5.6	1.5 (6.50 ± 0.03)	-3.05 ± 0.14
5	511	5.6	5.7 (5.77 ± 0.02)	-4.66 ± 0.08
6	600	0.0	3.6 (6.16 ± 0.04)	-0.76 ± 0.07
7	600	3.5	0.0 (6.97 ± 0.05)	-3.67 ± 0.14
8	600	3.5	3.6 (6.09 ± 0.06)	-5.32 ± 0.25
9	600	3.5	3.6 (6.09 ± 0.07)	-5.59 ± 0.20
10	600	3.5	3.6 (6.22 ± 0.05)	-5.38 ± 0.14
11	600	3.5	3.6 (6.22 ± 0.05)	-5.31 ± 0.20
12	600	3.5	3.6 (6.22 ± 0.05)	-5.49 ± 0.14
13	600	3.5	3.6 (6.22 ± 0.05)	-5.27 ± 0.27
14	600	3.5	3.6 (6.22 ± 0.05)	-5.24 ± 0.51
15	600	3.5	7.2 (5.72 ± 0.08)	-6.80 ± 0.31
16	600	7.0	3.6 (6.08 ± 0.07)	-6.84 ± 0.03
17	689	1.4	1.5 (6.55 ± 0.05)	-4.92 ± 0.29
18	689	1.4	5.7 (5.78 ± 0.10)	-7.42 ± 0.30
19	689	5.6	1.5 (6.55 ± 0.05)	-8.40 ± 1.60
20	689	5.6	5.7 (5.78 ± 0.10)	-8.74 ± 0.88
21	750	3.5	3.6 (6.09 ± 0.05)	-9.33 ± 0.00

<sup>a</sup> Mean ± standard deviation of the pH of samples are reported between parentheses

<sup>b</sup> Mean of three replicates ± standard deviation

**Table 3.** Results of the multivariate regression analysis describing the effect of pressure intensity, pressure-holding time and lactic acid concentration on the inactivation of *Salmonella* spp. in raw pet food.

<b>Terms<sup>a</sup></b>	<b>Regression coefficients</b>	<b>Standard Error</b>	<b><i>t</i>-value</b>	<b><i>p</i>-value</b>	<b>RMSE<sup>b</sup></b>
<i>Intercept</i>	15.1380	0.6545	23.1293	<0.0001	0.677
<i>P</i> (MPa)	-0.0255	0.0010	-25.9814	<0.0001	
<i>t</i> (min)	-1.5467	0.1412	-10.9741	<0.0001	
<i>LA</i> (g/kg)	-0.3795	0.0410	-9.2495	<0.0001	
<i>t</i> <sup>2</sup> (min)	0.1219	0.0191	6.3613	<0.0001	

<sup>a</sup>: *P*, pressure; *t*, holding time; *LA*, lactic acid concentration

<sup>b</sup>: root mean square error (RMSE)

**Table 4.** Results of additional HPP experiments conducted for the evaluation of the model performance to describe de pressure-induced inactivation of *Salmonella* in raw pet food.

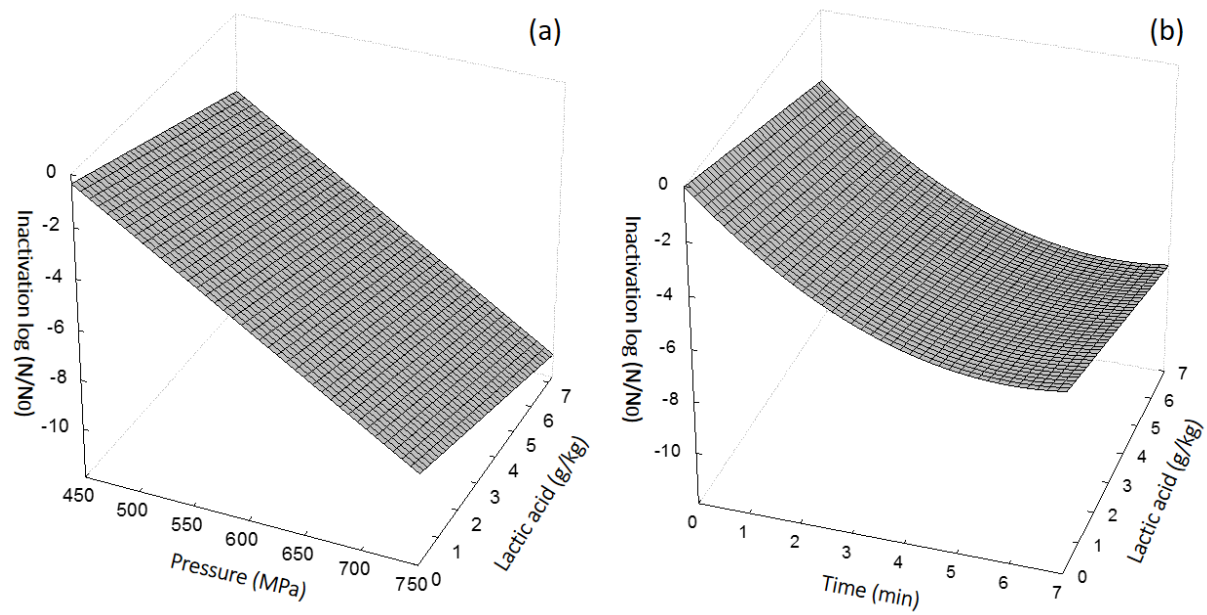
Pressure (MPa)	Time (min)	Lactic acid (g/kg)	Observed inactivation (log N/N <sub>0</sub> )	Predicted inactivation (log N/N <sub>0</sub> )	-95 % PI (log N/N <sub>0</sub> )	+95 % PI (log N/N <sub>0</sub> )
500	4	0	-1.64 ± 0.12	-1.86	-3.05	-0.67
500	6	0	-2.09 ± 0.06	-2.51	-3.73	-1.31
500	4	3.6	-2.25 ± 0.24	-3.22	-4.37	-2.07
500	6	3.6	-2.83 ± 0.19	-3.88	-5.06	-2.71

PI = Prediction interval

**Table 5.** Stochastic evaluation of zero tolerance compliance regarding *Salmonella* spp. (i.e. no detection in 25g) in high pressure processed raw pet food.

Pressure (MPa)	Time (min)	Lactic acid (g/kg)	Initial contamination (H <sub>0</sub> , log cfu/g)	Observed inactivation (ΣR, log N/N <sub>0</sub> )	H <sub>0</sub> -ΣR+ΣI (log cfu/g)	P (x > FSO) %
500	4	0	-1.55 ± 0.51	-1.64 ± 0.12	-3.19 ± 0.52	0.0313
500	6	0	-1.55 ± 0.51	-2.09 ± 0.06	-3.64 ± 0.51	0.0006
500	4	3.6	-1.55 ± 0.51	-2.25 ± 0.24	-3.80 ± 0.56	0.0010
500	6	3.6	-1.55 ± 0.51	-2.83 ± 0.19	-4.38 ± 0.54	0.0000





**Figure 1**

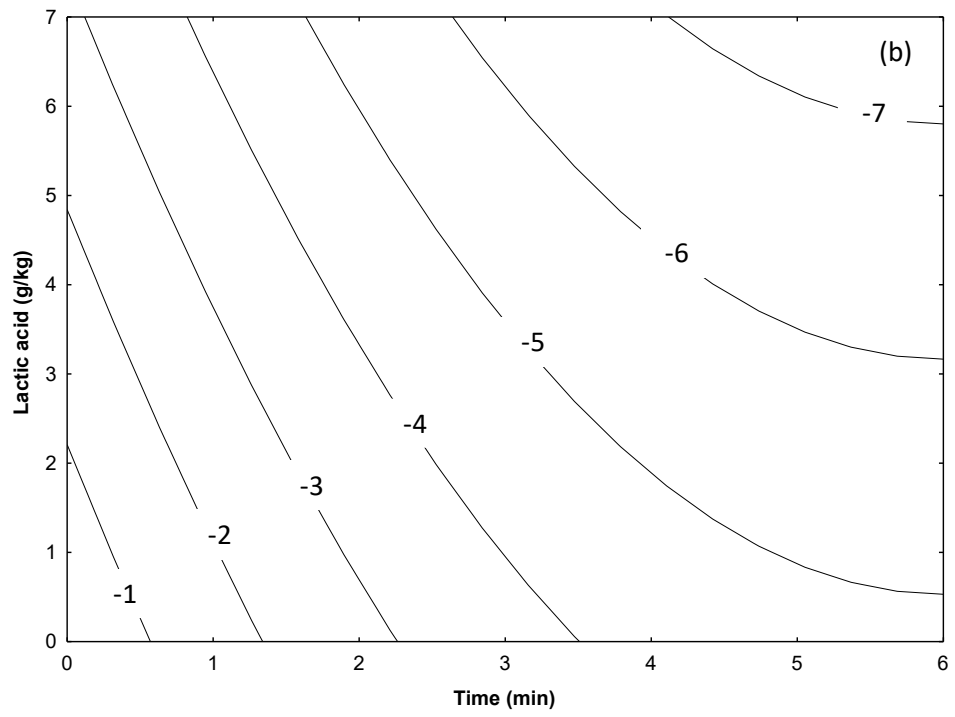
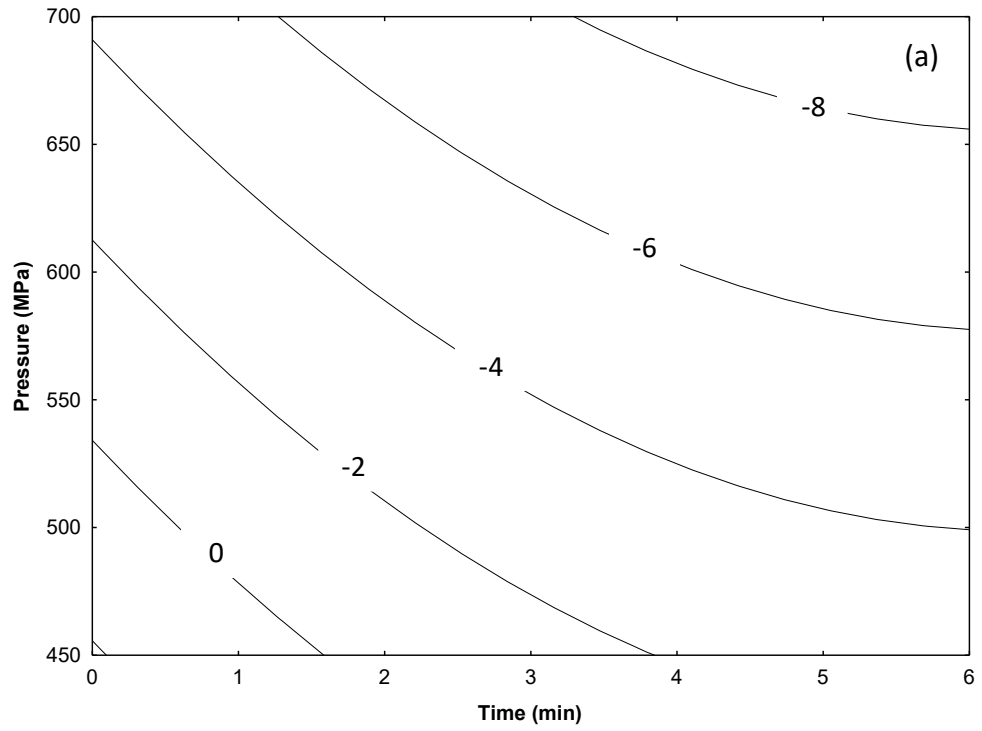
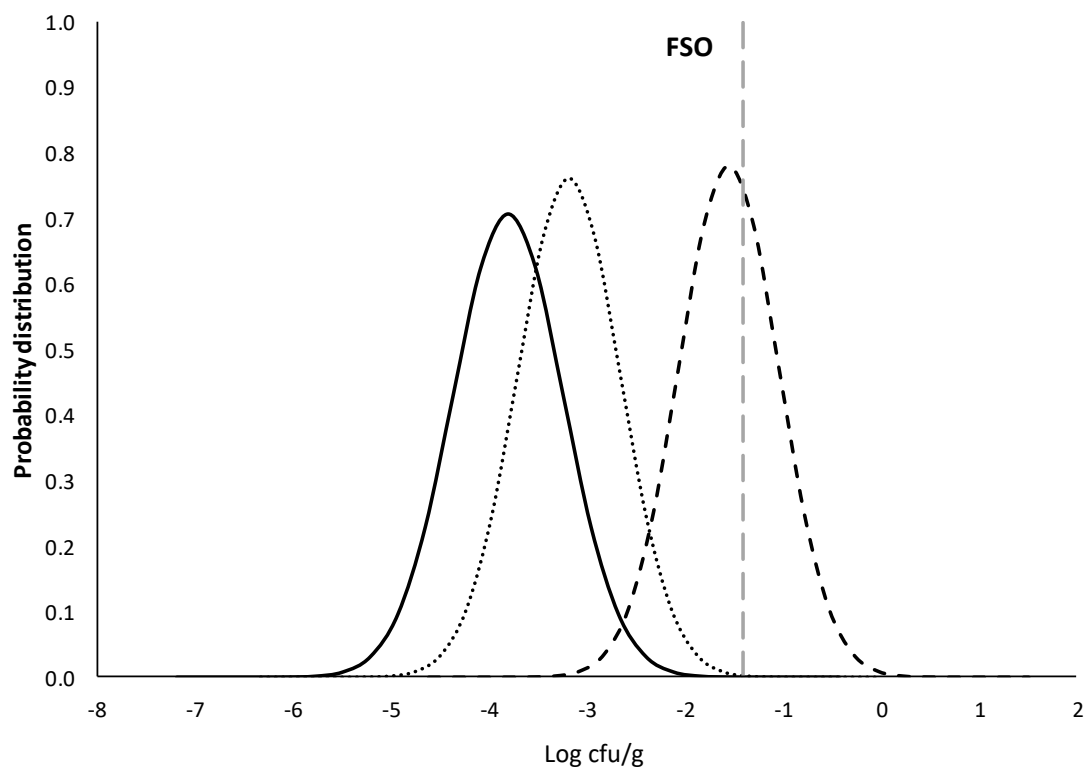


Figure 2



**Figure 3**