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**Quantifying the bioprotective effect of *Lactobacillus sakei* CTC494 against *Listeria monocytogenes* on vacuum packaged hot-smoked sea bream**

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**Keywords:** biopreservation; ready-to-eat fish products; food safety; microbial interaction model; predictive microbiology, bacteriocin producing bacteria

**ABSTRACT**

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In this study, the bioprotective potential of *Lactobacillus sakei* CTC494 against *Listeria monocytogenes* CTC1034 was evaluated on vacuum-packed hot-smoked sea bream at 5 °C and dynamic temperatures ranging from 3 to 12 °C. The capacity of three microbial competition interaction models to describe the inhibitory effect of *L. sakei* CTC494 on *L. monocytogenes* was assessed based on the Jameson effect and Lotka-Volterra approaches. A sensory analysis was performed to evaluate the spoiling capacity of *L. sakei* CTC494 on the smoked fish product at 5 °C. Based on the sensory results, the bioprotection strategy against the pathogen was established by inoculating the product at a 1:2 ratio (pathogen:bioprotector, log CFU/g). The kinetic growth parameters of both microorganisms were estimated in mono-culture at constant storage (5 °C). In addition, the inhibition function parameters of the tested interaction models were estimated in co-culture at constant and dynamic temperature storage using as input the mono-culture kinetic parameters. The growth potential ( $\delta$  log) of *L. monocytogenes*, in mono-culture, was 3.5 log on smoked sea bream during the experimental period (20 days). In co-culture, *L. sakei* CTC494 significantly reduced the capability of *L. monocytogenes* to grow, although its effectiveness was temperature dependent. The LAB strain limited the growth of the pathogen under storage at 5 °C (< 1 log increase) and at dynamic profile 2 (< 2 log increase). Besides, under storage at dynamic profile 1, the growth of *L. monocytogenes* was inhibited (< 0.5 log increase). These results confirmed the efficacy of *L. sakei* CTC494 for controlling the pathogen growth on the studied fish product. The Lotka-Volterra competition model showed slightly better fit to the observed *L. monocytogenes* growth response than the Jameson-based models according to the statistical performance. The proposed modelling approach could support the assessment and establishment of bioprotective culture-based strategies aimed at reducing the risk of listeriosis linked to the consumption of RTE hot-smoked sea bream.

## 1. INTRODUCTION

Smoked fish products are sold as ready-to-eat (RTE) foods characterized by a relatively long refrigerated shelf-life when packaged under vacuum (Hwang, 2007). These seafood commodities are popular, but they are also considered among the top risk foodstuffs since they can be contaminated with foodborne pathogens and no cooking is applied before consumption (Ghanbari et al., 2013). At present, the microbiological concerns in the EU associated with extended shelf-life refrigerated RTE foods are focused on psychrotrophic foodborne pathogens such as *Listeria monocytogenes* (EFSA BIOHAZ Panel, 2018).

Biopreservation, also called bioprotection, is a biocontrol approach to enhance product safety and shelf-life using microorganisms selected for their antimicrobial properties, so called protective cultures (Leroi et al., 2015). Lactic-acid bacteria (LAB) are considered a new generation of food additives and the basis of food biopreservation (Said et al., 2019). Protective cultures are considered by the regulatory agencies as ‘new’ food additives, meaning that they require market authorization for their technological use in foods. However, most LAB are Generally Recognized as Safe (GRAS) and many LAB species (including *Lactobacillus sakei*) have been granted by EFSA with the Qualified Presumption of Safety (QPS) status (EFSA, 2018). In the EU, microorganisms with the latter food-grade standard do not need to undergo a further safety assessment other than to provide evidence of efficacy and to satisfy the specified qualifications, if applicable, for its market approval. Two recent studies have proved that the antilisterial sakacin K-producing *Lactobacillus sakei* strain CTC494 (from meat origin) is effective to inhibit *L. monocytogenes* in filleted sea bream and cold-smoked salmon under refrigerated storage (Aymerich et al., 2019; Costa et al., 2019). Nevertheless, the inhibitory capacity of this bioprotective LAB strain has not been tested in other fish products where the differences in product’s characteristics and formulations might either favor its inhibition thanks to

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26 the antimicrobial hurdle combinations (Leistner, 2000) or hinder the ability of the strain  
27 to inhibit *L. monocytogenes* (Tahiri et al., 2009; Vasilopoulos et al., 2010).

28 Quantifying microbial interaction in food can be highly complex and often overlooked in  
29 predictive microbiology studies (Powell et al., 2004). Most of the competitive growth  
30 models available in literature are based on two approaches: one based on the Jameson  
31 effect phenomenon (i.e. nutrient competition) (Jameson, 1962) and the other using the  
32 general Lotka-Volterra competition model (i.e. predator-prey model) (Powell et al., 2004;  
33 Valenti et al., 2013). Both mathematical models represent a simultaneous deceleration of  
34 bacterial populations. The inhibition of *L. monocytogenes* by endogenous LAB usually  
35 responsible for spoilage has been studied and modelled in minimally processed fish  
36 products (Mejlholm et al., 2015; Mejlholm and Dalgaard, 2015, 2007). In this regard,  
37 most of the published microbial interaction models aim at describing competition  
38 between background microbiota and microbial pathogens, rather than to characterize the  
39 performance of bioprotective bacteria with specific antagonistic activities, that are  
40 normally added at higher levels than the natural background (spoilage) microbiota (Cornu  
41 et al., 2011). To the best author's knowledge, studies having quantified the bioprotective  
42 effect of bacteriocin-producing LAB cultures through the development and  
43 implementation of predictive models are scarce. The first attempt to model the inhibitory  
44 effect of a bacteriocinogenic LAB strain against *Listeria* in fish was made by Costa et al.  
45 (2019), in which model parameters were derived from experiments in a fish-based broth  
46 and then validated on fresh filleted sea bream.

47 The objective of this study was (i) to evaluate the bioprotective potential of *L. sakei*  
48 CTC494 against *L. monocytogenes* on hot-smoked sea bream under constant and dynamic  
49 storage temperature conditions and (ii) to evaluate the capacity of three microbial

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50 interaction models based on the Jameson effect and Lotka-Volterra approaches to

51 describe the inhibitory effect of *L. sakei* CTC494 on *L. monocytogenes*.

52

## 53 2 MATERIAL AND METHODS

### 54 2.1. Bacterial strains

55 The selected bacterial strains used in this work were the bioprotective culture *L. sakei*  
56 CTC494 and the target pathogen *L. monocytogenes* CTC1034. This pathogenic strain was  
57 used in a previous work as a reference strain to study the antagonism of bacteriocin-  
58 producing LAB, including *L. sakei* CTC494 (Garriga et al., 2002). Both microorganisms  
59 were stored at -80 °C in the appropriate culture broth with 20% (v/v) glycerol. Before  
60 inoculation, a fresh culture was prepared for each strain and a well-isolated colony was  
61 used to perform two consecutive 24 h-subcultures, which were grown in de Man, Rogosa  
62 and Sharpe broth (MRS, Oxoid, UK) for *L. sakei* at 30 °C with 10% CO<sub>2</sub> and Brain Heart  
63 Infusion (BHI, Oxoid, UK) for *L. monocytogenes* at 37 °C. This resulted in a cell density  
64 of ca. 10<sup>8</sup> CFU/mL and 10<sup>9</sup> CFU/mL for *L. sakei* and *L. monocytogenes*, respectively.

### 65 2.2. Preparation of hot-smoked sea bream

66 Gilthead sea bream fishes (*Sparus aurata*) from marine aquaculture were collected and  
67 processed by the Andalusian Aquaculture Technology Centre (CTAQUA, Cádiz, Spain)  
68 following an industrial hot smoking process. First, fish samples were manually scaled,  
69 gutted, filleted and bled in ice-water. Then, fillets were brined in a NaCl/sugar solution  
70 (ratio 3:1) for 2 hours. After that, fish fillets were removed from the brine solution,  
71 washed with water and introduced in a smoking oven (till the fish core temperature  
72 reached 65°C during 30 min). After cooling at room temperature for 15 min, smoked  
73 fillets were vacuum-packed and transferred to the laboratory the day after processing in  
74 polystyrene boxes under cold conditions.

### 75 2.3. Sensory assessment

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76 A sensory analysis was carried out to evaluate the impact of the bioprotective culture on  
77 the organoleptic characteristics of hot-smoked sea bream during refrigerated storage  
78 based on an acceptance test. For that, 10 g-fish portions were surface inoculated with the  
79 *L. sakei* CTC494 as described below (section 2.4) at three initial cell densities (*ca.* 2 log  
80 CFU/g, 4 log CFU/g and 6 log CFU/g) and stored at  $5 \pm 0.5$  °C under vacuum packaging  
81 conditions for 18 days. A control batch was prepared without inoculating bacteria.

82 The sensory evaluation was performed based on the work by Yanar et al. (2006), who  
83 evaluated the shelf-life of hot smoked tilapia stored at 4 °C. For that, 5 panelists were  
84 trained according to the standard EN ISO 8586:2012 method. The descriptors were  
85 generated by open discussion and consensus in a previous session using fish samples  
86 stored under the same experimental condition applied in this study. The descriptors  
87 retained were general appearance (score of the overall appearance), intensity of odour  
88 (score of the overall odour) and texture to the touch. The assessors scored control and  
89 inoculated samples for the appearance, odour and texture characteristics using a 9-point  
90 hedonic scale. A score of 7–9 denoted “very good” quality, a score of 4.0–6.9 “good”  
91 quality, and a score of 1.0–3.9 indicated “unacceptable” quality. The sensory assessment  
92 was performed on the storage days 4, 7, 11, 14 and 18. At each evaluation point, one fish  
93 portion from each assayed condition was individually served under white light on  
94 conventional petri dishes, labeled with three-digit random numbers.

95 The obtained sensory scores for each attribute were plotted against time and sensory  
96 deterioration rates were obtained by fitting a linear model. Deterioration rates (slopes) for  
97 inoculated and control samples were statistically compared by performing t-test ( $p \leq 0.05$ )  
98 using the statistical software package SPSS 25.0 (Chicago, Illinois, USA).

#### 99 2.4. Challenge tests



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100 In the first trial, the growth of mono-cultured and co-cultured *L. sakei* CTC494 and *L.*  
101 *monocytogenes* CTC1034 on smoked sea bream was evaluated under constant  
102 refrigerated storage ( $5 \pm 0.5$  °C) for 20 days. Four batches were prepared: (1) non-  
103 inoculated product (control); (2) inoculated with *ca.* 2 log CFU/g *L. monocytogenes*; (3)  
104 inoculated with *ca.* 4 log CFU/g *L. sakei* and (4) inoculated with a mixture of *L.*  
105 *monocytogenes* and *L. sakei* at a ratio 1:2 (i.e. 2 log CFU/g *L. monocytogenes* and 4 log  
106 CFU/g *L. sakei*). The inoculation ratio pathogen:bioprotector used in batch 4 was selected  
107 based on the results previously obtained from the sensory analysis (section 2.3).

108 Prior to inoculation, smoked fish fillets were cut into portions of *ca.* 5 cm<sup>2</sup> and 10 g using  
109 a sterile scalpel. This fish portion was considered the analytical fish sample. The caudal  
110 region of the fish fillet was discarded from the analysis to avoid experimental variability.  
111 Smoked sea bream portions were surface inoculated on the flesh side at 1% (v/w) from  
112 the appropriate decimal dilution using a L-shaped sterile spreader. For experiments in co-  
113 culture (batch 4), the product was first inoculated with the pathogen and left for 10 min  
114 in the safety cabinet to allow cell attachment. The LAB strain was then spread, followed  
115 by a cell attachment period as the pathogen case. This inoculation procedure (Aymerich  
116 et al., 2019) was used to mimic a post-processing contamination scenario in which the  
117 fish product is contaminated by the pathogen after the hot-smoking process and then the  
118 bioprotective culture is applied. After inoculation, fish portions were individually  
119 vacuum-packed in polyamide-polyethylene plastic bags (Sacoliva, Barcelona, Spain) and  
120 stored as described above.

121 In the second trial (approx. 2 months later) with a new batch of hot-smoked sea bream,  
122 the bioprotective effect of *L. sakei* CTC494 on *L. monocytogenes* was evaluated in co-  
123 culture at two different dynamic temperature profiles, which were designed to simulate  
124 the fluctuating conditions of the cold-chain distribution and storage of smoked fish

125 products in Spain. Profile 1 had a mean temperature of 6.3 °C and range between 3.6 to  
126 12.8 °C while profile 2 had 7.6 °C of mean temperature and range between 3.3 to 11.8 °C.  
127 Microorganisms were co-inoculated on the sample's surface and vacuum packaged as  
128 described before for batch 4. An additional non-inoculated (control) batch was also  
129 studied.

130 The challenge tests were conducted in Hot-Cold incubators containing programmable  
131 time-temperature profiles (Selecta, Barcelona, Spain). Incubation temperatures were  
132 measured continuously throughout the experiments by data loggers (MicroLite, Fourier  
133 Technologies, Israel). For experiments, 2 samples (duplicate) were analysed for  
134 microbiological and/or physicochemical determinations at different sampling points. The  
135 experiments were repeated twice in different days.

#### 136 2.5. Microbiological analyses

137 Fish portions of 10 g were aseptically transferred into a sterile stomacher bag and  
138 homogenized for 60 s (masticator, IUL Instruments, Barcelona, Spain) with 0.1% sterile  
139 peptone water (Oxoid, UK).

140 For non-inoculated (control) samples, the growth of aerobic mesophilic viable count  
141 (MVC), endogenous LAB counts and *Listeria* investigation (presence/absence) was  
142 evaluated during storage at the tested temperatures. MVC counts were determined by  
143 pour plating decimal dilutions from homogenized samples in Plate Count Agar (PCA,  
144 Oxoid) incubated 48 h at 37 °C. For LAB enumeration, de Man, Rogosa, Sharpe agar  
145 (MRS, Oxoid) was used, which was incubated 48 h at 33 °C with 10% CO<sub>2</sub>. The  
146 presence/absence of *L. monocytogenes* was carried out following the method EN ISO  
147 11290-1.

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148 For inoculated samples, the enumeration of *L. monocytogenes* was carried out using  
149 Oxford agar (Oxoid) containing Oxford selective supplement (SR0140, Oxoid). Counts  
150 were obtained after incubating the plates for 24–48 h at 37 °C. LAB were enumerated as  
151 for non-inoculated samples.

## 152 2.6. Physicochemical analyses

153 The physicochemical characteristics of hot-smoked sea bream (i.e. pH and  $a_w$ ) were  
154 determined by analysis of 2 fish portions (10 g each). pH measurement was performed  
155 for samples from batch 1 (non-inoculated) and batch 3 (inoculated with *ca.* 4 log CFU/g  
156 *L. sakei*) on the days of the sensory analysis (section 2.3) using the pH meter Edge HI2020  
157 (HI11310 electrode, Hanna Instruments, USA) by homogenizing the fish portion with  
158 distilled water at ratio 1:1.

159 The determination of  $a_w$  was performed with the AquaLab 4TE  $a_w$  meter (Decagon  
160 Devices Inc., WA, USA) for non-inoculated samples at the start of the challenge tests.

## 161 2.7. Modelling microbial interaction

162 The inhibition of *L. monocytogenes* by the bioprotective *L. sakei* CTC494 was modelled  
163 following the mathematical approach proposed by Costa et al. (2019). This consists of (i)  
164 the estimation of the growth parameters lag phase, maximum specific growth rate and  
165 maximum population density of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 in  
166 mono-culture, (ii) the estimation of the inhibition function parameters of three existing  
167 microbial competition interaction models using as input the mono-culture kinetic  
168 parameters and (iii) the statistical analysis of the performance of the tested models to  
169 describe experimental observations.

### 170 2.7.1. Primary growth kinetic parameters and secondary model

171 The observed growth data for mono-cultured *L. sakei* CTC494 and *L. monocytogenes*  
172 CTC1034 on hot-smoked sea bream obtained at constant storage temperature (5 °C) were  
173 used to fit the primary growth model of Baranyi and Roberts (1994) and the kinetic  
174 parameters lag phase ( $\lambda$ , h), maximum specific growth rate ( $\mu_{max}$ , 1/h) and maximum  
175 population density ( $N_{max}$ , log CFU/g) were determined for each microorganism. The  
176 model was fitted to the experimental data using the program DMFit for Excel v.3.5 (IFR,  
177 Norwich, UK).

178 Growth rate at different temperatures was predicted using the secondary model for  $\mu_{max}$   
179 as a function of temperature published by Costa et al. (2019). This model was developed  
180 in fish juice of sea bream for the same bacterial strains used in this study and was validated  
181 on fresh filleted sea bream stored under isothermal and non-isothermal conditions. The  
182 model corresponded to a square root type equation (Ratkowsky et al., 1982) with  
183 parameter values  $b$  and  $T_{min}$  being 0.028 and  $-4.5$  °C, respectively for *L. sakei* CTC494,  
184 and 0.026 and  $-3.4$  °C, respectively for *L. monocytogenes* CTC1034. Since an effect of  
185 the fish matrix and vacuum conditions on  $\mu_{max}$  was expected, an adjustment factor was  
186 applied to simulate the microbial interaction on smoked sea bream. The adjustment factor  
187 for  $\mu_{max}$  of each microorganism was calculated as the ratio between the  $\mu_{max}$  values  
188 obtained in this study from mono-culture experiments at 5 °C in smoked sea bream and  
189 in fish juice by Costa et al. (2019).

### 190 2.7.2. Microbial interaction models

191 Three existing microbial competition models were evaluated to assess their ability to  
192 describe the growth response of the bioprotective effect of *L. sakei* CTC494 on *L.*  
193 *monocytogenes* at isothermal and non-isothermal storage conditions: the Jameson effect  
194 model, a modified version of the Jameson effect model and the Lotka-Volterra model.

195 The generic system of equations (Eq. 1) of the tested interaction models, applied in their  
 196 implicit form to co-cultured *L. sakei* CTC494 (subscript ‘1’) and *L. monocytogenes*  
 197 (subscript ‘2’), can be expressed as:

198

$$\begin{cases}
 199 \quad \frac{dN_1(t)}{dt} = N_1 \cdot \mu_{max1} \cdot \left( \frac{Q_1}{1+Q_1} \right) \cdot f_1(t) \\
 200 \quad \frac{dN_2(t)}{dt} = N_2 \cdot \mu_{max2} \cdot \left( \frac{Q_2}{1+Q_2} \right) \cdot f_2(t) \\
 201 \quad \frac{dQ_1}{dt} = Q_1 \cdot \mu_{max1} \\
 202 \quad \frac{dQ_2}{dt} = Q_2 \cdot \mu_{max2} \\
 203 \quad Q_0 = \frac{1}{e^{(\mu_{max} \cdot \lambda)} - 1}
 \end{cases} \quad (1)$$

204 where  $N$  is the cell concentration (CFU/g) at time  $t$ ,  $\mu_{max}$  is the maximum specific growth  
 205 rate (1/h),  $Q$  and  $Q_0$  is a measure of the physiological state of cells at time  $t$  and  $t = 0$ ,  
 206 respectively,  $f(t)$  is an inhibition function and  $\lambda$  (h) the lag phase duration of the cells.

207 The different microbial competition models were tested based on the generic system of  
 208 equations in Eq. (1) and using the corresponding inhibition function  $f(t)$  described in  
 209 either Eq. (2), or (3) or (4) for each type of model.

210 The empirical Jameson effect model is based on the assumption that all bacterial  
 211 populations stop growing when the dominant culture reaches its maximum population  
 212 density (Giménez and Dalgaard, 2004). In this case, the inhibition function  $f(t)$  is defined  
 213 as:

$$\begin{cases}
 214 \quad f_1(t) = \left( 1 - \frac{N_1}{N_{max1}} \right) \cdot \left( 1 - \frac{N_2}{N_{max2}} \right) \\
 215 \quad f_2(t) = \left( 1 - \frac{N_2}{N_{max2}} \right) \cdot \left( 1 - \frac{N_1}{N_{max1}} \right)
 \end{cases} \quad (2)$$

216 where  $N_{max}$  is the maximum cell density (CFU/g) for each population with subscript ‘1’  
 217 and ‘2’ for *L. sakei* CTC494 and *L. monocytogenes*, respectively, and other parameters  
 218 are as indicated in Eq. (1).

219 The modified Jameson effect model was based on a modification of the inhibition  
 220 function  $f_2(t)$  in Eq. (2), in which the maximum density of population 1 (i.e. *L. sakei*  
 221 CTC494;  $N_{max1}$ ) is replaced by a critical population density, being typically lower than its  
 222  $N_{max1}$  (Cornu et al., 2011; Le Marc et al., 2009). This parameter describes the  
 223 concentration value of the population 1 that results in the stop of the growth of population  
 224 2. This critical concentration value can be related to the production of an inhibitory  
 225 substance, at a certain level, able to inhibit growth of the other population. In addition, if  
 226  $N_{CPD1} < N_1 < N_{max1}$ , then  $f_2(t) < 0$ , which describes a decline of population 2.

$$227 \quad f_2(t) = \left(1 - \frac{N_2}{N_{max2}}\right) \cdot \left(1 - \frac{N_1}{N_{CPD1}}\right) \quad (3)$$

228 where  $N_{CPD1}$  is the critical population density of population 1 (i.e. *L. sakei* CTC494;  
 229 CFU/g) and other parameters are as indicated in Eqs. (1) and (2).

230 Finally, it was used the classical predator-prey (Lotka-Volterra) model for interspecific  
 231 bacterial competition (Dens et al., 1999; Powell et al., 2004), which includes two  
 232 coefficients of interaction ( $\alpha_{12}$  and  $\alpha_{21}$ ) measuring the competitive effect of species 1 on  
 233 species 2 and vice-versa. In our study, the competition term of *L. monocytogenes* on *L.*  
 234 *sakei* ( $\alpha_{21}$ ) was fixed to zero since we assumed that the pathogen did not influence growth  
 235 of *L. sakei* CTC494 due to the higher concentration, shorter lag time and faster growth of  
 236 the LAB strain (Mejlholm and Dalgaard, 2015; Møller et al., 2013). Therefore, the tested  
 237 model includes one inhibition function  $f_1(t)$  formulated as:

$$238 \quad f_1(t) = \left(1 - \frac{N_1 + \alpha_{12} \cdot N_2}{N_{max1}}\right) \quad (4)$$

239 where  $\alpha_{12}$  is the competition term of *L. sakei* CTC494 on *L. monocytogenes* and other  
240 parameters are as indicated in Eqs. (1) and (2).

241 Simultaneous growth of *L. sakei* CTC494 and *L. monocytogenes* was described by  
242 estimating the inhibition parameter for each tested model, using as input the kinetic  
243 parameters estimated by the Baranyi and Roberts primary model from mono-culture data  
244 ( $\lambda$ ,  $N_{max}$ ), together with the square root function for  $\mu_{max}$  reported by Costa et al. (2019) to  
245 account for the temperature effect. The parameter estimations were performed in  
246 Microsoft Excel with Solver add-in tool using numerical integration with a time step of  
247 0.5 h (Microsoft Corp., Redmond, WA, USA). The interaction factors ( $CPD_1$ ,  $\alpha_{12}$ ) were  
248 estimated by regression analysis (MS Excel) using kinetic parameters from mono-culture  
249 experiments and the secondary model by Costa et al. (2019).

### 250 2.7.3. Interaction models prediction performance

251 The capacity of the prediction of the tested models under constant and dynamic  
252 temperature storage conditions was assessed by the statistical indexes Root Mean Squared  
253 Error (RMSE) and corrected Akaike Information Criterion (AICc) calculated as shown in  
254 Eqs. (5) and (6), respectively.

$$255 \quad RMSE = \sqrt{\frac{\sum(fitted-observed)^2}{n-p}} \quad (5)$$

$$256 \quad AICc = n \cdot \ln\left(\frac{RSS}{n}\right) + 2 \cdot (p + 1) + \frac{2 \cdot (p+1) \cdot (p+2)}{n-p-2} \quad (6)$$

257 where  $n$  is the number of observations and  $p$  is the number of model parameters to be  
258 estimated in Eqs. (5) and (6) and  $RSS$  is the residual sum of squares in Eq. (6).

259 In addition to the statistical performance described above, the Acceptable Simulation  
260 Zone (ASZ) method was used to evaluate the prediction capacity of the interaction models

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261 at dynamic storage temperatures. The acceptable interval was defined as  $\pm 0.5$  log-units  
262 from the simulated growth of *L. sakei* or *L. monocytogenes*. The simulations were  
263 considered acceptable when at least 70% of the observed counts were within the ASZ  
264 (Oscar, 2005).

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267 **3 RESULTS AND DISCUSSION**

268

269 *3.1. Sensory evaluation of the bioprotective L. sakei CTC494 on smoked sea bream*

270 The average sensory scores and sensory deterioration rates obtained for the three  
271 evaluated attributes during storage at 5 °C for non-inoculated (control) and inoculated  
272 samples are showed in Fig. 1 and Table 1, respectively. Overall, no significant differences  
273 ( $p > 0.05$ ) were found between the spoilage rates obtained for control and inoculated  
274 samples with 2 and 4 log CFU/g of *L. sakei* CTC494 throughout the storage period, with  
275 sensory scores denoting, in general, “very good” or “good” quality (4-9). On the contrary,  
276 sensory scores were  $< 4$  at 11 days for samples inoculated with *L. sakei* CTC494 at 6 log  
277 CFU/g for the attribute appearance (Fig. 1A). Moreover, spoilage rates were significantly  
278 higher ( $p \leq 0.05$ ) at inoculation level of 6 log CFU/g, indicating that such a high  
279 inoculation level may cause undesired changes to the hot-smoked sea bream as compared  
280 to the samples of lower inoculum sizes. Although the smoked fish product was not tested  
281 for its taste, it can be assumed that the product was not significantly acidified due to the  
282 addition of the LAB strain at levels  $\leq 4$  log CFU/g, as indicated by the recorded pH values  
283 at the end of the storage period for control and inoculated samples at 4 log CFU/g *L. sakei*,  
284 which corresponded to  $6.0 \pm 0.1$  and  $5.9 \pm 0.1$ , respectively.

285 Our results are consistent with those reported by Costa et al. (2019), who also sensory  
286 validated the application of *L. sakei* CTC494 on fresh fish fillets under modified  
287 atmosphere packaging (MAP) and found that at levels of 2 and 4 log CFU/g the sensory  
288 characteristics were statistically similar to the non-inoculated product. Based on these  
289 results, the bioprotection strategy against the pathogen was established in this study by  
290 inoculating the product with 4 log CFU/g of *L. sakei* CTC494 at the beginning of the  
291 experiments.

292 3.2. Evaluating the bioprotective effect of *L. sakei* CTC494 against *L. monocytogenes*

293 3.2.1. Mono-culture growth on hot-smoked sea bream

294 Regarding the microbiological analysis of endogenous microbiota of hot-smoked sea  
295 bream, the concentration of endogenous LAB and MVC obtained at the starting day for  
296 control samples were below the detection level ( $< 1 \log \text{CFU/g}$ ) and remained below this  
297 level for LAB and  $< 2 \log \text{CFU/g}$  for MVC during the evaluated storage period at both  
298 constant and dynamic temperature conditions (data not shown). In addition, *L.*  
299 *monocytogenes* was not detected in any control sample. The initial  $a_w$  and pH of non-  
300 inoculated (control) samples corresponded to  $0.96 \pm 0.007$  and  $6.0 \pm 0.1$ , respectively.

301 The kinetic parameters and their standard errors estimated by the Baranyi and Roberts  
302 model for experiments in mono-cultures at 5 °C are showed in Table 2. A long lag phase  
303  $\lambda$  and an exponential growth rate  $\mu_{max}$  was distinguished for each studied microorganism.  
304 *L. sakei* CTC494 reached the stationary phase after approximately 13 days of storage.  
305 However, no stationary phase was observed for *L. monocytogenes* CTC1034 at the end  
306 of the experimental period (20 days), thus the Baranyi and Roberts model (no asymptote)  
307 was used to describe the growth behaviour of *L. monocytogenes* on hot-smoked sea  
308 bream. Despite this, the growth potential ( $\delta \log$ ) of *L. monocytogenes* was 3.5 log during  
309 the storage period, which demonstrates that refrigeration at 5 °C does not prevent the  
310 pathogen growth on the studied fish product. *L. monocytogenes* growth was also observed  
311 in other vacuum-packed hot-smoked fish products at refrigeration temperatures  
312 (Branciari et al., 2016; Mahmoud et al., 2012; Tosun and Özden, 2014).

313 The parameter  $\mu_{max}$  in mono-culture experiments was much higher for *L. sakei* (0.062 1/h)  
314 than for *L. monocytogenes* (0.024 1/h). The  $\mu_{max}$  value obtained for the latter  
315 microorganism was lower than that estimated by Costa et al. (2019) for the same strain in

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316 mono-culture in fish juice at 5 °C (0.0477 1/h), corresponding to a reduction of 50% with  
317 respect to the value reported by Costa et al. (2019). These authors found that the  $\mu_{max}$   
318 value of *L. monocytogenes* in the fish product (i.e. MAP fresh filleted sea bream)  
319 presented a reduction of 68 % with respect to that observed in fish juice. Differences in  
320 reduction rate could be due to the specific characteristics of the product studied herein. In  
321 the work by Costa et al. (2019), the presence of indigenous microbiota in fresh fish fillets  
322 that was higher can explain a more reduced pathogen growth when compared to smoked  
323 sea bream in our study, where MVC and LAB were in low numbers as a consequence of  
324 the hot smoking process. In addition, the presence of CO<sub>2</sub> in MAP fish fillets has been  
325 described as an inhibiting factor influencing *L. monocytogenes* growth (Bolívar et al.,  
326 2018; Provincial et al., 2013). Regarding this, Tosun and Özden (2014) reported that MAP  
327 was most effective in controlling the growth of *L. monocytogenes* in hot-smoked rainbow  
328 trout fish fillets at 2 °C while vacuum packaging had no effect.

### 329 3.2.2. Co-culture growth on smoked sea bream

330 Growth curves of co-cultured *L. sakei* CTC494 and *L. monocytogenes* CTC1034 on  
331 smoked sea bream are showed in Fig. 2. The LAB strain exhibited a similar lag phase at  
332 5 °C and under dynamic temperature profile 1 (around 5 days), which also corresponded  
333 to that estimated in mono-culture (Table 2). *L. sakei* CTC494 showed a shorter lag phase  
334 under profile 2, probably due to the abrupt temperature rise at 4 storage days. In addition,  
335 the microorganism reached similar  $N_{max}$  values (ca. 8.6 log CFU/g) at all storage  
336 temperatures and both (mono and co-) culture conditions. These results suggest, as  
337 expected, that the growth of *L. sakei* CTC494 in co-culture was not affected by the  
338 presence of *L. monocytogenes*.

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339 On the contrary, *L. sakei* CTC494 significantly reduced the capability of *L.*  
340 *monocytogenes* to grow at the tested inoculation ratio, although its effectiveness was  
341 temperature dependent. In this regard, *L. sakei* CTC494 limited the growth of the  
342 pathogen under storage at 5 °C (< 1 log increase) and at dynamic profile 2 (< 2 log  
343 increase) throughout the evaluated period (Figs. 2A and 2C, respectively). Besides, under  
344 storage at dynamic profile 1 (Fig. 2B), the growth of *L. monocytogenes* was inhibited (<  
345 0.5 log increase).

346 The competition pattern was similar for all temperature conditions, showcasing a slight  
347 *L. monocytogenes* increase, which ceased when the *L. sakei* population approached to its  
348  $N_{max}$  (> 8 log CFU/g). This phenomenon would reflect a non-specific interaction  
349 described by a potential Jameson effect between populations. At 5 °C and profile 1, *L.*  
350 *monocytogenes* population showed a remarkable decline after *L. sakei* had reached its  
351  $N_{max}$ , but at profile 2 growth of the pathogen slightly continued.

352 The efficacy of *L. sakei* CTC494 against *L. monocytogenes* has already been proved on  
353 fish. Aymerich et al. (2019) reported that the growth of *L. monocytogenes* on three types  
354 of cold-smoked salmon inoculated with *L. sakei* CTC494 was completely inhibited after  
355 21 days at 8 °C under vacuum packaging. In other study, the increase of the pathogen was  
356 less than 1 log units on fresh filleted sea bream in the presence of the LAB strain after  
357 storage under MAP at isothermal and non-isothermal storage conditions (Costa et al.,  
358 2019). The degree of inhibition of *L. monocytogenes* exerted by *L. sakei* CTC494 in  
359 different types of fish products illustrates the capacity of this bioprotective culture as a  
360 potential antimicrobial agent (AMA) to be used as part of the *L. monocytogenes* control  
361 alternatives for RTE food defined by the US Food Safety Inspection Service Listeria rule  
362 (FSIS, 2014).

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364 *3.3. Microbial interaction on smoked sea bream*

365 The three microbial interaction models were fitted to the co-culture experimental results  
366 with Eq. (1) estimating the corresponding inhibition function parameters (Eq. (2), (3) or  
367 (4)). The fitted inhibition parameters ( $CPD_1$  and  $\alpha_{12}$ ) and the goodness-of-fit indexes are  
368 presented in Table 3, together with the ASZ values (%) obtained from the prediction  
369 capacity evaluation for dynamic storage temperatures.

370 To consider the effect of food matrix on  $\mu_{max}$  on the growth simulation at dynamic  
371 temperature conditions, the  $\mu_{max}$  for *L. monocytogenes* estimated from the secondary  
372 model (based on fish juice) was adjusted by applying an adjustment factor of 0.50 (section  
373 3.2.1) in the tested microbial interaction models. For *L. monocytogenes*, the Lotka-  
374 Volterra model showed, in general, the best fitting according to the statistical indices  
375 RMSE and AICc, with values varying from 0.334 to 0.536 and -12.19 to -4.65,  
376 respectively. The modified Jameson effect model presented better fitting than the  
377 Jameson effect model, except for dynamic profile 2 in which the lowest RMSE value was  
378 obtained for the latter model (0.314).

379 For *L. sakei* CTC494, the three interaction models provided similar fitting, with RMSE  
380 values being slightly lower for the Jameson effect model (Table 3). For all tested models,  
381 the worst fitting was obtained for profile 2 as indicated by higher RMSE and AICc values  
382 (Table 3). In profile 2, it can be also observed that the bioprotector strain exhibited an  
383 adaptation delay after the initial lag phase (Fig. 2C). This phenomenon has been  
384 previously described as intermediate lag periods induced by abrupt temperature shifts in  
385 which cells need to adjust to the changing temperature environment (Swinnen et al.,  
386 2005). Intermediate lag periods of significant duration are expected to be present when

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387 the temperature profiles are below the optimal temperature of growth, thus the predictive  
388 ability of the secondary models is affected negatively (Longhi et al., 2013). This  
389 phenomenon has been previously observed for the same microorganism in fresh fish  
390 (Costa et al., 2019) and also for different *Lactobacillus* strains in other food products  
391 stored at dynamic temperatures (Longhi et al., 2013; Silva et al., 2017).

392 Owing to the LAB strain used in our study is a sakacin K producing species, a specific  
393 antagonistic effect would be expected due to this potentially inhibitory substance on *L.*  
394 *monocytogenes*. Although the bacteriocin was not quantified to confirm this effect, the  
395 fish product and storage temperatures under study might constitute a suitable bacteriocin  
396 production-supporting environment. In this regard, Hugas et al. (1998) quantified sakacin  
397 K produced by *L. sakei* CTC494 in MRS broth and observed bacteriocin activity at initial  
398 pH of 6.0 and 6.5 and higher production at low temperatures (4, 10 and 15 °C) compared  
399 to abuse storage (20, 25 and 30 °C). In addition, Leroy and De Vuyst (2001) suggested  
400 that extremely rich environments (e.g. MRS broth) will not necessarily increase specific  
401 bacteriocin production compared to nutrient-depleted environments, as in the present  
402 work (i.e. sugar limitation). Apparently, bacteriocin production is stimulated by less  
403 favorable growth conditions, such as low temperatures and competing microbiota  
404 (Delboni and Yang, 2017).

405 Regarding the prediction capacity of the tested models under dynamic profile 1, all  
406 models were able to describe satisfactory the simultaneous microbial growth, with 75%  
407 of the observations within the ASZ, excepting for the Jameson model, for which the value  
408 for *L. monocytogenes* was 37.5%. Under dynamic profile 2, none of the models provided  
409 reasonable predictions for co-cultured *L. sakei* CTC494 (< 70%). This lack of prediction  
410 could be explained by the existence of an intermediate lag phase as mentioned in above

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411 lines. However, all models represented adequately the growth response of *L.*  
412 *monocytogenes*, as confirmed by ASZ values equal to 87.5%.

413 The estimated value of the  $CPD_1$  parameter included in the modified Jameson effect  
414 model remained similar for the different temperatures (Table 3). The value of the  
415 competition factor of *L. sakei* CTC494 on *L. monocytogenes* ( $\alpha_{12}$ ) estimated by the Lotka-  
416 Volterra model was different depending on the temperature conditions. At 5 °C and profile  
417 1, the obtained  $\alpha_{12}$  were above 1, which properly describes the observed *L. monocytogenes*  
418 population decline when *L. sakei* CTC494 reached its  $N_{max}$ . In contrast, at profile 2,  $\alpha_{12}$   
419 was  $< 1$ , which means that *L. monocytogenes* growth was slowed down as *L. sakei*  
420 CTC494 approached to its  $N_{max}$ , as can be seen in Fig. 2C. This reflects the different effect  
421 of non-isothermal conditions on the interaction between both microorganisms. The  
422 Lotka-Volterra's coefficient of interaction ( $\alpha$ ) of different microbial species in diverse  
423 food matrices has also been reported in the literature as a temperature-dependent  
424 parameter. Some examples are the values of  $\alpha$  estimated at different temperatures for the  
425 growth of *L. monocytogenes* in fish juice ( $\alpha$ -average = 1.4 between 2.2 and 5 °C and  $\alpha$ -  
426 average = 1.6 and 1.8 at 8 and 12 °C, respectively) (Costa et al., 2019), or the polynomial  
427 model developed by Møller et al. (2013) which described the effect of temperature on  $\alpha$   
428 of the natural microbiota on growth of *Salmonella* spp. in ground pork during storage  
429 between 9 °C to 24 °C.

430 Most of the studies dealing with microbial interaction responses in fish products have  
431 been performed on mixed cultures based on a pathogen and non-bacteriocinogenic  
432 microorganisms, being either endogenous or artificially inoculated (Giménez and  
433 Dalgaard, 2004; Koseki et al., 2011; Mejlholm and Dalgaard, 2015, 2007). These studies  
434 aimed to describe the maximum population density of the pathogen, for which the  
435 classical Jameson effect model is used. Other studies have used the Lotka-Volterra model

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436 as in the case of the work by Giuffrida et al. (2007) that analysed the interaction between  
437 *Aeromonas hydrophila* and aerobic natural microbiota on sea bream surfaces considering  
438 the complexity of fluctuating environmental conditions and the interspecific bacterial  
439 interactions.

#### 440 **4 CONCLUSIONS**

441 The results from this study contribute to extend the application of the bacteriocinogenic  
442 strain *L. sakei* CTC494 for controlling growth of *L. monocytogenes* in hot-smoked fish  
443 products from Mediterranean aquaculture during refrigerated storage. Results from the  
444 challenge tests demonstrated the potential of *L. sakei* CTC494 applied at a dose of 4 log  
445 CFU/g to limit or inhibit the growth of *L. monocytogenes* on hot-smoked sea bream under  
446 different storage temperatures. Nevertheless, further research is still needed to confirm  
447 this inhibitory effect under other possible scenarios such as different temperature profiles  
448 (e.g. abrupt temperature changes and abuse temperatures) and process parameters as well  
449 as considering batch variability. The proposed modelling approach, based on a validation  
450 process in food and the application of adjustment factors to kinetic parameters from the  
451 modified Jameson and Lotka-Volterra models, was able to satisfactorily describe the  
452 bioprotective effect of *L. sakei* CTC494 on *L. monocytogenes* in the target fish product.  
453 This predictive tool could support the assessment and establishment of bioprotective  
454 culture-based strategies aimed at reducing the risk of listeriosis linked to the consumption  
455 of RTE hot-smoked sea bream.



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457 **Author contributions**

458 **Araceli Bolívar**: Methodology, Investigation, Writing - Original Draft preparation,  
459 Visualization. **Jean Carlos Correia Peres Costa**: Validation, Writing - Review and  
460 Editing. **Guiomar D. Posada-Izquierdo**: Writing - Review and Editing. **Sara Bover-**  
461 **Cid**: Writing - Review and Editing. **Gonzalo Zurera**: Validation, Project administration,  
462 Funding acquisition. **Fernando Pérez-Rodríguez**: Conceptualization, Writing - Review  
463 and Editing, Supervision.

464 **Declaration of interest**

465 None.

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Figure 1

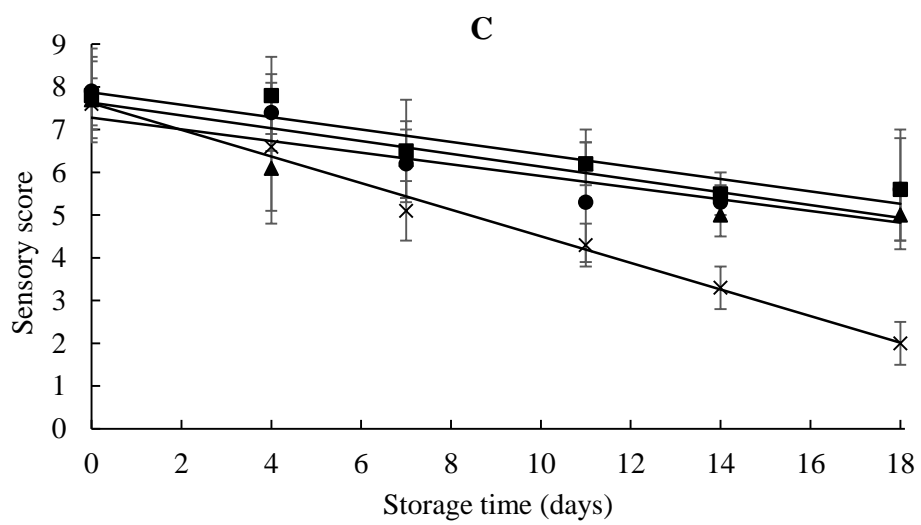
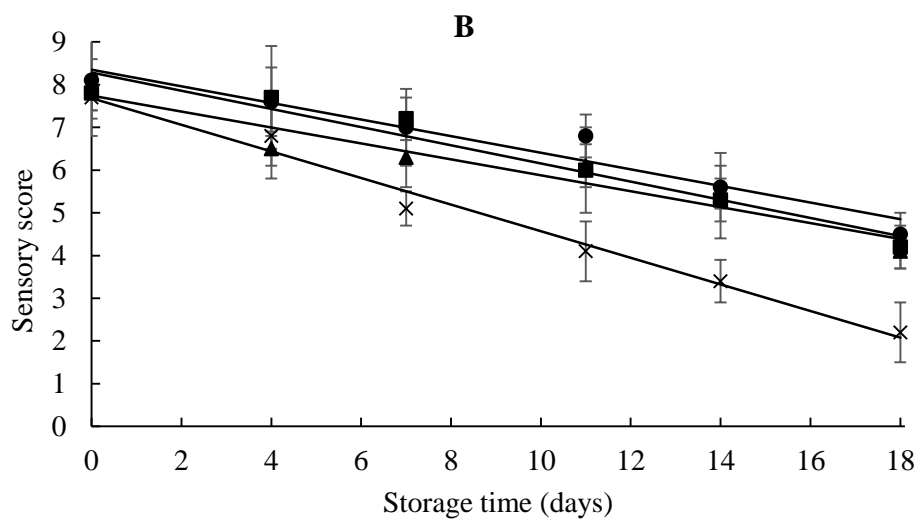
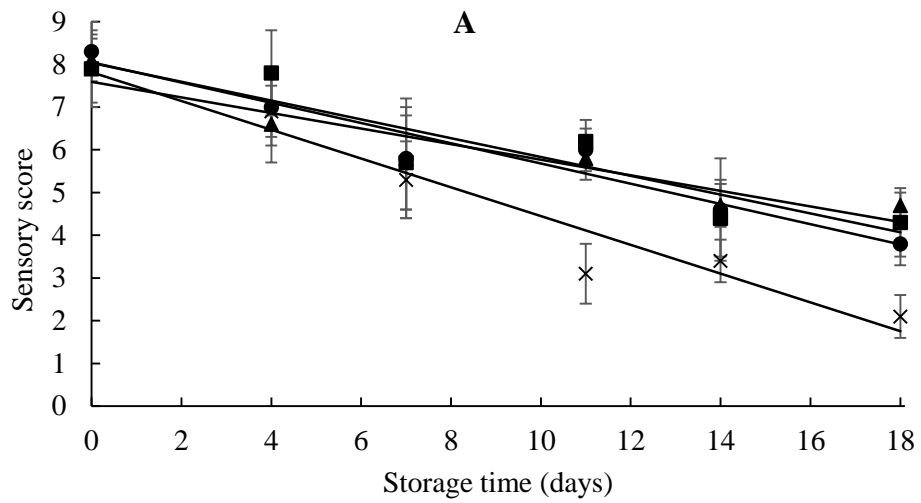
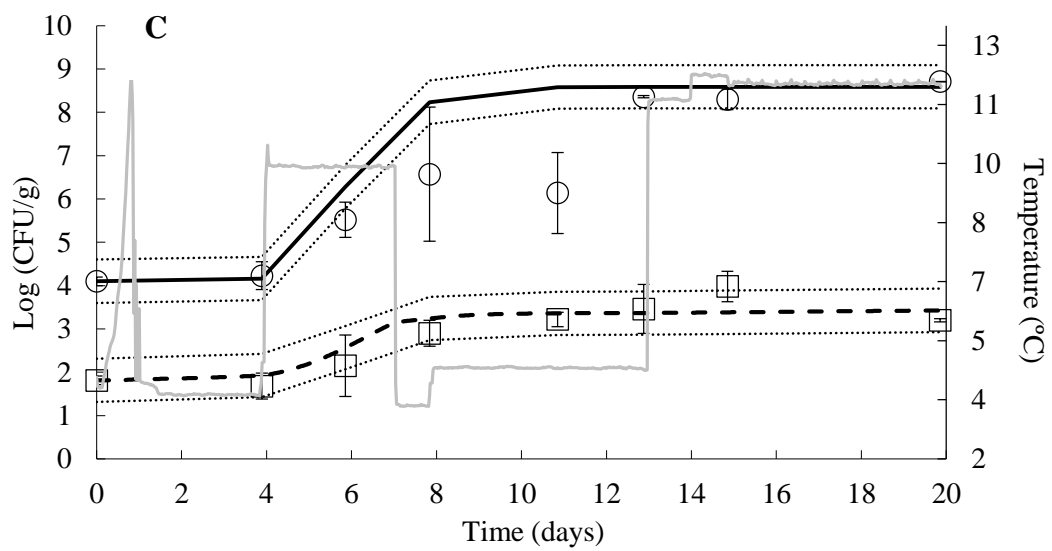
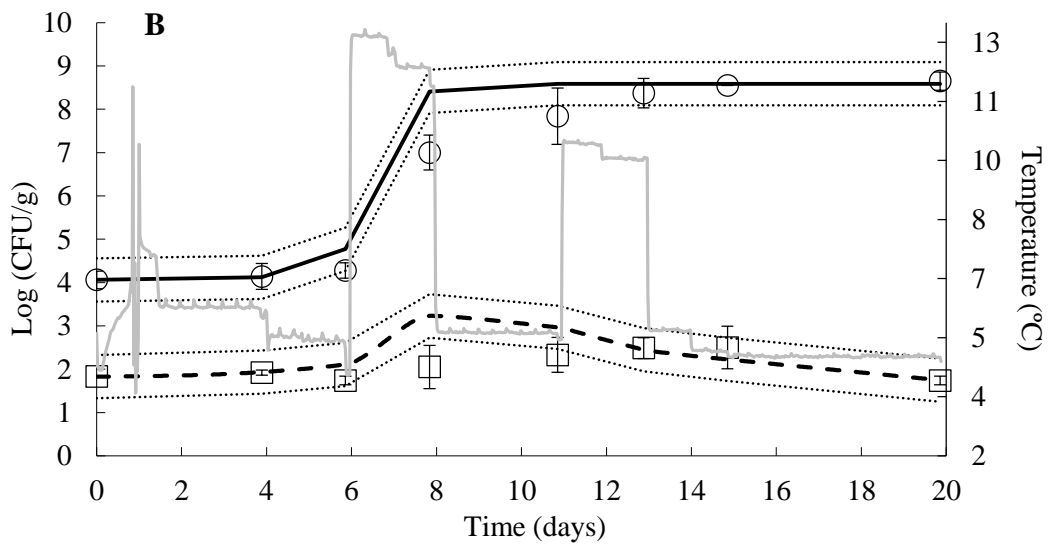
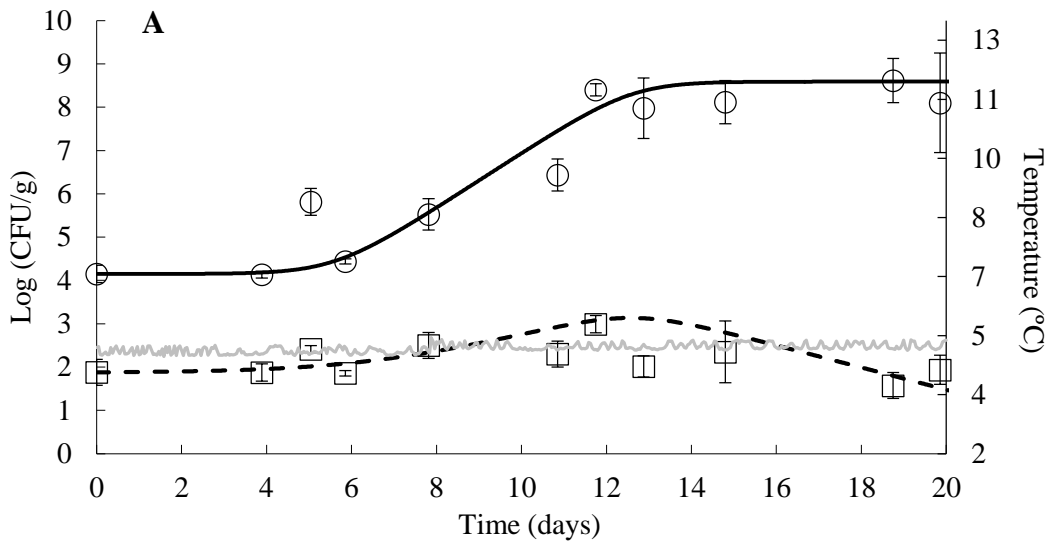


Figure 2



**Figure 1.** Sensory scores obtained for the attribute appearance (A), odour (B) and texture (C) for vacuum-packed hot-smoked sea bream samples non-inoculated (●) and inoculated at different initial levels of *L. sakei* CTC494: 2 log CFU/g (■), 4 log CFU/g (▲) and 6 log CFU/g (×) under storage at 5 °C. Data point are the mean values from five panelists with vertical lines denoting ± the standard deviation.

**Figure 2.** Experimental growth data of *Listeria monocytogenes* CTC1034 (□) and *Lactobacillus sakei* CTC494 (○) on vacuum-packed hot-smoked sea bream during storage at 5 °C (A) and under dynamic profile 1 (B) and 2 (C). Dashed and solid lines stand for the fit by the Lotka-Volterra model for *L. monocytogenes* and *L. sakei*, respectively. Dotted fine lines define the acceptable simulation zone (ASZ) in (B) and (C). The recorded storage temperature is shown as grey solid line. Growth data points are the mean values from two independent trials with vertical lines showing ± the standard deviation.



**Table 1**

Sensory deterioration rates (slope  $\pm$  standard error) obtained for vacuum-packed hot-smoked sea bream samples non-inoculated (control) and inoculated at different initial levels of *L. sakei* CTC494 (2, 4 and 6 log CFU/g) under storage at 5 °C. Values for the same attribute with different uppercase letters are significantly different ( $p \leq 0.05$ ).

<b>Initial level (log CFU/g)</b>	<b>Appearance</b>	<b>Odour</b>	<b>Texture</b>
Control	$-0.237 \pm 0.03^A$	$-0.195 \pm 0.03^A$	$-0.150 \pm 0.04^A$
2	$-0.220 \pm 0.05^A$	$-0.213 \pm 0.03^A$	$-0.145 \pm 0.03^A$
4	$-0.182 \pm 0.03^A$	$-0.186 \pm 0.03^A$	$-0.136 \pm 0.03^A$
6	$-0.337 \pm 0.04^B$	$-0.331 \pm 0.02^B$	$-0.311 \pm 0.01^B$

**Table 2**

Estimated lag time ( $\lambda$ ), maximum specific growth rate ( $\mu_{max}$ ), maximum population density ( $N_{max}$ )  $\pm$  associated standard error for the individual growth curves of *L. sakei* CTC494 and *L. monocytogenes* CTC1034 on vacuum-packed hot-smoked sea bream at 5 °C.

	$\lambda$ (hours)	$\mu_{max}$ (1/h)	$N_{max}$ (log CFU/g)	Adj. R <sup>2,a</sup>
<i>L. sakei</i> CTC494	133.1 $\pm$ 33.7	0.0620 $\pm$ 0.006	8.59 $\pm$ 0.17	0.977
<i>L. monocytogenes</i> CTC1034	160.3 $\pm$ 39.5	0.0240 $\pm$ 0.001	ne <sup>b</sup>	0.954

<sup>a</sup> Adjusted coefficient of determination of the fitted Baranyi and Roberts model (Adj. R<sup>2</sup>).

<sup>b</sup> The Baranyi and Roberts model (no asymptote) was fitted to *L. monocytogenes* growth data (ne).

**Table 3**

Estimated inhibition parameters ( $CPD_1$ ,  $\alpha_{12}$ ) and goodness-of-fit of the three microbial interaction models used to describe the bioprotective capacity of *L. sakei* CTC494 against *L. monocytogenes* CTC1034 on vacuum-packed hot-smoked sea bream stored at different temperatures.

Microorganism	Temp. (°C)	$n^a$	Jameson model		Modified Jameson model				Lotka-Volterra model			
			RMSE <sup>b</sup>	ASZ (%) <sup>c</sup>	$CPD_1^d$	RMSE	AICc <sup>e</sup>	ASZ (%)	$\alpha_{12}^f$	RMSE	AICc	ASZ (%)
<i>L. sakei</i> CTC494	5.0	11	0.611	–	8.28	0.676	-1.39	–	2.019	0.642	-5.32	–
	Profile 1 <sup>g</sup>	8	0.595	75.0	8.44	0.688	3.72	75.0	1.418	0.638	-1.87	75.0
	Profile 2 <sup>h</sup>	8	1.087	62.5	8.59	1.256	13.34	62.5	0.989	1.163	7.74	62.5
<i>L. monocytogenes</i> CTC1034	5.0	11	0.879	–	–	0.506	-7.78	–	–	0.480	-11.71	–
	Profile 1	8	0.932	37.5	–	0.579	0.95	75.0	–	0.536	-4.65	75.0
	Profile 2	8	0.314	87.5	–	0.361	-6.59	87.5	–	0.334	-12.19	87.5

<sup>a</sup> Number of observations ( $n$ ).

<sup>b</sup> Root Mean Squared Error (RMSE).

<sup>c</sup> Percentage (%) of observed values falling within the acceptable simulation zone (ASZ), defined as the simulated value  $\pm 0.5$  log-units.

<sup>d</sup> Critical population density for *L. sakei* ( $CPD_1$ , log CFU/g) estimated by the modified Jameson effect model fitting Eqs. (1) and (3).

<sup>e</sup> Corrected Akaike Information Criterion (AICc).

<sup>f</sup> Competition factor of *L. sakei* on *L. monocytogenes* ( $\alpha_{12}$ ) estimated by the Lotka-Volterra model fitting Eqs. (1) and (4).

<sup>g</sup> Dynamic temperature profile ranging from 3.6 to 12.8 °C (average of 6.3 °C).

<sup>h</sup> Dynamic temperature profile ranging from 3.3 to 11.8 °C (average of 7.6 °C).