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1 **European-wide antimicrobial resistance monitoring in commensal *Escherichia***  
2 ***coli* isolated from healthy food animals between 2004 and 2018**

3  
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9  
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30 Running title: Antimicrobial susceptibility of *Escherichia coli* in food animals  
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37 **Objectives:** To describe the susceptibility of *Escherichia coli* to medically important  
38 antibiotics, collected over four periods (2004-2006, 2008-2009, 2013-2014, 2017-2018),  
39 from food-producing animals at slaughter.

40 **Methods:** Intestinal contents from cattle, pigs, and broilers were randomly sampled (5-6  
41 countries/host;  $\geq 4$  abattoirs/country; 1 sample/animal/farm) for isolation of *E.coli*;  
42 antimicrobial susceptibilities were centrally determined by CLSI agar dilution. Clinical  
43 breakpoints (CLSI) and epidemiological cut-off values (EUCAST) were applied for data  
44 interpretation.

45 **Results:** Totally 10,613 *E.coli* strains were recovered. In broilers, resistance  
46 percentages were the lowest ( $P \leq 0.01$ ) in the latest time period. A significant decrease in  
47 MDR over time was also observed for broilers and a tendency for a decrease for pigs.  
48 Resistance to meropenem and tigecycline was absent, and resistance to azithromycin  
49 was 0.2-2.0%. Also low resistance to third-generation cephalosporins (1.1-1.6%) was  
50 detected in broilers. Resistance to colistin varied between 0.1-4.8%. *E.coli* from broilers  
51 showed high resistance to ciprofloxacin (7.3-23.3%) whereas for cattle and pigs this  
52 was 0.2-2.5%. Low/moderate resistance to chloramphenicol (9.3-21.3%) and  
53 gentamicin (0.9-7.0%) was observed in pigs and broilers. The highest resistance was  
54 noted for ampicillin (32.7-65.2%), tetracycline (41.3-67.5%), trimethoprim (32.1-36.2%),  
55 and trimethoprim/sulfamethoxazole (27.5-49.7%) from pigs and broilers, with marked  
56 country differences. MDR peaked in pigs and broilers with 24 and 26 phenotypes, with  
57 21.9-26.2% and 18.7-34.1% resistance, respectively.

58 **Conclusions:** In this pan-EU survey antibiotic susceptibility of commensal *E.coli* varied  
59 largely between antibiotics, animal species, and countries. Resistance to critically

60 important antibiotics for human medicine was absent or low, except for ciprofloxacin in  
61 broilers, and ampicillin in pigs and broilers.

62

### 63 **Introduction**

64 During the last decades, antimicrobial resistance (AMR) has emerged globally, and  
65 poses a significant threat to animal and human health.<sup>1,2</sup> The potential for transfer of  
66 AMR from enteric bacteria in animals to humans is a global public health concern.<sup>3</sup> The  
67 AMR reservoir of enteric bacteria from livestock has been increasingly investigated for  
68 its potential to transfer AMR to humans via direct contact, the environment or  
69 contaminated food.<sup>4</sup> These reservoirs are clearly interconnected, but the extent of  
70 transmission between these reservoirs remains uncertain.<sup>5,6,7</sup> AMR is problematic not  
71 only for pathogenic bacteria but also for the commensal intestinal microbiota. The WHO  
72 has identified Enterobacterales to be of critical importance, due to the dissemination of  
73 ESBLs, cephalosporinase (AmpC), and carbapenemases.<sup>8,9</sup> From a list of antimicrobial-  
74 resistant “priority pathogens” that pose a major threat to public health and for which  
75 there is an urgent need for new treatments, WHO categorized Enterobacterales as a  
76 priority 1 (critical) pathogen.<sup>10</sup> Additionally, emerging resistance determinants such as  
77 mobile colistin resistance have led to increased numbers of reports of multi-drug  
78 resistant isolates.<sup>11,12</sup> Various international organizations have addressed the issue of  
79 AMR. For instance, the EU has set up an EU-wide AMR control strategy by specific  
80 action plans.<sup>13</sup> Among the Enterobacterales, *Escherichia coli* is commonly used in  
81 monitoring programmes as an indicator of the Gram-negative gut microbiota.<sup>3</sup> Livestock  
82 carries *E. coli* as a commensal organism in their intestine and thus can be regarded as  
83 a potential reservoir of acquired resistance determinants.

84 To address AMR, several European countries have established national  
85 monitoring in healthy production animals around the turn of the century, e.g., Denmark  
86 (DANMAP),<sup>14</sup> Netherlands (MARAN),<sup>15</sup> Norway (NORM-VET),<sup>16</sup> and Sweden  
87 (SVARM).<sup>17</sup> Importantly, since the advent of this century the European Food Safety  
88 Authority (EFSA) analyses and reports annually or biannually information on AMR in  
89 zoonotic and indicator bacteria from food animals submitted by various EU Member  
90 States.<sup>18</sup> These data are further used for investigations of associations between  
91 antibiotic consumption and AMR.<sup>3</sup> Furthermore, the veterinary pharmaceutical industry  
92 has conducted periodic monitoring of zoonotic and commensal bacteria from European  
93 countries through the Executive Animal Health Study Centre (CEESA).<sup>19</sup> Additionally,  
94 multiple one-off studies on AMR and mechanisms of resistance of *E. coli* are  
95 available.<sup>20-23</sup>

96 The present study is part of the ongoing European Antimicrobial Susceptibility  
97 Surveillance in Animals (EASSA) programme, which is coordinated by CEESA. This  
98 pan-EU programme collects intestinal bacteria from healthy food animals sampled at  
99 slaughter employing a protocol with uniform procedures of sampling and bacterial  
100 isolation. MICs to a panel of antimicrobials commonly used in human medicine were  
101 determined in a central laboratory.<sup>19</sup> This allows comparison of results across time  
102 periods, animal species and countries,<sup>24</sup> which is crucial.<sup>25,26</sup> The organisms of interest  
103 are zoonotic *Salmonella* and *Campylobacter* species, and commensal *E. coli* and  
104 *Enterococcus* species as indicator organisms. This paper provides antimicrobial  
105 susceptibility data and trend analysis over time for *E. coli* collected from beef cattle,  
106 slaughter pigs, and broiler chickens between 2004 and 2018 from four EASSA studies  
107 (2004-2005; 2008-2009; 2013-2014; 2017-2018). Detailed results of the initial sampling

108 periods (1999-2003) have been reported previously.<sup>27-29</sup> Resistance mechanisms of *E.*  
109 *coli* of the EASSA 2004-2014 collections such as characterization of the ESBL, AmpC  
110 and *mcr* genes of ESBL/AmpC-producing or colistin-resistant strains, respectively, have  
111 been published elsewhere.<sup>12,30,31</sup> For EASSA 2017-2018, *mcr* presence of colistin-  
112 resistant isolates is included in this paper.

113

## 114 **Materials and methods**

### 115 *Sampling procedures, microbiological isolation and identification*

116 The design of the EASSA programme including collection criteria such as animal  
117 population and sampling procedures were described previously.<sup>27,32</sup> In brief, samples  
118 of intestinal contents of healthy animals at slaughter were randomly collected by  
119 participating countries from 4 to 24 abattoirs per country in 5 or 6 EU countries per  
120 host species (see Tables S1-4 for the countries per host). From each herd or flock,  
121 one animal was randomly selected for sampling. The number of samples was typically  
122 between 100 or 200 samples per host and per country. Only one *E. coli* isolate was  
123 retained from each sample. Isolation and phenotypic identification of *E. coli* was  
124 performed using standardized procedures in each national microbiology laboratory.<sup>28</sup>  
125 From the 2013-14 survey onwards, *E. coli* identification was confirmed by MALDI-TOF  
126 MS (MALDI-Biotyper, Bruker Daltonics GmbH, Bremen, Germany). Cultures were  
127 stored in a central laboratory at -70°C in growth medium with glycerol as cryo-  
128 preservative until testing.

### 129 *Antimicrobial susceptibility testing*

130 Agar dilution MIC testing was performed according to CLSI VET01-A4 (or preceding)  
131 standards.<sup>33</sup> Up to 15 antimicrobials/antimicrobial combinations comprising 10  
132 antimicrobial classes as classified to their importance for human medicine by WHO<sup>8</sup>

133 and recommended by the European Commission<sup>34</sup> and EFSA<sup>35</sup>, were tested: 11  
134 Critically Important Antibiotics (CIAs) i.e., ampicillin, azithromycin, ciprofloxacin,  
135 cefotaxime, ceftazidime, cefepime, colistin, gentamicin, meropenem, nalidixic acid,  
136 tigecycline, and four Highly Important Antibiotics, chloramphenicol, trimethoprim,  
137 trimethoprim/sulfamethoxazole (TS) and tetracycline. Azithromycin, ceftazidime, and  
138 trimethoprim were only included in the fourth survey; meropenem only in the last two  
139 surveys; nalidixic acid and tigecycline in the last three surveys. *E. coli* ATCC 25922  
140 was used as reference strain for quality assurance in each MIC run. MDR of an isolate  
141 was defined as clinical resistance to at least one agent in three or more antimicrobial  
142 classes.<sup>11</sup> Isolates conferring non-wild type (NWT) and resistance to both cefotaxime  
143 and ciprofloxacin were analysed as well.

#### 144 *Detection of mcr genes*

145 Colistin-resistant *E. coli* isolates (MIC >2 mg/L)<sup>36</sup> were PCR-screened for the presence  
146 of *mcr-1* to *mcr-10* genes using two multiplex PCR primers previously described.<sup>37-39</sup>

#### 147 *Data analyses*

148 Epidemiological cut-off values (ECOFFs) and clinical breakpoints (CBPs) were applied  
149 as interpretive criteria for the MIC data. Percentage of clinical resistance, percentage  
150 of NWT, MIC<sub>50</sub> and MIC<sub>90</sub> values were determined for each antimicrobial, host species  
151 and country. Clinical resistance was determined according to M100-S30 breakpoints,<sup>40</sup>  
152 except that for tigecycline which was interpreted according to EUCAST guidelines.<sup>36</sup>  
153 NWT population was based on ECOFFs.<sup>35,40</sup> CLSI clinical breakpoints and ECOFFs  
154 are identical for chloramphenicol, colistin, nalidixic acid, and tetracycline; ECOFFs  
155 have not been set for TS. For the other antimicrobials, breakpoints and ECOFFs differ  
156 at least by one doubling dilution. Resistance breakpoints and ECOFFs are presented

157 in Table 1 as well as Tables S1-4 available as Supplementary data. The terms to  
158 describe the AMR percentages are “rare” (<0.1%), “very low” (0.1-1.0%), “low” (1-  
159 10%), “moderate” (10-20%), “high” (20-50%), “very high” (50-70%), and “extremely  
160 high” (>70%) and correspond to the criteria applied by EFSA/ECDC.<sup>18</sup>

161 Two-sided  $\chi^2$  tests were used for an overall comparison of resistance  
162 percentages. In case of a significant difference, pairwise comparisons of resistance  
163 prevalence between countries of each animal species and between animal species  
164 were used. Two-sided  $\chi^2$  tests were used to compare the time period 2017-18 with the  
165 other time periods. In all tests, a *P* value of  $\leq 0.05$  was considered as significant.

166

## 167 **Results**

168 A total of 10,613 *E. coli* isolates were recovered in the four EASSA surveys; the  
169 numbers per survey amounted to 1496, 2712, 2993, and 3412, respectively. The total  
170 number of *E. coli* isolates per host and survey varied between 404 and 1207. The  
171 results are summarized in Table 1; the results for the individual countries are  
172 presented for each host species in Tables S1-4 available as Supplementary data.

173 Generally, the occurrence of resistance and NWT was markedly lower among  
174 cattle isolates than among pig and broiler isolates (Table 1; Figure 1). AMR  
175 proportions were highest against ampicillin, tetracycline, trimethoprim and TS, ranging  
176 from 3.3–65.3, 7.0–67.5, 0.5–58.2 and 2.8–49.7%, respectively, across all hosts.  
177 Significant differences of resistance proportions of above five  
178 antimicrobials/antimicrobial combinations were frequently observed among the three  
179 hosts. Clinical resistance to the quinolones in broilers was low to high (7.3-23.3%) for  
180 ciprofloxacin and very high (51.8-58.1%) for nalidixic acid; NWT proportions were very



181 high for both compounds. In contrast, in cattle and pigs resistance proportions to these  
182 two antimicrobials were very low or low, whereas the ciprofloxacin NWT proportions  
183 were slightly higher, albeit low. Resistance proportions to chloramphenicol were  
184 primarily moderate for pigs and broilers (14.2-21.3 and 9.3-18.0%), whereas  
185 resistance to gentamicin was at a comparatively low level and only significantly higher  
186 for poultry as compared to cattle and pigs (3.7-7.0 versus 0.2-2.2%;  $P<0.001$ ). Of the  
187 cephalosporins, resistance to cefotaxime was essentially very low in cattle and pigs  
188 (0.0-0.5 and 0.2-1.2%), and low in broilers (1.6-7.4%). Resistance to cefepime was  
189 virtually absent. Similarly, resistance to colistin was very low, but in the surveys 2008-  
190 2009 and 2013-2014 it amounted to 3.1% (cattle), 4.8% (pigs) and 4.4% (broilers). Out  
191 of 16 colistin-resistant *E. coli* isolates recovered in the EASSA 2017-2018 survey, 9  
192 (56.3%) harboured *mcr-1* genes. Eight of these isolates originated from broilers  
193 (France, Germany); one isolate was from a pig (Spain). Clinical resistance to  
194 meropenem and tigecycline was absent for all three hosts, and NWT was only  
195 encountered for one avian isolate each. For azithromycin and ceftazidime, data are  
196 only available for the time period 2017-2018. Resistance to both molecules was very  
197 low or low in all three species (Table 1).

198         Marked country differences were noted for most antibiotics (Tables S1-4). With  
199 regard to cattle, in the first survey resistance to ampicillin, chloramphenicol,  
200 tetracycline and TS of French isolates was higher ( $P<0.01$ ) than those of Germany,  
201 Italy and UK, but this was not apparent in the last survey, where the highest resistance  
202 percentages were recorded for Italy ( $P<0.01$ ). In all four surveys, the level of  
203 resistance of porcine isolates was the highest for Spanish isolates: For ampicillin,  
204 chloramphenicol, gentamicin, tetracycline and TS, AMR was always higher ( $P<0.01$ )

205 than that from Denmark, France, Germany, The Netherlands or UK. The same  
206 tendency was observed for the CIAs, although significant differences were usually  
207 absent. Porcine isolates from Denmark showed frequently the lowest prevalence of  
208 resistance to ampicillin, chloramphenicol, tetracycline and TS. A slightly different  
209 picture was seen for the broiler isolates: Whereas the percentages of CIAs resistance  
210 in broiler isolates from UK were particularly low, we found extremely high levels of  
211 resistance/NWT to quinolones in Hungary and Spain. In general for several  
212 antimicrobials including the CIAs, Spanish AMR percentages were among the highest,  
213 the proportion of resistant isolates to TS was significantly lower than in the isolates  
214 from other countries ( $P<0.01$ ).

215         Several changes of resistance or NWT in time were identified (Table 1). The  
216 lowest frequencies of resistant isolates were usually found in 2017-2018 for pigs and  
217 broilers, e.g., for tetracycline and TS, the frequency was significantly lower compared  
218 to the preceding periods ( $P\leq 0.05$ ). In broilers, the same holds true for ampicillin,  
219 cefotaxime, chloramphenicol, and nalidixic acid ( $P\leq 0.05$ ). For broilers, the percentage  
220 NWT for both cephalosporins also decreased markedly in the last survey. This  
221 contrasts with cattle, where the frequency of the resistant isolates was the highest in  
222 2017-2018 for ampicillin, chloramphenicol, tetracycline and TS ( $P\leq 0.05$ ).

223         MDR results are summarized in Table 2. Overall pooled values were 3.5% for  
224 cattle, 23.7% for pigs and 25.9% for broilers, and were significantly lower in cattle for  
225 all four time periods (Table 2). For cattle, we found no consistent MDR trend over time,  
226 whereas we noticed a tendency for a decrease for pigs. For broilers a significant  
227 decrease in MDR ( $P<0.01$ ) over time was observed. Table 3 presents the overall data  
228 of various MDR phenotypes; MDR data for the individual time periods are in

229 Supplementary Table S5. MDR (based on ten classes) amounted to 2.1-5.1%, 21.9-  
230 26.2% and 18.7-34.1% for cattle, pigs and broilers, respectively. For cattle 16 MDR  
231 phenotypes were detected (Table 3), with two major MDR patterns: amp/tet/TS (n=36,  
232 1.1%) and amp/chl/tet/TS (n=23, 0.7%). For pigs, 24 MDR phenotypes were observed  
233 with amp/tet/TS (n=442, 12.1%) and amp/chl/tet/TS (n=271, 7.4%) as major patterns.  
234 For broilers (26 phenotypes) the most frequent MDR phenotype was the combination  
235 amp/tet/TS (n=487, 12.9%) followed by amp/cip/tet/TS (n=124, 3.3%) and  
236 amp/chl/tet/TS (n=114, 3.0%). Overall, 167 isolates (1.6%) of all 10,613 isolates were  
237 resistant to five compounds, and 51 isolates (0.5%) to six compounds. Six isolates  
238 were resistant to seven compounds.

239 Finally, the combined resistance to ciprofloxacin and cefotaxime was analysed  
240 (Table 4). In cattle and pigs, combined NWT or combined clinical resistance was either  
241 not observed or detected at very low levels. In broilers both percentages NWT and  
242 clinical resistance were higher (1.6-7.2% and 0.4-3.4%, respectively). Significant  
243 changes over time were only observed for broilers; the percentage of the combined  
244 resistance in 2017-18 was lower than the levels of the two preceding periods  
245 ( $P<0.001$ ).

246

## 247 **Discussion**

248 Studying AMR in commensal indicator *E. coli* from intestinal content of healthy food-  
249 producing animals provides information on the reservoirs of resistant bacteria that can  
250 potentially be transferred between animals and between animals and humans. It also  
251 provides indirect information on the reservoirs of resistant determinants in animals.  
252 The ongoing threat of AMR is a looming public health concern.<sup>41</sup> AMR monitoring in

253 food-producing animals, therefore, has relevance to both public and animal health.  
254 AMR exhibited by indicator *E. coli* likely depends on several factors such as the  
255 selective pressure from the use of antimicrobials in animals, co-selection of bacteria  
256 with MDR, clonal spread of resistant bacteria and dissemination of particular genetic  
257 elements, such as resistance plasmids and integrons in Gram-negatives.

258 The study design allowed comparisons to be made between host species,  
259 countries and antimicrobial agents. Resistance to ampicillin, trimethoprim, tetracycline  
260 and TS were the most common resistance traits observed (Figure 1), with large  
261 differences between countries. The frequent occurrence of these resistances likely  
262 reflects an extensive use of antimicrobial agents in veterinary field over many years.  
263 Also, resistance to ciprofloxacin, a CIA of the Highest Priority, was common in broilers.  
264 This contrasted with the very low or low resistance proportions to ciprofloxacin in cattle  
265 and pigs. A similar observation was made for the combined resistance to ciprofloxacin  
266 and cefotaxime: very low in cattle and pigs, whereas higher in broilers. Among the  
267 other CIAs tested, resistance to colistin and azithromycin (both categorized as CIAs of  
268 the Highest Priority<sup>8</sup>) was low. Resistance to cefotaxime, ceftazidime or cefepime was  
269 absent or detected at very low or low levels in some countries. Resistance to  
270 tigecycline and carbapenems (meropenem) was not detected.

271 Comparison of the time periods revealed that for broilers a marked increase in  
272 resistance occurred from 2004-06 to 2008-09 for a few antimicrobials, whereas for the  
273 final period 2017-18, AMR for most antimicrobials decreased significantly. These  
274 results are compatible with those of the EFSA/ECDC study.<sup>18</sup> In the latter study, the  
275 trends of resistance focused on four antimicrobials; ampicillin and tetracycline because  
276 these antimicrobials have been the most used in Europe, and the High Priority CIAs

277 ciprofloxacin and cefotaxime. For broilers, in 29 European countries, 51 decreasing  
278 and 17 increasing temporal trends were recorded. Overall, resistance to all four  
279 antimicrobials has declined significantly over 2009-2019.<sup>18</sup> In pigs, generally  
280 decreasing trend of AMR and a statistically significant decrease in tetracycline  
281 resistance was observed over the period 2009-19; a similar decrease of tetracycline  
282 resistance was seen in our programme. In beef cattle significant trends were absent.

283         The increasing prevalence of resistance to third-generation cephalosporins in  
284 the past few decades has resulted in a global health problem and resulted in  
285 monitoring of the  $\beta$ -lactamases responsible for this phenotype (i.e., ESBL and AmpC).  
286 This EASSA programme over the 2004-2018 collection periods has recorded this  
287 resistance in 288 out of 10,613 isolates (2.7%). Detailed results on the occurrence and  
288 characterization of ESBL/AmpC-producing *E. coli* bacteria of the four time periods are  
289 reported elsewhere,<sup>31,42-44</sup> hence, here a summary comparison among the four time  
290 periods is provided (Table 5). The majority of the detected genes encoded ESBLs  
291 (63.1%) and AmpC (25.5%). Interestingly, the occurrence of presumptive  
292 ESBL/AmpC-producers was similar in the EFSA/ECDC study, ESBL-producers were  
293 also more common than AmpC-producers, and isolates producing both ESBL and  
294 AmpC were rare.<sup>18</sup> In our study, *bla*<sub>CTX-M-1</sub> and *bla*<sub>CMY-2</sub> dominated among ESBL and  
295 AmpC genes, respectively.<sup>31,44</sup> Similar results were observed in the preceding time  
296 period 2002-03,<sup>30</sup> whereas cephalosporin NWT strains were absent in 1999-2001.<sup>27</sup>

297         Colistin (polymyxin E) has been used extensively in food animals all over the  
298 world, including Europe. Use in human medicine has been very restrictive for decades  
299 owing to its systemic toxicity. In recent years, however, there is an increased need of  
300 last-resort antimicrobials such as colistin, to treat MDR infections caused by Gram-

301 negative bacteria.<sup>45-47</sup> Consequently, colistin is now listed as a CIA of highest priority.<sup>8</sup>  
302 The discovery of transferable genetic elements (e.g., *mcr* genes) conferring resistance  
303 to colistin underlines the importance of the resistance monitoring study. In the period  
304 2017-2018, 9 colistin-resistant *E. coli* isolates (0.3% of all *E. coli*) harboured *mcr-1*  
305 genes. In the preceding time periods, these figures were 0% (2004-06), 1.7% (2008-  
306 09) and 0.8% (2013-14).<sup>12</sup> From 2004 to 2014 *mcr-1* positive *E. coli* were isolated in  
307 broilers (n=45, 2.3%) and pigs (n=23, 1.1%); none of the cattle isolates harboured  
308 *mcr-1*.<sup>12</sup> In the EFSA/ECDC study, colistin resistance was also infrequently detected in  
309 isolates from pigs and broilers.<sup>18</sup>

310 There was considerable variation between individual countries and hosts in the  
311 prevalence of resistance. It is tempting to ascribe such variation to differences in  
312 amounts of antimicrobial products used. Several national resistance monitoring  
313 surveys in Europe include antimicrobial usage (AMU) data in animals.<sup>14-17</sup> Also,  
314 specific *ad hoc* studies are available.<sup>22,23,48-50</sup> For all EU countries, the European  
315 Surveillance of Veterinary Antimicrobial Consumption (ESVAC) is recording in a  
316 uniform manner national AMU in veterinary medicine.<sup>51</sup> The antimicrobial sales for  
317 food animals ranged from 2 to 394 mg/population correction unit between countries;  
318 the median was 52 mg/population correction unit.<sup>51</sup> Hence, differences in AMR levels  
319 of *E. coli* may well be related to AMU.

320 The reduction of AMR in several countries of our study in broilers, particularly for  
321 antimicrobials most commonly used in veterinary medicine such as tetracyclines and  
322 penicillins, is likely influenced by the overall decline in AMU since 2011, as noted in  
323 the ESVAC report.<sup>51</sup> In addition, the decline of the prevalence of ESBL/AmpC in our  
324 study, may be related to the decreased cephalosporin use.<sup>51</sup> A comparison of

325 consumption per animal species would be very helpful in further elucidating this  
326 association but is unfortunately currently not available. Yet two recent studies give first  
327 insights in AMU in pigs and broilers across nine European countries and also show  
328 marked differences in use within and between countries.<sup>49,50</sup> From 2024 onwards, it  
329 will become mandatory for EU countries to provide AMU data by animal species under  
330 the framework of EU Regulation 2019/6.

331         Although AMU differences may explain part of the findings from this study, the  
332 association between AMU and AMR is not always so straight forward. A single drug  
333 can select resistance to several chemically unrelated agents. Moreover, genes  
334 conferring resistance to these compounds are often linked to mobile genetic elements  
335 resulting in co-selection.<sup>52,53</sup> In addition, selection of resistance by one compound can  
336 lead to resistance against different molecules of the same class, (e.g., enrofloxacin  
337 and ciprofloxacin cross-resistance). In the absence of cephalosporin use in broilers,  
338 introduction through imported breeding stock of *E. coli* carrying AmpC and vertical  
339 transmission through the production pyramid could explain the occurrence of  
340 cephalosporin-resistant *E. coli*.<sup>14,54,55</sup> Observations made with regard to  
341 chloramphenicol (resistance prevalence moderate in this study in pigs and broilers, as  
342 in previous studies, e.g.<sup>27,28</sup>), which is already banned for use for farm animals in  
343 Europe for many years, also demonstrate at least some disconnection between AMR  
344 and AMU of the same antimicrobial class, as the chloramphenicol resistance of avian  
345 *E. coli* isolates can not be explained by the use of the related compound florfenicol  
346 because florfenicol has not been approved for poultry in the EU. Co-selection by  
347 unrelated compounds is the most likely explanation. In contrast, for cattle and pig *E.*  
348 *coli* isolates, the use of florfenicol can select directly for resistance to chloramphenicol

349 due to floR genes. Similarly, azithromycin, an azalide macrolide, is not used in animals  
350 while resistance is observed in this and other studies.<sup>14,22</sup>

351 In the EASSA programme both ECOFFs and CBPs were applied. Application of  
352 only CBPs could mask important shifts in MICs towards a less susceptible population.  
353 On the other hand, the stand-alone application of ECOFFs, and not including CBPs,  
354 can cause confusion, particularly among clinicians who are likely to interpret the term  
355 “resistant” as “clinically resistant”, and not, “less susceptible but nevertheless  
356 susceptible to the prescribed treatment”.<sup>56</sup> An additional analysis of MDR based on  
357 ECOFFs shows that the overall MDR percentages are similar because the ECOFFs  
358 and CBPs associated with the major phenotypes are identical (chloramphenicol,  
359 tetracycline, TS) or differ at most one dilution (ampicillin). The only exception applies  
360 to broilers where amp/cip/tet/TS (8.2%) exceeded that of amp/tet/TS (7.4%). This  
361 illustrates the relevance of applying both ECOFFs and CBPs in monitoring  
362 programmes such as the present one.

363 Taken together, the results described here, analyse data from 10,613 *E. coli*  
364 isolates tested for resistance against up to 15 antimicrobial agents, collected over two  
365 decades and analysed and interpreted according to a uniform methodology provides a  
366 more extensive database than the previous EASSA studies (e.g.<sup>29</sup>) in which nine  
367 agents were tested against considerably less isolates. Another strength is that all MIC  
368 testing is performed in a central laboratory,<sup>57,58</sup> which enables comparisons of different  
369 EU countries and different EASSA surveys. The data provide many very interesting  
370 insights and invites for further research on the complex drivers for selection and  
371 weaning of antimicrobial resistance.

372



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389

390 **Transparency declarations**

391 None to declare. All authors of the EASSA Study Group but AdJ are full time  
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393

394 **Supplementary data**

395 Tables S1 to S5 are available as Supplementary data at *JAC* Online.

396

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**Table 1.** Antimicrobial susceptibility of *E. coli* isolates ( $n=10,613$ ) of cattle ( $n=3,164$ ), pigs ( $n=3,660$ ) and broilers ( $n=3,789$ ) in four time periods (2004-2006, 2008-2009, 2013-2014, 2017-2018).

Antimicrobial	Interpretation*	Cattle				Pigs				Broilers			
		2004-06 $n=404$	2008-09 $n=759$	2013-14 $n=841$	2017-18 $n=1160$	2004-06 $n=529$	2008-09 $n=950$	2013-14 $n=1136$	2017-18 $n=1045$	2004-06 $n=563$	2008-09 $n=1003$	2013-14 $n=1016$	2017-18 $n=1207$
Ampicillin	MIC <sub>50</sub>	2	4	4	4	2	4	4	8	>128	256	256	256
	MIC <sub>90</sub>	4	4	8	8	>128	>256	>256	>256	>128	>256	>256	>256
	R ( $\geq 32$ )	5.4a	<b>3.3a</b>	<b>5.1a</b>	<b>7.8a</b>	32.7b	36.4b	37.5b	35.3b	56.0c	<b>65.3c</b>	<b>57.6c</b>	<b>51.7c</b>
	NWT ( $\geq 16$ )	5.4	3.8	5.2	8.6	33.3	36.9	37.9	36.6	56.4	67.5	57.8	52.5
Azithromycin	MIC <sub>50</sub>	-	-	-	4	-	-	-	4	-	-	-	4
	MIC <sub>90</sub>	-	-	-	8	-	-	-	8	-	-	-	8
	R ( $\geq 32$ )	-	-	-	0.2a	-	-	-	1.1b	-	-	-	2.0b
Cefepime	MIC <sub>50</sub>	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03
	MIC <sub>90</sub>	0.03	0.03	0.06	0.06	0.06	0.03	0.06	0.06	0.06	0.12	0.12	0.06
	R ( $\geq 16$ )	0.0	0.0	0.1	0.0	0.0	0.0	0.1	0.1	0.2	0.0	0.0	0.1
	NWT ( $\geq 0.25$ )	0.0	0.3	0.9	0.7	0.6	0.4	1.2	1.0	6.8	6.9	6.9	2.3
Cefotaxime	MIC <sub>50</sub>	0.03	0.06	0.06	0.06	0.03	0.06	0.06	0.06	0.06	0.06	0.06	0.06
	MIC <sub>90</sub>	0.06	0.06	0.12	0.12	0.06	0.06	0.12	0.12	0.12	0.25	0.25	0.12
	R ( $\geq 4$ )	0.0a	0.3a	0.5a	0.3a	0.2	0.4	1.2	0.8	<b>5.7b</b>	<b>7.4b</b>	<b>6.1b</b>	<b>1.6b</b>
	NWT ( $\geq 0.5$ )	0.7	0.4	0.6	0.5	0.6	0.4	1.5	1.1	6.1	9.8	8.2	2.3
Ceftazidime	MIC <sub>50</sub>	-	-	-	0.25	-	-	-	0.12	-	-	-	0.12
	MIC <sub>90</sub>	-	-	-	0.25	-	-	-	0.25	-	-	-	0.25
	R ( $\geq 16$ )	-	-	-	0.2a	-	-	-	0.1a	-	-	-	1.1b
	NWT ( $\geq 1$ )	-	-	-	0.4	-	-	-	1.0	-	-	-	2.2
Ciprofloxacin	MIC <sub>50</sub>	0.008	0.016	0.016	0.016	0.008	0.008	0.016	0.016	0.016	0.12	0.12	0.12
	MIC <sub>90</sub>	0.016	0.03	0.016	0.016	0.016	0.016	0.016	0.12	0.25	8	8	8
	R ( $\geq 1$ )	0.2a	0.5a	<b>1.3a</b>	<b>0.3a</b>	0.6a	0.8a	2.5a	1.6b	<b>7.3b</b>	22.7b	23.3b	<b>21.8c</b>
	NWT ( $\geq 0.12$ )	2.2	1.2	2.3	2.8	4.6	4.3	8.2	8.2	37.6	50.6	57.5	52.5
Chloramphenicol	MIC <sub>50</sub>	4	8	8	8	4	8	8	8	4	8	8	8
	MIC <sub>90</sub>	8	8	8	8	64	64	64	128	128	128	32	16
	R ( $\geq 32$ )	3.5a	<b>1.3a</b>	<b>2.4a</b>	<b>4.7a</b>	<b>14.2b</b>	<b>16.4b</b>	<b>15.8b</b>	<b>21.3b</b>	<b>14.6b</b>	<b>18.0b</b>	10.6c	<b>9.3c</b>
Colistin	MIC <sub>50</sub>	0.12	0.5	1	0.5	0.12	0.25	1	0.25	0.25	0.5	1	0.25
	MIC <sub>90</sub>	0.5	0.5	2	0.5	0.25	0.5	2	0.5	0.25	0.5	2	0.5
	R ( $\geq 4$ )	<b>0.2</b>	0.1a	<b>3.1a</b>	<b>0.1a</b>	<b>0.6</b>	0.6a	<b>4.8a</b>	<b>0.2a</b>	0.5	<b>4.4b</b>	0.6b	<b>1.1b</b>
Gentamicin	MIC <sub>50</sub>	0.5	0.5	0.5	1	0.5	0.5	0.5	1	0.5	0.5	0.5	1



	MIC <sub>90</sub> R (≥16) NWT (≥4)	1 0.2a 0.9	1 0.4a 0.5	1 0.4a 0.4	1 1.1a 1.3	1 1.7b 2.3	1 <b>0.9a</b> 1.3	1 1.6b 2.2	2 <b>2.2a</b> 3.1	1 <b>3.7c</b> 3.9	1 6.4b 8.9	1 5.1c 6.8	2 <b>7.0b</b> 8.0
Meropenem	MIC <sub>50</sub> MIC <sub>90</sub> R (≥4) NWT (≥0.25)	- - - -	- - - -	≤0.016 ≤0.016 0.0 0.0	≤0.016 ≤0.016 0.0 0.0	- - - -	- - - -	≤0.016 ≤0.016 0.0 0.0	≤0.016 ≤0.016 0.0 0.0	- - - -	- - - -	≤0.016 ≤0.016 0.0 0.0	≤0.016 ≤0.016 0.0 0.1
Nalidixic acid	MIC <sub>50</sub> MIC <sub>90</sub> R (≥32)	- - -	2 4 1.1a	4 4 2.1a	4 4 2.2a	- - -	2 4 <b>4.2b</b>	4 8 6.0b	4 16 <b>7.3b</b>	- - -	64 >128 54.0c	128 >128 <b>58.1c</b>	32 >128 <b>51.8c</b>
Tetracycline	MIC <sub>50</sub> MIC <sub>90</sub> R (≥16)	1 64 11.1a	2 4 <b>7.0a</b>	2 4 <b>7.7a</b>	2 16 <b>10.4a</b>	128 >128 <b>64.3b</b>	128 256 <b>67.5b</b>	32 256 <b>57.5b</b>	32 128 <b>53.3b</b>	128 >128 <b>67.5b</b>	128 256 <b>61.1c</b>	32 256 <b>54.7b</b>	4 128 <b>41.3c</b>
Tigecycline	MIC <sub>50</sub> MIC <sub>90</sub> R (≥4) NWT (≥2)	- - - -	0.25 0.5 0.0 0.0	0.25 0.25 0.0 0.0	0.25 0.5 0.0 0.0	- - - -	0.25 0.5 0.0 0.0	0.25 0.5 0.0 0.0	0.25 0.5 0.0 0.0	- - - -	0.25 0.5 0.0 0.0	0.25 0.5 0.0 0.1	0.25 0.5 0.0 0.0
Trimethoprim	MIC <sub>50</sub> MIC <sub>90</sub> R (≥16) NWT (≥4)	- - - -	- - - -	- - - -	0.5 0.5 6.4a 6.6	- - - -	- - - -	- - - -	0.5 >512 35.7b 36.2	- - - -	- - - -	- - - -	0.5 512 32.0b 32.1
Trimeth-sulfa <sup>§</sup>	MIC <sub>50</sub> MIC <sub>90</sub> R (≥4/76)	0.06 0.06 4.2a	0.12 0.25 <b>2.8a</b>	0.06 0.25 3.9a	0.06 0.12 <b>5.6a</b>	0.25 >128 <b>38.0b</b>	0.25 256 <b>39.5b</b>	0.12 >256 <b>35.8b</b>	0.06 >256 <b>29.5b</b>	2 >128 <b>49.7c</b>	1 256 <b>45.6c</b>	0.12 >256 <b>34.6b</b>	0.06 >256 <b>27.5b</b>

<sup>a</sup>The clinical breakpoints and ECOFFs are indicated in parentheses. MIC<sub>50</sub> and MIC<sub>90</sub> are expressed in mg/L, R (clinical resistance) and NWT (non-wild type) are expressed in %.

<sup>§</sup>Trimeth/sulfa: Trimethoprim/sulfamethoxazole MIC<sub>50/90</sub> figures refer to trimethoprim concentrations only.

Different letters indicate statistically significant differences of clinical resistance among the three host species of a given time period.

Percentages of resistance in bold indicate significant differences of the time periods 2004-06, 2008-09 and 2013-14 compared to the time period 2017-18 of a given host species.

**Table 2.** Prevalence of multi-drug resistance (%) of *E. coli* isolates of cattle, pigs and broilers during the four survey time periods.

	Cattle (3164)*	Pigs (3660)*	Broilers (3789)*
2004-2006	3.0 <sup>a</sup>	22.7 <sup>b</sup>	<b>34.1<sup>c</sup></b>
2008-2009	<b>2.1<sup>a</sup></b>	<b>26.2<sup>b</sup></b>	<b>33.3<sup>c</sup></b>
2013-2014	<b>2.6<sup>a</sup></b>	23.8 <sup>b</sup>	<b>24.2<sup>b</sup></b>
2017-2018	<b>5.1<sup>a</sup></b>	<b>21.9<sup>b</sup></b>	<b>18.7<sup>c</sup></b>

\*The numbers in parentheses refer to the total number of isolates included in the entire study; for the numbers per study period see Table 1.

Different letters in the same line indicate statistically significant differences of MDR among the three host species.

Percentages of resistance in bold indicate significant differences of the time periods 2004-06, 2008-09 and 2013-14 compared to the time period 2017-18 of a given host species

**Table 3.** Proportions of multi-drug resistance of *E. coli* isolates of cattle, pigs and broilers during 2004-2018.

Resistance phenotype	Number of drugs	2004-2018					
		Cattle (3164)		Pigs (3660)		Broilers (3789)	
		<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
Amp/tet/TS	3	36	1.1	442	12.1	487	12.9
Amp/chl/tet	3	15	0.5	53	1.5	32	0.8
Amp/chl/TS	3	8	0.3	22	0.6	16	0.4
Amp/chl/tet/TS	4	23	0.7	271	7.4	114	3.0
Amp/cip/tet/TS	4	3	0.1	6	0.2	124	3.3
Amp/chl/ctx/Tet	4	0	0.0	1	<0.1	6	0.2
Amp/chl/ctx/TS	4	1	<0.1	0	0.0	0	0.0
Amp/chl/gen/Tet	4	0	0.0	3	0.1	4	0.1
Amp/chl/gen/TS	4	0	0.0	1	<0.1	2	<0.1
Chl/gen/tet/TS	4	4	0.1	0	0.0	5	0.1
Amp/ct/tet/TS	4	0	0.0	8	0.2	5	0.1
Amp/ctx/tet/TS	4	2	0.1	5	0.1	28	0.7
Amp/chl/cip/tet	4	0	0.0	0	0.0	19	0.5
Amp/chl/cip/tet/TS	5	3	0.1	13	0.4	44	1.2
Amp/chl/ctx/tet/TS	5	1	<0.1	2	0.1	2	<0.1
Amp/chl/gen/tet/TS	5	8	0.2	11	0.3	5	0.1
Amp/cip/gen/tet/TS	5	2	0.1	1	<0.1	20	0.5
Amp/chl/cip/gen/TS	5	0	0.0	1	<0.1	5	0.1
Amp/cip/ct/tet/TS	5	0	0.0	2	0.1	1	<0.1
Amp/ctx/ct/tet/TS	5	0	0.0	2	0.1	6	0.2
Amp/ctx/cip/tet/TS	5	0	0.0	2	0.1	4	0.1
Amp/chl/ct/tet/TS	5	1	<0.1	14	0.4	17	0.4
Amp/chl/cip/ctx/tet/TS	6	3	0.1	2	0.1	5	0.1
Amp/chl/cip/ct/tet/TS	6	1	<0.1	2	0.1	8	0.2
Amp/chl/cip/gen/tet/TS	6	1	<0.1	4	0.1	18	0.5
Amp/chl/ctx/cip/gen/tet	6	0	0.0	1	<0.1	0	0.0
Amp/ctx/cip/gen/tet/TS	6	0	0.0	0	0.0	6	0.2
Amp/chl/cip/ct/gen/tet/TS	7	0	0.0	2	0.1	4	0.1
Total		112	3.5	867	23.7	982	25.9

MDR was based on the following ten classes: penicillins, extended-spectrum cephalosporins (cefotaxime), fluoroquinolones, phenicols, polymyxins, aminoglycosides, carbapenems, folate pathway inhibitors, tetracyclines and glycylicyclines. Abbreviations: amp, ampicillin; ctx, cefotaxime; chl, chloramphenicol; cip, ciprofloxacin; ct, colistin; gen, gentamicin; tet, tetracycline; TS, trimethoprim/sulfamethoxazole.

**Table 4.** Non-wild type and clinical resistance to both ciprofloxacin and cefotaxime based on ECOFFs and clinical breakpoints during four time periods for cattle, pig and broiler isolates.

	2004-2006				2008-2009				2013-2014				2017-2018			
	NWT		Resistance		NWT		Resistance		NWT		Resistance		NWT		Resistance	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Cattle	0	0	0	0	0	0	0	0 <sup>a</sup>	4	0.5	3	0.4 <sup>a</sup>	3	0.3	1	0.1 <sup>a</sup>
Pigs	0	0	0	0	1	0.1	0	0 <sup>a</sup>	7	0.6	5	0.4 <sup>a</sup>	2	0.2	1	0.1 <sup>a</sup>
Broilers	21	3.7	2	0.4	72	7.2	34	<b>3.4<sup>b</sup></b>	62	6.1	25	<b>2.4<sup>b</sup></b>	19	1.6	11	<b>0.9<sup>b</sup></b>

Different letters in the same column indicate statistically significant differences of combined resistance among the three host species. Percentages of resistance in bold indicate significant differences of the time periods 2008-09 and 2013-14 compared to the time period 2017-18 of a given host species

**Table 5.** Overview on the occurrence of ESBL- and/or AmpC-producing *E. coli* in food animals during four time periods of the EASSA project.

Numbers	2004-2006	2008-2009	2013-2014	2017-2018	Overall
Total isolates	1,496	2,712	2,993	3,412	10,613
CP-resistant isolates*	45 (3.0%)	109 (4.0%)	100 (3.3%)	34 (1.0%)	288 (2.7%)
ESBLs	35	59	68	27	189
AmpC	2	47	20	7	76
ESBL and AmpC	1	0	2	0	3
Unknown mechanism	7	3	10	0	20

\*CP: cefotaxime-and/or ceftazidime-resistant. Percentage CP-resistant isolates is indicated in parentheses.

