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1 **Title:** Field validation of biocontrol strategies to control brown rot on stone fruit in several
2 European countries

3 **Short running title:** Biocontrol strategies to control brown rot on stone fruit

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14

15 **Abstract**

16 **BACKGROUND:** Brown rot caused by *Monilinia* spp. is the most significant disease of stone
17 fruit. New approaches to fruit production have necessitated the development of control
18 strategies that are more eco- and consumer-friendly. An efficient field strategy to control brown
19 rot was previously designed based on the application of two biocontrol agents (BCAs), *Bacillus*
20 *amyloliquefaciens* CPA-8 (CPA-8) or *Penicillium frequentans* 909 (Pf909), with calendar-
21 based treatment. In the present study, the strategy was validated on different stone fruit hosts in
22 four producing countries over two seasons.

23 **RESULTS:** The results obtained were reported according to three different scenarios: Scenario
24 1, in which there was no presence of disease in the field; Scenario 2, in which high disease
25 pressure occurred in the field and treatments (biologicals or chemicals) were not effective; and
26 Scenario 3, with low or medium to high disease presence. The results were successful since, in
27 general, BCA strategies demonstrated to control brown rot to a similar extent as chemicals
28 strategies. We found that most of the trials conducted in this study were classed under Scenario
29 3 (62.5 %), while only 12.5% and 25 % of the trials were classed under Scenarios 1 and 2,
30 respectively.

31 **CONCLUSION:** These novel findings allowed the formulation of CPA-8 and Pf909 as valuable
32 tools for farmers to more competitively produce stone fruits and meet consumer demand for
33 safer and more environmentally friendly products.

34 **Key words:** Biocontrol, *Monilinia* spp., Brown rot, Field applications, Stone fruit

35

36 **1. Introduction**

37 In the European Union, stone fruit, apples and oranges are the most-produced fruits; of which,
38 Italy, Spain, and Greece are the highest producers¹ of peaches, and stone fruit in general, are

39 highly susceptible to *Monilinia* spp. infections, which occur mainly between flowering and
40 harvesting season. In smaller infections, the disease does not develop fully and can remain latent
41 until harvest time.² When infection levels are high, disease symptoms on fruit can appear early
42 and cause significant losses in yields. Moreover, for infections that occur on fruit closer to
43 harvest time, brown rot commonly develops postharvest and, in extreme situations, results in
44 losses up to 80 %.³ However, the risk of *Monilinia* spp. infections during postharvest is very
45 low, and Bernat et al.⁴ indicated that *Monilinia* spp. are rarely detected in packinghouse
46 environments, and therefore the great majority of infections occur in orchards. Consequently,
47 control of *Monilinia* spp. is most efficiently undertaken in the field, where the infections most
48 commonly occur. Currently, commercial field control strategies are implemented as preharvest
49 treatments that act both preventively and curatively, depending on when infections occurs. At
50 postharvest, the application of chemical treatments is authorised in some European countries.⁵
51 These postharvest treatments are advised as complementary to the field strategy for only mid-
52 late infections, or in the event of adverse meteorological conditions. Conventionally, these
53 treatments are based on synthetic chemical products.⁶

54 In recent years, social pressure has increased consumer demand for environmentally friendly
55 fruit production, that is more considerate of consumers' and growers' health. In addition,
56 several other considerations have reduced the use of pesticides, such as stricter legislation on
57 authorised active ingredients and their allowable presence on fruit and the risk of pesticides for
58 developing resistant strains. These concerns have spurred to search for alternatives to the
59 chemical products being used for pest control, such as biocontrol agents (BCAs)—a promising
60 strategy that has been the focus of considerable research over the last few decades.⁷⁻⁹ Over the
61 last few years, biocontrol research has also evolved towards more integrated approaches in
62 production systems, with greater awareness of industry concerns.¹⁰ However, BCAs are not yet
63 routinely applied under commercial conditions. Currently, only Serenade MaxTM, based on

64 *Bacillus subtilis*; Amylo XTM, based on *Bacillus amyloliquefaciens*; and JuliettaTM, based on
65 *Saccharomyces cerevisiae* are authorised in some countries for field control of brown rot.

66 Several works have reported that *Bacillus amyloliquefaciens* CPA-8 is an effective antagonist
67 against postharvest brown rot on peaches and nectarines.^{9,11,12} Likewise, *Penicillium*
68 *frequentans* strain 909 (Pf909) has also been reported as a potential biocontrol product for
69 reducing the occurrence of brown rot and twig blight, both of which are caused by *Monilinia*
70 spp.^{6,13} Moreover, Guijarro et al.^{6,14,15} showed that for Pf909 to be effective, peach surfaces
71 have to be extensively covered by it, as it will only be effective when its concentration is
72 substantially greater than the *Monilinia* spp. load.¹⁴ Natural populations of *P. frequentans*
73 during stone fruit growing season are insufficient for controlling brown rot.¹⁶

74 Both the afore-mentioned BCAs have been extensively studied, in relation to some of the major
75 obstacles for its success as a commercial biocontrol product. These include the production of
76 sufficient quantities of microorganisms to ensure optimum shelf life¹⁷, broadening the spectrum
77 of action to a wider range of hosts¹¹, and determining the responses to environmental stress
78 under field conditions.^{18,19} The results indicated that both BCAs are promising biocontrol
79 products under commercial conditions. Registration is considered another major obstacle that
80 should be taken into account during BCA development processes, as it is a requirement for their
81 use under commercial conditions. The registration process stipulates a large number of tests
82 concerning human and environmental safety, basic toxicological tests, and effectiveness
83 evaluation, including semi-commercial tests.¹⁰ In this respect, some of these tests have already
84 been conducted on these two BCAs.¹⁸⁻²⁰ Moreover, Gotor-Vila et al.¹¹ evaluated CPA-8 BCA
85 establishment on the target host and designed an efficient strategy, based on the complete
86 common plant protection schedule usually applied to crops.

87 In order to have sufficient data to implement and validate the previously studied strategies⁹, the
88 present study was designed with two different formulations of each BCA (*B. amyloliquefaciens*

89 CPA-8 and *P. frequentans* 909) in four European countries (Belgium, France, Italy, and Spain),
90 in two growing seasons (2016 and 2017), and on three different crops (peaches, nectarines, and
91 cherries).

92

93 **2. Material and methods**

94 **2.1. Biocontrol agent products**

95 *B. amyloliquefaciens* CPA-8 (CPA-8), formerly *Bacillus subtilis*²⁰, was originally isolated from
96 nectarine fruit⁹ and belongs to the Postharvest Pathology Group Collection of IRTA (Lleida,
97 Catalonia, Spain). The BCA product formulation based on CPA-8 was optimised by including
98 a protective combination of 20 % sucrose and 10 % skimmed milk during its formulation, and
99 two different carrier materials: maltodextrin (BS1) and potato starch (BS2). Both BCA products
100 were produced and formulated according to Gotor-Vila et al.¹¹ BS1 and BS2 were evaluated in
101 trials conducted in 2016 and 2017, using 10^7 CFU mL⁻¹ as the effective dose.

102 *P. frequentans* Westling (Pf909) (ATCC 908-81) is a constituent of the resident mycobiota of
103 peach twigs and flowers, originally from a commercial orchard in Zaragoza (Spain)²¹, and was
104 provided by the Department of Plant Protection of INIA (Madrid, Spain). Pf909 was previously
105 proposed as a potential commercial BCA against brown rot.^{6,14} Formulations of Pf909 conidia
106 are potential biocontrol products, used to reduce the occurrence of peach and nectarine brown
107 rot caused by *Monilinia* spp. at pre- and postharvest by competitively excluding the pathogen
108 from twigs and the fruit surface.¹⁵ The production of pure dried conidia powder followed the
109 description of Guijarro et al.¹³ and was made under pilot plant process conditions by Bayer
110 CropScience Biologics GmbH, (Malchow, Germany). Pf909 is a dried conidia powder with a
111 concentration of 1.8×10^{11} conidia g⁻¹ and a viability range of 94–98 %. The dry conidia powder
112 was suspended into two different liquid oil formulations containing carriers and adjuvants to

113 improve dispersion and persistence of the conidia on fruit surfaces (confidential data owned by
114 Bayer Crop Science).

115 In 2016, two different formulations of products based on Pf909 were evaluated: PF1 and PF2,
116 both applied in orchards using an effective dose of 10^6 conidia mL^{-1} . In 2017, only PF2
117 formulated product was tested at 10^6 conidia mL^{-1} and 10^7 conidia mL^{-1} (PF2 \times 10).

118 **2.2. Efficacy trials**

119 **2.2.1. Field trials and experimental design**

120 A total of 16 field trials were carried out by three officially recognised organisations (Opennatur
121 S. L. and IRTA in France and Spain, BC (Europe) S.r. – BIOGARD in Italy, and PCfruit in
122 Belgium) in accordance with the principles of Good Experimental Practises (GEP). Trials were
123 designed, run, and assessed following the relevant EPPO guidelines^{22–24} in different orchards
124 located in Belgium, France, Italy, and Spain in 2016 and 2017 (Table 1). In each trial, the
125 strategies evaluated in 2016 consisted of 1) CPA-8 formulation 1 (BS1); 2) CPA-8 formulation 2
126 (BS2); 3) Pf909 formulation 1 (PF1); Pf909 formulation 2 (PF2); 5) Non-treated trees (Untreated);
127 and 6) the chemical fungicide strategy usually applied in each trial area (Table 2). In the 2017
128 growing season, the treatments applied were the same as in 2016, except for Pf909 where PF1 was
129 not applied and the treatments evaluated were PF2 and PF2 formulations, but 10 times more
130 concentrated (PF2 \times 10). All treatments were conducted using the same strategy based on four
131 applications in the field: 30, 14, 7, and 3 days before harvest, according to the commercial schedule
132 and to the results obtained by Gotor-Vila et al.¹¹ Application dates were arranged depending on
133 climatology events, and all treatments were applied in the morning using spraying equipment. Each
134 tree was sprayed to run off. Plots were distributed in a completely randomised block design with
135 four replicates per treatment. Each replicate consisted of 3–4 trees (depending on the number of
136 fruits per tree). Buffer trees (non-treated trees) were used to separate treatments and replicates.

137 Orchards received treatments standard to cultural and crop protection practises until 45 days before
138 harvest, then only insecticide treatments until harvest. A weather station was placed in or near the
139 field trials to record hourly weather observations of temperature (T), relative humidity (RH), and
140 rainfall (mm).

141 **2.2.2. Disease incidence in the field**

142 Evaluation was performed according to the EPPO 1/38[2]²⁴ for *Monilinia laxa* and PP 1/222[1].²³
143 At commercial harvest time, disease incidence on nectarines, peaches, and cherries was recorded
144 in the field. For peaches and nectarines, one full tree per treatment was evaluated and the total
145 number of fruits, healthy, and affected by *Monilinia* spp. were recorded. A similar procedure was
146 conducted for fruits located on the ground. According to the EPPO 1/222[1]²³ for cherries, 400
147 fruits per replicate were evaluated. In all cases with three trees per replicate, the evaluation was
148 carried out on the central tree. When there were four trees per replicate, one of the two trees
149 located in the middle was selected. Finally, the number of fruits infected by *Monilinia* spp. was
150 expressed as the incidence of infected fruit.

151 **2.2.3. Disease incidence in postharvest period**

152 Evaluation was carried out according to the EPPO PP 1/222[1] describing storage diseases of
153 stone fruit.²⁵ One hundred healthy peach or nectarine fruits per replicate were randomly collected
154 from each treatment at harvest time and placed in packing trays (20 fruits each) to avoid contact
155 among them and consequent cross-contaminations. Fruits were stored at 0 °C and 90 % RH for 7
156 days, plus 7 days of shelf-life at 20 °C and 85 % RH. The number of fruits affected by *Monilinia*
157 spp. was recorded after 5 and 7 days of fruit incubation at 20 °C. For cherries, the methodology
158 was the same, except for the number of fruits evaluated per replicate, where 400 fruits, in bunches,
159 for each replicate were evaluated.

160

161 **2.3. CPA-8 and Pf909 populations on the fruit surface**

162 To ensure that CPA-8 and Pf909 biocontrol agent applications on peaches and nectarines were
163 well-conducted and their presence and viability were maintained until harvest; fruit from each
164 trial was sampled after the first treatment application (AFTA) once treatment was dried out, and
165 at harvest time (HT), three days after last application. At both samplings, 20 fruits from each
166 treatment (five fruits per replicate and treatment) were picked for determination of BCA
167 populations.

168 The CPA-8 population (BS1 and BS2) determination on peaches and nectarines was based on the
169 random removal of 25 peel disks from each fruit using a cork borer (16 mm in diameter) and 5
170 fruit per replicate. The 125 peel disks of each replicate were placed together into sterile plastic
171 filter bags (BagPage 400 mL, Interscience BagSystem, St Nom la Brètech, France) and mixed
172 with 100 mL of phosphate buffer (PB, 70 mL KH_2PO_4 0.2 mol L⁻¹; 30 mL K_2HPO_4 0.2 mol L⁻¹
173 and 300 mL deionised water v/v/v pH 6.5). Each bag was homogenised using a Stomacher
174 blender (Masticator Basic 400 mL, IUL SA, Torrent de l'Estadella, Barcelona, Catalonia,
175 Spain) set at 12 strokes s⁻¹ for 90 s. Serial ten-fold dilutions of the washings were prepared and
176 plated onto nutrient yeast dextrose agar medium (NYDA: 8 g L⁻¹ nutrient broth, 5 g L⁻¹ yeast
177 extract, 10 g L⁻¹ dextrose, and 20 g L⁻¹ agar). Two replicate Petri dishes were used for each replicate
178 and dilution. Colonies were counted after 24 h of incubation at 30 °C. Populations of CPA-8
179 were recorded as CFU mL⁻¹, and finally expressed as CFU cm⁻² of fruit surface. For cherries,
180 the methodology was slightly different. Ten fruits per replicate were weighed and immersed in
181 100 mL of phosphate buffer (PB, 70 mL KH_2PO_4 0.2 mol L⁻¹, 30 mL K_2HPO_4 0.2 mol L⁻¹, and
182 300 mL deionised water v/v/v pH 6.5), contained in 500 mL flasks. Erlenmeyer flasks containing
183 the samples were shaken on a rotary shaker at 150 rpm for 20 min and then sonicated for 10
184 min in an ultrasound bath (Selecta; Abrera, Barcelona, Spain), to increase detachment of
185 microorganisms from the berry surface. Finally, the colony determination was conducted as

186 previously explained for peaches and nectarines. Then, the population of CPA-8 was expressed
187 as CFU g⁻¹ of fruit.

188 The populations of Pf909 formulations were evaluated from 20 fruits per treatment (5 per replicate
189 and treatment) suspended in sterile distilled water (SDW), shaken for 30 min at 150 rpm,
190 concentrated by centrifugation for 10 min at 14.040 g, and resuspended in 5 mL SDW. The CFUs
191 of Pf909 per cm² of fruit surface were estimated on Petri dishes containing potato dextrose agar
192 (PDA) amended with 0.5 g L⁻¹ of streptomycin to avoid bacterial growth (PDAs). One-hundred
193 aliquots from undiluted and diluted concentrate were spread onto PDA. Five replicate dishes were
194 used for each replicate and dilution. Three replicate Petri dishes were used for each field and were
195 maintained in the dark at 20–25 °C for 5–7 days. The colonies were then counted and expressed
196 as CFU cm⁻² of fruit surface. For cherries, ten fruits from each replicate were weighed and used
197 following the methodology described above. Finally, the population of Pf909 was expressed as
198 CFU per g of cherry fruit.

199 **2.4. Statistical analysis**

200 Data on disease incidence recorded at preharvest and postharvest evaluations were analysed
201 using ANOVA. Normality data distribution (Saphiro test) and homogeneity of variances
202 (Levene and Barlett tests) were checked, and data were transformed (arcsin transformation). In
203 all cases, JMP®8 statistical software (SAS Institute, Cary, NC, USA) was used. Data related to
204 CPA-8 and Pf909 populations were log-transformed and expressed as log₁₀ (CFU cm⁻²) for
205 peaches and nectarines, and log₁₀ (CFU g⁻¹) for cherries. Statistical significance was determined
206 at *P*<0.05. When the analysis was significant, the Student's LSD test was used for separation
207 of means (though the LSD test controls the comparison-wise type I error rate rather than
208 experiment-wise type I error rate).

209

210 **3. Results**

211 **3.1. Efficacy trials**

212 The efficacy of CPA-8 and Pf909 preharvest treatments to control brown rot in 16 orchards from
213 four different European countries (Belgium, France, Italy, and Spain), three crops (peaches,
214 nectarines, and cherries), and two growing seasons (2016 and 2017), clearly depended on the
215 level of disease pressure experienced in the untreated strategy (Figures 1-3). Hence, results have
216 been classified in three different scenarios corresponding to different levels of disease pressure:
217 1) no inoculum; 2) uncontrollable disease; and 3) controllable disease.

218 **3.1.1. Scenario 1: Low disease levels**

219 Scenario 1 only occurred in two trials orchard 03 and orchard 06, corresponding to ‘Red Jim’
220 nectarine and ‘Tardibelle’ peaches; both evaluated in Spain during 2016 (Table 1). The incidence
221 of disease recorded at HT in both trials was practically zero for all evaluated treatments (Figure
222 1). At postharvest, after storing fruit for 7 days at 0 °C, plus 5 days of shelf life, brown rot was
223 again generally extremely low, less than 3 % incidence in all treatments (Figure 1), and no
224 statistically significant differences were found among treatments including the untreated fruit
225 treatment.

226 **3.1.2. Scenario 2: uncontrollable disease**

227 Scenario 2 occurred in 4 out of 16 trials. Two of them were ‘Lapins’ and ‘Sweetheart’ cherries
228 corresponding to orchard 08 and orchard 09, respectively, in Belgium, during 2016 (Table 1);
229 both fields were affected by hailstorms. For the ‘Lapins’ variety (orchard 08), disease incidence
230 at harvest was extremely high and there was insufficient healthy fruit for postharvest evaluation
231 (data not shown). For the ‘Sweetheart’ variety (orchard 09), disease incidence at the time of
232 harvest evaluation ranged from 28 to 48 %, with no statistically significant differences among

233 treatments (Figure 2). At postharvest, only chemical and PF1 treatments presented statistically
234 lower disease incidence (21% and 58 %, respectively), compared to the control (91 % of brown
235 rot).

236 Trials carried out on ‘Tourmaline’ nectarines and ‘Fidelia’ peaches were also classed under
237 Scenario 2, corresponding to orchard 01 and orchard 04, respectively (Table 1), conducted in
238 France in 2017. In this case, both fields were affected by periods of rain near harvest. The disease
239 incidence recorded at HT for tourmaline nectarines was close to 100 % in all treatments, and there
240 was insufficient healthy fruit for postharvest evaluation. Results for ‘Fidelia’ peaches were
241 similar, and any treatment reduced the incidence of disease, compared to the control (data not
242 shown).

243 **3.1.3. Scenario 3: Controlled disease**

244 Ten out of 16 trials (62.5 %) carried out in 2016 and 2017 were included in this scenario. In
245 general, both BCAs (CPA-8 and Pf909) successfully reduced the incidence of brown rot. Data
246 for postharvest evaluation was globally analysed. In general, results indicated that the efficacy of
247 BCAs was lower in comparison the chemical (data not shown). However, when data was analysed
248 according to the scenario, no efficacy differences were found between treatments for orchards
249 with low-medium incidence of disease, including chemical treatment. For medium-high disease
250 incidences, chemical strategy showed higher statistical efficacy in comparison with BCAs.

251 In 2016, trials included in Scenario 3 conditions were conducted on ‘Tourmaline’ and
252 ‘Morsiani’ nectarines, and ‘Fidelia’ and ‘Corindom’ peaches, corresponding to orchard 01,
253 orchard 02, orchard 04 and orchard 05, respectively (Table 1). Results from these four orchards
254 at HT showed that brown rot incidence in the non-treatment strategy ranged between 4 and 11
255 % (Figure 3). The results for orchard 01 and orchard 02 at preharvest showed that the incidence
256 of brown rot in the non-treated control was lower than 7 %, and no significant differences were

257 found among all treatments evaluated (including control and chemical). In contrast, a
258 significant brown rot reduction was observed in orchard 04 and orchard 05, with more than 50
259 % reductions, compared to the control, in all treatments evaluated (BS1, BS2, PF1, PF2, and
260 chemical), and there were no differences between the treatments.

261 At postharvest evaluation, brown rot incidence was much higher in all four orchards, ranging
262 between 17 and 53 % in the non-treated control. Brown rot incidence in untreated controls was
263 lower than 35 % in orchard 01 ('Tourmaline' nectarine) and orchard 04 ('Fidelia' peaches). In
264 orchard 04, the efficacy of most of tested BCA treatments was comparable to the chemical one.
265 The chemical treatment statistically reduced the incidence of disease compared to the control
266 in both orchards, (94 % and 75 % reduction in orchard 01 and 04, respectively). For orchard 04
267 ('Fidelia' peaches), BS2, PF2 and chemical strategies significantly reduced the incidence of
268 disease, with reductions greater than 50 %, compared to the untreated control, and there were
269 no significant differences among them.

270 For orchard 02 and orchard 05, brown rot incidence in the control was higher than 40 % and,
271 BS2 and PF2 treatments were effective in controlling brown rot with reductions greater than 50
272 %, compared to the control. Moreover, in orchard 02, PF1 also showed similar level of efficacy.
273 However, in these orchards, the efficacy of BCAs was, in all cases, lower than that of the
274 chemical treatments (85 % and 93 % reductions for orchard 02 and orchard 05, respectively).

275 In 2017, six trials conducted on nectarine, peach, and cherry were classed under Scenario 3. For
276 nectarines and peaches from orchard 02 ('Morsiani' nectarine), orchard 03 ('Red Jim'
277 nectarine), orchard 05 ('Corindom' peach) and orchard 07 ('Groc d'Ivars' peach) results are
278 shown in Figure 4. At HT, brown rot incidence recorded in the untreated control was low, and
279 ranged between 0 and 3 %, with significant differences among the treatments tested, including
280 control and chemical treatments. In the postharvest evaluations, disease incidence was also

281 lower in 2017 than in 2016, and brown rot incidence in the control ranged between 10 % and
282 29 %, depending on the orchard. In orchards 02, 05 and 03 results showed disease incidences
283 for BCAs statistically similar to the chemical treatments. Decay was significantly reduced to
284 similar levels in all BCAs treatments evaluated (BS1, BS2, PF1, PF2×10) in orchards 02, 03,
285 05, and 07, with an average reduction of 60 %, 68 %, 32 % and 41 %, respectively, compared
286 to the controls.

287 Cherry trials conducted in 2017 (orchard 08 and orchard 09 for ‘Lapins’ and ‘Sweetheart’
288 varieties, respectively) were included under the Scenario 3 (data not shown). The disease
289 incidence at harvest evaluation was almost 0 %. In postharvest evaluations of orchard 08, brown
290 rot ranged between 2 and 8 %, and no differences were detected among all treatments tested,
291 including untreated controls and chemical treatments. The results obtained for orchard 09
292 indicated that the incidence of brown rot ranged between 1 and 8 %. All BCA treatments
293 reduced the disease to similar levels (58 % reduction compared to control). However, the
294 efficacy of chemical treatments was statistically higher (92 % reduction) than that of the PF1
295 treatment.

296

297 **3.2. CPA-8 and Pf909 on the fruit surface**

298 Population viability of CPA-8 and Pf909 cells were determined in trials conducted on different
299 crops (peaches, nectarines, and cherries) in growing seasons in 2016 and 2017, at two different
300 time points, after AFTA and at HT.

301 CPA-8 and Pf909 populations were determined to be similar across both seasons, in all the
302 orchards evaluated. In general, the level of viable cells AFTA was maintained and even
303 increased until HT (Tables 3 and 4).

304 CPA-8 cell populations were stable enough to compare both formulations (BS1 and BS2) in all
305 trials and sampling times. For peaches and nectarines, populations remained between 3.84-5.15
306 and 3.98-5.33 log CFU cm⁻², for values recorded AFTA and HT, respectively. For cherries,
307 populations remained between 3.86-5.49 and 3.87-4.83 log g⁻¹ for values recorded AFTA and
308 at HT, respectively (Tables 3 and 4).

309 PF1 and PF2 formulations also maintained an adequate concentration of CFU cm⁻² on the fruit
310 surface until harvest. On peaches and nectarines, populations were maintained and even
311 increased until HT, and ranged between 1.61-4.28 and 2.31-4.39 log g⁻¹, AFTA and HT,
312 respectively. For cherries, populations remained between 2.71-4.39 and 3.22-4.47 log g⁻¹,
313 AFTA and HT, respectively (Tables 3 and 4). Although PF1 and PF2 concentrations were 10
314 times lower than for PF2×10, and the populations for PF1 and PF2 were, in general, lower at
315 all evaluations conducted, the results profile was similar to that described above.

316

317 **4. Discussion**

318 The present study represents a wide validation full-field application program based on BCAs
319 strategies to control *Monilinia* spp. under commercial conditions in different stone fruit crops
320 (nectarines, peaches, and cherries), during two consecutive years (2016 and 2017), and in
321 several European countries (Belgium, France, Italy, and Spain). Three accredited GEP
322 companies have implemented field application programs (Opennatur S. L in France and Spain,
323 BC (Europe) S.r. – BIOGARD in Italy and PCfruit in Belgium orchards). Hence, the
324 information provided comes from different geographical locations and illustrates a wide range
325 of conditions for evaluating the efficacy of BCAs. The GEP trials detailed in this paper provide
326 representative data, and consequently their conclusions are absolutely robust.

327

328 The potential of *B. amyloliquefaciens* CPA-8 or *P. frequentans* (Pf909) as alternative strategies
329 to chemical fungicides, has been related to disease incidence, and correlated more with
330 climatological data¹¹ than crops, countries, and seasons. The efficacy data summarised in this
331 biological assessment paper for CPA-8 and PF909 is in line with these patterns. In addition,
332 OECD guidance for microbial plant protection products indicates that the levels of efficacy for
333 microbials may be lower than that for a chemical-based pesticide, and such differences should
334 be reflected in the label. However, it is necessary to specify that the level of efficacy depended
335 on the disease pressure in each orchard. It is well known that brown rot incidence is mainly
336 correlated to the climatic conditions over the season. A wide range of factors may affect the
337 performance of microbial plant protection products. Factors such as temperature, humidity,
338 rain, pathogen density, initial pathogen inoculum level, etc., may affect the behaviour of
339 microorganisms in a range of different ways, according to the EPPO PP 1/276 [1].²² Gell et al.²
340 demonstrated that temperature and wetness duration were the most important factors
341 contributing to the incidence of latent infections caused by *M. laxa* and *M. fructicola* in Spanish
342 peach orchards, which could catalysing more than 90 % of brown rot in the post-arvest period.
343 In addition to the weather conditions, other factors must be considered as the presence of latent
344 infections at the pit hardening stage, which was also correlated with the incidence of fruit rot at
345 harvest.²⁶

346 With respect to weather effects, extremely dry seasons would suppress disease, and in contrast,
347 long wetness periods, heavy or often rains, or even hailstorms would contribute to high levels
348 of disease. This fact clearly affected the trials reported in this study, where the level of
349 biocontrol efficacy depended on the disease pressure observed in each field. For this reason,
350 the trials conducted were classified under different scenarios according to the level of disease
351 pressure. The Scenario 1 class (low level of disease incidence) included 2 out of 16 trials, and
352 the Scenario 2 class (high level of disease incidence), 4 out of 16 trials. In the latter scenario,

353 none of the evaluated treatments were effective in controlling brown rot, including the chemical
354 strategy. Orchards were deeply affected by a hailstorm causing critical wounds on fruit, in number
355 and size, which became direct routes for fungal infections, especially *Monilinia* spp. Fruit losses
356 in these fields reached 45 %, and in some cases, the entire crop was lost. As occurs under
357 commercial conditions, most of the trials classed under scenario 3 (10 out of 16 trials), comprising
358 62.5 % of the trials conducted. Under this scenario, biological or chemical products are both
359 effective for controlling brown rot. However, it is important to note that when the incidence
360 recorded in postharvest was lower than 35 %, the efficacy level of the BCA strategies was
361 comparable to that of the chemical strategy. However, when brown rot incidence recorded in
362 postharvest increased up to 35 %, all treatments were effective, with the chemical strategy
363 showing higher efficacy compared to BCA treatments. From our results, we can advise the need
364 to integrate cultural practises in the field, such as summer pruning or removing infected fruit
365 from the field. This would contribute to decreasing the level of inoculum in the field, thereby
366 improving the efficacy of the BCA strategy to similar levels as the chemical strategy. Recently,
367 another field strategy to control brown rot has been developed, based on the integration of BCAs
368 with low doses of fungicides.²⁷ They found that a higher level of efficacy was recorded in the
369 fungicide treatments at full dose. However, when BCAs were combined with low doses of
370 fungicides, the efficacy was not much lower. Our results were analogous to what occurred in
371 our previous study where the level of BCA efficacy was clearly dependent on the disease
372 incidence.²⁸ It was also concluded that when the incidence of *Monilinia* spp. was close to the
373 standard levels recorded in the area (comparable to scenario 3), treatments based on CPA-8
374 formulations proved to be efficacious.

375 Under field conditions, rapid fluctuations in water availability and temperature are
376 characteristic of farm environments and constitute the main factors limiting the development of
377 microbial populations.²⁹ Nevertheless, in this study, the populations of CPA-8 and Pf909

378 recorded on fruit surfaces did not suffer losses between the first treatment and harvest, and were
379 sufficient to effectively control brown rot. These results corroborate the information provided
380 by Gotor-Vila et al.¹¹ which highlight the ability of CPA-8 to survive largely on the fruit surface
381 after preharvest application. Accordingly, in previous studies, the wide tolerance to
382 temperature, relative humidity and simulated rainfall that could occur under commercial
383 conditions in the field was also demonstrated for CPA-8 cells.¹⁸ Cell viability under field
384 conditions is highly relevant and should be studied for all BCAs, as their behaviour can differ
385 according to the antagonist used. For example, in contrast to our results obtained for CPA-8,
386 Calvo-Garrido et al.³⁰ found that the cell viability of *Candida sake* CPA-1 drastically declined
387 over time after application in organic vineyards.³⁰ Pf909 could establish and survive actively
388 over a broad range of climatic conditions. We have previously reported that the population of
389 Pf909 in the peach phyllosphere grew better during late spring and summer. For applications
390 of Pf909 on peaches³¹ that are administered during preharvest periods, the environmental
391 temperature and relative humidity are the most important factors that can affect the dynamics
392 of Pf909 populations. Critically, the environmental temperature and relative humidity account
393 for 87 % and 63 %, respectively, of the observed variability in the number of conidia and colony
394 forming units (CFUs) of Pf909 on the peach surface after application. Therefore, Pf909¹⁴ should
395 be applied in commercial peach orchards when environmental temperatures are warm. In 2017,
396 it was applied at concentrations ten times higher (PF2×10), but evaluation of the final
397 population counts demonstrated that increased concentrations did not correlate with higher
398 efficacy in controlling brown rot. Guijarro et al.¹⁴ also proposed that in order to increase the
399 size of the Pf909 populations on fruit, larger populations of Pf909 should be applied to the
400 flowers during bloom (because the peach blossoms carry low indigenous epiphytic populations)
401 and at preharvest. Applying Pf909 under these conditions encourages the growth and
402 sporulation of the indigenous *P. frequentans* populations and increases the size of populations

403 on the flower and fruit surfaces. Knowledge of the conditions that influence fungal sporulation
404 is very useful, as sporulation is the key factor in proliferation and dispersal.³²

405 Brown rot control is more difficult due to legislation issues regarding registered active ingredients
406 and limits on residues on fruit surfaces. Combined with consumer demands for more eco-friendly
407 and health-conscious fruit production, these pressures drive the need for alternative treatments to
408 synthetic fungicides. Although postharvest biocontrol has been extensively studied for the last 35
409 years, some constraints affect its commercial feasibility.³³ Little information is available
410 regarding the integration of BCAs into conventional cropping systems. The successful results
411 obtained in this study have taken science one step further, demonstrating that a field program
412 strategy based on CPA-8 or Pf909 formulated products effectively control brown rot in most
413 cases, at similar levels of efficacy to chemical strategies.

414 The method of integrating of BCAs into conventional crop production management could affect
415 their viability or efficacy. Therefore, in this report, we also checked whether the standard
416 practises commonly used in field management could interfere with the viability of BCAs.
417 Moreover, our BCAs are compatible with the majority of chemical products that are applied to
418 stone fruit orchards under conventional production strategies.³⁴ In cases where BCAs cannot
419 match the efficacy of chemical strategies, our BCAs could be combined with the chemical
420 products, and applied in alternating cycles. In fact, in conventional agriculture, there is an
421 increasing tendency to compliment the use of chemical products with those based on BCAs, so
422 an important requirement is for BCAs to be compatible with chemical products. **Moreover, it**
423 **has to be note that this integration will minimise the risk of fungicide resistances in comparison**
424 **with the application of fungicide programmes, which, often, their design is based on products**
425 **with the same mode of action, being not sustainable for controlling pathogens that have a high**
426 **risk of fungicide resistance. In this context, the integrated disease management that includes the**

427 BCAs evaluated in this paper (not commercial available) would be a long-
428 term commercially preferable strategy to control brown rot.

429 Although the results obtained in this study were successful, some constraints on BCA efficacy
430 are apparent when the incidence of disease in the field is medium to high. In these cases, BCAs
431 were effective in reducing the incidence of brown rot, but at a lower efficacies than chemical
432 strategies. Basic information relating to the expected disease pressure in each orchard is necessary
433 for the successful use of BCAs in brown rot control applications. Tools such as prediction models
434 for disease infection risks are indispensable and will be useful for this purpose. Depending on the
435 scenario predicted, the deficiencies of control agents could be overcome by integrating their
436 application with other cultural practices or chemical applications, where necessary, in control
437 strategies adapted to each situation, for maximum benefits. However, until now, information
438 provided by our prediction model indicates the infection risk by *Monilinia* spp. in the field along
439 the growing fruit season, but not the prediction for the final level of disease at harvest. For
440 decisions making to determine the optimal strategy to be applied in each case the technical criteria
441 will be fundamental.

442

443 **5. Conclusions**

444 In the current framework, the BCAs studied showed enough potential to be commercially
445 viable, with numerous applications as a reliable tool in conventional stone fruit production,
446 especially in organic production where chemical fungicides are not permitted. Therefore, in the
447 near future, further experiments will focus on improving the level of BCAs efficacy by
448 integrating BCAs and chemical products in the same brown rot control strategy laying
449 groundwork for the complementary and simultaneous use of BCAs and chemical products,
450 towards a more sustainable and competitive future in agriculture.

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458

459 **7. Conflict of Interest Declaration**

460 The authors declare that they have no conflict of interest.

461

462 **8. References**

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568 Table 1. Orchard characteristics used to validate the biocontrol strategy in different European
 569 countries.

Crop	Country	Variety	Orchard	Location	Growing season	Trials conducted
Nectarines	France	‘Tourmaline’	Orchard 01	43.220833N-2.651666E	2016 / 2017	2
	Italy	‘Morsiani’	Orchard 02	44.427430N-11.94748E	2016 / 2017	2
	Spain	‘Red Jim’	Orchard 03	41.552120N-0.573463E	2016 / 2017	2
Peaches	France	‘Fidelia’	Orchard 04	43.220277N-2.653888E	2016 / 2017	2
	Italy	‘Corindom’	Orchard 05	44.440169N-11.96811E	2016 / 2017	2
	Spain	‘Tardibelle’	Orchard 06	41.586239N-0.740606E	2016	1
		‘Groc d’Ivars’	Orchard 07	41.834309N-0.532591E	2017	1
Cherries	Belgium	‘Lapins’	Orchard 08	50.779583N-5.136766O	2016 / 2017	2
		‘Sweetheart’	Orchard 09	50.842866N-5.173066O	2016 / 2017	2

570

571 Table 2. Chemical treatment description applied at each orchard.

		Active ingredient		Application time (days before harvest)
Country	Orchard	2016	2017	
Belgium	Orchard 08	Captan	Captan	30
		Boscalid+pyraclostrobin	Boscalid+pyraclostrobin	14
	Orchard 09	Tebuconazole	Tebuconazole	7
		Fenhexamid	Fenhexamid	3
France	Orchard 01	Fenbuconazole	Fenbuconazole	30
		Tebuconazole	Fenbuconazole	14
	Orchard 04	Tebuconazole	Tebuconazole	7
		Fenbuconazole	Fenbuconazole	3
Italy	Orchard 02	Cyprodinil+fludioxonil	Cyprodinil+fludioxonil	30
		Boscalid+pyraclostrobin	Boscalid+pyraclostrobin	14
	Orchard 05	Boscalid+pyraclostrobin	Boscalid+pyraclostrobin	7
		Boscalid+pyraclostrobin	Boscalid+pyraclostrobin	3
Spain	Orchard 03	Cyproconazole	Cyproconazole	30
	Orchard 06	Fluopyram+tebuconazole	Fluopyram+tebuconazole	14
	Orchard 07	Fluopyram	Fluopyram	7
		Fenbuconazole	Fenbuconazole	3

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573

574 Table 3. Population of *Bacillus amyloliquefaciens* CPA-8 and *Penicillium frequentans* Pf909 for
 575 BS1-, BS2- and PF1-, PF2-, PF2×10-formulated products, respectively. Evaluations were
 576 conducted after the first treatment applications in 2016 and 2017.

		BCAs population (log CFU cm ⁻²) *							
		2016				2017			
Crop	Variety	BS1	BS2	PF1	PF2	BS1	BS2	PF2	PF2×10
Peach	'Corindom'	3.85	3.84	2.48	2.55	4.74	4.77	2.08	3.98
	'Fidelia'	5.03	5.07	2.52	2.71	4.97	4.96	2.24	3.55
	'Groc d'Ivars'	-	-	-	-	4.88	4.96	2.31	4.23
	'Tardibelle'	5.15	5.03	1.86	2.15	-	-	-	-
Nectarine	'Morsiani'	3.99	4.12	2.00	2.10	4.30	4.33	1.61	2.31
	'Red Jim'	4.65	4.78	1.88	1.99	4.30	4.42	1.80	3.62
	'Tourmaline'	5.01	4.97	1.91	1.92	4.94	4.98	4.28	5.57
Cherries*	'Lapins'	4.44	4.45	2.71	3.14	4.31	3.86	2.89	4.42
	'Sweetheart'	5.49	5.43	2.73	3.07	4.22	4.29	4.39	4.20

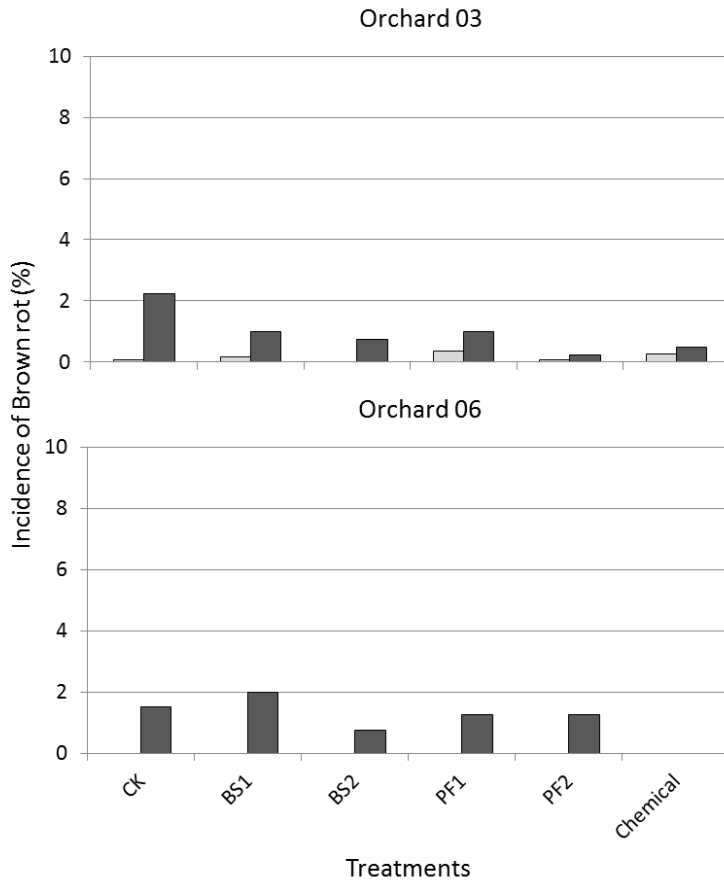
577 *On Cherries BCAs population was expressed as log₁₀ CFU g⁻¹.

578

579 Table 4. Population of *Bacillus amyloliquefaciens* CPA-8 and *Penicillium frequentans* Pf909 for
 580 BS1-, BS-2 and PF1-, PF2-, PF2×10- formulated products, respectively. Evaluations were
 581 conducted at harvest time for trials carried out in 2016 and 2017.

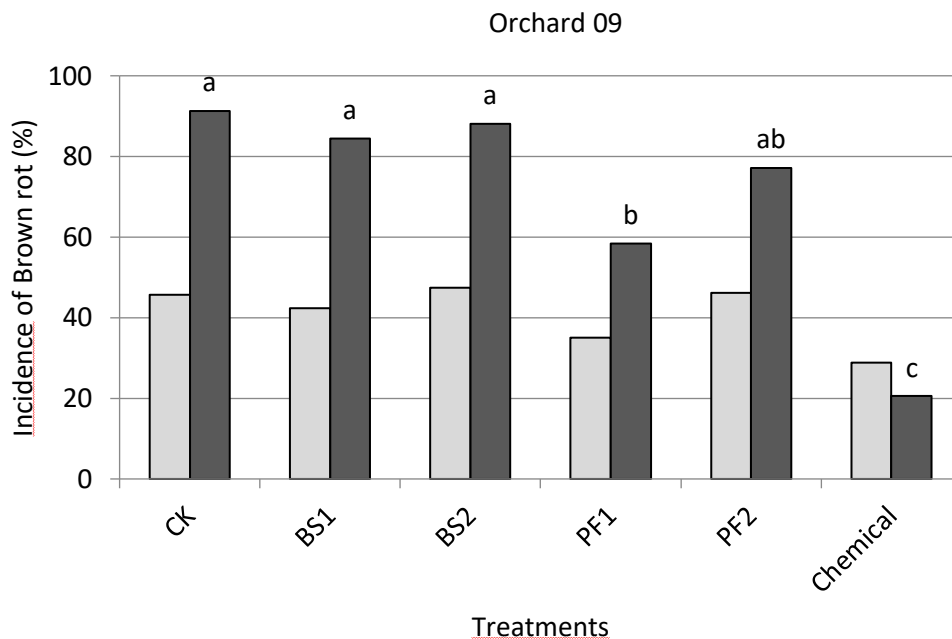
		BCAs population (log CFU cm ⁻²)							
		2016				2017			
Crop	Variety	BS1	BS2	PF1	PF2	BS1	BS2	PF2	PF2×10
Peach	'Corindom'	4.11	4.49	2.66	2.86	4.90	5.04	2.31	3.98
	'Fidelia'	5.23	5.24	2.47	2.49	4.83	4.77	2.55	3.75
	'Groc d'Ivars'	-	-	-	-	4.92	5.17	3.65	5.38
	'Tardibelle'	5.33	5.20	2.43	2.48	-	-	-	-
Nectarine	'Morsiani'	3.98	4.00	2.98	3.04	4.26	4.22	2.52	3.31
	'Red Jim'	4.89	4.97	2.84	3.07	5.01	5.00	2.57	3.51
	'Tourmaline'	5.02	4.93	3.21	3.43	4.87	4.87	4.39	5.84
Cherries	'Lapins'	4.07	3.87	3.22	3.47	4.83	4.59	3.47	4.42
	'Sweetheart'	4.34	4.82	3.43	3.76	4.36	4.56	4.47	4.42

582 *On Cherries BCAs population was expressed as log₁₀ CFU g⁻¹.



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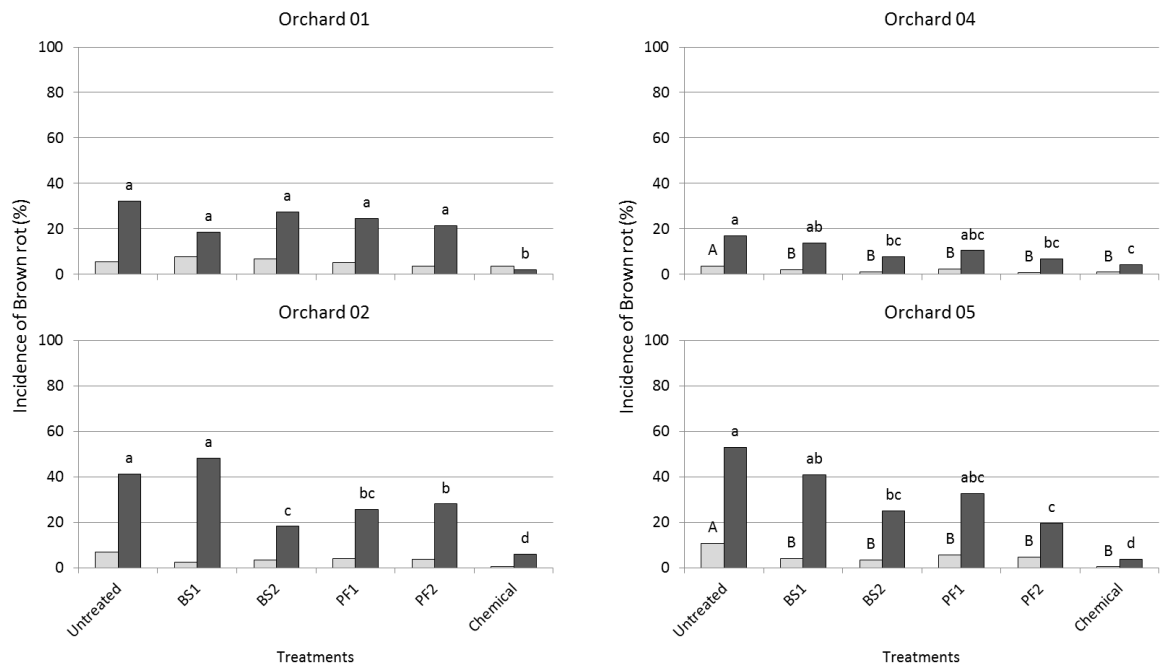
584 Figure 1. Efficacy trials classed under Scenario 1 (orchards with very low disease incidence in the
 585 field). Data representing the incidence of brown rot (%) recorded in orchard 03 and orchard 06
 586 corresponding to ‘Red Jim’ nectarines and ‘Tardibelle’ peaches, respectively, at harvest time (□
 587) or at postharvest (■), after 7 days at 0 °C, and 90 % RH, plus 5 days at 20 °C and 85 % RH.
 588 Treatments evaluated were BS1 and BS2, using products based on *Bacillus amyloliqueaciens*
 589 CPA-8; PF1 and PF2, and using products based on *Penicillium frequentans* Pf909; Chemical,
 590 which used the standard chemical strategy for each country; and CK, wherein no treatment was
 591 applied. No differences were found according to the LSD test ($p < 0.05$).



593 Figure 2. Efficacy trial classified under Scenario 2 (very high disease incidence). Data represent
 594 the incidence of brown rot (%) recorded in orchard 09 in 2016, corresponding to ‘Sweetheart’
 595 cherries at harvest time (□), or at postharvest (■) after 7 days at 0 °C and 90 % RH, plus 7 days
 596 at 20 °C and 85 % RH. Treatments evaluated were BS1 and BS2, products based on *Bacillus*
 597 *amyloliquefaciens* CPA-8; PF1 and PF2, products based on *Penicillium frequentans* Pf909;
 598 Chemical, which used the standard chemical strategy for each country; and CK, wherein no
 599 treatment was applied. Within the same series, different letters indicate significant differences (p
 600 < 0.05) according to the LSD test.

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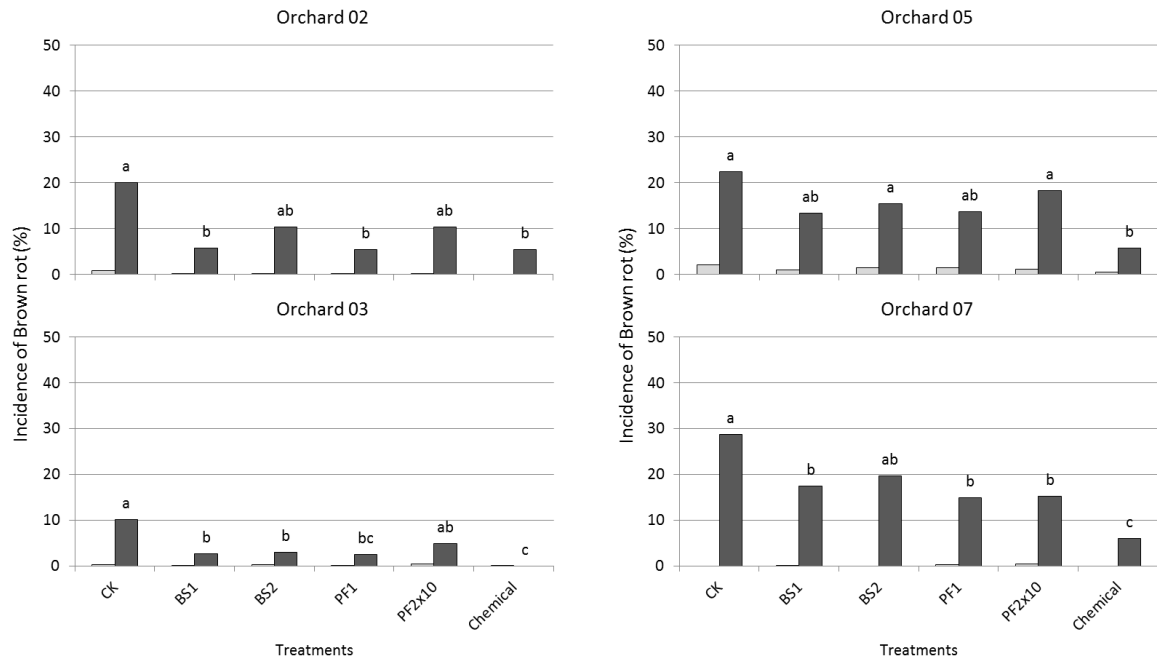
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 604 Figure 3. Efficacy trials classed under scenario 3 (controllable disease). Data represent the
 605 incidence of brown rot (%) recorded in 2016 for orchard 01 ('Tourmaline' nectarine in France),
 606 orchard 02 ('Morsiani' nectarine in Italy), orchard 04 ('Fidelia 'peach' in France), and orchard
 607 05 ('Corindom' peach in Italy), at harvest time (□) or at postharvest (■) after 7 days at 0 °C, and
 608 90 % RH, plus 7 days at 20 °C and 85 % RH. Treatments evaluated were BS1 and BS2, products
 609 based on *Bacillus amyloliqueaciens* CPA-8; PF1 and PF2 products based on *Penicillium*
 610 *frequentans* Pf909; Chemical, which used the standard chemical strategy for each country; and
 611 CK, wherein no treatment was applied. Within the same series, different letters indicate
 612 significant differences ($p < 0.05$) according to the LSD test.

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616 Figure 4. Efficacy trials included in scenario 3 (controllable disease). Data represent the incidence

617 of brown rot (%) recorded in 2017 for orchard 02 ('Morsiani' nectarine in Italy), orchard 03

618 ('Red Jim' nectarine in Spain), orchard 05 ('Corimdom' peach in Italy), and orchard 07 ('Groc

619 d'Ivars' peach in Spain) at harvest time (□) or at postharvest (■) after 7 days at 0 °C and 90 % RH

620 plus 7 days at 20 °C and 85 % RH. Treatments evaluated were BS1 and BS2, products based on

621 *Bacillus amyloliqueaciens* CPA-8; PF1 and PF2, products based on *Penicillium frequentans*

622 Pf909; Chemical, which used the standard chemical strategy for each country; and CK, wherein

623 no treatment was applied. Within the same series, different letters indicate significant differences

624 ($p < 0.05$) according to the LSD test.

625