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# 1 Amplified plasmonic and microfluidic setup for DNA monitoring

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15 oligonucleotides detection, Label-free amplification, plasmonic sensors.

16

## 17 **Abstract**

18 Plasmonic nanosensors for label-free detection of DNA require excellent sensing resolution, which is  
19 crucial when monitoring short DNA sequences, as these induce tiny peak shifts, compared to large  
20 biomolecules. We report a versatile and simple strategy for plasmonic sensors signal enhancement by  
21 assembling multiple (four) plasmonic sensors in series. This approach provided a four-fold signal  
22 enhancement, increased signal to noise ratio, and improved sensitivity for DNA detection. The response

23 of multiple sensors based on AuNSpheres was also compared to AuNRods with the latter showing better  
24 sensing resolution.

25 The amplification system based on AuNR was integrated with a microfluidic platform and applied to  
26 the monitoring of DNA, specific from environmental invasive species - zebra mussels. DNA from zebra  
27 mussels was log concentration-dependent from 1 pM to  $1 \times 10^6$  pM, reaching a detection limit of 2.0 pM.  
28 In situ tests were also successfully applied to real samples, within less than 45min, using DNA extracted  
29 from zebra mussel meat.

30 The plasmonic nanosensors' signal will be used as a binary output (YES/NO) to assess the presence of  
31 those invasive species. Even though these genosensors were applied to the monitoring of DNA in  
32 environmental samples, they also offer a great advantage in a wide range of fields, such as disease  
33 diagnostics.

#### 34 **Introduction**

35 Gold nanostructures are known for their bright colors, presenting unique optical features[1]. When  
36 interacting with light the electrons at the surface of the nanostructure start to collectively oscillate  
37 exhibiting a phenomenon called localized surface plasmon resonance (LSPR), within the visible to near-  
38 infrared frequencies. This collective oscillation leads to strong light scattering which results in  
39 resonance peaks in their extinction spectra. The plasmon peak position and intensity of gold  
40 nanostructures strongly depend on its composition, geometry, size, interparticle spacing, surface  
41 density, and refractive index of the surrounding medium[2, 3]. Nanoplasmonic biosensors are fabricated  
42 targeting the formation of nanostructures on a surface through top-down conventional lithography  
43 techniques (electron beam lithography, ion beam lithography, colloidal lithography)[4–6] or bottom-up  
44 approaches, based on the deposition of pre-synthesized nanostructures onto the surface[7, 8]. Bottom-  
45 up methodologies offer the simplest, cheapest, and fastest fabrication alternatives, without requiring  
46 specialized equipment and setup. Moreover, nanoparticles (NPs) synthesis has the advantage to produce  
47 inexpensively large amounts of single crystal particles with the freedom to select the type of materials,  
48 shapes, sizes, and to tune their LSPR frequency[9–11].

49 The optical properties of plasmonic sensors rely on the intrinsic properties of the assembled NP, in their  
50 spatial arrangement and surface interactions. Therefore, it is crucial to select immobilization strategies  
51 and working conditions to achieve the highest NP density with optimum inter-particle spacing  
52 arrangement to minimize plasmon coupling effects and achieve narrow and intense plasmon peaks, as  
53 these highly contribute to the sensing performance of plasmonic biosensors.

54 Plasmonic sensors, due to its highly confined electromagnetic field, are extremely sensitive to changes  
55 in the dielectric environment close to the surface. The presence of a target molecule alters the refractive  
56 index around the nanostructures, inducing maximum extinction peak shifts to higher wavelengths.  
57 Sensing resolution depends on the absolute magnitude and narrow bandwidth of the plasmon peak, to  
58 accurately track the shifts of maximum extinction peak[2]. Thus, the spatial arrangement of the  
59 nanostructures and the sensing resolution are directly related. Sensing resolution is particularly relevant  
60 when monitoring small molecules which, induce tiny peak shifts compared to large biomolecules, such  
61 as proteins or enzymes[12]. Therefore, the narrower the bandwidth, the sharper the plasmon peak gets,  
62 and consequently the easier it will be to track tiny peak shifts. However, assembling a well-dispersed  
63 monolayer of single NPs distribution with high surface density and reduced plasmon coupling is a  
64 compromise difficult to achieve for bottom-up approaches, even when controlling crucial factors such  
65 as time, particle concentration in solution and temperature[13, 14]. Aggregates and clusters formation  
66 on the surface of plasmonic sensors triggers LSPR peak broadening due to interparticle coupling and,  
67 consequently, decrease the overall NPs surface area and sensitivity.

68 Here, we present an alternative strategy for signal amplification of plasmonic sensors based on multiple  
69 plasmonic sensors in series to maximize NPs surface density and achieve high sensitivity and  
70 reproducibility performance, overcoming signal intensity limitations of bottom-up approaches. This  
71 strategy is based on a flow cell that assembles multiple plasmonic sensors, integrated in a microfluidic  
72 system to detect DNA from invasive species within less than 45 min, without labels, enzymes, or  
73 complex DNA structures amplifications steps. Integration of the microfluidic systems allows the  
74 continuous monitoring of plasmon peak shifts of the same region, as sensors remain exactly in the same

75 position, mitigating manipulation errors. The developed setup can be applied to all plasmonic sensors  
76 regardless of the fabrication strategy.

77 Additionally, the device was used to monitor invasive species, zebra mussel (*Dreissena Polymorpha*)  
78 in river basins samples from Spain. Zebra Mussels are one of the 100 most harmful invasive alien  
79 species in the world and a global threat to biodiversity and economy[15]. These species can rapidly  
80 spread, being difficult to predict possible contaminated regions. Additionally, eliminating contaminated  
81 regions is usually temporary and costly. Therefore, its early detection through environmental DNA  
82 would allow their identification and implementation of timely measures to mitigate their negative  
83 impact[16].

## 84 **Experimental Section**

### 85 **Fabrication of LSPR nanosensors based on Gold Nanospheres (AuNS) and Gold NanoRods** 86 **(AuNR)**

87 Synthesized 80 nm AuNS were immobilized onto the glass surface by physical adsorption. To prepare  
88 plasmonic sensors, the glass surfaces modified with a positively charged polymer were immersed  
89 overnight in the NP solution. Regarding AuNR plasmonics sensors, the substrates were chemically  
90 modified with a mercapto silane compound prior its immersion on AuNR solution overnight. Detailed  
91 fabrication procedures and characterization of both NPs and plasmonic sensors are described in the  
92 experimental section of SI.

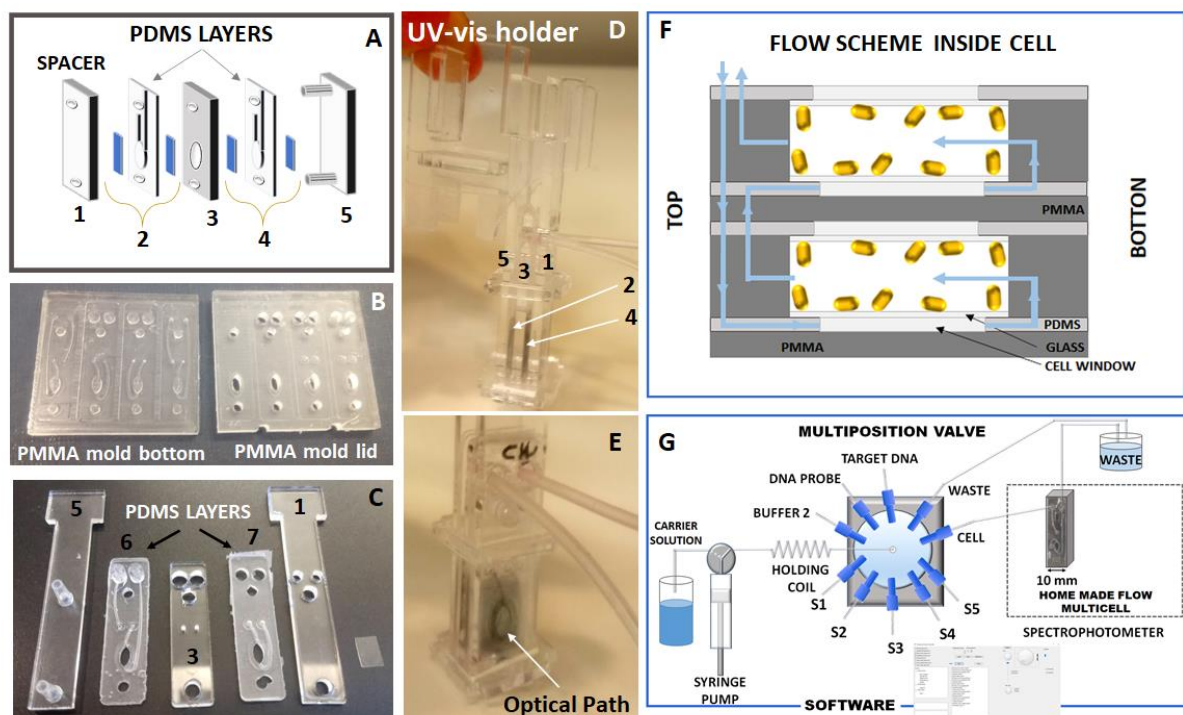
### 93 **Fabrication of Signal Amplification home-made flow cell**

94 Signal amplification flow cell was built by combining the plasmonic sensors, the polydimethylsiloxane  
95 (PDMS) soft layers, and the polymethylmethacrylate (PMMA) transparent solid supports, as shown in  
96 **Fig. 1A**. Each PDMS layer is the result of two PDMS semi-layers (0.25 mm thickness) with engraved  
97 half channels (obtained by direct PDMS reagent cast onto the PMMA micromold, shown in **Fig. 1B**)  
98 which, bonded together, forming the 3D channels inside the PDMS layer. The two PDMS layers  
99 prepared exhibit distinct channel designs and similar openings for a good optical path alignment with  
100 each other, **Fig. 1C (PDMS layers 6/7)**.

101 The flow-cell is assembled by intercalating the three PMMA supports, four nanoplasmonic  
 102 sensors/glass substrates and two PDMS thin layers. Briefly, the horizontally assemble is made by: i)  
 103 placing a PMMA support on the bottom (5), ii) glass /plasmonic sensor, iii) PDMS layer (6), iv) glass  
 104 /plasmonic sensor, v) the PMMA spacer (3), vi) glass/plasmonic sensor, vii) the PDMS layer (7), viii)  
 105 glass/plasmonic sensor and ix) finally the top PMMA support (1), hold together with a PMMA square  
 106 “ring” (**Fig. 1D/E**).

107 To create the flow chamber in each PDMS layer, two plasmonic sensors/glass substrate are required,  
 108 blocking the fluid from lateral escaping, and forcing the flow to move in the up direction. The fluid  
 109 enters the flow cell through one connector at the top of the PDMS layers (6) and gets inside the optical  
 110 chamber through the bottom, minimizing formation of air bubbles. Then, it flows to the second PDMS  
 111 through the middle connection of the PMMA, enter the chamber through the bottom, passes again  
 112 through the middle connection of PMMA, enters the first PDMS and leaves the flow cell, as shown in  
 113 scheme of **Fig. 1F**. A photograph and additional fabrication details of the microfluidic flow cell are  
 114 described in the SI (**Fig. S1**).

115



116 **Fig. 1.** Overview of the microfluidic cell and system. **A)** Scheme of flow cell assembly with plasmonic  
117 sensors or plain glass (**2 and 4**) to be placed before and after the PDMS layers; **B)** PMMA mold for  
118 PDMS thin layers production; **C)** Flow cell components: bottom PMMA spacer (**5**), bottom PDMS  
119 layer (**6**), middle PMMA spacer (**3**), top PDMS layer (**7**) and top PMMA layers (**1**), left to right; **D)**  
120 Image of the assembled flow cell, lateral view (standard cuvette size 10×10×45 mm); **E)** Image of  
121 assembled flow cell, front view; **F)** Flow direction inside the microfluidic cell; **G)** Automated flow  
122 injection system used in the detection, S1-S5: sample/standard solution dilutions.

### 123 **Microfluidic LSPR sensor measurements**

124 The versatility of the developed flow cell allows to conduct the measurements with single or multiple  
125 plasmonic sensors (up to four). The flow cell was connected to an automated flow injection system  
126 controlled by a homemade software, that also controlled the UV-vis, as displayed in **Fig. 1G (Fig. S2/S3**  
127 **of SI)**.

128 Recipe protocols used in all experiments are detailed in **Table S1 and S2** of SI. All LSPR spectra, for  
129 standards and samples, were collected for wavelength ranging from 400 to 800 nm. To determine the  
130 extinction maximum wavelength -  $\lambda_{\max}$  (peak wavelengths), MATLAB R2018 software was used to  
131 interpolate each spectrum by fitting a polynomial function of degree 2, from 480–580 nm and 570-670  
132 nm for AuNS and AuNR, respectively. This strategy allows to monitor the peak position below the  
133 wavelength resolution of the spectrophotometer.

### 134 **Real-time immobilization of probe DNA followed by target hybridization**

135 Nanoplasmonic sensors based on AuNR were used to monitor different strategies for DNA probe  
136 immobilization followed by target DNA detection (hybridization). All the synthetic oligonucleotides  
137 sequences included a 5' Thiol C6 end modification with and without a polyA oligonucleotides-spacer.  
138 DNA probes fully complementary to the target DNA are specific sequences from zebra mussel  
139 (*Dreissena Polymorpha*), one of the 100 most harmful invasive alien species in the world[17]. Co-  
140 immobilization of DNA probe with Poly (ethylene glycol) methyl ether thiol- mPEG of different

141 molecular weights (Mw), 350, 800 and 2000 Da, at different concentrations was also evaluated. The  
 142 oligonucleotides sequences used are shown in **Table 1**.

143 **Table 1.** Oligonucleotides sequences used as capture probe and target.

	Sequence (5' to 3')	Bases
<b>HS-C6-polyA-ssDNA Probe (SH-polyA-DNA)</b>	Thiol C6 – <u>AAAAAAAAAA</u> TATTCGTTTAGAGCTAAGGGC	10 + 21
<b>HS-C6 -ssDNA Probe (SH-DNA)</b>	Thiol C6 –TATTCGTTTAGAGCTAAGGGC	21
<b>Full Match Target DNA</b>	GCCCTTAGCTCTAAACGAATA	21

144

145 Probe DNA immobilization was performed in running buffer 0.99M CaCl<sub>2</sub>.TE buffer pH 7.4 (buffer 1)  
 146 while hybridization studies were conducted in 0.99 M CaCl<sub>2</sub>.TE buffer pH 8.4 (buffer 2). This way the  
 147 prepared running buffers match the buffer concentration in the standards/samples eliminating RI  
 148 changes inherent to the buffer.

149 Probe immobilization and hybridization experiments were conducted with the flow cell assembled with  
 150 4 nanoplasmonic sensors based on AuNR, at room temperature (22°C). The study of different surface  
 151 modifications was performed by incubating probe and/or PEG for ~1h, followed by 3×10<sup>6</sup> pM of target  
 152 DNA for ~4h at intermittent flow (according to **Table S2 of SI** in the hybridization studies column).

153 Calibrations with increasing target concentrations ranging from 1pM to 1×10<sup>6</sup> pM were incubated for  
 154 ~45 min each (according to **Table S2 of SI** in the calibration column). The real samples analysis used  
 155 20 μL of Zebra Mussel extract diluted in 280 μL of hybridization buffer (buffer2) for a 15-fold dilution.  
 156 Similarly, to the standard solution, the Zebra Mussel samples were incubated for 45 min at intermittent  
 157 flow. All the details on sample preparation and analysis protocol are further explained in SI or displayed  
 158 in **Table S2**. Experimental details on DNA surface coverage assay during co-immobilization with  
 159 mPEG are also explained in experimental section of SI.

160 All spectra were collected in the suitable buffer before and after each surface modification, under  
 161 continuous flow of 1μL s<sup>-1</sup>.



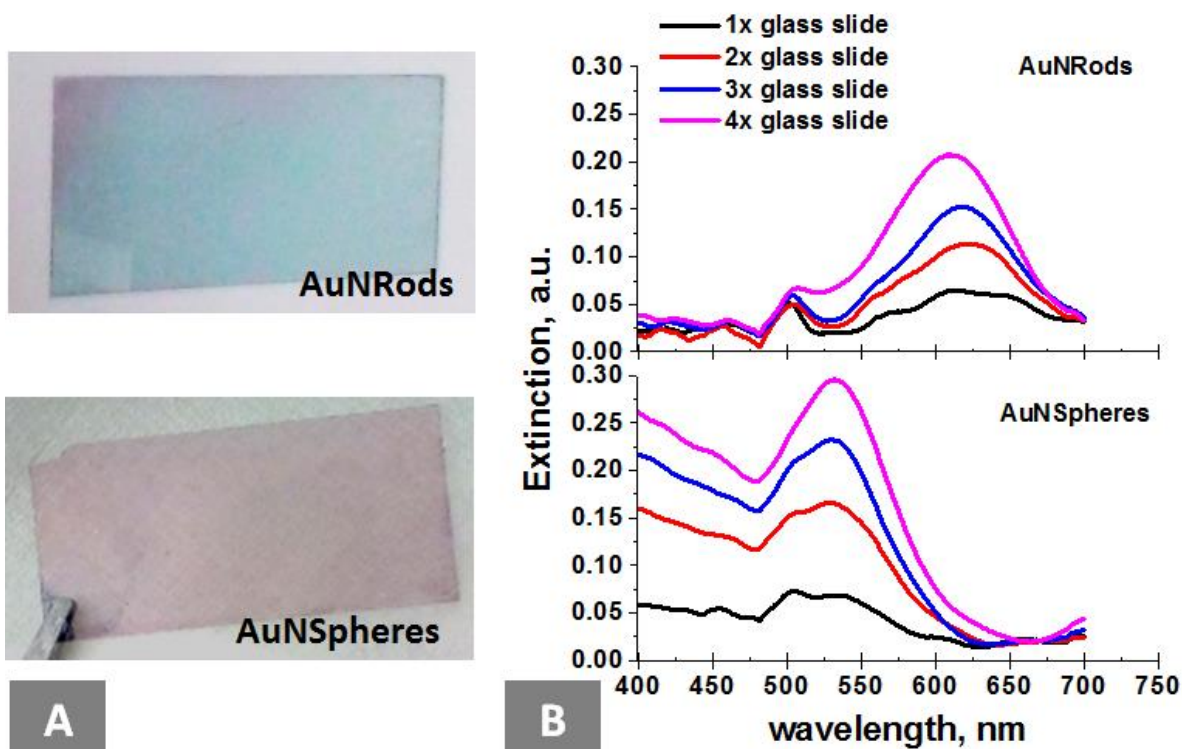
162

## 163 **RESULTS AND DISCUSSION**

### 164 **Fabrication of plasmonic sensors**

165 Prior plasmonic sensors fabrication, the NPs were synthesized via a wet chemical method for fast  
166 production of large amounts of uniform NPs. AuNS and AuNR were characterized by UV-vis  
167 adsorption, with spectra showing the maximum LSPR peak at 551.9 nm and 528.3 nm/662.1 nm for the  
168 transverse/longitudinal peak, respectively. Size estimation was obtained by TEM image analysis,  
169 showing a size of  $78.9 \pm 7.4$  nm for AuNS, whereas AuNR showed width  $\times$  length dimensions of  $17.7$   
170  $\pm 2.2 \times 42.3 \pm 4.2$  nm (aspect ratio of 2.3), as shown in **Fig. S4** of SI. Before the NP attachment onto  
171 the glass substrate, excess of capping agents was removed to facilitate the covalent immobilization.  
172 This step is especially important for AuNR, as CTAB surfactant adversely affect the surface assembly,  
173 due to the predominant electrostatic shielding effect to stabilize the colloids and consequently the  
174 diffusion of AuNR to the surface becomes secondary [18]. Although for AuNS, the effect of citrate  
175 capping agent is not problematic, as the self-assembly of nanoparticles into the surface, is driven by  
176 electrostatic interactions, which are strengthened by the negative surface charge of citrate/AuNS.  
177 Electrostatic interactions and silane coupling chemistry were the two strategies used to attach AuNS  
178 and AuNR, respectively. In the case of AuNS, to minimize repulsion between the negatively charged  
179 glass surfaces (activated hydroxylate groups) and NPs, a positively charged PDDA polymer was added  
180 to the glass surface. The electrostatic repulsion within the like-charged AuNS results in a minimum  
181 distance between neighboring AuNS on the substrate, forming a short-range ordered pattern. For the  
182 preparation of AuNR base sensors, chemical immobilization was obtained through a hetero-functional  
183 silane-thiol linker. The silane functional groups react with the hydroxyl groups on activated glass  
184 surface, while the exposed mercapto functional groups interact with AuNR, incubated at low CTAB  
185 concentrations. The mercapto functional groups of the linker establish strong S-Au bonds with surface  
186 of AuNR, enabling its assembly. During the fabrication of plasmonic sensors, long incubation times of  
187 NPs might lead to the formation of multiple layers stacking however, to minimize this effect, low  
188 particles and stabilizing agents concentrations, were used (**Fig. S5** of SI). The presence of AuNS and

189 AuNR on the glass substrates was easily identified by the appearance of a light pink or blue color,  
190 respectively, as shown in **Fig. 2A**.



191  
192 **Fig. 2.** A) Image of plasmonic sensors assembled with AuNR and AuNS, B) Effect of multiple  
193 plasmonic sensors for both AuNR and AuNS in air.

#### 194 **Characterization of nanoplasmonic sensors**

195 The fabricated plasmonic sensors were characterized by UV-vis spectroscopy and showed extinction  
196 spectra with LSPR peaks at 532.8 nm for AuNS, while AuNR coated substrates displayed the  
197 longitudinal LSPR band at 614.7 nm and transverse LSPR band at 505.2 nm. The AuNS symmetric  
198 shape presented a single LSPR band while the anisotropy shapes, AuNR, showed two LSPR bands with  
199 different intensities[19]. The higher intensity of the longitudinal band is due to strong surface plasmon  
200 oscillations along the nanorod long axis when compared to the surface plasmon oscillations of the  
201 transverse LSPR band (plasmon oscillation along the nanorod short axis). As expected, the peak  
202 position of both NPs, in solution and at the surface, presented slightly distinct peak positions, due to the  
203 effect of the substrate on the LSPR spectra [20].

204 Bottom-up fabrication of plasmonic sensors influence dispersity, arrangement, and density of AuNP on  
205 the surface which determines intensity of optical responses, signal/noise ratio, and shape of the plasmon  
206 peaks (narrow/broad). The increase of plasmon coupling effect induces plasmon peak broadening and  
207 signal decrease due to its high NP distance-dependent. This distance has been calculated using plasmon  
208 hybridization models, demonstrating that distances of 2 to 2.5 times the particle diameter start to induce  
209 plasmon coupling[21]. The low NP density, low signal/noisy ratio, and the broadening of the LSPR  
210 bands challenge accurate small peak shifts monitoring.

211 Plasmonic sensors with both AuNS and AuNR displayed extinction spectra with lower band intensities  
212 (AuNR longitudinal band 0.06 a.u. and AuNS 0.07 a.u) when compared to its colloids, given the lower  
213 nanostructures density on the surface. However, the increase of NP density could directly lead to  
214 plasmon coupling effects.

215 Surface distribution and density were evaluated through SEM image analysis. Surface densities for  
216 AuNR and AuNS showed values of 131 particles  $\mu\text{m}^{-2}$  and 35 particles  $\mu\text{m}^{-2}$ , corresponding to a surface  
217 coverage of 7.5% and 17.6%, respectively (**Fig. S6**, SI). Based on the minimum inter-particle distance  
218 required to avoid coupling effect, the maximum NPs density was roughly estimated, with values of 281  
219 particles  $\mu\text{m}^{-2}$  and 32 particles  $\mu\text{m}^{-2}$  for AuNR and AuNS, respectively. These data suggest that plasmon  
220 coupling is insignificant for AuNR while for AuNS it is close to the limit. The arrangement of AuNR  
221 on the glass substrate was also evaluated by Atomic Force Microscopy (AFM) indicating that most of  
222 the nanoparticles are horizontally displayed (**Fig. S7** of SI).

### 223 **Amplification of plasmonic sensors signal**

224 Signal enhancement, an increase of signal/noise ratio and increment of the global surface density  
225 without plasmon coupling effects were achieved through the reading of multiple plasmonic sensors.  
226 Additionally, the integration of the flow cell in a microfluidic system allows the continuous  
227 measurement of LSPR plasmon peaks shifts induced from surface changes of a specific region/area of  
228 the sensor, minimizing shifts variation and errors associated to sample replacement after each  
229 modification step.

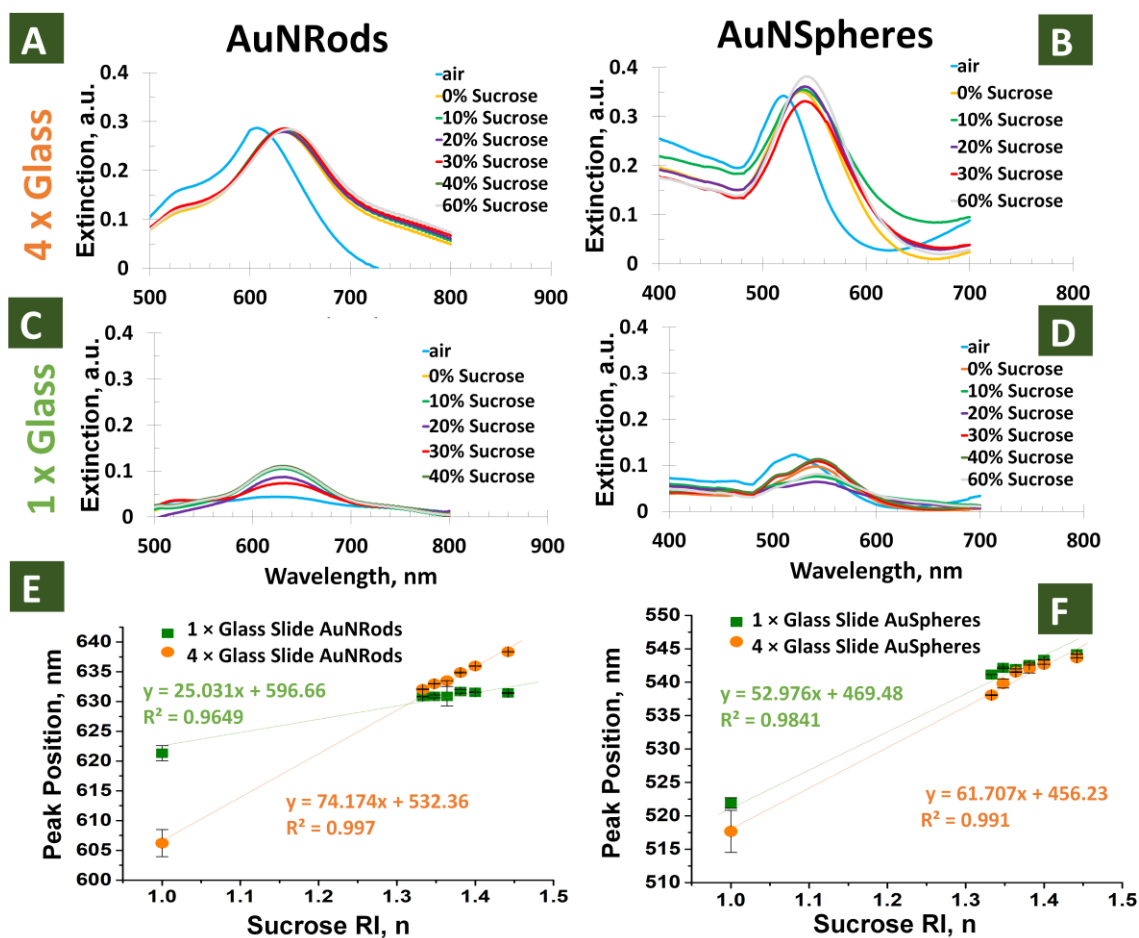
230 Flow cell signal amplification capacity was evaluated by comparison of the extinction spectra in air of  
231 single and multiple plasmonic sensors. Collected spectra are displayed in **Fig. 2B**. Overall, the results  
232 showed an increase of signal intensity proportional to the increment of the number plasmonic sensors,  
233 for both AuNS and AuNR. The peak width of the spectra collected narrowed from single to 4 plasmonic  
234 sensors, for both shapes, assessed through the full width at half-maximum (FWHM) which decreased  
235 from 149 to 123 for AuNR and from 155 to 122 for AuNS. Additionally, collected data with single  
236 plasmonic sensors showed noisy spectra, being difficult to accurately estimate the maximum intensity  
237 and peak positions of the LSPR band. Nevertheless, a rough estimation through Lorentzian fitting was  
238 done. Single AuNR nanoplasmonic sensors showed the longitudinal LSPR peak position at 614.7 nm  
239 (0.0640 a.u.) whereas for AuNS based sensors the peak was at 532.8 nm (0.0680 a.u.). When four  
240 nanoplasmonic sensors were used, AuNR showed a longitudinal LSPR peak at 610.4 nm (0.2072 a.u.)  
241 and AuNS at 532.1 nm (0.2956 a.u.). In summary, the multiple plasmonic sensors in series lead to a 4-  
242 fold increase of the optical signal intensity. This signal enhancement is the result of the superposition  
243 of all individual optical responses of nanoplasmonic sensors. The absolute extinction intensity  
244 increased, and the peak position blue shifted  $\sim 4$  nm for AuNR and  $\sim 0.5$  nm for AuNS. Theoretically,  
245 the peak position should remain at the same position, however, the variability within plasmonic sensors  
246 contributes for this effect. Sample to sample (plasmonic sensors) variability for the LSPR position was  
247  $\pm 5$  nm for AuNR and  $\pm 2$  nm for AuNS. Although, variability from sample to sample do not compromise  
248 the sensing performance, as it is evaluated based on a peak shift variation rather than the absolute value  
249 of LSPR peak position. Optical signal amplification was achieved for multiple plasmonic sensing in  
250 series. Further evaluation of multiple sensors to achieve high signal to noise ratio (SNR) without LSPR  
251 mode coupling and peak broadening was carried out in the next studies.

## 252 **Bulk sensitivity**

253 Literature reports have demonstrated that anisotropic structures (nanorods or stars) offer higher plasmon  
254 sensitivity due to concentration of field enhancement on the tips, exhibiting higher local sensitivity  
255 when compared to isotropic (nanospheres) [22–24]. However, for large nanospheres, the increase of  
256 particle volume contributes to increase bulk sensitivity, although only by a limited extent. When the

257 nanostructures are deposited onto a substrate, the bulk sensitivity is also diminished because the LSPR  
 258 electromagnetic field gets contained within the substrate [25].

259 The sensitivity to bulk RI changes was evaluated for single and multiple plasmonic sensors based on  
 260 both AuNR and AuNS. Six solutions with increasing concentrations of sucrose, and different RI were  
 261 injected in the system. The collected extinction spectra for the different concentrations can be seen in  
 262 **Fig. 3.**



263  
 264 **Fig. 3.** Effect of single and multiple plasmonic sensors on optical response and bulk sensitivity. Spectra  
 265 of 4× plasmonic sensors (A) based in AuNR and (B) based in AuNS; Spectra of 1 × plasmonic sensors  
 266 (C) based in AuNR and (D) based in AuNS. Plotting of peak position *versus* RI of sucrose solutions  
 267 (n=3) (E) for AuNR and (F) AuNS.

268 For all the conditions tested, the increase of bulk RI induced a red shift of the LSPR peak position, with  
 269 AuNR based sensors showing a major shift at the longitudinal band along with small changes in the

270 transverse band. Single plasmonic sensors showed a sensitivity of  $25.0 \pm 2.7$  and  $52.9 \pm 0.5$  nm RIU<sup>-1</sup>  
271 (refractive index units) for AuNR and AuNS, respectively. Whereas the alignment of 4 plasmonic  
272 sensors showed a sensitivity of  $74.2 \pm 5.7$  and  $61.7 \pm 8.1$  nm RIU<sup>-1</sup> for AuNR and AuNS (**Fig. 3E/F**).  
273 Good reproducibility was also achieved with relative standard deviation (RSD) of 8 and 13% for AuNR  
274 and AuNS. In the case of AuNS, the sensitivity showed no significant difference between single and  
275 multiple sensors stacking. In the case of AuNR, the bulk sensitivity is 3-fold higher for multiple  
276 plasmonic sensors.

277 SNR can be used to calculate the accuracy of the sensors. SNR of single and multiple AuNR plasmonic  
278 sensors showed values of 5.3 and 90.43, while for AuNS similar values were obtained for single and  
279 multiple sensors (110 and 96). SNR data showed no significant improvement in terms of sensors  
280 accuracy for AuNS, in contrast for the anisotropic plasmonic sensors, higher SNR was observed for  
281 multiple plasmonic sensors. The obtained results are further discussed in the SI.

282 Generally, the comparison of SNR, FWHM of the LSPR peak and sensitivity data for single and  
283 multiple sensors allows to conclude that multiple sensors, unlike single plasmonic sensors, can achieve  
284 higher SNR without LSPR mode coupling and peak broadening. Even though sensing performance was  
285 improved for both type of sensors, AuNR display the best optical outcome. Therefore, multiple AuNR  
286 plasmonic sensors were selected for the following experiments.

### 287 **Immobilization of DNA probe and hybridization**

288 The immobilization of DNA probe molecules on a plasmonic transducer and further hybridization is  
289 affected by DNA length, functional linkers modified DNA and DNA probe density. DNA surface  
290 density is strongly influenced by the surface shape (flat or curved surface) as it determines the spatial  
291 arrangement of DNA on the surface. Therefore, the selected loading strategy greatly impacts the  
292 orientation and behavior of surface-immobilized DNA layers, determining the response of the  
293 nanoplasmonic sensors.

294 AuNR are cylindrical geometric forms with two semi-spheres at the ends. Therefore, the loading of  
295 DNA on the AuNR body and tips can behave slightly differently. For that reason, different co-  
296 immobilization strategies for probe DNA assembly followed by hybridization, were assessed.

297 The typical approaches to attach DNA on gold are the thiol-anchored DNA, anchoring sequences of  
298 adenine nucleotides (polyA) and/or co-immobilization with alkanethiols or organosulfur anchors of  
299 polyethylene glycol (PEG) derivatives in continuous flow [26, 27].

300 Prior DNA probe loading and hybridization, the nanostructures stability on the surface was assessed by  
301 monitoring the optical response over time ~1h under continuous flow of 20  $\mu\text{L sec}^{-1}$  of running buffer  
302 (buffer 2). Optical signal showed a signal variation of  $\pm 0.04$  nm, indicating no detectable loss of AuNR.

303 DNA probe immobilization strategy with thiol-modified DNA with ten adenines sequence (SH-PolyA-  
304 DNA probe), working as horizontal spacer to maintain DNA upright conformation was tested. The  
305 kinetic of SH-PolyA-DNA probe immobilization was continuously monitored by tracking the plasmon  
306 peak shift for ~10 hours (**Fig. S8** of SI). The obtained results, described in the SI, showed that 65% of  
307 probe immobilization was achieved within 1h, time selected for further experiments. However, the  
308 hybridization of fully complementary target was not favorable, with LSPR peak remaining unchanged.  
309 The unsuccessful hybridization of DNA might be related to low probe surface density due to special  
310 arrangement of DNA with poly A horizontal spacers. Hence, the low hybridization rate induces  
311 insignificant RI change. [28]

312 Alternatively, nanoparticles surface modification was performed with the same DNA sequence probe  
313 without the poly A spacer and co-immobilized with methoxy PEG (mPEG) of Mw 350, 800 and 2000  
314 Da, as shown in **Fig. S9**. Two different target incubation times were also assessed. The hybridization  
315 assays providing the highest plasmon peak shift were achieved for AuNR co-immobilized with SH-  
316 DNA probe and PEG2000, as deeply explained in the SI.

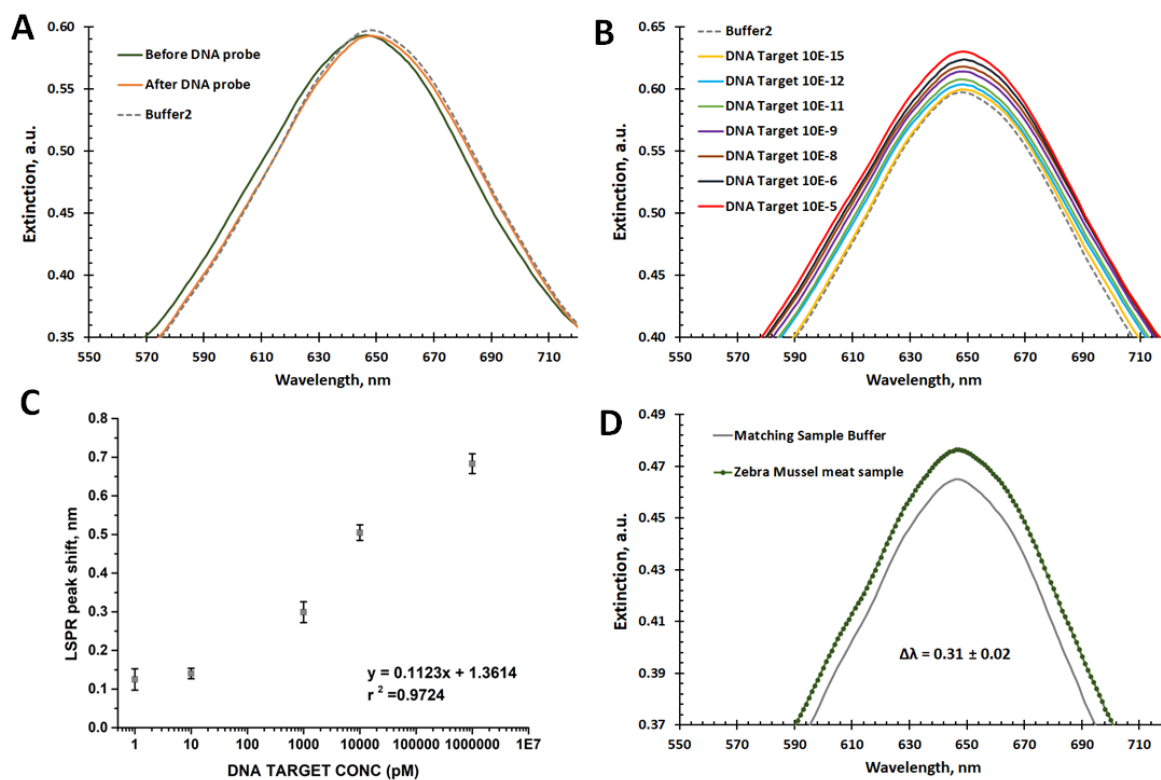
317 Complementary fluorescence assays were also carried out to determine the surface coverage of DNA  
318 probe on the AuNR plasmonic sensors. Plasmonic sensors were exposed to SH-DNA probe/PEG of  
319 different Mw for 1h, as described in SI. The comparison of DNA concentrations between the solutions

320 where plasmonic sensors were incubated and the controls, allowed to estimate the surface coverage of  
321 DNA. PEG2000 showed the highest probe DNA surface coverage,  $1.63 \pm 0.14 \times 10^{12}$ , whereas PEG350  
322 and 800 exhibited similar DNA loading behavior,  $5.35 \pm 1.63 \times 10^{11}$  and  $1.58 \pm 0.74 \times 10^{11}$ , respectively.  
323 PEG2000 is shorter than the SH-DNA probe ( $\sim 7$  nm), being able to maintain the distance between DNA  
324 strands, minimizing electrostatic repulsion, and allowing target DNA accessibility. The decrease of the  
325 Mw of PEGs molecules, increases the exposure of the single probe DNA, and its upstand orientation  
326 starts to be affected.

327 AuNR co-immobilized with SH-DNA probe and PEG2000 showed the highest probe surface coverage  
328 of  $\sim 1.6 \times 10^{12}$ , which also corresponds to the highest plasmon peak shift for hybridization. These  
329 data are consistent with the typical behavior of 15-30 oligonucleotides immobilization on flat surfaces  
330 with good hybridization efficiencies[27, 29]. Two factors contribute to this effect, the higher proportion  
331 of cylindric geometry of AuNR combined with its immobilization on a substrate. Therefore, this  
332 immobilization strategy for AuNR allowed the optimal distance between DNA strands and steric  
333 hindrance which improved the efficiency for target DNA hybridization.

334 DNA hybridization occurs when complementary DNA target is captured by probe DNA forming a  
335 double helix structure. The best co-immobilization strategy was then employed for this study. Similarly,  
336 to the previous tests, extinction spectra were collected in buffer before/after probe DNA loading and  
337 each standard concentration of DNA target (1h each). The optical spectra of multiple (4 $\times$ ) plasmonic  
338 sensors showed a longitudinal extinction peak with maximum at  $\sim 646.46 \pm 0.04$  nm in buffer 1, which  
339 upon co-immobilization with SH-DNA/PEG2000 red shifted  $6.38 \pm 0.06$  nm (buffer 1), as can be seen  
340 in **Fig. 4A**. Prior hybridization, plasmonic nanosensors were exposed to the same buffer as the one used  
341 in standard DNA preparation (buffer 2). The observed blue shift of  $-0.11 \pm 0.06$  nm, is related to slightly  
342 variation of RI within immobilization and hybridization buffers.





343

344 **Fig. 4.** LSPR spectra upon surfaces modifications. A) SH-DNA probe/PEG 2000 immobilization; B)  
 345 Increasing concentrations of target DNA hybridization; C) calibration curve of peak shift *versus* DNA  
 346 target concentration (peak position prior hybridization of  $648.54 \pm 0.06$  nm); D) Spectra in buffer 2 upon  
 347 exposure of DNA extracted from Zebra Mussels meat using the NucleoSpin Food kit.

348 Real-time hybridization studies were carried out by sequential injection of target DNA with  
 349 concentrations ranging from 1pM to  $1 \times 10^6$  pM, which induced a red shift with linear behavior. The  
 350 extinction spectra for the different target concentrations are displayed in **Fig. 4B**. Peak shifts to higher  
 351 wavelength were observed with the lowest and highest target concentration corresponding to the yellow  
 352 and red curves. Hybridization was achieved for 45 min at intermittent flow (expose to continuous flow  
 353 for 10 sec and static flow for 5 min, for 7 cycles) followed by 30 min rinsing to remove target non-  
 354 specific binding (**Table S2** of SI). **Fig. 4C**, displays the LSPR plasmon shifts with increasing  
 355 concentrations of complementary target DNA. The hybridization profile suggests a slow binding for the  
 356 first concentrations, although it consistently red shifts with the increment of target DNA molecules.  
 357 Data showed a linear response of log target DNA concentration versus LSPR shift. The relation of  
 358 plasmon shift with log of target DNA concentration is a typical behavior already reported for other

359 LSPR sensors[30, 31]. Limit of detection (LoD) based on 3 times the standard deviation of buffer 2  
360 extinction signal (0.035) and regression equation was calculated. The microfluidic system with multiple  
361 (4×) AuNR plasmonic nanosensors showed for a short incubation time of ~45 min a LoD of 2.06 pM.  
362 As can be seen in **Table 2**, bottom-up nanosensors for ultrasensitive detection of RNA have already  
363 been reported, although these studies use long target incubation times (12h or overnight), which is not  
364 compatible with point of care or on the field analysis[32, 33]. Generally, state-of-the-art data report  
365 higher peak shifts upon target DNA binding and slightly lower detection limits than the data reported  
366 herein with our multiple sensors. However, these methods are usually based on complex and expensive  
367 fabrication techniques, require additional equipment for temperature control, or rely on enzymes, labels,  
368 or complex design of secondary DNA structures amplification[30, 34–36].

369 Moreover, the integration of the device in a microfluidic system offers the advantage for plasmon peak  
370 shift continuous monitoring, and by keeping the sensors exactly in the same position the analyzed  
371 regions are unaltered, eliminating errors associated with sample moving of batch systems. Another  
372 advantage is that our approach can be applied to plasmonic sensors regardless of fabrication strategy or  
373 amplification methodology, improving the existing DNA based biosensors.

374

375 **Table 2.** Literature survey on nanomaterial-based optical methods for the determination of DNA

Work	Plasmonic structure	Sensitivities nm/RIU	LoDs	Peak shift, nm Probe/target	Extra Information	Hybridization time	Capture probe	Fabrication method	Incubation
Roether[34]	mushroom-like nanostructures with silicon dioxide stems and gold caps	54	-	6.6nm/-	DNA polymerase activity	- 16h probe room temperature	SH-DNA: hexanedithiol	Au deposition, dewetting and glass etching	Using microfluidics
Masterson[37]	AuTriangular nanoprisms AuNRods AuNSpheres	318 225 135	98aM - -	35 nm/12 nm - -	RNA detection	Overnight room temperature -	SH-DNA: SH-PEG4	colloid synthesis + surface functionalization (MPTMS) on glass	Drop casting
Joshi[33]	Au nanoprisms	-	23-35 fM miR-21 100nM -50fM miR-10b	20.5nm/18.8 nm	RNA detection	12h room temperature	SH-DNA: SH-PEG6	colloid synthesis + surface functionalization (MPTMS) on glass	Drop casting
Zhu[35]	Au nano squares	100	70fM	-/82nm	DNA detection	1h (37°C)	SH-DNA	nanoimprint technology	Using microfluidics
Nguyen[38]	Au NSpheres	~80	50fM	7.8nm /5.9 nm	DNA detection	32 min 62°C	SH-PNA probe	colloid synthesis + surface functionalization (MPTMS) on glass	Using microfluidics
Miti[36]	Au NSpheres	-	1nM (prior amplification) 1pM after amplification	-/0.4nm/0.5 nm*	HCR Signal amplification	1h	SH-DNA probe	colloid synthesis + surface functionalization (APTES) on glass	Drop casting
Bonyar[30]	Au nanomushrooms	93 nm	5nM	9.4 nm/6.6 nm	DNA detection	2h room temperature (22°C)	SH-DNA	Deposition of Au in pre-treated Aluminium +annealing+transfer to epoxy substrate	Drop casting
This work	AuNRods	74	2pM	2 nm/0.7nm	DNA detection	~45 min (22°C)	SH-DNA	colloid synthesis + surface functionalization (MPTMS) on glass	Using microfluidics

\* LSPR Shift upon amplification

376

377

378 The early detection through environmental DNA detection of invasive species in river basins helps to  
379 mitigate and control its spread. Our plasmonic sensor array system can be easily adapted to a portable  
380 system by using a simple transmissive optical setup device. As a proof of concept, zebra mussel samples  
381 collected from the Iznajar reservoir, in Spain, were used, assessing the system potential to detect a  
382 specific DNA sequence from these invasive species. Zebra mussel meat was extracted from the shell  
383 and used for DNA extraction. The extract was characterized and then diluted in the buffer used for  
384 hybridization step (details in experimental section of SI). Prior sample injection, the buffer used while  
385 collecting extinction spectra match the buffer concentration of the sample. Samples induced an average  
386 red shift of  $0.31 \pm 0.02$  nm (**Fig. 4D**), within the linear range, corresponding to a DNA target  
387 concentration of  $5.1 \pm 1.6$  nM. The same sample was also analyzed by nanodrop showing a total DNA  
388 concentration of  $1058 \pm 20$  ng/ $\mu$ L compared to the  $0.034$  ng/ $\mu$ L obtained with our device. Nanodrop  
389 determines the total dsDNA concentration and cannot distinguish sequences of interest within all others.  
390 As expected, these data indicates that our system detects much smaller amount of DNA molecules,  
391 which would correspond to the specific DNA sequence targeted, while the nanodrop quantifies total  
392 DNA on the extract.

393 Overall, our system could be extremely useful for real time monitoring of small oligonucleotides from  
394 invasive species allowing to alert authorities upon detection. Still, thoroughly experiments with real  
395 samples would still be required.

## 396 **CONCLUSIONS**

397 We demonstrated the efficacy of multiple plasmonic sensors assembled in a flow cell to significantly  
398 improve the sensitivity and accurately detect tiny peak shifts induced by short DNA sequences. This  
399 signal amplification strategy increases the overall density of nanoparticles on the surface analyzed,  
400 without introducing coupling effects, overcoming some limitations of bottom-up approaches. The  
401 combination of four sensors resulted in higher SNR and improved sensitivity unlike single sensors,  
402 and AuNR performing better than AuNS.

403 Regarding hybridizations studies, sensors co-immobilized with SH-DNA probe and PEG2000 showed  
404 the highest probe surface coverage of  $\sim 1.6 \times 10^{12}$  and the highest plasmon peak shift in the presence of  
405 target. This condition was then used to detect DNA from Zebra Mussels, reaching a detection limit of  
406  $\sim 2.0$  pM. Real samples from Zebra Mussels meat were successfully analyzed, within less than 45 min.  
407 The developed amplification approach is a simple and low-cost alternative to improve the sensing  
408 capacity of bottom-up plasmonic sensors, and it can be applied to all plasmonic sensors regardless the  
409 fabrication process.

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### 417 **Conflicts of interest/ Competing interests**

418 The authors declare that they have no known competing financial interests or personal relationships  
419 that could have appeared to influence the work reported in this paper.

### 420 **Authors' Contributions**

421 Experiments were conducted by JR Guerreiro, A. Toldrà. Flow cell design, fabrication as well as  
422 microfluidic assembly and software development was conducted by A. Ipatov. Zebra Mussels specific  
423 DNA sequence identification and extraction/preparation of real samples by J. Carvalho.  
424 Conceptualization and method development were designed by J.R. Guerreiro and Marta Prado. Writing-  
425 Original draft preparation: JR Guerreiro. M. Prado participated on project administration and funding  
426 acquisition. Writing- Reviewing and Editing by JR Guerreiro, A. Ipatov, J. Carvalho, A. Toldrà, and  
427 M. Prado.

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