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1 **Screening of eco-friendly thinning agents and**
2 **adjusting mechanical thinning on ‘Gala’, ‘Golden**
3 **Delicious’ and ‘Fuji’ apple trees**

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10 **Abstract**

11 Fruit thinning is the most important yet difficult practice that drives orchard profitability.
12 High labor costs and difficulty to improve return bloom by hand thinning have left
13 chemical thinning as the main method used by growers. However, unpredictability and
14 safety/environment concerns regarding chemical thinning have set mechanical thinning
15 as a sound alternative. Thirteen field experiments were performed during 2004-2016 in
16 order to evaluate several agents for their use as new thinners, and adjust mechanical
17 thinning on ‘Gala’, ‘Golden Delicious’ and ‘Fuji’. Olive oil applied at bloom reduced
18 crop load, but russetting was also increased. Therefore, while their use is not advisable
19 for russetting prone cultivars such as ‘Golden Delicious’, it could be a good thinner for
20 cultivars like ‘Red Delicious’. Lime sulfur did not have a consistent thinning effect in our

21 study when applied at bloom. Overall, no differences regarding economic value between
22 hand, chemical, and mechanical blossom thinning were observed, suggesting mechanical
23 thinning as a valid alternative approach. For ‘Gala’ strains, 6 km·h⁻¹ and 250 rpm with
24 270 strings was the best configuration to provide an ideal crop load of ~6 fruit/cm² of
25 TCSA and an average fruit size of 170 g. For ‘Fuji’, 5 km·h⁻¹ and 320 rpm with 270
26 strings provided a crop load in accordance to the optimum range for this cultivar in our
27 conditions. However, combination of mechanical thinning plus chemical treatments
28 might be the ideal strategy for ‘Fuji’ strains when the initial number of flower clusters per
29 tree is above 500. For ‘Golden Delicious’ strains, 6 km·h⁻¹ and 230 rpm with 270 strings
30 was the best configuration to provide an ideal crop load within the optimum range.
31 Mechanical thinning timing was also examined at different phenological stages (E₂, F₁,
32 F₂, and G), with no significant differences regarding yield, fruit size or crop load between
33 them. Two prediction models (‘Gala’ & ‘Golden Delicious’) were developed to adjust the
34 right tractor and rotational speeds depending on the initial number of flower clusters. The
35 method begins with first calculating the final fruit number needed per tree (crop load for
36 each particular cultivar) in order to achieve the desired yield. Then, tractor and rotational
37 speeds can be determined by the model once knowing the initial number of flower
38 clusters per tree.

39 **Keywords:** *Malus x domestica*, Darwin, rotating string machine, organic, crop load

40 **Introduction**

41 Through management of fruit number, size, and quality, thinning is the most important
42 yet difficult practice that drives orchard profitability (Costa et al., 2012; Dennis, 2000;

43 Greene and Costa, 2012; Robinson et al., 2013). Chemical and hand thinning have been
44 the main methods used by growers during the last decades to achieve a regular and
45 consistent crop load over the seasons (Costa, 2016; Dennis, 2000).

46 Hand thinning is generally too expensive, and the need to wait after the period of natural
47 drop may compromise fruit size and return bloom (Dennis, 2000; Fallahi and Greene,
48 2010; McCartney et al., 1996). On the other hand, chemical thinning is highly dependent
49 on weather conditions and cultivar, which can create inconsistent results (Greene and
50 Costa, 2012; Robinson and Lakso, 2004). For this reason, many studies have been carried
51 out in order to address the lack of predictability of thinner response (Greene and Lakso,
52 2013; Lakso and Robinson, 2015; Lakso et al., 2001).

53 Food safety concern and awareness of environment protection have limited the available
54 chemical thinning agents, thus, more environmentally-friendly thinning agents and
55 mechanical thinning implementation could become the alternatives (Bertschinger et al.,
56 1998; Blanke and Damerow, 2008; Greene and Costa, 2012; Kon et al., 2013). Some
57 authors reported a thinning effect of products such as vegetable oils, potassium
58 bicarbonate or molasses, sprayed at bloom (Ju et al., 2001; Pfeiffer and Rueß, 2002b;
59 Stopar, 2004; Warlop, 2002b; Weibel et al., 2012). However, these results are not always
60 conclusive.

61 Several mechanical thinning trials have been reported abroad (Asteggiano et al., 2015;
62 Damerow et al., 2007; Dorigoni et al., 2010; McClure and Cline, 2015; Mika et al., 2016;
63 Miranda Sazo et al., 2016; Reighard and Henderson, 2012; Schupp and Kon, 2014;
64 Seehuber et al., 2014b; Theron and De Villiers, 2014; Theron et al., 2016). However,
65 great disparity exists regarding the machine configuration in order to get a good thinning

66 result, and in some cases additional chemical or hand thinning treatments need to be
67 combined to achieve satisfactory results (Basak et al., 2016; Beber et al., 2016; Hampson
68 and Bedford, 2011; Kirstein, 2015; Kon et al., 2013).

69 Unlike chemical thinning agents, mechanical thinning results are not subject to cultivar,
70 year, or weather conditions (Dorigoni et al., 2010). However, it can damage spur leaves
71 of the flower cluster and therefore it can reduce photosynthesis, and in some cases
72 increase fire blight (*Erwinia amylovora* Burill) (Greene and Costa, 2012; Ngugi and
73 Schupp, 2009).

74 In any case, both chemical and mechanical thinning strategies save labor (Blanke and
75 Damerow, 2008) (Seehuber et al., 2014b) and must be adjusted for each cultivar (Steyn et
76 al., 2014). The aim of this study was to evaluate several new thinning agents, and
77 evaluate various configurations for mechanical thinning on ‘Gala’, ‘Golden Delicious’
78 and ‘Fuji’.

79 **Materials and Methods**

80 **Experiment 1: Kaolin, soap, vinegar, oils, and lime sulfur on ‘Red Chief®** 81 **Camspur^{COV}**

82 A field experiment was conducted in 2004-2006 in Gimenells, Lleida, Spain (lat.
83 41.656203°, long. 0.389703°). We compared hand thinning with applications of kaolin
84 (Kaolin type A, Guadasequies, Valencia, Spain) at 5 kg·hL⁻¹ in 2004, and two
85 consecutive sprays: 1st one at 5 kg·hL⁻¹ and 2nd one at 3 kg·hL⁻¹ in 2005-2006, potassium
86 soap (E-Coda Oleo K, Coda, Almacelles, Lleida, Spain) at 4 L·hL⁻¹, pure vinegar (Pla
87 d’Urgell Sat. Coop. C. Ltda., Mollerussa, Lleida, Spain) at 30 L·hL⁻¹, surfactant

88 (nonylphenol polietilenglicol ether, Mojante no iónico, Químicas Oro, San Antonio de
89 Benagéber, Valencia, Spain) at 1 L·hL⁻¹, paraffin oil (Oil Oro, Químicas Oro, San
90 Antonio de Benagéber, Valencia, Spain) at 2.5 L·hL⁻¹, extra virgin olive oil (Pla d'Urgell
91 Sat. Coop. C. Ltda., Mollerussa, Lleida, Spain) at 5 L·hL⁻¹ emulsified with the above
92 mentioned surfactant at 1 L·hL⁻¹, corn oil (Borgesol, Borges, Tàrrega, Lleida, Spain) at 5
93 L·hL⁻¹ emulsified with the surfactant above mentioned at 1 L·hL⁻¹, and lime sulfur (LS)
94 (Sulfocálcico Concentrado Key, Industrial Química Key, Tàrrega, Lleida, Spain) at 2, 4,
95 and 6 L·hL⁻¹ on 'Red Chief[®]' (Table 1). Applications were done between 50 and 80% F₂
96 (Fleckinger, 1964) to trees of 'Red Chief[®] Camspur^{cov}' on 'Merton MI-793', planted in
97 1995 with a tree spacing of 4 m x 1.5 m. Control trees were not sprayed and not
98 mechanically or hand thinned either. The experiment was organized in a randomized
99 complete block design with four replications, with each experimental unit being a section
100 of four trees. Data was taken on the two central trees of each experimental unit.

101 **Experiment 2: Kaolin, soap, oils, lime sulfur, potassium permanganate,**
102 **calcium chloride, and ammonium thiosulfate on 'Golden Smoothee[®] CG 10**
103 **Yellow Delicious'**

104 A field experiment was conducted in 2005-2008 in Gimenells, Lleida, Spain where we
105 compared hand thinning with two consecutive applications of kaolin (Surround[®] WG
106 Crop protectant, BASF, Barcelona, Spain) at 5 kg·hL⁻¹ (1st spray) and at 3 kg·hL⁻¹ (2nd
107 spray) (2005), potassium soap (E-Coda Oleo K, Coda, Almacelles, Lleida, Spain) at 4
108 L·hL⁻¹ (2005-2007), extra virgin olive oil (Pla d'Urgell Sat. Coop. C. Ltda., Mollerussa,
109 Lleida, Spain) emulsified with potassium soap (E-Coda Oleo K, Coda, Almacelles,
110 Lleida, Spain) at 5:4 L·hL⁻¹ (2005-2007), paraffin oil (Oil Oro, Químicas Oro, San

111 Antonio de Benagéber, Valencia, Spain) at 2.5 L·hL⁻¹ (2005), LS at 4 L·hL⁻¹ (2005-
112 2008), salt (sodium chloride, Clásica, Sal Costa, Barcelona, Spain) at 2 kg·hL⁻¹ (2005-
113 2006), potassium permanganate (Permanganato Potasico Pure Grade, Barcelonesa,
114 Cornellà de Llobregat, Barcelona, Spain) at 1 (2006) or 2 (2007-2008) kg·hL⁻¹, calcium
115 chloride (Cloruro Cálcico 77% Aliment. E-509, Drogueria-Pinturas El Barco, Xativa,
116 Valencia, Spain) at 2 kg·hL⁻¹ (2006-2007), ammonium thiosulfate (ATS) (Ger-ATS LG,
117 L. Gobbi, Campo Ligure, Genova, Italy) at 1 L·hL⁻¹ (2008), and lime sulfur (Sulfocálcico
118 Concentrado Key, Industrial Química Key, Tàrrega, Lleida, Spain) plus paraffin oil at 4:1
119 L·hL⁻¹ (2008) on ‘Golden Smoothee[®]’ (Table 1). Applications were done at 80% F₂ to
120 trees of ‘Golden Smoothee[®] CG 10 Yellow Delicious’ on ‘Malling M.9 Pajam[®] 2’,
121 planted in 1994 with a tree spacing of 4 m x 1.4 m. Control trees were not sprayed and
122 not mechanically or hand thinned either. The experiment was organized in a randomized
123 complete block design with four replications, with each experimental unit being a section
124 of four trees. Data was taken on the two central trees of each experimental unit.

125 **Experiment 3: Chemical vs mechanical thinning on ‘Fuji Kiku[®] 8 Brak’ and**
126 **‘Brookfield Gala[®] Baigent^{COV}’**

127 A field experiment was conducted in 2010-2011 in Mollerussa, Lleida, Spain (lat.
128 41.618682°, long. 0.870560°) where we compared chemical and mechanical thinning, on
129 ‘Fuji Kiku[®] 8’ and ‘Brookfield Gala[®]’, both planted in 2004 on ‘Malling M.9’ with a tree
130 spacing of 3.5 m x 1.4 m. (Table 1). Chemical thinning treatments included
131 benzyladenine (BA) (MaxCel[®], Valent BioSciences Corp., Libertyville, IL) at 150 mg·L⁻¹,
132 and naphthalene acetic acid (NAA) (Etifix[®], Nufarm España, S.A., Barcelona, Spain) at
133 10 mg·L⁻¹. Thinning sprays were applied when fruit size was 10 mm. Mechanical

134 thinning was done at 80% F₁ (Fleckinger, 1964) using a rotating string machine (Fuet;
135 Fruttur[®], Lleida, Spain) at 5 km·h⁻¹ of tractor speed and 320 rpm of rotational speed with
136 210 strings. Control trees were not sprayed and not mechanically or hand thinned either.
137 The experiment was organized in a randomized complete block design with four
138 replications, with each experimental unit being a section of four trees. Data was taken on
139 the two central trees of each experimental unit.

140 **Experiment 4: Mechanical vs chemical thinning on ‘Golden Reinders[®]’**

141 A field experiment was conducted in 2010 in La Tallada d’Empordà, Girona, Spain (lat.
142 42.054349°, long. 3.061983°) where we compared chemical vs mechanical thinning using
143 a Darwin[®] 250 machine (Darwin[®]; Fruit-TeL Deggenhausertal, Germany) on ‘Golden
144 Reinders[®]’ planted in 2003 on ‘M.9 NAKB 337’ with a tree spacing of 3.8 m x 1.1 m.
145 Mechanical thinning was done at 80% F₁, at 7 or 8 km·h⁻¹ and 270, 290, or 310 rpm with
146 270 strings (Table 1). Chemical thinning included BA (MaxCel[®]) at 100 mg·L⁻¹.
147 Thinning sprays were applied when fruit size was 10 mm. Control trees were not sprayed
148 and not mechanically or hand thinned either. The experiment was organized in a
149 randomized complete block design with three replications, with each experimental unit
150 being a section of four trees. Data was taken on the two central trees of each experimental
151 unit.

152 **Experiment 5: Mechanical vs chemical vs hand thinning on ‘Gala Galaxy’**

153 A field experiment was conducted in 2010 in La Tallada d’Empordà, Girona, Spain
154 where we compared hand vs chemical vs mechanical thinning using a Darwin[®] 250
155 machine on ‘Gala Galaxy’ planted in 2000 on ‘M.9 NAKB 337’ with a tree spacing of

156 3.7 m x 1 m. Mechanical thinning was done at 80% F₁, at 5, 6, or 7 km·h⁻¹ and 230, 270,
157 or 310 rpm with 270 strings (Table 1). Chemical thinning included one application of
158 naphthalene acetamide (NAD) (Amid-Thin[®], Nufarm España, S.A., Barcelona, Spain) at
159 50 mg·L⁻¹ 5 days after full bloom (DAFB), and another spray with MaxCel[®] at 150 mg·L⁻¹
160 ¹ plus NAA at 12 mg·L⁻¹ at 10 mm. The experiment was organized in a randomized
161 complete block design with five replications, with each experimental unit being a section
162 of four trees. Data was taken on the two central trees of each experimental unit.

163 **Experiment 6: Mechanical vs chemical vs mechanical+chemical vs hand**
164 **thinning on ‘Brookfield Gala[®] Baigent^{COV}’**

165 A field experiment was conducted in 2011 in La Tallada d’Empordà, Girona, Spain
166 where we compared hand vs chemical vs mechanical vs mechanical+chemical thinning
167 using a Darwin[®] 250 machine on ‘Brookfield Gala[®] Baigent^{COV}’ planted in 1999 on ‘M.9
168 NAKB 337’ with a tree spacing of 3.8 m x 1 m. Mechanical thinning was done at 80%
169 F₁, 6 km·h⁻¹ and 230 or 270 rpm with 270 strings (Table 1). Chemical thinning was the
170 standard procedure used by the growers, and included two applications. The first
171 application was done 5 DAFB with NAD (Amid-Thin[®]) at 50 mg·L⁻¹, and the second one
172 at 10 mm stage with BA (MaxCel[®]) at 150 mg·L⁻¹ plus NAA at 12 mg·L⁻¹. The
173 experiment was organized in a randomized complete block design with four replications,
174 with each experimental unit being a section of five trees. Data was taken on the three
175 central trees of each experimental unit.

176 **Experiment 7: Mechanical vs hand thinning on ‘Golden Reinders®’**

177 A field experiment was conducted in 2011 in La Tallada d’Empordà, Girona, Spain
178 where we compared hand vs mechanical thinning using a Darwin® 250 machine on
179 ‘Golden Reinders®’ planted in 2003 on ‘M.9 NAKB 337’ with a tree spacing of 3.8 m x
180 1.1 m. Three treatments of mechanical thinning (6 km·h⁻¹ and 270 rpm with 270 strings)
181 were done at E₂, F₁, and F₂ (Fleckinger, 1964) to evaluate the effect of phenological stage
182 on the efficacy of the Darwin® device (Table 1). The experiment was organized in a
183 randomized complete block design with four replications, with each experimental unit
184 being a section of four trees. Data was taken on the two central trees of each experimental
185 unit.

186 **Experiment 8: Mechanical vs chemical vs mechanical+chemical vs hand**
187 **thinning on ‘Fuji Zhen® Aztec^{cov}’**

188 A field experiment was conducted in 2011 in La Tallada d’Empordà, Girona, Spain
189 where we compared hand vs chemical vs mechanical vs mechanical+chemical thinning
190 using a Darwin® machine on ‘Fuji Zhen® Aztec^{cov}’ (Table 1) planted in 2006 on ‘M.9
191 NAKB 337’ with a tree spacing of 3.8 m x 1.1 m. Mechanical thinning was done at 80%
192 F₁, 6 km·h⁻¹ and 210 or 250 rpm with 270 strings. There were three chemical treatments:
193 1) ATS (AZOSTM 300, Yara Iberian, Madrid, Spain) at 3 L·hL⁻¹, 2) ATS at 3 L·hL⁻¹ +
194 BA (MaxCel®) at 150 mg·L⁻¹, and 3) mechanical + BA at 150 mg·L⁻¹. All the chemical
195 treatments were applied at 10 mm stage. The experiment was organized in a randomized
196 complete block design with four replications, with each experimental unit being a section
197 of five trees. Data was taken on the three central trees of each experimental unit.

198 **Experiment 9: Mechanical vs chemical vs mechanical+chemical thinning on**
199 **'Gala Galaxy'**

200 A field experiment was conducted in 2012 in La Tallada d'Empordà, Girona, Spain
201 where we compared chemical vs mechanical vs mechanical plus chemical thinning using
202 a Darwin[®] 250 machine on 'Gala Galaxy' planted in 2000 on 'M.9 NAKB 337' with a
203 tree spacing of 3.7 m x 1 m. Mechanical thinning was done at 80% F₁, 6 km·h⁻¹ and 250
204 rpm with 270 strings on the whole tree or just at the top of the tree (Table 1). There were
205 two chemical treatments: 1) chemical standard, and 2) ATS. Chemical standard was the
206 common thinning protocol used by the growers, and included two applications. The first
207 application was done 5 DAFB with NAD (Amid-Thin[®]) at 50 mg·L⁻¹, and the second
208 application was done at 10 mm stage with BA (MaxCel[®]) at 150 mg·L⁻¹ plus NAA
209 (Etifix[®], Nufarm España, S.A., Barcelona, Spain) at 12 mg·L⁻¹. The second treatment
210 included 3 sprays of ATS (AZOS[™] 300, Yara Iberian, Madrid, Spain) at 2.5 L·hL⁻¹ each
211 at F₂, F₂ plus 4 days, and G (Fleckinger, 1964) plus the chemical standard treatment. The
212 experiment was organized in a randomized complete block design with four replications,
213 with each experimental unit being a section of four trees. Data was taken on the two
214 central trees of each experimental unit.

215 **Experiment 10: Mechanical thinning at different phenological stages on**
216 **'Golden Reinders[®]'**

217 A field experiment was conducted in 2012 in La Tallada d'Empordà, Girona, Spain
218 where we compared the effect of mechanical thinning at different phenological stages
219 using a Darwin[®] 250 machine on 'Golden Reinders[®]' planted in 2003 on 'M.9 NAKB

220 337' with a tree spacing of 3.8 m x 1.1 m. Mechanical thinning was done at E₂, F₁, and F₂
221 at 6 km·h⁻¹ and 270 rpm with 270 strings (Table 1). Mechanical thinning treatments were
222 compared to control trees. Control trees were not sprayed and not mechanically or hand
223 thinned either. The experiment was organized in a randomized complete block design
224 with four replications, with each experimental unit being a section of four trees. Data was
225 taken on the two central trees of each experimental unit.

226 **Experiment 11: Mechanical vs chemical vs hand thinning on 'Golden**
227 **Crielaard[®]**

228 A field experiment was conducted in 2013 in La Tallada d'Empordà, Girona, Spain
229 where we compared hand vs chemical vs mechanical thinning using a Darwin[®] 250
230 machine on 'Golden Crielaard[®]' planted in 2006 on 'M.9 NAKB 337' with a tree spacing
231 of 3.8 m x 1 m. Mechanical thinning was done at three different phenological stages (E₂,
232 F₁, and G) at 6 km·h⁻¹ and 230 rpm with 270 strings (Table 1). Chemical thinning
233 consisted of two lime sulfur sprays (Sulfocálcico Concentrado Key, Industrial Química
234 Key, Tàrrega, Lleida, Spain) at 4 L·hL⁻¹, at F₁, and 2 days after F₁. Control trees were not
235 sprayed and not mechanically or hand thinned either. The experiment was organized in a
236 randomized complete block design with four replications, with each experimental unit
237 being a section of four trees. Data was taken on the two central trees of each experimental
238 unit.

239 **Experiment 12: Mechanical vs hand thinning on 'Gala Annaglo^{COV}**

240 A field experiment was conducted in 2014 in La Tallada d'Empordà, Girona, Spain
241 where we compared hand vs mechanical thinning using a Darwin[®] 250 machine on 'Gala

242 Annaglo^{cov} planted in 2010 on ‘M.9 NAKB 337’ with a tree spacing of 3.8 m x 1.2 m.
243 Mechanical thinning was done at 6 or 8 km·h⁻¹, and 250 or 290 rpm with 270 strings at
244 80% F₁ (Table 1). The experiment was organized in a randomized complete block design
245 with three replications, with each experimental unit being a section of four trees. Data
246 was taken on the two central trees of each experimental unit.

247 **Experiment 13: Mechanical vs chemical thinning on ‘Gala Schniga®** 248 **Schnitzer’**

249 A field experiment was conducted in 2016 in La Tallada d’Empordà, Girona, Spain
250 where we compared the effect of mechanical vs chemical thinning using a Darwin® 250
251 machine on ‘Gala Schniga®’ (Table 1) planted in 2004 on ‘M.9 NAKB 337’ with a tree
252 spacing of 3.8 m x 1 m. Mechanical thinning was done at 80% F₁, at 6 km·h⁻¹ and 270
253 rpm with 270 strings. Chemical thinning consisted of one spray of BA (MaxCel®) at 150
254 mg·L⁻¹ at 12 mm stage. The experiment was organized in a randomized complete block
255 design with four replications, with each experimental unit being a section of five trees.
256 Data was taken on the three central trees of each experimental unit.

257 **Chemical application, hand thinning, and data collection**

258 All chemical treatments were applied with a handgun sprayer until run-off. The spray
259 volumes were 1000 L·ha⁻¹ except in La Tallada d’Empordà for ATS applications that
260 were performed at 500 L·ha⁻¹ (Table 1). For all the experiments, trees were trained to a
261 fruiting wall system with an average tree height of 4 m and canopy width of 1.5 m in
262 Gimenells and Mollerussa, and 2.8 m height and 1.2 m width in La Tallada d’Empordà.
263 Hand thinning was adjusted to 0.5-1 fruit per cluster and/or setting fruits apart within >15

264 cm to each other. Control trees were not sprayed and not mechanically or hand thinned
265 either. Trials were managed within IPM management according to industry standards.
266 For each experiment, the following data was recorded for each single tree: (1) Trunk
267 circumference (20 cm above the graft union) (cm), (2) total number of flower clusters, (3)
268 total number of fruits and (4) yield (kg). Trunk cross-sectional area (TCSA), crop load
269 (fruit # cm² of TCSA), fruit set, and fruit size were then calculated. Return bloom was
270 measured the following spring, by counting the total number of flower clusters per tree
271 (experiments 1-5, & 8).

272 All harvested fruit from each elemental plot were graded into classes according to size
273 and color through a commercial sorting machine (trials of Lleida: MAF RODA Iberica,
274 Alzira, Spain; trials of La Tallada d'Empordà: CALINDA, Caustier Ibérica, S.A. apple
275 sorting and packing line by Aweta Technology). Fruit color was only assessed on 'Gala'
276 and 'Fuji' (experiments 3, 5-6, 8-9, 12-13). From this data we calculated a simulated
277 packout (economic value). Packout returns were taken from statewide averages of typical
278 apple industry.

279 **Data analysis**

280 Each experiment was analyzed individually. Response variables for each experiment,
281 year, and cultivar were modeled using linear mixed effect models. Mixed models
282 including treatment as fixed factor and block as a random factor were built to separate
283 treatment effects for the number of flower clusters per tree, fruit number, fruit number per
284 100 clusters, yield, TCSA, return bloom, economic value, crop load, and fruit size. Initial
285 number of flower clusters per tree and tractor/rotational speed ratios from experiments 3-
286 7 & 9-12 ('Gala' & 'Golden Delicious') were used to build a mixed model to predict the

287 final fruit number output for each cultivar. For all the models, when the main effect
288 (treatment) was significant, comparisons among treatments were made by Tukey's HSD
289 test at P values ≤ 0.05 . Residual analysis was performed to ensure that model
290 assumptions were met. Data were analyzed using the JMP statistical software package
291 (Version 12; SAS Institute Inc., Cary, NC).

292 **Results**

293 **Experiment 1: Kaolin, soap, vinegar, oils, and lime sulfur on 'Red Chief'[®]**

294 Overall in 2004, yield, fruit number per tree, and fruit number per 100 clusters were
295 higher on control and hand thinned trees, as well as kaolin, surfactant, and vinegar
296 sprayed-trees (Table 2). Kaolin sprayed-trees had the smallest fruits (191 g), and LS at
297 6% the largest (268 g). Significant differences for crop load were observed in 2004:
298 Kaolin had the highest value (2.7 fruit/cm² of TCSA), whereas olive oil had the lowest
299 (0.9 fruit/cm² of TCSA). However, significant differences within treatments were
300 observed in 2004 regarding the initial number of flower clusters per tree, being control
301 and hand thinned trees, and corn oil, LS 4-6%, olive oil and paraffin oil sprayed-trees the
302 ones with the lowest number. No significant differences within treatments were observed
303 in 2005 and 2006, when the initial number of flower clusters per tree was the same in all
304 treatments.

305 **Experiment 2: Kaolin, soap, oils, lime sulfur, potassium permanganate,**
306 **calcium chloride, and ammonium thiosulfate on ‘Golden Smoothee®’**

307 No significant differences regarding the initial number of flower clusters per tree and
308 TCSA were observed (Table 3). In 2005, number of fruits per 100 clusters and yield were
309 higher on control, LS, paraffin oil, and sodium chloride treatments. Fruit size was smaller
310 for control, paraffin oil, and sodium chloride sprayed trees. Olive oil had the highest
311 return bloom, whereas control trees, kaolin, paraffin oil, potassium soap, and sodium
312 chloride had the lowest. Other than olive oil treatment, which had the lowest value, no
313 significant differences were observed regarding economic value for the rest of the
314 treatments. There were no significant differences regarding crop load between treatments,
315 with the exception of olive oil (2.7 fruit/cm² of TCSA), which was significantly lower
316 than control trees, paraffin oil, and sodium chloride treatments (~ 6.3 fruit/cm² of TCSA).
317 In 2006, no significant differences among treatments were observed (Table 3).
318 In 2007, calcium chloride and control trees had the highest yields, fruit number per tree,
319 and economic values, whereas olive oil sprayed-trees had the lowest (Table 3). No
320 significant differences among treatments were observed in 2008.

321 **Experiment 3: Chemical vs mechanical thinning on ‘Fuji Kiku® 8’ and**
322 **‘Brookfield Gala®’**

323 No significant differences were observed in flower clusters per tree, TCSA, return bloom,
324 and economic value for either ‘Gala’ or ‘Fuji’ trees among different treatments in 2010
325 and 2011 (Table 4). For ‘Gala’, fruit number and fruit number per 100 clusters were
326 significantly lower for mechanical thinning compared to control trees. On the other hand,

327 differences in yield were only observed in 2011, where mechanical thinning had lower
328 yield than control or chemical treatments, but without significantly affecting the
329 economic value. Fruit size was significantly larger for mechanical thinning than for
330 control trees. Fruit number per tree in 2010 was higher than the ideal (155-185 fruit/tree
331 for mature ‘Gala’ orchards in our conditions) for all the treatments, which considerably
332 compromised fruit size (averaged for all treatments: 130 g in 2010 vs 183 g in 2011). In
333 2011, fruit number per tree was higher than the ideal range for control trees, whereas
334 mechanical thinning gave values lower than the optimum range for our conditions (~125
335 fruit/tree vs 155-185 fruit/tree).

336 For ‘Fuji’, larger fruits were observed in 2010 when mechanical at 5 km·h⁻¹ and 320 rpm
337 plus chemical thinning was applied in comparison to control trees (Table 4). In 2010,
338 fruit number per tree was considerably higher than the ideal range for mature ‘Fuji’
339 orchards in our conditions (150-170 fruit/tree, lower than ‘Gala’ to reduce biennial
340 bearing). In 2011, fruit number per tree for mechanical thinning (without chemical follow
341 up) was within the optimum range (156 fruit/tree); however, no significant differences
342 between treatments were observed for both 2010 and 2011. No significant differences
343 were observed in the rest of the variables either.

344 **Experiment 4: Mechanical vs chemical thinning on ‘Golden Reinders®’**

345 Lower number of fruits per tree were observed on mechanical thinning treatments
346 compared to control trees, whereas there were no significant differences between
347 mechanical and chemical thinning treatments (Table 5). Similar yields were observed for
348 control trees, chemical thinning, and Darwin® at 7 km·h⁻¹ and 310 rpm, and 8 km·h⁻¹ and
349 290 rpm. The lowest tractor (7 km·h⁻¹) and rotation (270-290 rpm) speeds had lower

350 yields than control trees. No significant differences among treatments were observed for
351 fruit size, TCSA, return bloom, and economic value. Control trees had a crop load of 5.4
352 fruit/cm² of TCSA, whereas it was lower for chemical (4.7 fruit/cm² of TCSA) and
353 mechanical thinning (2.2-3.3 fruit/cm² of TCSA), especially when tractor speed was at 7
354 km·h⁻¹ and 270-290 rpm. Since this was a mature orchard (8th leaf), fruit number per tree
355 should be used rather than crop load. In order to achieve good yields and fruit size, the
356 optimum range for ‘Golden Delicious’ in our conditions is 80-110 fruit/tree. Control trees
357 were already within the optimum range (99 fruit/tree). The chemical treatment reduced
358 the crop slightly below the optimum range (78 fruit/tree), whereas mechanical treatments
359 provided too much thinning (38-52 fruit/tree).

360 **Experiment 5: Mechanical vs chemical vs hand thinning on ‘Gala Galaxy’**

361 No significant differences regarding TCSA, return bloom, and economic value were
362 observed among chemical, hand, and mechanical thinning for ‘Gala Galaxy’ (Table 6).
363 Fruit number and yield were higher for chemical thinning and mechanical at 6 km·h⁻¹ and
364 230 rpm, whereas the lowest values were observed when tractor speed was 6 km·h⁻¹ and
365 310 rpm. Chemical thinning had the smallest fruits (141 g), whereas mechanical thinning
366 at 6 km·h⁻¹ and 310 rpm had the largest fruits (180 g). Higher crop load values were
367 observed for chemical thinning, hand thinning, and Darwin[®] at 6 km·h⁻¹ and 230 rpm and
368 at 7 km·h⁻¹ and 270 rpm, the lowest value (3.6 fruit/cm² of TCSA) was observed when
369 mechanical thinning was performed at 6 km·h⁻¹ and 310 rpm. Since this was a mature
370 orchard (11th leaf), fruit number per tree should be used rather than crop load. In order to
371 achieve good yields, fruit size, and color, the optimum range for ‘Gala’ strains in our
372 conditions is 155-185 fruit/tree. Chemical thinning was a little bit higher than the

373 optimum range (192 fruit/tree), which compromised fruit size. On the other hand,
374 mechanical at 6 km·h⁻¹ and 230 rpm provided an optimum value (156 fruit/tree). The rest
375 of the mechanical treatments, and even hand thinning provided values lower than the
376 optimum.

377 **Experiment 6: Mechanical vs chemical vs mechanical+chemical vs hand**
378 **thinning on ‘Brookfield Gala’[®]**

379 No significant differences among yield, TCSA, and economic value were observed
380 among chemical, mechanical, and hand thinning for ‘Brookfield Gala’[®] (Table 7). On the
381 other hand, higher number of fruits was observed for chemical thinning, followed by
382 mechanical at 6 km·h⁻¹ and 230 rpm plus chemical, mechanical at 6 km·h⁻¹ and 270 rpm,
383 mechanical at 6 km·h⁻¹ at 270 rpm plus chemical, and then hand thinning with the lowest
384 values. Fruit size was largest for hand thinning (166 g), and smallest for chemical and
385 mechanical at 6 km·h⁻¹ and 230 rpm plus chemical (137 g and 142 g, respectively).
386 Significant differences for crop load values were only observed between hand thinning
387 and Darwin[®] at 6 km·h⁻¹ and 270 rpm. However, both chemical and mechanical thinning
388 at 6 km·h⁻¹ and 230-270 rpm tended to have higher crop load values (~9 fruit/cm² of
389 TCSA), which also compromised fruit size 137 g vs 166 g. In terms of thinning effect,
390 hand thinning (176 fruit/tree) was the only treatment that provided a fruit number per tree
391 within the ideal range (155-185 fruit/tree), the rest of the treatments had much higher
392 number of fruits per tree, and mechanical plus chemical treatments were not even enough
393 to reach that optimum range.

394 **Experiment 7: Mechanical vs hand thinning on ‘Golden Reinders®’**

395 No significant differences regarding fruit number, yield, TCSA, crop load, and economic
396 value were observed between mechanical and hand thinning for ‘Golden Reinders®’
397 (Table 8). Since this was a mature orchard (9th leaf), fruit number per tree should be used
398 rather than crop load. The initial number of flower clusters per tree was very high (>450),
399 and trees were not thinned enough for any of the treatments (196-299 fruit/tree vs the
400 optimum range of 80-110 fruit/tree), which compromised fruit size, resulting in only 123
401 g on average among all treatments.

402 **Experiment 8: Mechanical vs chemical vs mechanical+chemical vs hand**
403 **thinning on ‘Fuji Zhen® Aztec^{COV}’**

404 No significant differences were observed among chemical, mechanical, and hand
405 thinning in any of the variables such as fruit number, yield, fruit size, TCSA, return
406 bloom, crop load, and economic value for ‘Fuji Zhen® Aztec^{COV}’ (Table 9). Since this was
407 a young orchard (6th leaf) where trees did not fill their allotted space, crop load is a better
408 indicator than fruit number in this case. Crop load values were significantly higher (~9
409 fruit/cm² of TCSA) than the ideal range for our conditions (3-4 fruit/cm² of TCSA).

410 **Experiment 9: Mechanical vs chemical vs mechanical+chemical thinning on**
411 **‘Gala Galaxy’**

412 No differences in yield, fruit number, and TCSA were observed for ‘Gala Galaxy’
413 between chemical and mechanical thinning (Table 10). Significantly more fruits per 100
414 clusters were observed for chemical thinning treatments compared to mechanical thinning
415 at the top of the trees plus chemical thinning sprays. Fruit size was larger when chemical

416 thinning was applied in combination with mechanical thinning at the top of the trees.
417 Higher economic value was observed for the standard chemical thinning, whereas the
418 lowest was when mechanical thinning was done only at the top of the trees. Darwin® at 6
419 km·h⁻¹ and 250 rpm at the top of the trees, plus a chemical spray had the lowest crop load
420 value (4.7 fruit/cm² of TCSA), whereas the chemical treatment had the highest (8.5
421 fruit/cm² of TCSA). In terms of thinning effect, fruit number per tree should be used
422 since this was a mature orchard (13th leaf). Darwin® at 6 km·h⁻¹ and 250 rpm provided
423 numbers of fruit per tree within the ideal range of 155-185 fruit/tree, with better fruit
424 sizes than when it was performed only at the top of the trees. Lower values than the ideal
425 range were obtained when chemical thinning followed up mechanical, or when ATS plus
426 the chemical standard were applied.

427 **Experiment 10: Mechanical thinning at different phenological stages on**
428 **'Golden Reinders®'**

429 Significantly higher number of fruits was observed on control trees than on mechanically
430 thinned (Table 11). The lowest yield and fruit number per 100 clusters were observed
431 when mechanically thinning at stage F₁, followed by stage E₂, stage F₂, and then control
432 trees. Fruit size was larger when mechanical thinning was done at E₂ and F₂ stages
433 compared to control. No significant differences regarding TCSA and economic value
434 were observed. Significant differences were observed regarding crop load, having the
435 mechanical thinning lower values (~3 fruit/cm² of TCSA) than control trees (6.1 fruit/cm²
436 of TCSA). Since this was a mature orchard (10th leaf), fruit number per tree should be
437 used rather than crop load. For this experiment, the initial number of flower clusters per
438 tree was significantly lower (~100), and the final fruit/tree for control trees was close to

439 the ideal range, but with slightly higher number of fruit (119 fruit/tree vs 80-110
440 fruit/tree), which compromised fruit size. Mechanical treatments provided too much
441 thinning, lower than the ideal range (50-67 fruit/tree vs 80-110 fruit/tree).

442 **Experiment 11: Mechanical vs chemical vs hand thinning on ‘Golden**
443 **Crielaard®**

444 Similar results regarding yield and fruit number were observed for control trees,
445 mechanical thinning at 6 km·h⁻¹ and 230 rpm at stage G, and chemical thinning with LS
446 (Table 12). Largest fruits were observed for hand thinning treatments (184 g), whereas
447 the smallest ones were for chemical and control (150 g), and mechanical thinning at 6
448 km·h⁻¹ and 230 rpm at E₂ (154 g). No significant differences regarding TCSA and
449 economic value were observed. Control and LS treatments had the highest crop load
450 values (10.6 and 8.8 fruit/cm² of TCSA), notably higher than hand thinning (5.4 fruit/cm²
451 of TCSA). Hand thinning (5.4 fruit/cm² of TCSA) and mechanical at stages F₁ and G (6.8
452 fruit/cm² of TCSA) had crop values with no significant differences among them. Since
453 this was a mature orchard (8th leaf), fruit number per tree should be used rather than crop
454 load. With the exception of Darwin® performed at petal fall (G phenological stage),
455 mechanical and hand thinning provided a final fruit number per tree within the optimum
456 range (80-110 fruit/tree), whereas chemical and control trees had too many fruit, which
457 compromised fruit size.

458 **Experiment 12: Mechanical vs hand thinning on ‘Gala Annaglo^{COV}’**

459 No significant differences for fruit number, yield, fruit size, TCSA, and economic value
460 were observed between mechanical and hand thinning for ‘Gala Annaglo^{COV}’ (Table 13).

461 No significant differences within treatments were observed regarding crop load. Since
462 this was a young orchard (5th leaf) where trees did not fill their allotted space, crop load is
463 a better indicator than fruit number in this case. While all the mechanical treatments had
464 crop load values within the optimum range for our conditions (5-6 fruit/cm² of TCSA),
465 Darwin[®] at 8 km·h⁻¹ tended to have lower values (~5 fruit/cm² of TCSA) than at 6 km·h⁻¹
466 (6 fruit/cm² of TCSA).

467 **Experiment 13: Mechanical vs chemical thinning on ‘Gala Schniga[®]**
468 **Schnitzer’**

469 No significant differences for yield, fruit size, TCSA, and economic value were observed
470 among treatments for ‘Gala Schniga[®]’ (Table 14). Fruit number was similar when
471 comparing chemical vs mechanical thinning, and significantly lower when mechanical
472 and thinning treatments were combined. While no significant differences within
473 treatments were observed regarding crop load, values were halved when mechanical and
474 chemical thinning were combined. For all the three different treatments, fruit number per
475 tree was far from the optimum range of 155-185 fruit/tree, which also compromised fruit
476 size.

477 **Mechanical thinning output model**

478 With low root mean square error values for both models (24-28), tractor/rotational speed
479 ratio and initial number of flower clusters per tree were highly significant in predicting
480 the final fruit number per tree once mechanical thinning was performed (Table 15). The
481 tractor/rotational speed ratio (speed/rpm) had a positive slope for ‘Gala’, and negative for
482 ‘Golden Delicious’, suggesting different behavior for each cultivar. For ‘Gala’, the model

483 was: $\text{Fruit\#} = -172.32 + 6925.75(\text{speed/rpm}) + 0.64(\text{flower cluster \#/tree}) + (\text{speed/rpm}$
484 $- 0.02242)*[(\text{flower clusters/tree} - 252.235)*36.14]$. For ‘Golden Delicious’, the model
485 was: $\text{Fruit\#} = 291.63 - 12818.91(\text{speed/rpm}) + 0.55(\text{flower cluster \#/tree}) + (\text{speed/rpm} -$
486 $0.0239)*[(\text{flower clusters/tree} - 243.21)*64.99]$. For both models, high R^2 were obtained,
487 0.9 for ‘Gala’, and 0.92 for ‘Golden Delicious’.

488 **Discussion**

489 Fruit thinning is one of the most important yet difficult practices that drives orchard
490 profitability. In addition, the effect of the thinners changes among years and cultivars and
491 therefore, mid to long term trials must be carried out to get reliable results. The first set of
492 trials that we performed consisted on the application of several products at bloom to
493 cause a thinning effect by hindering flower pollination or fecundation (Experiments 1 and
494 2). No significant differences for fruit number per tree and fruit size between thinning
495 treatments and control trees of Experiment 1 (2004-2006) & Experiment 2 (2006-2007)
496 suggested that no thinning was needed for those years. Therefore, conclusions from these
497 trials could be only extracted from year 2005 of Experiment 2. In that case, olive oil had
498 a considerable thinning effect since the fruit set was lower than the control, and even the
499 increase in fruit size (180 g vs 159 g), was not enough to prevent a significant reduction
500 in economic value. The rest of the treatments were not able to thin enough flowers to
501 affect fruit weight. Experiments with different vegetable oils (corn, rape, and olive) have
502 reported a fruit set reduction but an increase of fruit size (Ju et al., 2001; Pfeiffer and
503 Rueß, 2002a; Warlop, 2002a). In addition, higher russetting was observed when olive oil
504 or potassium soap were applied (data not shown). Therefore, their use is not advisable for
505 russetting prone cultivars such as ‘Golden Delicious’. On the other hand, they could be

506 alternative thinner agents for cultivars such as ‘Red Delicious’; however, further tests
507 should be addressed to confirm rates.

508 Regarding other treatments, LS did not have a consistent thinning effect in our study.
509 Similarly, Hampson and Bedford (2011) and Weibel et al. (2004) reported certain
510 thinning effect, but was not enough since hand thinning was still required to achieve the
511 desired thinning. On the other hand, Warlop (2002a) did achieve a good thinning effect.
512 Combinations of LS plus olive oil were used by Alrashedi and Singh (2014), however,
513 leaf burning was observed. McCartney et al. (2006) suggested that the thinning effect of LS
514 may be caused by the reduction in carbohydrate supply to fertilized flowers; hence, repeat
515 applications of LS may be needed.

516 In the second set of trials (Experiments 3-13), we assessed different tractor and rotational
517 speeds to adjust on three cultivars and, we tested if mechanical thinning reached similar
518 efficacy as chemical or manual thinning. Overall, no differences regarding economic
519 value between hand, chemical, and mechanical thinning were observed. This indicates
520 mechanical thinning as an alternative approach to chemical and hand thinning, since all
521 three methods were equally valid regarding the desired level of thinning effect. Some
522 studies have even reported mechanical thinning to improve fruit quality (Asteggiano et
523 al., 2015; Hehnen et al., 2012; Seehuber et al., 2010; Solomakhin and Blanke, 2010; Veal
524 et al., 2011). In our study, there were some experiments where the economic value for
525 control trees was no different than thinning treatments (Experiments 3-4 & 10-11).
526 However, in those cases no differences regarding fruit number were neither observed for
527 control vs chemically thinned trees (Experiments 3-4), or fruit number per tree for control
528 trees was already too low (Experiment 10), suggesting that no thinning was needed in

529 these cases. No differences regarding economic value between chemical, hand, and
530 mechanical thinning vs control trees were neither observed in Experiment 11;
531 nevertheless, the fact that control trees had significantly higher number of fruit per tree,
532 might affect economic value the following year due to poor return bloom.

533 Similar fruit size and yield were observed for chemical and mechanical thinning at 5
534 $\text{km}\cdot\text{h}^{-1}$ and 320 rpm of rotational speed when performed on ‘Fuji’ (Experiment 3). On the
535 other hand, while no significant differences were observed for ‘Brookfield Gala[®]’ in
536 2010, lower yield on mechanical thinning treatments was observed in 2011 (Experiment
537 3). Similar effects on yield were also reported by Solomakhin and Blanke (2010) when
538 using 300 rpm and 5 $\text{km}\cdot\text{h}^{-1}$ with a Baum[®] machine on ‘Mondial Gala[®]’, or by Hehnen et
539 al. (2012) on ‘Buckeye Gala[®]’, when increasing rotational speed to 360 rpm and reducing
540 tractor speed to 2.5 $\text{km}\cdot\text{h}^{-1}$. Solomakhin et al. (2012) reported a 45% of yield decrease on
541 ‘Mondial Gala[®]’ when rotational speed was increased up to 420 rpm. McClure and Cline
542 (2015) with a Darwin[®] machine at 3.2 $\text{km}\cdot\text{h}^{-1}$ and 180-240 rpm did not observe a
543 significant yield reduction on ‘Royal Gala[®]’. Both Kon et al. (2013) with a Darwin[®], and
544 Damerow et al. (2007) with a Baum[®] machine, reported higher blossom removal as
545 rotational speed increased. On the other hand, a study conducted by Sinatsch et al. (2010)
546 on ‘Pinova^{COV}’, did not reveal significant differences when maintaining a tractor speed at
547 3.2 $\text{km}\cdot\text{h}^{-1}$, and rotational speeds from 200 to 220 rpm. In our successive experiments,
548 further configurations of rotational and tractor speeds were tested. Keeping the same
549 tractor speed at 5 $\text{km}\cdot\text{h}^{-1}$ and reducing the rotational speed from 300 to 270 rpm provided
550 the same yield as hand thinning, but lower than chemical thinning on ‘Gala Galaxy’
551 (Experiment 5). On the other hand, 6 $\text{km}\cdot\text{h}^{-1}$ and 230 rpm had similar yields as chemical

552 and hand thinning treatments, and provided 156 fruit/tree, which is within the ideal range
553 of a commercial mature crop for ‘Gala’ in our conditions (155-185 fruit/tree). In contrast,
554 270 rpm at 5, 6, or 7 km·h⁻¹ gave lower values (111-135 fruit/tree), which are
555 significantly lower than the ideal values for our conditions. In another of our experiments
556 with ‘Gala Brookfield[®]’ (Experiment 6), no differences regarding fruit size were
557 observed, whereas higher number of fruit per tree (257 vs 176 fruit/tree) when using
558 Darwin[®] at 6 km·h⁻¹ and 270 rpm vs hand thinning was attained. Further experiments that
559 we performed with ‘Gala’ strains (Experiments 9 & 12) confirmed 6 km·h⁻¹ and 250 rpm
560 as the best set parameters to provide an ideal fruit number per tree for mature orchards
561 (155-185 fruit/tree) or an ideal crop load of ~6 fruit/cm² of TCSA for non mature
562 orchards, and an average fruit size of 170 g, with no significant differences to the
563 standard chemical and hand thinning practices. Increasing tractor speed to 8 km·h⁻¹
564 seemed to reduce fruit number per tree, however, no significant differences were
565 observed. Conversely, Dorigoni et al. (2010) reported that increasing tractor speed will
566 decrease the thinning effect, whereas increasing rotational speed will increase it. A study
567 made by Solomakhin et al. (2012) reported higher yields with ‘Mondial Gala[®]’ when
568 tractor speed was set at 7.5 km·h⁻¹ and rotation speed at 360 rpm.

569 For ‘Fuji’, 6 km·h⁻¹ and 250 rpm provided a crop load of 9 fruit/cm² of TCSA, and 236 g
570 of average fruit size (Experiment 8), values that are not in accordance to the optimum
571 range for young orchards for this cultivar in our conditions (3-4 fruit/cm² of TCSA). On
572 the other hand, 5 km·h⁻¹ and 320 rpm provided 156 fruit/tree (Experiment 3), within our
573 optimum goal (150-170 fruit/tree). However, that range was only achieved when the
574 initial number of flower clusters per tree was 200 or below. With about 500 flower

575 clusters per tree, mechanical at 5 km·h⁻¹ and 320 rpm plus chemical thinning were not
576 enough to achieve the desired thinning. Even though no significant differences with the
577 hand thinning treatment were observed regarding yield, return bloom, and economic
578 value, ‘Fuji’ has a marked biennial bearing habit. Therefore, combination of mechanical
579 thinning plus chemical treatments might be the ideal strategy when the initial number of
580 flower clusters per tree is above 500. In addition, reducing the rotational speed from 250
581 to 210 rpm plus a chemical spray of BA gave a similar crop load and fruit size values,
582 suggesting as an alternative for those areas where spring frost might be a problem. A
583 study made by Dorigoni et al. (2010) in Italy, reported 6 km·h⁻¹ and 230 rpm to provide a
584 slightly higher yield than the optimum for ‘Fuji’, which reduced return bloom the
585 following year. In that study, a combination of mechanical thinning (with the
586 aforementioned parameters) plus chemical sprays (either BA or NAA) gave the best
587 results. In our study, return bloom was not reduced when using either 210 or 250 rpm
588 compared to hand thinning. Thus, the higher rotational speed that we used (250 rpm vs
589 210 rpm) can save fruitlet a chemical thinning treatment thereafter.

590 With ‘Golden Delicious’, we started our tests with 7-8 km·h⁻¹ and 270-310 rpm
591 (Experiment 4), which provided too much thinning; however, control trees in that year
592 were already within the optimum range. On the following test with ‘Golden Delicious’
593 (Experiment 7), we reduced the tractor speed to 6 km·h⁻¹ and kept the rotational speed to
594 270 rpm, but the thinning was not enough, even for the hand thinned treatments (no
595 significant differences with the mechanical), which also compromised fruit size. In that
596 experiment the initial number of flower clusters per tree was very high (>450), which
597 may explain why not any mechanical treatment and even the hand thinning were enough

598 to achieve the desired optimum range. The same tractor and rotational speeds were used
599 in Experiment 10, but in that case the final fruit number per tree that was achieved for
600 mechanical thinning treatments was lower than the optimum range. Since control trees
601 were already close to that optimum, just a slight thinning to improve fruit size should
602 have been performed that year. Yet, that same tractor and rotational speeds ($6 \text{ km}\cdot\text{h}^{-1}$ and
603 270 rpm) significantly reduced the crop compared to control. Rotational speed was
604 reduced from 270 to 230 rpm in a successive experiment (#11), which provided the final
605 fruit number per tree within the optimum range, with no significant differences this time
606 regarding yield and fruit size compared to hand or chemical thinning. These results are
607 consistent with Dorigoni et al. (2010) and Seehuber et al. (2014a), who found that
608 reducing rotational speed decreased the thinning effect. A study made by Solomakhin et
609 al. (2012) on ‘Golden Reinders[®]’ did not see significant differences in yield when
610 comparing hand to mechanical thinning at $5\text{-}7.5 \text{ km}\cdot\text{h}^{-1}$ and $300\text{-}480 \text{ rpm}$. On the other
611 hand, another study by Veal et al. (2011) suggested $5\text{-}7.5 \text{ km}\cdot\text{h}^{-1}$ and $300\text{-}420 \text{ rpm}$ to get
612 the best thinning efficacy on ‘Golden Delicious’, ‘Gala’, ‘Elstar’, and ‘Braeburn’.

613 Mechanical thinning timing was also examined at different phenological stages (E_2 , F_1 ,
614 F_2 , and G (Fleckinger, 1964)) in our study (Experiments 7, 10, & 11). However, no
615 significant differences regarding yield, fruit size, fruit set (fruit number/100 clusters), or
616 crop load were observed among them. Seehuber et al. (2014b) suggested E_2 to F_2 as the
617 ideal timing window for mechanical thinning, whereas a wider window was suggested by
618 Veal et al. (2011) (E_2 to G). Hence, reference studies have been performed at different
619 stages like F_1 (Basak et al., 2016; McClure and Cline, 2015), pink bud (E_2) to full bloom
620 (F_2) (Miranda Sazo et al., 2016; Solomakhin et al., 2012; Veal et al., 2011), at full bloom

621 (F₂) (Hehnen et al., 2012; Kirstein, 2015; Kon et al., 2013; Solomakhin and Blanke,
622 2010), or even at 30% of petal fall (Kirstein, 2015). The fact that mechanical thinning is
623 less dependent on phenological stage than timing of chemical thinners will allow more
624 time to manage different spring situations, like spring frost forecasts, in order to delay the
625 treatment for safety reasons.

626 Performing such a large number of experiments was key to indicate the best parameter
627 configuration to mechanically thin 'Fuji', 'Gala', and 'Golden Delicious' in order to
628 achieve optimum fruit number per tree ranges. However, these parameters might vary, or
629 not be that accurate in other conditions or where the initial number or flower clusters per
630 tree is quite different.

631 In spite of both 'Gala' and 'Golden Delicious' having a type III growth habit (Lespinasse,
632 1977), 'Gala' has narrower branch angles (Ferree and Warrington, 2003), which may
633 affect its response to mechanical thinning. Furthermore, cultivar-specific return bloom
634 associated with different optimum fruit/tree values for each cultivar (80-110 fruit/tree
635 'Golden Delicious', 155-185 fruit/tree 'Gala') may also require different approaches. In
636 order to address that, two prediction models were developed in our study to adjust the
637 right tractor and rotational speeds depending on the initial number of flower clusters.
638 Therefore, following a similar protocol that is often used for precision chemical thinning
639 (Robinson et al., 2014; Robinson and Lakso, 2011a; Robinson and Lakso, 2011b;
640 Robinson et al., 2013) these models will help to set more accurate parameters (tractor and
641 rotational speeds) once the desired number of fruits per tree is decided. Conversely to
642 what happens with chemical thinning, obtaining good results from mechanical thinning
643 will be much easier, since it is not so reliable to year or environmental/weather conditions

644 (Dorigoni et al., 2010). Furthermore, thinning strategies used for chemical and
645 mechanical thinning may need to be combined in scenarios of high return bloom, when
646 the initial number of flower clusters is high (>400 flower cluster per tree). New research
647 is focusing in the development of a mechanical thinner prototype that include cameras to
648 adjust thinning intensity based on the actual flower density (Pflanz et al., 2016).
649 However, feasibility of this approach is still being studied (Pflanz et al., 2016). Based in
650 our study, 6 km·h⁻¹ and 250 rpm would be an initial starting point to adjust mechanical
651 thinning. Furthermore, these parameters (tractor and rotational speeds) can be set more
652 accurately if we know the initial number of flower clusters per tree. To our knowledge,
653 these are the first models that help to adjust mechanical thinning to a desired final fruit
654 number per tree. The method begins with first calculating the final fruit number needed
655 per tree in order to achieve the desired yield (crop load for each particular cultivar,
656 depending on local conditions/historic experience and market price according to fruit
657 size). Then, once knowing the initial number of flower clusters per tree, tractor and
658 rotational speeds can be adjusted.

659 In this study, we evaluated several agents and mechanical thinning to offer an alternative
660 to conventional thinners. The overall analysis of the results showed that olive oil can
661 cause thinning but its rate must be adjusted to avoid fruit russetting. On the other hand,
662 mechanical thinning offers more consistent results than chemical thinning, and
663 comparable to the desired levels achieved by hand thinning.

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- 820

821 **Tables**

822 Table 1. List of experiment number, year, location, cultivar, treatments and timing performed for the different thinning trials.

Experiment #	Year	Location	Cultivar	Treatments	Timing
1	2004 2005 2006	Gimenells, Lleida, Spain	Red Chief®	Kaolin 5 kg·hL ⁻¹ (2004) Kaolin 5+3 kg·hL ⁻¹ (2005 & 2006) Potassium soap 4 L·hL ⁻¹ (2004-2006) Vinegar 30 L·hL ⁻¹ (2004-2006) Surfactant 1 L·hL ⁻¹ (2004-2006) Paraffin oil 2.5 L·hL ⁻¹ (2004-2006) Olive oil 5 L·hL ⁻¹ (2004-2006) Corn oil 5 L·hL ⁻¹ (2004-2005) Lime sulfur 2 L·hL ⁻¹ (2004-2006) Lime sulfur 4 L·hL ⁻¹ (2004-2006) Lime sulfur 6 L·hL ⁻¹ (2004-2006) Untreated control (2004-2006) Hand thinning (2004-2006)	80% F2
2	2005 2006 2007 2008	Gimenells, Lleida, Spain	Golden Smoothee®	Kaolin 5+3 kg·hL ⁻¹ (2005) Potassium soap 4 L·hL ⁻¹ (2005-2007) Olive oil 5 L·hL ⁻¹ + potassium soap 4 L·hL ⁻¹ (2005-2007) Paraffin oil 2.5 L·hL ⁻¹ (2005) Lime sulfur 4 L·hL ⁻¹ (2005-2008) Sodium chloride 2 kg·hL ⁻¹ (2005-2006) Potassium permanganate 1 kg·hL ⁻¹ (2006) Potassium permanganate 2 kg·hL ⁻¹ (2007-2008) Calcium chloride 2 kg·hL ⁻¹ (2006-2007) Ammonium thiosulfate (ATS) 1 L·hL ⁻¹ (2008) Lime sulfur 4 L·hL ⁻¹ + paraffin oil 1 L·hL ⁻¹ (2008) Untreated control (2005-2008) Hand thinning (2005-2007)	80% F2
3	2010	Mollerussa, Lleida,	Fuji Kiku® 8	Chemical: BA 150 mg·L ⁻¹ + NAA 10 mg·L ⁻¹	10 mm

Experiment #	Year	Location	Cultivar	Treatments	Timing
	2011	Spain	Gala Brookfield®	Fuet Fruttur® 5 km·h ⁻¹ & 320 rpm Fuet Fruttur® 5 km·h ⁻¹ & 320 rpm → chemical Untreated control	80% F1
4	2010	La Tallada d'Empordà, Girona, Spain	Golden Reinders®	Darwin® 7 km·h ⁻¹ & 270 rpm Darwin® 7 km·h ⁻¹ & 290 rpm Darwin® 7 km·h ⁻¹ & 310 rpm Darwin® 8 km·h ⁻¹ & 290 rpm BA 100 mg·L ⁻¹ Untreated control	80% F1 10 mm
5	2010	La Tallada d'Empordà, Girona, Spain	Gala Galaxy	NAD 50 mg·L ⁻¹ (5DAFB) & BA 150 mg·L ⁻¹ + NAA 12 mg·L ⁻¹ (10 mm) Darwin® 5 km·h ⁻¹ & 270 rpm Darwin® 6 km·h ⁻¹ & 230 rpm Darwin® 6 km·h ⁻¹ & 270 rpm Darwin® 6 km·h ⁻¹ & 310 rpm Darwin® 7 km·h ⁻¹ & 270 rpm Hand thinning	80% F1
6	2011	La Tallada d'Empordà, Girona, Spain	Gala Brookfield®	Chemical: NAD 50 mg·L ⁻¹ (5DAFB) & BA 150 mg·L ⁻¹ + NAA 12 mg·L ⁻¹ (10 mm) Darwin® 6 km·h ⁻¹ & 270 rpm Darwin® 6 km·h ⁻¹ & 230 rpm → chemical Darwin® 6 km·h ⁻¹ & 270 rpm → chemical Hand thinning	80% F1
7	2011	La Tallada d'Empordà, Girona, Spain	Golden Reinders®	Darwin® 6 km·h ⁻¹ & 270 rpm Darwin® 6 km·h ⁻¹ & 270 rpm Darwin® 6 km·h ⁻¹ & 270 rpm Hand thinning	E2 F1 F2
8	2011	La Tallada d'Empordà, Girona, Spain	Fuji Zhen® Aztec ^{cov}	ATS 3 L·hL ⁻¹ ATS 3 L·hL ⁻¹ → BA 150 mg·L ⁻¹ Darwin® 6 km·h ⁻¹ & 210 rpm Darwin® 6 km·h ⁻¹ & 250 rpm Darwin® 6 km·h ⁻¹ & 210 rpm → BA 150 mg·L ⁻¹ Hand thinning	10 mm 80% F1 80% F1 + 10 mm

Experiment #	Year	Location	Cultivar	Treatments	Timing
9	2012	La Tallada d'Empordà, Girona, Spain	Gala Galaxy	Chemical: NAD 50 mg·L ⁻¹ (5DAFB) & BA 150 mg·L ⁻¹ + NAA 12 mg·L ⁻¹ (10 mm) Darwin® 6 km·h ⁻¹ & 250 rpm on the whole tree Darwin® 6 km·h ⁻¹ & 250 rpm at the top of the tree Darwin® 6 km·h ⁻¹ & 250 rpm at the top → chemical ATS mg·L ⁻¹ 3x (F2, F2+4 & G) → chemical	80% F1
10	2012	La Tallada d'Empordà, Girona, Spain	Golden Reinders®	Darwin® 6 km·h ⁻¹ & 270 rpm at E2 Darwin® 6 km·h ⁻¹ & 270 rpm at F1 Darwin® 6 km·h ⁻¹ & 270 rpm at F2 Untreated control	E2 F1 F2
11	2013	La Tallada d'Empordà, Girona, Spain	Golden Crielaard®	Lime sulfur mg·L ⁻¹ 2x (F1 & F1+2D) Darwin® 6 km·h ⁻¹ & 230 rpm at E2 Darwin® 6 km·h ⁻¹ & 230 rpm at F1 Darwin® 6 km·h ⁻¹ & 230 rpm at G Untreated control Hand thinning	E2 F1 G
12	2014	La Tallada d'Empordà, Girona, Spain	Gala Annaglo ^{cov}	Darwin® 6 km·h ⁻¹ & 250 rpm Darwin® 8 km·h ⁻¹ & 250 rpm Darwin® 8 km·h ⁻¹ & 290 rpm Hand thinning	80% F1
13	2016	La Tallada d'Empordà, Girona, Spain	Gala Schniga®	BA 150 mg·L ⁻¹ Darwin® 6 km·h ⁻¹ & 270 rpm Darwin® 6 km·h ⁻¹ & 270 rpm → BA150	10 mm 80% F1

824 Table 2. Effects of corn oil, kaolin, lime sulfur (LS), olive oil, paraffin oil, potassium soap, surfactant, vinegar, and hand thinning on 'Red Chief[®]' in Gimennells
 825 2004-2006 (Experiment 1). Applications were done at 80% F₂ (Fleckinger, 1964). Control trees were unsprayed. Return bloom was measured the following
 826 spring, by counting the total number of flower clusters per tree. Economic value was calculated using the simulated packout and the industry price standards.

Year	Treatment	Flower clusters/tree		Fruit #/tree		Fruit #/ 100 clusters		Yield/tree (kg)		Fruit size (g)		TCSA ^z (cm ²)	Return bloom(f lower clusters #/tree)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)		
2004	Control	154	B	185	AB	119	A	38	AB	219	AB	94	495	11	ABCD	1.9	ABC
	Corn oil	197	B	118	B	61	BC	28	BC	251	AB	95	542	9	BCD	1.3	BC
	Hand	164	B	150	B	95	ABC	36	ABC	250	AB	92	533	12	ABC	1.6	ABC
	Kaolin	248	AB	277	A	116	AB	51	A	191	B	104	523	13	AB	2.7	A
	LS 2%	213	AB	138	B	71	ABC	32	BC	236	AB	91	577	10	ABCD	1.5	ABC
	LS 4%	181	B	120	B	75	ABC	28	BC	238	AB	100	560	9	CD	1.3	BC
	LS 6%	198	B	114	B	59	C	28	BC	268	A	109	540	9	ABCD	1.0	BC
	Olive oil	171	B	88	B	54	C	22	C	255	AB	99	489	7	D	0.9	C
	Paraffin oil	185	B	146	B	86	ABC	34	BC	240	AB	104	479	11	ABCD	1.4	BC
	Potassium soap	311	A	182	AB	60	C	37	ABC	212	AB	103	479	11	ABCD	1.9	ABC
	Surfactant	212	AB	178	AB	98	ABC	39	AB	225	AB	103	532	12	ABC	1.7	ABC
Vinegar	205	AB	195	AB	96	ABC	43	AB	228	AB	91	520	13	A	2.1	AB	
	<i>P</i>	0.0014		0.0002		0.0011		<0.0001		NS	NS	NS	0.0004	0.0003			
2005	Control	495		356		74		65		186		106	293	17		3.4	
	Corn oil	542		372		69		64		177		101	197	16		3.7	
	Hand	533		293		55		60		205		97	363	17		3.1	
	Kaolin	523		416		81		72		176		106	269	17		3.9	
	LS 2%	577		366		63		64		179		96	279	15		3.8	
	LS 4%	560		392		70		69		179		107	317	17		3.7	
	LS 6%	540		336		62		62		189		116	297	16		2.9	
	Olive oil	489		277		55		52		190		104	284	14		2.8	
	Paraffin oil	479		363		77		66		186		111	248	17		3.3	
	Potassium soap	479		320		66		62		195		107	312	17		3.0	
Surfactant	532		332		62		61		186		108	328	16		3.1		

	Vinegar	520	380	73	64	173	97	269	15	3.9
	<i>P</i>	NS	NS	NS	NS	NS	NS	NS	NS	NS
2006	Control	293	94	33	26	276	112		9	0.8
	Hand	363	102	29	28	277	108		9	0.9
	Kaolin	269	82	30	23	280	123		8	0.7
	LS 2%	279	71	24	19	279	104		6	0.7
	LS 4%	317	70	21	19	282	119		6	0.6
	LS 6%	297	61	21	18	299	127		6	0.5
	Olive oil	284	61	22	17	277	115		6	0.5
	Paraffin oil	248	64	32	18	289	128		6	0.5
	Potassium soap	312	89	29	23	259	115		7	0.8
	Surfactant	328	74	23	20	282	117		7	0.6
	Vinegar	269	68	28	20	287	106		6	0.6
	<i>P</i>	NS	NS	NS	NS	NS	NS		NS	NS

827 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^ZTrunk

828 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

829

830 Table 3. Effects of kaolin, lime sulfur (LS), olive oil, paraffin oil, potassium soap, sodium chloride, ammonium thiosulfate (ATS), potassium permanganate, and
 831 hand thinning on ‘Golden Smoothee®’ in Gimenells 2005-2008 (Experiment 2). Applications were done at 80% F₂ (Fleckinger, 1964). Control trees were
 832 unsprayed. Return bloom was measured the following spring, by counting the total number of flower clusters per tree. Economic value was calculated using the
 833 simulated packout and the industry price standards.

Year	Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCS A ² (cm ²)	Return bloom (flower clusters#/tree)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
2005	Control	360	493 A	137 A	78 A	159 C	79	63 B	14 A	6.3 A
	Hand	359	305 CD	87 BC	59 C	194 A	79	86 AB	14 A	3.9 AB
	Kaolin	285	390 ABC	139 A	64 BC	165 BC	77	70 B	12 AB	5.2 AB
	LS	339	386 ABC	114 AB	65 ABC	170 BC	78	86 AB	13 A	5.1 AB
	Olive oil	325	230 D	71 C	41 D	180 AB	86	127 A	9 B	2.7 B
	Paraffin oil	341	474 AB	140 A	73 AB	155 C	75	58 B	13 A	6.4 A
	Potassium soap	321	371 BC	118 AB	63 BC	170 BC	76	80 B	13 A	5.0 AB
	Sodium chloride	338	462 AB	137 A	72 ABC	156 C	81	65 B	12 A	6.3 A
<i>P</i>	NS	<0.0001	<0.0001	<0.0001	<0.0001	NS	0.0010	0.0007	0.0135	
2006	Calcium chloride	121	146	166	24	165	92	394	4	1.6
	Control	176	315	242	48	156	79	438	7	4.1
	Hand	225	195	125	33	187	81	392	6	2.4
	LS	223	287	162	41	157	90	367	6	3.0
	Olive oil	179	242	185	36	152	87	448	6	2.8
	Potassium permanganate	252	307	179	45	164	93	303	7	3.4
	Potassium soap	261	334	201	49	152	73	346	7	4.5
	Sodium chloride	165	256	243	38	158	85	356	6	3.0
<i>P</i>	NS	NS	NS	NS	NS	NS	NS	NS	NS	
2007	Calcium chloride	425	197 A	46 A	32 A	162	86	247	6 A	2.3 AB
	Control	392	175 AB	44 AB	29 AB	166	69	330	5 A	2.6 A
	Hand	398	112 AB	28 C	21 AB	189	92	309	5 AB	1.3 AB

	LS	403	128	AB	31	ABC	21	AB	161	81	363	4	AB	1.7	AB
	Olive oil	421	91	B	20	C	15	B	175	91	457	3	B	1.0	B
	Potassium permanganate	402	135	AB	34	ABC	22	AB	164	87	322	4	AB	1.6	AB
	Potassium soap	403	114	AB	29	BC	19	AB	163	92	363	3	AB	1.3	AB
	<i>P</i>	NS	0.0195		0.0006		0.0139		NS	NS	NS	0.0075		0.0128	
2008	ATS	123	101		82										
	Control	128	123		97										
	LS	127	75		60										
	LS+paraffin oil	129	75		59										
	Potassium permanganate	130	71		54										
	<i>P</i>	NS	NS		NS										

834 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^ZTrunk

835 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

836

837 Table 4. Effects of chemical vs mechanical (Fuet Fruttur[®]) thinning on ‘Brookfield Gala[®]’ and ‘Fuji Kiku[®] 8’ in Mollerussa 2010-2011 (Experiment 3). Chemical
 838 thinning included benzyladenine (BA) at 150 mg·L⁻¹, and naphthalene acetic acid (NAA) at 10 mg·L⁻¹ when fruit size was 10 mm. Mechanical thinning was done
 839 at 80% F₁ (Fleckinger, 1964) using a rotating string machine at 5 km·h⁻¹ of tractor speed and 320 rpm of rotational speed. Control trees were unsprayed. Return
 840 bloom was measured the following spring, by counting the total number of flower clusters per tree. Economic value was calculated using the simulated packout
 841 and the industry price standards.

Cultivar	Year	Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield /tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Return bloom (flower cluster s#/tree)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)					
Gala Brookfield [®]	2010	BA150+NAA10	643	252	AB	39	B	32	127	AB	23	428	5	11.2	AB	
		Control	600	299	A	50	A	36	120	B	22	427	6	13.5	A	
		Fuet5/320	553	229	B	41	B	31	136	A	22	363	7	10.5	B	
		Fuet5/320→BA150+NAA10	601	220	B	37	B	30	135	A	20	427	6	10.9	AB	
		<i>P</i>	NS	0.0264	0.0040	NS	0.0021	NS	NS	NS	NS	0.0375				
	2011	BA150+NAA10	428	175	A	41	AB	31	A	177	AB	25	654	11	7.4	AB
		Control	427	195	A	46	A	32	A	167	B	23	664	10	8.4	A
		Fuet5/320	363	125	B	34	BC	24	B	193	A	24	631	9	5.2	B
		Fuet5/320→BA150+NAA10	427	127	B	30	C	24	B	193	A	24	614	9	5.3	B
		<i>P</i>	NS	0.0007	0.0006	0.0017	0.0039	NS	NS	NS	NS	0.0062				
Fuji Kiku [®] 8	2010	BA150+NAA10	493	230		46		48	209	AB	36	210	7	6.5		
		Control	427	234		55		43	184	B	26	165	6	9.1		
		Fuet5/320	446	223		52		44	202	AB	32	201	6	7.2		
		Fuet5/320→BA150+NAA10	495	188		37		42	223	A	32	158	7	5.9		
		<i>P</i>	NS	NS	NS	NS	0.0405	NS	NS	NS	NS	NS				
	2011	BA150+NAA10	210	173		80		37	219		38	355	7	5.3		

Control	165	186	107	35	202	30	315	5	7.2
Fuet5/320	201	156	95	34	230	36	370	6	4.4
Fuet5/320→BA1									
50+NAA10	158	124	101	31	254	36	374	6	4.1
<i>P</i>	NS	NS	NS	NS	NS	NS	NS	NS	NS

842 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^ZTrunk

843 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

844 Table 5. Effects of chemical vs mechanical (Darwin®) thinning on ‘Golden Reinders®’ in La Tallada d’Empordà in 2010 (Experiment 4). Chemical thinning
 845 included benzyladenine (BA) at 100 mg·L⁻¹ when fruit size was 10 mm. Mechanical thinning was done at 80% F₁ (Fleckinger, 1964) using a rotating string at 7
 846 or 8 km·h⁻¹ and 270, 290, or 310 rpm of rotational speed. Control trees were unsprayed. Return bloom was measured the following spring, by counting the total
 847 number of flower clusters per tree. Economic value was calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Return bloom (flower clusters#/tree)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
BA100	155	78 AB	50 AB	15 AB	188	17	450	3	4.7 AB
Control	154	99 A	64 A	18 A	185	18	460	4	5.4 A
Darwin7/270	157	38 B	24 C	8 B	201	15	431	2	2.4 C
Darwin7/290	158	39 B	25 C	8 B	206	19	565	2	2.2 C
Darwin7/310	153	48 B	33 BC	10 AB	206	16	469	2	3.0 BC
Darwin8/290	157	52 B	34 BC	10 AB	198	16	500	2	3.3 ABC
<i>P</i>	NS	0.0035	0.0009	0.0112	NS	NS	NS	NS	0.0022

848 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk

849 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

850

851 Table 6. Effects of chemical vs hand vs mechanical (Darwin®) thinning on ‘Gala Galaxy’ in La Tallada d’Empordà in 2010 (Experiment 5). Chemical thinning
 852 included one application of naphthalene acetamide (NAD) at 50 mg·L⁻¹ 5 days after full bloom, and another spray with benzyladenine (BA) at 150 mg·L⁻¹ plus
 853 naphthalene acetic acid (NAA) at 12 mg·L⁻¹ at 10 mm. Mechanical thinning was done at 80% F₁ (Fleckinger, 1964) using a rotating string at 5, 6, or 7 km·h⁻¹ and
 854 230, 270, or 310 rpm of rotational speed. Return bloom was measured the following spring, by counting the total number of flower clusters per tree. Economic
 855 value was calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters		Yield/tree (kg)		Fruit size (g)		TCSA ^z (cm ²)	Return bloom (flower clusters #/tree)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)		
NAD50→BA150+NAA12	211	192	A	92	A	27	A	141	C	26	308	4	7.7	A
Darwin5/270	211	115	BC	56	CD	18	BC	164	AB	21	306	4	5.3	ABC
Darwin6/230	207	156	AB	76	AB	24	AB	152	BC	24	293	4	6.6	AB
Darwin6/270	213	111	BC	53	CD	19	BC	169	AB	22	348	4	5.0	BC
Darwin6/310	208	81	C	40	D	15	C	180	A	22	343	4	3.6	C
Darwin7/270	208	135	B	65	BC	21	AB	158	BC	24	315	4	5.7	ABC
Hand	207	124	BC	62	BC	20	BC	159	BC	22	342	4	6.0	ABC
<i>P</i>	NS	<0.0001		<0.0001		<0.0001		<0.0001		NS	NS	NS		0.0015

856 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 857 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

858

859 Table 7. Effects of chemical vs hand vs mechanical (Darwin®) thinning on ‘Gala Brookfield®’ in La Tallada d’Empordà in 2011 (Experiment 6). Chemical
 860 thinning was the standard procedure used by the growers, and included two applications. First application was done 5 days after full bloom with naphthalene
 861 acetamide (NAD) at 50 mg·L⁻¹, and the second one at 10 mm stage with benzyladenine (BA) at 150 mg·L⁻¹ plus naphthalene acetic acid (NAA) at 12 mg·L⁻¹.
 862 Mechanical thinning was done at 80% F₁ (Fleckinger, 1964) using a rotating string at 6 km·h⁻¹ and 230 or 270 rpm of rotational speed. Economic value was
 863 calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters		Yield/tree (kg)	Fruit size (g)		TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)		
NAD50→BA150+NAA12	469	292	A	62	A	40	137	B	33	7	9.0	AB
Darwin6/230→CHM	472	282	A	60	A	40	142	B	32	7	9.1	AB
Darwin6/270	467	257	AB	55	AB	37	143	AB	27	7	9.5	A
Darwin6/270→												
NAD50→BA150+NAA12	470	220	AB	47	BC	34	155	AB	28	7	8.1	AB
Hand	469	176	B	37	C	29	166	A	28	8	6.3	B
<i>P</i>	NS	0.0083		0.0002		NS	0.0131		NS	NS	0.0461	

864 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 865 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

866

867 Table 8. Effects of hand vs mechanical (Darwin®) thinning on ‘Golden Reinders®’ in La Tallada d’Empordà in 2011 (Experiment 7). Mechanical thinning was
 868 done at E₂, F₁, and F₂ (Fleckinger, 1964) using a rotating string at 6 km·h⁻¹ and 270 rpm of rotational speed. Economic value was calculated using the simulated
 869 packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
Darwin6/270E2	462	282	61	34	120 B	18	4	15.4
Darwin6/270F1	455	272	57	32	113 B	18	4	14.7
Darwin6/270F2	456	299	66	37	120 AB	21	5	14.4
Hand	451	196	44	27	139 A	20	4	9.8
<i>P</i>	NS	NS	NS	NS	0.0115	NS	NS	NS

870 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 871 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

872

873 Table 9. Effects of chemical vs mechanical (Darwin®) thinning on ‘Fuji Zhen® Aztec^{cov}’ in La Tallada d’Empordà in 2011 (Experiment 8). There were three
 874 chemical treatments: 1) Ammonium thiosulfate (ATS) AZOS™ 300 at 3 L·hL⁻¹, 2) ATS AZOS™ 300 at 3 L·hL⁻¹ + benzyladenine (BA) at 150 mg·L⁻¹, and 3)
 875 mechanical + BA at 150 mg·L⁻¹. All the chemical treatments were applied at 10 mm stage. Mechanical thinning was done at 80% F₁ (Fleckinger, 1964) using a
 876 rotating string at 6 km·h⁻¹ and 210 or 250 rpm of rotational speed. Return bloom was measured the following spring, by counting the total number of flower
 877 clusters per tree. Economic value was calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Return bloom (flower clusters #/tree)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
ATS	201	122	61	28	226	12	13	7	9.8
ATS→BA	203	121	60	29	243	14	37	7	8.9
Darwin6/210	202	130	64	30	230	15	28	8	8.9
Darwin6/210→BA	202	110	55	26	236	13	42	6	8.5
Darwin6/250	204	120	59	28	236	13	23	8	8.9
Hand	203	115	57	23	195	12	11	5	9.3
<i>P</i>	NS	NS	NS	NS	NS	NS	NS	NS	NS

878 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 879 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

880

881 Table 10. Effects of chemical vs mechanical (Darwin®) thinning on ‘Gala Galaxy’ in La Tallada d’Empordà in 2012 (Experiment 9). There were two chemical
 882 treatments: 1) chemical standard, and 2) ATS. Chemical standard was the common thinning protocol used by the growers, and included two applications. First
 883 application was done 5 days after full bloom with naphthalene acetamide (NAD) at 50 mg·L⁻¹, and the second application was done at 10 mm stage with
 884 benzyladenine (BA) at 150 mg·L⁻¹ plus naphthalene acetic acid (NAA) at 12 mg·L⁻¹. Second treatment included 3 sprays of ammonium thiosulfate (ATS) at
 885 AZOS™ 300 2.5 L·hL⁻¹ each at F₂, F₂ plus 4 days, and G plus the chemical standard treatment. Mechanical thinning was done at 80% F₁ (Fleckinger, 1964) using
 886 a rotating string at 6 km·h⁻¹ and 250 rpm of rotational speed on the whole tree or just at the top. Economic value was calculated using the simulated packout and
 887 the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
ATS3x→NAD50+BA150+NAA12	258	146 A	57 A	24	167 AB	26	4 AB	5.8 AB
NAD50→BA150+NAA12	260	199 A	78 A	32	161 ABC	24	5 A	8.5 A
Darwin6/250	262	185 A	71 AB	29	160 BC	28	4 AB	6.6 AB
Darwin6/250 TOP	258	184 A	74 AB	27	146 C	24	3 B	7.8 AB
Darwin6/250 TOP→NAD50→BA150+NAA12	257	131 A	51 B	23	179 A	29	4 AB	4.7 B
<i>P</i>	NS	0.0404	0.0135	NS	0.0020	NS	0.0174	0.0150

888 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk

889 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

890

891 Table 11. Effects of mechanical (Darwin[®]) thinning on ‘Golden Reinders[®]’ in La Tallada d’Empordà in 2012 (Experiment 10). Mechanical thinning was done at
 892 at E₂, F₁, and F₂ (Fleckinger, 1964) using a rotating string at 6 km·h⁻¹ and 270 rpm of rotational speed. Control trees were unsprayed. Economic value was
 893 calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
Control	140	119 A	85 A	20 A	169 B	20	4	6.1 A
Darwin6/270E2	91	57 B	63 AB	11 B	205 A	18	3	3.2 B
Darwin6/270F1	104	50 B	49 B	10 B	194 AB	18	2	3.1 B
Darwin6/270F2	107	67 B	63 AB	14 AB	207 A	22	3	3.3 B
<i>P</i>	NS	0.0070	0.0195	0.0189	0.0163	NS	NS	0.0162

894 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 895 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

896

897 Table 12. Effects of chemical vs mechanical (Darwin®) thinning on ‘Golden Crielaard®’ in La Tallada d’Empordà in 2013 (Experiment 11). Chemical thinning
 898 consisted of two lime sulfur (LS) sprays Sulfocálcico Concentrado Key at 4 L·hL⁻¹, at F₁, and 2 days after F₁ (Fleckinger, 1964). Mechanical thinning was done
 899 at three different phenological stages (E₂, F₁, and G) (Fleckinger, 1964) using a rotating string at 6 km·h⁻¹ and 230 rpm of rotational speed. Control trees were
 900 unsprayed. Economic value was calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
Control	257	168 A	74 A	25 A	150 B	16	4	10.6 A
Darwin6/230E2	258	99 B	38 B	15 B	154 B	14	3	7.3 ABC
Darwin6/230F1	259	97 B	38 B	17 B	170 AB	14	3	6.8 BC
Darwin6/230G	258	121 AB	47 AB	20 AB	163 AB	20	4	6.8 BC
Hand	259	83 B	33 B	15 B	184 A	16	3	5.4 C
LS	259	119 AB	46 B	18 AB	150 B	14	3	8.8 AB
<i>P</i>	NS	0.0013	0.0036	0.0115	0.0007	NS	NS	0.0027

901 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 902 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

903

904 Table 13. Effects of mechanical (Darwin®) thinning on ‘Gala Annaglo^{cov}’ in La Tallada d’Empordà in 2014 (Experiment 12). Mechanical thinning was done at
 905 80% F₁ (Fleckinger, 1964) using a rotating string at 6 or 8 km·h⁻¹ and 250 or 290 rpm of rotational speed. Economic value was calculated using the simulated
 906 packout and the industry price standards

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)
Darwin6/250	101	35	37	7	187	6	3	6.0
Darwin8/250	78	30	38	5	173	6	2	4.9
Darwin8/290	88	28	32	5	177	6	2	5.2
Hand	90	36	42	6	168	5	2	6.7
<i>P</i>	NS	NS	NS	NS	NS	NS	NS	NS

907 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk
 908 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.
 909

910 Table 14. Effects of chemical vs mechanical (Darwin®) thinning on ‘Gala Schniga®’ in La Tallada d’Empordà in 2016 (Experiment 13). Chemical thinning
 911 consisted of one spray of benzyladenine at 150 mg·L⁻¹ at 10 mm stage. Mechanical thinning was done at 80% F₁ (Fleckinger, 1964) using a rotating string at 6
 912 km·h⁻¹ and 270 rpm of rotational speed. Economic value was calculated using the simulated packout and the industry price standards.

Treatment	Flower clusters/tree	Fruit #/tree	Fruit #/ 100 clusters	Yield/tree (kg)	Fruit size (g)	TCSA ^z (cm ²)	Economic value (€/tree)	Crop load (fruit #/TCSA cm ²)		
BA150	150	420	A	282	A	54	130	18	10	23.6
Darwin6/270	147	404	A	278	A	53	131	17	10	24.8
Darwin6/270→BA150	149	226	B	151	B	35	166	22	9	12.7
<i>P</i>	NS	0.0073	0.0046	NS	NS	NS	NS	NS	NS	NS

913 Means within a column followed by different letters denotes significant differences among treatments (Tukey's honestly significant difference, $P \leq 0.05$). ^zTrunk

914 cross sectional area (TCSA). ^{NS}Nonsignificant at $P \leq 0.05$.

915

916 Table 15. Summary of fit and parameter estimates of ‘Gala’ and ‘Golden Delicious’ models built to predict
 917 the final number of fruits per tree after performing mechanical thinning with Darwin®. Model coefficients
 918 are tractor (km·h⁻¹) and rotational (rpm) speed ratio, and initial number of flower clusters per tree. Data
 919 from experiments 3, 5, 6, 9, and 12 were used for ‘Gala’; whereas experiments 4, 7, 10, and 11 were used
 920 for ‘Golden Delicious’.

Gala						
	RSquare	0.90				
	RSquare Adj	0.89				
	Root Mean Square Error	24.48				
	Mean of Response	129.03				
	Observations (or Sum Wgts)	50.00				
Term	Estimate	Std Error	DFDen	t Ratio	Prob> t	
Intercept	-172.32	30.00	44.63	-5.74	<.0001	
Speed/rpm	6925.75	1046.56	43.04	6.62	<.0001	
Flower clusters/tree	0.64	0.04	44.39	17.59	<.0001	
(Speed/rpm-0.02242)*(Flower clusters/tree-252.235)	36.14	5.61	44.14	6.45	<.0001	
Golden Delicious						
	RSquare	0.92				
	RSquare Adj	0.91				
	Root Mean Square Error	28.11				
	Mean of Response	123.03				
	Observations (or Sum Wgts)	48.00				
Term	Estimate	Std Error	DFDen	t Ratio	Prob> t	
Intercept	291.63	56.49	43.31	5.16	<.0001	
Speed/rpm	-12818.91	2215.73	43.94	-5.79	<.0001	
Flower clusters/tree	0.55	0.04	8.65	14.13	<.0001	
(Speed/rpm-0.0239)*(Flower clusters/tree-243.208)	-64.99	24.12	24.58	-2.69	0.0125	

921