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1 **Assessing water-assisted UV-C light and its combination with peroxyacetic acid and**  
2 ***Pseudomonas graminis* CPA-7 for the inactivation and inhibition of *Listeria***  
3 ***monocytogenes* and *Salmonella enterica* in fresh-cut ‘Iceberg’ lettuce and baby**  
4 **spinach leaves**

5  
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12 **HIGHLIGHTS**

13 Water-assisted UV-C controlled *L. monocytogenes* and *S. enterica* in lettuce and spinach

14 Low UV-C doses (0.1-0.3 kJ/m<sup>2</sup>) did not reduce native mesophilic aerobic microbiota

15 Pretreatment with UV-C and peroxyacetic acid inhibited *S. enterica* growth at 5 °C

16 Combined UV-C + 40 mg/L PAA inactivated both pathogens in the process solution

17 Integrated UV-C, peroxyacetic acid and biopreservation was ineffective for sanitation

18 **ABSTRACT**

19 The effectiveness of ultraviolet C light (UV-C) delivered in water (WUV) or in peroxyacetic acid (PAA)  
20 for the inactivation and inhibition of *L. monocytogenes* and *S. enterica* in ready-to-eat ‘Iceberg  
21 lettuce’ and baby spinach leaves, was evaluated throughout chilled storage in modified atmosphere  
22 packaging (MAP). The inhibition of pathogen’s growth by sequential pretreatments with UV-C in PAA

23 and then biocontrol using *Pseudomonas graminis* CPA-7 was assessed during MAP storage at 5 °C  
24 and upon a breakage of the cold-storage chain. In fresh-cut lettuce, 0.1 kJ/m<sup>2</sup> UV-C, in water or in 40  
25 mg/L PAA, inactivated both pathogens by up to 2.1 ± 0.7 log<sub>10</sub>, which improved the efficacy of water-  
26 washing by up to 1.9 log<sub>10</sub> and showed bacteriostatic effects on both pathogens. In baby spinach  
27 leaves, the combination of 0.3 kJ/m<sup>2</sup> UV-C and 40 mg/L PAA reduced *S. enterica* and *L.*  
28 *monocytogenes* populations by 1.4 ± 0.2 and 2.2 ± 0.3 log<sub>10</sub> respectively, which improved water-  
29 washing by 0.8 ± 0.2 log<sub>10</sub>. Combined treatments (0.1 or 0.3 kJ/m<sup>2</sup> WUV and 40 mg/L PAA) inactivated  
30 both pathogens in the process solution from lettuce or spinach single sanitation, respectively.  
31 Pretreating lettuce with UV-C in PAA reduced *L. monocytogenes* and *S. enterica*'s growth by up to 0.9  
32 ± 0.1 log<sub>10</sub> with respect to the PAA-pretreated control after 6 d at 5 °C in MAP. Upon a cold-chain  
33 breakage, CPA-7 prevented *S. enterica* growth in PAA-pretreated lettuce, whereas showed no effect  
34 on *L. monocytogenes* in any of both matrices. Low-dose UV-C in PAA is a suitable preservation  
35 strategy for improving the safety of ready-to-eat leafy greens and reducing the risk of cross  
36 contamination.

37 **Keywords:** biological control; biopreservation; fresh-cut produce; foodborne pathogens; ready-to eat  
38 green leaves

## 39 1. INTRODUCTION

40 Ready-to-eat green leafy salads are growingly demanded items because they combine convenience  
41 and a wide range of nutrients and bioactive phytochemicals which are recommended for a healthy  
42 diet (Artés and Allende, 2014). Nevertheless, as they are usually consumed raw, they can become  
43 vehicles for human pathogens such as *Listeria monocytogenes* and *Salmonella enterica* (Franco &  
44 Destro, 2007; Sagoo et al., 2003a; Sagoo et al., 2003b). Outbreaks caused by several strains of the  
45 mentioned pathogenic species have been associated to contaminated lettuce in the European Union  
46 and the USA in the last years (Callejón et al., 2015; EFSA, 2017). Cross-contamination with foodborne  
47 pathogens may occur during pre-harvest, through contaminated soil and irrigation water or due to

48 organic fertilizers such as manures or sewage sludge (Brandl, 2006). During postharvest processing,  
49 inappropriate sanitation of tools, facilities surfaces, and process-water may also turn them into  
50 contamination sources (Artés and Allende, 2014).

51 Since immersion of cut surfaces increases the probabilities for the infiltration of liquid into the  
52 tissues, sanitation of fresh produce is carried out using antimicrobial solutions to reduce the  
53 probability for process water to become a source of contamination (Gorny et al., 2006). The most  
54 used chemical sanitizer in food industry is chlorine due to its strong antibacterial activity and low  
55 costs (Hua and Reckhow, 2007). However, growing public concern about health and environmental  
56 negative effects of its by-products and the advent of banning or restrictive regulations for its use in  
57 several countries (EC-European Commission for Health and Consumer Protection, 2005), have  
58 prompted the research and development of alternative decontamination strategies in food industry.

59 Short-wave Ultraviolet light (UV-C) has a direct deleterious effect on microbial DNA structure which  
60 leads to the inactivation and death of most types of microorganisms without producing harmful  
61 byproducts (Gayán et al., 2014). Therefore, UV-based technologies are being implemented for the  
62 decontamination of food and food-contact surfaces, including equipment, tools, packages, liquids,  
63 powders and fresh produce (Bintsis et al., 2000; Charles et al., 2013; Fine and Gervais, 2004; Ignat et  
64 al., 2015; Manzocco et al., 2011). When applied at high doses, UV can damage plant tissues, being  
65 counterproductive for plant products shelf-life (Kovács and Keresztes, 2002). However, at low-doses,  
66 UV-C irradiation induces plant self-protective mechanisms against potential oxidative and mutagenic  
67 damages. This leads to the enhancement of antioxidant mechanisms as well as to the production of  
68 pathogenesis-related proteins (PR proteins), thereby eliciting the defense response to subsequent  
69 pathogenic infections (indirect effect) (Allende et al., 2006; Ou et al., 2016; Scott et al., 2017).  
70 Therefore, the perdurability of UV-C antimicrobial effects when applied to plant tissues can be  
71 attributed to both the reduction of the multiplication capacity of irradiated surviving microorganisms  
72 and to the increase of the negative pressure exerted through the elicitation of plant resistance

73 mechanisms (Shama, 2007; Yun et al., 2013). Thus, pre-treating commodities with UV-C would  
74 potentially improve the safety of fresh-cut products by preventing the population increase and the  
75 establishment of foodborne pathogens throughout storage in case of cross-contamination after the  
76 sanitation step.

77 Water-assisted alternatives of the sanitation with UV-C light (WUV) improve the accessibility of UV-C  
78 light to all sides of the product and reduce the probability of its overheating compared to  
79 conventional chambers (Collazo et al., 2018b; Huang et al., 2015; Huang and Chen, 2014). They also  
80 integrate the effects of irradiation and immersion by acting on microbial populations present on the  
81 surface of fresh produce, while decontaminating the sanitation solution. Additionally, in order to  
82 exploit the synergistic effect of the simultaneous action of UV and other sanitation methods, several  
83 strategies combining UV with chemical compounds or antagonistic agents have been used to  
84 improve the efficacy of these methods in several commodities (Koivunen and Heinonen-Tanski, 2005;  
85 Martínez-Hernández et al., 2013; Ou et al., 2016; Park et al., 2018). Among oxidizing chemical  
86 sanitizers, peroxyacetic acid (PAA) is a suitable alternative because of its wide microbial range of  
87 action, its robustness against suspended organic matter, switches in pH and temperature, and the  
88 non-toxicity of its by-products (water and acetic acid) (Alvaro et al., 2009). Our work group has  
89 previously evaluated the efficacy of a water-assisted technology, alone and combined with  
90 peroxyacetic acid for the reduction of natural microbiota in fresh-cut broccoli (Collazo et al., 2018b).  
91 Results showed similar or enhanced effectiveness in respect of chlorine sanitation, depending on the  
92 UV dose and the amount and type of target microorganism.

93 On the other hand, biopreservation have also been combined with physical sanitation methods for  
94 attempting to reduce the incidence of fungal diseases in fresh produce during postharvest (Xu & Du,  
95 2012; Ou et al., 2016). Those experiments have shown promising results regarding the control of  
96 pathogens populations through the activation of the plant's defense mechanisms (Ou et al., 2016).  
97 Although foodborne human pathogens are not specifically pathogenic to plants, they have developed

98 mechanisms allowing them to survive in intermediate plant hosts, including the use of virulence  
99 factors to promote the adhesion to plant tissues (Xicohtencatl-Cortes et al., 2009). Some of those  
100 molecules are also involved in the subsequent colonization of the human host, e. g. flagella-  
101 associated adhesins, Type 3 secretor system and surface-exposed aggregative fimbria/curli nucleator  
102 (Barak et al., 2005; Torres et al., 2005; Xicohtencatl-Cortes et al., 2009). Therefore, competition for  
103 space, inhibition of their adhesiveness to the plant surface and induction of plant's defense  
104 responses through biopreservation, could be additional mechanisms to reduce pathogens'  
105 prevalence and establishment in plant products as vehicles for transmission. We have previously  
106 assessed the antagonistic effect of the preservative strain *Pseudomonas graminis* CPA-7, originally  
107 isolated from apple surface, on the growth of *S. enterica* and *L. monocytogenes* in several fresh-cut  
108 commodities (Abadias et al., 2014; Alegre et al., 2013a; Alegre et al., 2013b; Iglesias, 2017; Iglesias et  
109 al., 2018). This effect showed to be associated to several mechanisms including the competition for  
110 ecological niche, the activation of the plant's defense mechanisms and the reduction the colonization  
111 capacities of those pathogens (Collazo et al., 2018a, 2017b).

112 With all this in mind, in the present work, the direct antimicrobial effect of low-dose WUV treatment  
113 for the control of the foodborne pathogens *S. enterica* and *L. monocytogenes* was evaluated in fresh-  
114 cut lettuce and baby spinach leaves during chilled MAP storage. Further improvement of WUV for  
115 the inactivation and inhibition of those pathogens in the mentioned matrices as well as in the  
116 sanitation solutions was attempted by combining WUV with peroxyacetic acid. Additionally, to assess  
117 the putative inhibitory effect on the growth of the mentioned foodborne pathogens in case of cross-  
118 contamination after the sanitation step, the sequential combination of UV-C + PAA and then  
119 inoculation of *P. graminis* CPA-7, was evaluated throughout MAP refrigerated storage and upon a  
120 breakage of the cold chain of storage.

## 121 2. MATERIALS AND METHODS

123 For inoculation with foodborne pathogens, a cocktail containing five *L. monocytogenes* strains:  
124 CECT4031, ser. 1a; CECT4032, ser. 4b; CECT933, ser. 3a; CECT940, ser. 4a; and Lm203/3, ser. 1/2a  
125 (Abadias *et al*, 2008), and four *S. enterica* subesp. *enterica* strains: BAA-707, ser. Agona; BAA-709,  
126 ser. Michigan; BAA-710, ser. Montevideo; and BAA-711 ser. Gaminara, was used as inoculum. The  
127 cocktail was prepared using 5 mL of overnight cultures of each strain either in tryptone soy broth  
128 (TSB) for *S. enterica*, or in TSB supplemented with 6 g/L yeast extract (TSB-YE) for *L. monocytogenes*.  
129 After incubation at 37 °C, all cultures were mixed and centrifuged at 9800 x g for 10 min at 10 °C. The  
130 supernatant was discarded and bacterial cell pellets were suspended in 22.5 mL of aqueous saline  
131 solution (8.5 g/L NaCl). For antagonist inoculum preparation, *P. graminis* CPA-7 (deposit number CBS  
132 136973) (Alegre *et al.*, 2013b) was seeded onto TSA plates and incubated at 30 °C for 48 h. Single  
133 colonies were inoculated in TSB and incubated in agitation overnight at 25 °C. Antagonistic bacterial  
134 cells were harvested as previously described and suspended in sterile deionized water. All synthetic  
135 culture media, buffers and supplements were purchased from Biokar Diagnostics, Beauvais, France.

137 For microbial viable counts, triplicate 10 g samples were homogenized with 90 mL buffered peptone  
138 water within a 400 mL whole-filter bag (Interscience, Saint Nom, France) in a Masticator (IUL,  
139 Barcelona, Spain) set at 4 strokes per s for 90 s. Appropriate 10-fold solutions in saline peptone (SP,  
140 8.5 g/L NaCl, 1 g/L peptone) of the homogenates were plated on plate count agar (PCA), Palcam agar  
141 or xylose-lysine-desoxycholate (XLD) for the determination of total mesophilic aerobic  
142 microorganisms (MAM), *L. monocytogenes*, or *S. enterica*, respectively. PCA plates were incubated at  
143 25 °C for 3 d and XLD and Palcam plates were incubated at 37 °C for 24 or 48 h, respectively. Viable  
144 counts of each pathogen in the process solutions after a single sanitation of each vegetable were  
145 performed as previously described. Presence/absence tests of neutralized process solutions in Dey-

146 Engley medium were also performed to corroborate the inactivation of microorganisms. All synthetic  
147 culture media, buffers and supplements were purchased from Biokar Diagnostics, Beauveais, France.

### 148 2.3 PLANT MATERIAL PROCESSING

149 Whole wrapped 'Iceberg' lettuce (*Lactuca sativa* var. capitata) and ready-to-use bagged baby spinach  
150 leaves (*Spinacia oleracea* L.) were purchased from local retail establishments in Lleida, Spain. Before  
151 treatment, the external leaves and core of 'Iceberg' lettuce were discarded and the rest was cut into  
152 3-4 cm<sup>2</sup> pieces, washed in chlorinated tap water, drained, spin-dried using a manual centrifuge and  
153 kept in trays overnight in air at 5 °C until use. Baby spinach leaves were un-bagged and stored in  
154 trays overnight in air at 5 °C without any additional discarding or processing until they were  
155 submitted to subsequent sanitation treatments.

### 156 2.4 OVERALL QUALITY AND HEADSPACE GAS COMPOSITION ASSESSMENT

157 Visual assessment of overall appearance was performed initially and throughout storage by 6  
158 untrained panelists using a 1 to 5 hedonic corresponding to 25, 50, 75, 90 and 95 % acceptability of  
159 the sample. The gas headspace composition of packages was measured using a handheld gas  
160 analyzer (CheckPoint O<sub>2</sub>/CO<sub>2</sub>, PBI Dansensor, Denmark).

### 161 2.5 WUV TREATMENT PRESERVING OVERALL APPEARANCE: ANALYSES AND STORAGE

162 Preliminary selection of the WUV dose was based on the visual assessment of the overall quality of  
163 treated samples. For WUV treatments, batches of processed vegetables were immersed in agitated  
164 cold tap water at a ratio of 0.3:10 (kg of product: L of water) using a water-assisted UV-C equipment  
165 composed of deposit (15 L capacity) containing 4 UV lamps (17.2 W, 254 nm) (GPH 303T5L/4,  
166 Heraeus Noblelight, Hanau, Germany). The deposit is connected to recirculating water put in motion  
167 by a water pump. Pressurized water is introduced through multiple sprinklers on the top and exits



168 the tank through the bottom, while moves due to pressurized air (set at 100 kPa) that enters through  
169 the bottom of the tank (Fig. 1). WUV doses were calculated as the mean of irradiance ( $W/m^2$ ) \* time  
170 (s). Several doses were assayed by combining 4 UV-C lamps and different times of exposure. For  
171 'Iceberg' lettuce, 0.1, 0.3, and 0.5  $kJ/m^2$  UV-C, corresponding to 1, 3 and 5 min of exposure,  
172 respectively, were tested. For spinach, 0.2 and 0.3  $kJ/m^2$  treatments, corresponding to 2 and 3 min of  
173 exposure, respectively, were tested. Before each treatment, lamps were preheated until stabilization  
174 of the irradiance. Water-washing without turning on the UV lamps was performed as a control  
175 treatment. Before and after WUV treatments, temperature was measured using an infrared  
176 thermometer (DualTemp Pro, Labprocess distribuciones, Barcelona, Spain). Irradiance was measured  
177 using a UV-sensor EasyH1, Peschl Ultraviolet, Mainz, Germany) through an orifice located in the lid.  
178 After treatments, vegetables were drained, spin dried, and 15 g samples were packaged in  
179 thermosealed 12 x 12 cm (lettuce) or 14 x 14 cm (spinach) polypropylene bags (PP110, ILPRA Systems  
180 Espanya SL, Mataró, Spain) to achieve passive MAP conditions and were stored at 5 °C in darkness.  
181 The film had a gas permeability of 1.1 and 5  $cm^3/m^2/day/KPa$  for  $O_2$  and  $CO_2$ , respectively, at 23 °C  
182 and 0 % relative humidity. Visual assessment of the overall appearance was performed at day 3 and 6  
183 of storage as described in Section 2.4. In the same days, measurements of the  $O_2/CO_2$  composition  
184 within packages were also performed as described in Section 2.4.

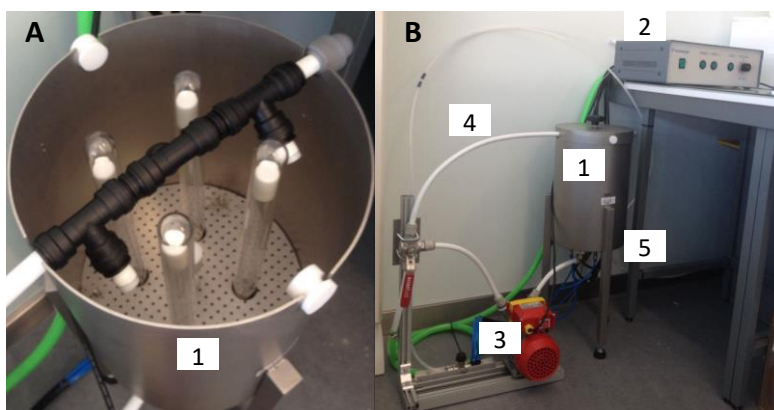


Figure 1. Water-assisted UV-C setup. (A) Tank containing four UV lamps and a multiple sprinkle device on the top. (B) The tank (1) is connected to a power source (2) a water pump (3), a water circuit (4), and a pressurised air entrance in the bottom (5).

194

195 2.6 WUV AND PAA FOR DECONTAMINATION: INOCULATION, TREATMENT, ANALYSES,  
196 AND STORAGE

197 Upon selection of the optimal WUV dose preserving overall quality, the effect of UV-C in water or in  
198 PAA on *L. monocytogenes* and *S. enterica* populations was assessed. Vegetables were processed as  
199 described in section 2.3 and within the same day they were dip-inoculated for 2 min in agitation in a  
200 solution containing pathogenic inoculum (prepared as described in section 2.1) at a concentration of  
201  $10^5$  CFU/mL of each strain. Inoculated samples were drained, spin-dried, and stored overnight in air  
202 at 5 °C. Afterwards, they were immersed in agitated tap water or in 40 or 80 mg/L PAA solutions  
203 (average pH 5.7 and 4.7, respectively) (average of oxidation/reduction potential 478 and 526,  
204 respectively) and submitted to 0.1 kJ/m<sup>2</sup> UV-C in the case of lettuce, and to 0.2 or 0.3 kJ/m<sup>2</sup> in the  
205 case of spinach, using the WUV device as described in section 2.5. As controls, sanitation with water  
206 or with the PAA solutions was performed using the same equipment without turning on the UV  
207 lamps. After sample draining and spin-drying, initial microbial counts were performed as described in  
208 Section 2.2. Samples were packaged (see section 2.5) and stored at 5 °C for 6 d. Analyses of overall  
209 appearance, headspace gas composition of packages (described in Section 2.4), and microbial  
210 populations (described in Section 2.2) were performed at the end of storage.

211 2.7 INTEGRATION OF WUV, PAA AND *P. GRAMINIS* CPA-7: TREATMENT,  
212 INOCULATION, ANALYSES, AND STORAGE

213 The experimental setup of this stage is showed in Figure 2. Prior inoculation, several batches of each  
214 processed vegetable were subjected to decontamination in the WUV equipment as described in  
215 section 2.5, either in agitated cold 40 mg/L PAA without turning on the UV lamps (PAA control), or  
216 with a combination of 40 mg/L PAA and UV-C: 0.1 kJ/m<sup>2</sup> for 'Iceberg' lettuce or 0.3 kJ/m<sup>2</sup> for baby  
217 spinach. After treatment, samples were stored in trays at air overnight at 5 °C, and afterwards, they  
218 were dip-inoculated for 2 min in agitation in: pathogenic inoculum containing  $10^5$  CFU/mL of each  
219 bacterial strain, a mixture of pathogenic + antagonist inoculum containing  $10^7$  CFU/mL CPA-7 and  $10^5$

220 CFU/mL of each pathogenic strain, or in antagonist inoculum containing  $10^7$  CFU/mL CPA-7. Then,  
 221 samples were drained, spin-dried, and packaged as described in Section 2.5 and stored either for up  
 222 to 6 d at 5 °C, or for 2 d at 5 °C followed by 4 d at 10 °C, to simulate a cold-chain breakage. Before  
 223 and after each treatment, concentration, pH and redox potential of PAA solutions were determined  
 224 and temperature irradiance were measured as explained in Section 2.5. MAM counts were  
 225 performed before initial prewashing, and before and after sanitation, as described in Section 2.2.  
 226 Pathogens' population dynamics throughout storage was tracked by viable counts at 0, 2 and 6 d of  
 227 storage at 5 °C and at 6 d upon a cold-chain breakage. The assessment of the overall appearance of  
 228 processed vegetables as well as the O<sub>2</sub>/CO<sub>2</sub> headspace composition of bags was performed at each  
 229 sampling point as described in Section 2.4.

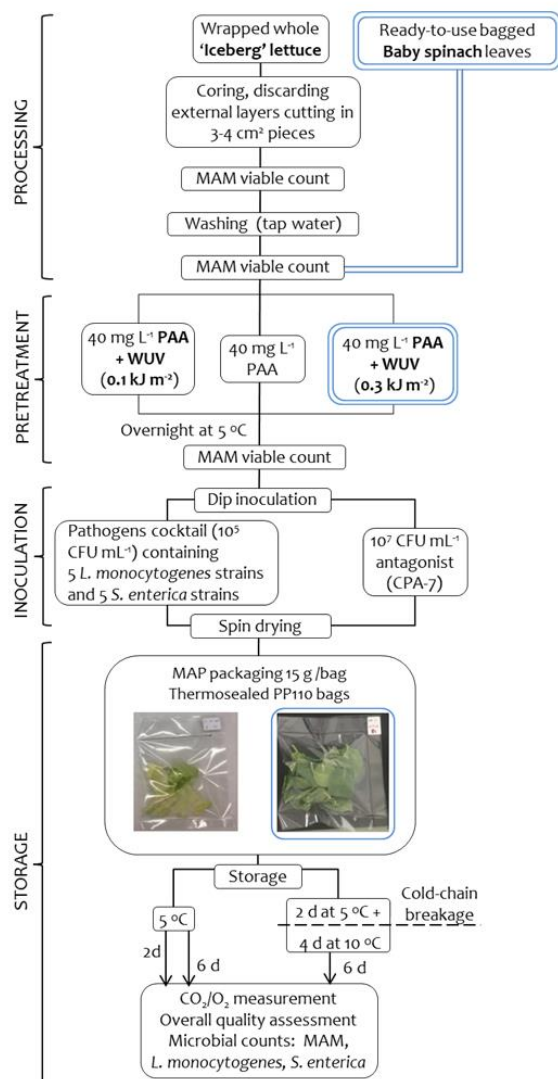


Figure 2. Experimental setup of a combined preservation strategy comprising pretreatment with UV-C + peroxyacetic acid (PAA) before inoculation with the biopreservative bacterium *P. graminis* CPA-7, for controlling the populations of *S. enterica* and *L. monocytogenes* in fresh-cut 'Iceberg' lettuce and baby spinach leaves during MAP storage at 5 °C and upon a breakage of the cold chain of storage.

246 All experiments were repeated two independent times and included three biological replicates per  
247 treatment and sampling time. For microbiological counts, two technical replicates per each biological  
248 replicate were analyzed and the mean of the number of colonies was used to calculate the colony  
249 forming units per milliliter (CFU/mL) and transformed to  $\log_{10}$  CFU/g before means comparison.  
250 Population reductions were calculated by subtracting the count before treatment ( $N_0$ ) to that  
251 obtained after treatment ( $N_1$ ):  $\log_{10} N_1 - \log_{10} N_0$ . Statistical analyses were performed using Statistical  
252 software JMP (version 8.0.1 SAS Institute Inc., NC, USA). Categorical data were analyzed through a  
253 contingency analysis using chi-square statistic ( $n=12$ ,  $P < 0.05$ ). Microbiological and physical data  
254 were verified for agreement to normal distribution and homoscedasticity of residues and  
255 accordingly, means were compared by analysis of variance (ANOVA) and separated by Tukey's test ( $P$   
256  $< 0.05$ ).

### 257 3. RESULTS AND DISCUSSION

#### 258 3.1 WUV TREATMENTS PRESERVING OVERALL QUALITY AND RESPIRATION

259 Preliminary trials testing the highest WUV dose with less negative effects in the overall appearance  
260 and respiration of fresh-cut 'Iceberg' lettuce showed that samples treated with WUV in a range of  
261 0.1-0.3  $\text{kJ/m}^2$  and stored in passive modified atmosphere, had a similar  $\text{O}_2/\text{CO}_2$  composition (14 kPa /  
262 6 kPa) that untreated controls until the end of storage (data not shown). However, at a higher dose  
263 (0.5  $\text{kJ/m}^2$ ) WUV provoked oxidative discoloration, a more marked reduction of  $\text{O}_2$  levels (6.16 kPa)  
264 and an increase in  $\text{CO}_2$  content (6.2 kPa) than the water-washed control (17.5 kPa  $\text{O}_2$ ; 2.8 kPa  $\text{CO}_2$ ) at  
265 the end of storage. The higher accumulation in  $\text{CO}_2$  content observed in 0.5  $\text{kJ/m}^2$ -treated samples  
266 could have been related to an enhanced respiration rate due to physiological stress in plant tissues.  
267 Similar results have been previously observed in experiments performed with 'Red Oak Leaf' and  
268 'Lollo rosso' lettuces, that showed a positive correlation of the increase in the UV-C dose in a range of

269 0.4 to 8.1 kJ/m<sup>2</sup>, with the respiration rate during MAP storage at 5 °C (Allende et al., 2006; Allende  
270 and Artes, 2003). On the other hand, in our experiments the visual assessment of overall appearance  
271 of lettuce samples treated with 0.3 and 0.5 kJ/m<sup>2</sup> showed unacceptability after 6 d of MAP storage  
272 (data not shown); thus, the lowest dose (1 min of exposure, 0.1 kJ/m<sup>2</sup>) was selected for subsequent  
273 analysis. In Red Oak Leaf<sup>®</sup> lettuces, softening and browning of tissues were not detected in samples  
274 treated with conventional UV-C at doses of 1.2 and 2.4, kJ/m<sup>2</sup>, in respect of the control (Allende et  
275 al., 2006). However, such negatives effects added to altered sensory quality were detected in  
276 samples treated with 7.1 kJ/m<sup>2</sup> after 7 d at 5 °C, which was associated to the production of free  
277 radicals and to a deleterious effect of UV-C on the cell wall (Allende et al., 2006). The higher  
278 browning response of crisp head lettuce varieties such as 'Iceberg' to abiotic stress compared to  
279 Romaine and other varieties with less crispiness has been previously reported (Cantos et al., 2001).

280 As for baby spinach leaves, no differences in the overall appearance were observed among irradiated  
281 samples regardless of the UV-C dose (data not shown). Gases analysis showed that treatment with  
282 WUV, resulted in lower O<sub>2</sub> (17.6 kPa) content than the water-washed control (19.5 kPa), regardless of  
283 the assayed dose (0.2 or 0.3 kJ/m<sup>2</sup>), while no differences in the CO<sub>2</sub> contents (8.11 kPa) were  
284 observed among treatments. Therefore, both WUV doses were evaluated in the subsequent set of  
285 experiments. In accordance with these results, dry-UV-C treatments of spinach leaves at doses of  
286 4.54, 7.94 and 11.35 kJ/m<sup>2</sup> did not affect the gases contents within packages compared to water  
287 control, and no reduction of quality was either detected for the lowest dose (Artés-Hernández et al.,  
288 2009).

### 289 3.2 WUV AND UV-C IN PAA FOR MICROBIAL DECONTAMINATION OF LETTUCE AND 290 SPINACH

291 Upon the selection of 0.1 kJ/m<sup>2</sup> as the WUV dose better preserving the overall appearance of  
292 'Iceberg' lettuce throughout storage, this dose was applied for microbial decontamination of native  
293 microbiota and inoculated pathogenic microorganisms. The combination of 0.1 kJ/m<sup>2</sup> UV-C and 40 or  
12

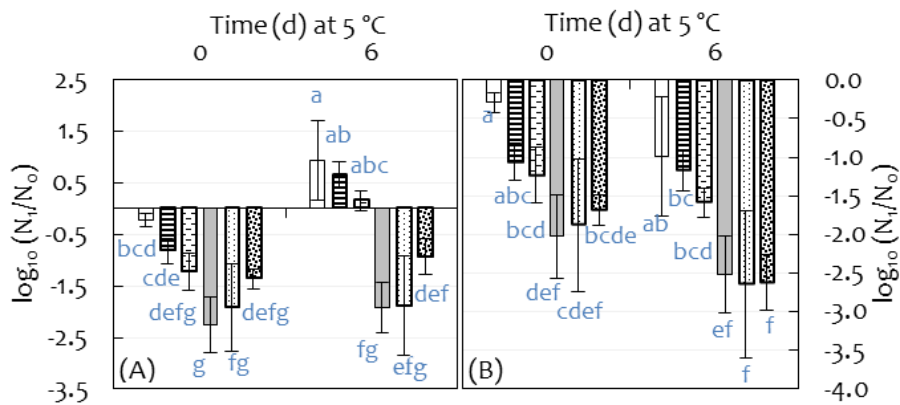
294 80 mg/L PAA were evaluated to further improve the efficacy of the WUV. Initial counts of *L.*  
295 *monocytogenes* and *S. enterica* in 'Iceberg' lettuce were  $3.8 \pm 0.1$  and  $4.0 \pm 0.1 \log_{10}$  CFU/mg,  
296 respectively. WUV treatments effectively inactivated *L. monocytogenes* by  $2.1 \pm 0.7 \log_{10}$  in respect  
297 of inoculated populations, improving the efficacy of water-washing by  $1.9 \log_{10}$ . *L. monocytogenes*'  
298 growth was also inhibited throughout refrigerated MAP storage, showing no population increase in  
299 respect of the initial levels (Fig. 3 A). Combining  $0.1 \text{ kJ/m}^2$  UV-C and PAA did not improve the  
300 inactivation but enhanced the inhibition of *L. monocytogenes*' growth during storage at  $5 \text{ }^\circ\text{C}$ , in  
301 respect of the PAA control. Similarly,  $0.1 \text{ kJ/m}^2$  WUV reduced *S. enterica* initial populations in  
302 'Iceberg' lettuce by  $2.0 \pm 0.6 \log_{10}$  (Fig. 3 B), which improved the efficacy of water-washing by  $1.7$   
303  $\log_{10}$ . Combining UV-C and PAA, regardless of the PAA dose, achieved the same efficacy as WUV,  
304 maintaining *S. enterica*'s populations  $1.9 \pm 0.7 \log_{10}$  below the inoculated levels until the end of  
305 storage. Final populations of this pathogen reached  $3 \pm 0.7 \log_{10}$  below those present in the water-  
306 washed samples. Similarly, Huang et al. (2018) obtained a reduction by  $2 \log_{10}$  of *Salmonella* spp.  
307 populations in fresh-cut 'Iceberg' lettuce using a water-assisted device equipped with stirrers (10 L  
308 capacity, at doses of 26.7 to  $33.6 \text{ kJ/m}^2$  UV-C). In agreement with our results, they obtained no  
309 synergistic effect when combining UV-C with 80 mg/L PAA, compared to WUV. In the same way, the  
310 sequential application of UV-C at fluencies  $> 1.5 \text{ kJ/m}^2$  and PAA (80 mg/L) for the sanitation of *S.*  
311 Typhimurium in 'Iceberg' lettuce achieved  $> 2 \log_{10}$  reduction of the internalized bacteria regardless  
312 of the chemical decontamination step (Ge et al., 2013). Similarly, sequential application of 60 mg/L  
313 PAA (90 s) and WUV (10 s, unspecified dose) did not improve the inactivation ( $\approx 2.2 \log_{10}$  reduction)  
314 or inhibition ( $1.7 \log_{10}$ ) of inoculated *Citrobacter freundii* ( $7.1 \log_{10}/\text{g}$ ) in 'Romaine' lettuce pieces  
315 compared to the WUV treatment.

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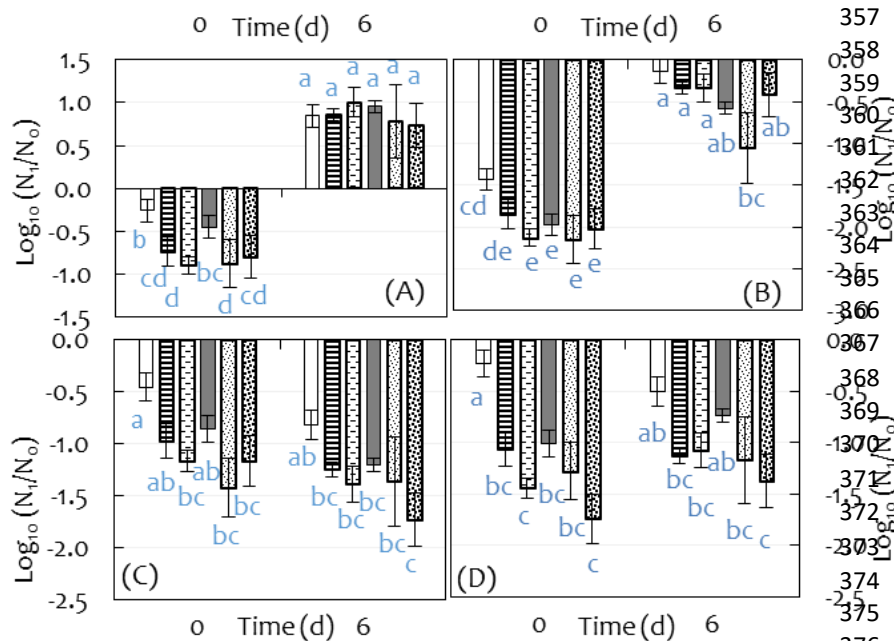
320

321 Figure 3. Efficacy of WUV in combination with PAA for the decontamination of (A) *L. monocytogenes* and (B) *S. enterica* in fresh-cut  
 322 'iceberg' lettuce: Columns are means of the logarithmic reductions ( $\log_{10}(N_t/N_0)$ ) of the population levels of the treated samples  
 323 ( $N_t$ ) in relation to initial inoculated microbial populations ( $N_0$ ): (□) water control, (▨) 40 mg L<sup>-1</sup> PAA: PAA40, (▤) 80 mg L<sup>-1</sup> PAA:  
 324 PAA80, (▥) 0.1 kJ m<sup>-2</sup> WUV, (▦) 0.1 kJ m<sup>-2</sup> WUV + PAA40, (▧) UV-C + PAA80. Error bars represent standard deviations (n=6).  
 325 Different letters represent significant differences at each sampling time according to analysis of variances (ANOVA) and Tukey's  
 326 test ( $p < 0.05$ ).

327

328 As shown by our results, in baby spinach leaves, processing with WUV even at a higher dose (0.2  
 329 kJ/m<sup>2</sup>) than that applied to lettuce, was not effective for inactivation of any of both pathogens (initial  
 330 levels  $4.3 \pm 0.2 \log_{10}$  CFU/g) compared to water-washing (Fig 4 A and C). Higher effectiveness of WUV  
 331 in lettuce than in baby spinach leaves for the inactivation of *Salmonella* spp. has previously been  
 332 obtained (Guo et al., 2017; Huang et al., 2018). However, in the present work this limitation was  
 333 overcome by combining 0.2 kJ/m<sup>2</sup> UV-C with 40 mg/L PAA. This combination inactivated both *S.*  
 334 *enterica* and *L. monocytogenes* by up to  $0.9 \pm 0.1$  and  $2 \pm 0.1 \log_{10}$ , respectively, which in the latter  
 335 case was significantly better than the correspondent WUV treatment. However, processing with 0.2  
 336 kJ/m<sup>2</sup> UV-C whether applied in water or in PAA, was ineffective for inhibiting *L. monocytogenes*  
 337 throughout storage. Contrastingly, treatment with 0.2 kJ/m<sup>2</sup> UV-C and 80 mg/L PAA inhibited *S.*  
 338 *enterica* growth, maintaining its populations  $1.4 \pm 0.2 \log_{10}$  below the inoculated levels at the end of  
 339 storage, which was significantly better than water-washing. Furthermore, increasing the UV-C dose  
 340 to 0.3 kJ/m<sup>2</sup> did not improve the efficacy of WUV ( $0.9 \pm 0.2 \log_{10}$ ) at inactivating *S. enterica* (Fig. 4 D)  
 341 in baby spinach but it enhanced the inactivation of *L. monocytogenes* to  $2.0 \pm 0.1 \log_{10}$  (Fig. 4 B).  
 342 Higher UV-C doses ( $2.4 \text{ kJ/m}^2$ ) applied in a conventional chamber have shown to be effective at

343 inactivating *L. monocytogenes*'s (by 2.2 log<sub>10</sub>) and at inhibiting its growth (by 0.9 log<sub>10</sub>) in spinach  
 344 leaves during 14 d of refrigerated storage in air (Escalona et al., 2010). We obtained no further  
 345 improvement by combining 0.3 kJ/m<sup>2</sup> UV-C with PAA. This result agreed with those obtained by  
 346 Martínez-Hernández et al. (2015) upon sequential treatment of fresh-cut broccoli with 100 mg/L PAA  
 347 and then dry-UV-C irradiation (7.5 kJ/m<sup>2</sup>), when no synergistic effect at inhibiting *S. enterica* or *E. coli*  
 348 populations were observed after 7 d of MAP storage at 5 °C, compared to the single treatments.  
 349 Using the combination of 0.3 kJ/m<sup>2</sup> UV-C in 40 mg/L PAA, the initial reductions of *S. enterica*'s  
 350 population in baby spinach leaves was 0.7 ± 0.2 log<sub>10</sub> more than those achieved by water-washing,  
 351 and the inhibition of *L. monocytogenes*' populations were maintained during storage at 5 °C, keeping  
 352 them 1.1 ± 0.4 log<sub>10</sub> below the inoculated levels, although it was not significantly better than the  
 353 WUV treatment. Similarly, no significant improvement of the water-assisted technology at  
 354 inactivating *S. enterica* (up to 2 log<sub>10</sub>) in baby spinach leaves was obtained by Huang et al., (2018)  
 355 using the combination of 80 mg/L PAA and a considerably higher WUV dose (33.6 kJ/m<sup>2</sup>) than that  
 356 used in the present study.



357 Figure 4. Efficacy of WUV in combination with PAA for the  
 358 decontamination of (A and B) *L. monocytogenes* and (C and D) *S.*  
 359 *enterica* in baby spinach leaves: Columns represent means of  
 360 the logarithmic reductions of microbial populations in  
 361 sanitized samples (N<sub>t</sub>): (□) water control, (▨) 40 mg L<sup>-1</sup>  
 362 PAA control, (▩) 80 mg L<sup>-1</sup> PAA control, (▧) UV-C -treated: 0.2  
 363 kJ m<sup>-2</sup> in A and C or 0.3 kJ m<sup>-2</sup> in B and D, (▦) 40 mg L<sup>-1</sup> PAA +  
 364 UV-C: 0.2 kJ m<sup>-2</sup> in A and C or 0.3 kJ m<sup>-2</sup> in B and D, (▧) 80 mg  
 365 L<sup>-1</sup> PAA + UV-C: 0.2 kJ m<sup>-2</sup> in A and C or 0.3 kJ m<sup>-2</sup> in B and D,  
 366 in relation to inoculated populations (N<sub>0</sub>). Error bars  
 367 represent standard deviations (n=6). Different letters represent significant differences among treatments at each sampling time  
 368 according to analysis of variances (ANOVA) and Tukey's test (p < 0.05).  
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377 represent standard deviations (n=6). Different letters represent significant differences among treatments at each sampling time  
 378 according to analysis of variances (ANOVA) and Tukey's test (p < 0.05).

379



380 However, the reason for the lack of enhanced antimicrobial effect in lettuce or spinach is still unclear.  
381 It could be related to the ability of foodborne pathogens of interacting with the plant-associated  
382 microbiota, or to their internalization and attachment to the plant tissue during overnight incubation,  
383 which could have reduced the accessibility of UV-C and PAA or led to induced resistance of bacteria  
384 against antimicrobial mechanisms (Brandl et al., 2013; Gayán et al., 2014; Kroupitski et al., 2009;  
385 Takeuchi et al., 2000; Takeuchi and Frank, 2001; Vandekinderen et al., 2009) In this sense, H<sub>2</sub>O<sub>2</sub>  
386 sprayed upon continuous application of UV-C (0.378 kJ/m<sup>2</sup> for 60 s) at 50 °C in a conventional  
387 chamber achieved 4 and 0.9 log<sub>10</sub> reductions of internalized *S. enterica* in 'Iceberg' lettuce and  
388 spinach leaves, respectively, which contrasted with the lack of reduction obtained with 200 mg/L  
389 calcium hypochlorite washing and the UV or H<sub>2</sub>O<sub>2</sub> treatments alone (Hadjok et al., 2008). It was  
390 speculated that the higher efficacy was due to the penetration of free radicals in a vapor form as  
391 opposed to the liquid phase (Hadjok et al., 2008). In general, the synergistic effect of integrated  
392 strategies involving UV-C irradiation and chemicals for the decontamination of inoculated pathogens  
393 or native microbiota in fresh produce has shown to be depend not only on the UV-C and the chemical  
394 compound doses and their ways of application, but also on the topography of the food matrix and its  
395 indigenous microbiota, the target microorganism, and the inoculation method (Fan et al., 2017; Guo  
396 et al., 2017). For example, high synergism has been observed when using the combination of 10 mg/L  
397 PAA and UV-C (0.1 kJ/m<sup>2</sup>) for the inactivation of *S. enteritidis* (6.2 log<sub>10</sub> reduction) in peptone water  
398 compared to the single treatments (1.9 and 2.6 log<sub>10</sub> reduction for PAA and UV-C, respectively) (Ou et  
399 al., 2016). Combining UV-C (0.378 kJ/m<sup>2</sup>) and sprayed 1.5 % H<sub>2</sub>O<sub>2</sub> at 50 °C for 30 s achieved a  
400 synergistic reduction of *Salmonella* spp. 'Iceberg' lettuce (Hadjok et al., 2008). However, the  
401 enhanced effect showed to be related with an increased free radical generation upon high  
402 temperature, since no improvement was observed when the 1.5 % H<sub>2</sub>O<sub>2</sub> was applied at 20 °C.  
403 However, in blueberries, combining WUV with hydrogen peroxide, sodium dodecyl sulfate, levulinic  
404 acid, or chlorine using a water-assisted UV-C device, achieved no improvement in the inactivation of  
405 *S. enterica* and *E. coli*, compared to the WUV control (Liu et al., 2015). Furthermore, several

406 chemicals including 1 % H<sub>2</sub>O<sub>2</sub>, and 100 mg/L chlorine has recently been assessed using a water-  
407 assisted UV-C technology (4 L capacity, 34.8 kJ/m<sup>2</sup>) for the inactivation of a cocktail of *Salmonella*  
408 spp. on fresh-cut 'Iceberg' lettuce, and baby spinach leaves showing no improvement of the WUV  
409 treatment (Guo et al., 2017). In the last mentioned experiment, when comparing different food  
410 matrices and inoculation methods they obtained higher reductions in spot-inoculated samples than  
411 in dip-inoculated ones using the combined methods, and a decrease in effectiveness with the  
412 increase of roughness and cut surfaces of the matrix (grape tomatoes > lettuce > baby spinach).

413 In the present work, the UV-C + 40 mg/L PAA combined treatment showed no significant effect on  
414 the overall appearance of 'Iceberg' lettuce compared to the single treatments (data not shown).  
415 However, the combined application of UV-C + 80 mg/L PAA resulted in diminished quality ( $P < 0.001$ )  
416 of the fresh-cut product at the end of storage, with the 60 % of the evaluations falling in the category  
417 3 due to discoloration of the samples. The action of the oxidant agent at a higher concentration  
418 combined to the mechanical damages of the membranes related to cutting could account for this  
419 result (Dai et al., 2012). Ge et al., (2013) found no differences in firmness of 'Iceberg' lettuce  
420 between the single treatments: 4.5 or 9 kJ/m<sup>2</sup>UV-C and PAA (80 mg/L for 10 min), and the sequential  
421 combinations thereof. However, they reported a slight color change when a dose of 9 kJ/m<sup>2</sup> UV-C  
422 was used. In contrast, we found no significant differences in the overall appearance of spinach leaves  
423 ( $P > 0.05$ ) among treatments, which could be related to the less extent of physical damage inflicted  
424 by cutting during processing (only detached). Similarly, no differences in color or gas headspace  
425 content was found among UV-C-treated (4.54 kJ/m<sup>2</sup>) and non-treated baby spinach samples at 6 d of  
426 storage at 5 °C in a closed system (Artés-Hernández et al., 2009).

427 Regarding the effect of the assayed technologies on microbial populations in the process water, the  
428 combination of UV-C with PAA inactivated both pathogens in the process solutions showing no viable  
429 cells (< 5 CFU/mL) after single-use sanitation of either inoculated spinach or 'Iceberg' lettuce, while  
430 10 UFC/mL could be detected upon the WUV treatment. This enabled solutions for reutilization,

431 improving the efficacy of the decontamination step and lowering the production costs and  
432 sustainability due to water consumption. In according with our results, the decontamination with 0.1  
433 kJ/m<sup>2</sup> UV-C of the sanitation water resulting from lamb's lettuce washing, achieved > 5 log<sub>10</sub> (below a  
434 detection limit of 10 CFU/mL) of both *L. monocytogenes* and *S. enterica*, when inoculated at 10<sup>6</sup>-10<sup>7</sup>  
435 CFU/mL (Ignat et al., 2015). Similarly, combining 33.6 kJ/m<sup>2</sup>WUV and 80 mg/L PAA reduced  
436 *Salmonella* spp. levels under the detection limit after the sanitation of 'Iceberg' lettuce improving the  
437 result obtained with the WUV and PAA single treatments (Huang et al., 2018). In a previous work, a  
438 sequential sanitation with cold (4 °C) or warm (45 °C) water after pre-treatment with 1.2 kJ/m<sup>2</sup> UV-C  
439 significantly improved the efficacy of UV-C for the inactivation of viable MAM cells in the water after  
440 single sanitation of fresh-cut endive (Hägele et al., 2016). The combination of O<sub>3</sub> with UV-C have also  
441 improved the efficacy of the UV-treatment alone by up to 3 log<sub>10</sub> regarding the reduction of the  
442 mesophilic microbiota populations in the water, after sanitation of fresh-cut escarole and onion for  
443 20 min (Selma et al., 2008). To sum up, even when PAA + UV-C combinations did not enhance the  
444 inactivation of inoculated pathogens in the evaluated food matrices, combined chemical-physical  
445 treatments are still recommendable due their increased effectiveness at decontaminating the  
446 process water, thereby reducing the risks for cross-contamination during processing workflow, as it  
447 has been reported by other authors (Huang et al., 2018; Petri et al., 2015; Singh et al., 2018).

### 448 3.3 INTEGRATION OF WUV, PAA AND BIOPRESERVATION

#### 449 3.3.1 EFFECT OF UV-C + PAA ON NATIVE MICROBIOTA

450 Pretreatment with UV-C + PAA was expected to reduce initial MAM levels and subsequently inhibit  
451 their growth throughout storage. The subsequent inoculation with the biopreservative bacterium  
452 CPA-7 was hypothesized to synergistically act with the physical-chemical treatment through direct  
453 antagonistic activity and indirect mechanisms involving the activation of the plant's defense  
454 response, thereby controlling pathogens and MAM's populations throughout storage (Xu and Du,  
455 2012; Urban et al., 2016). For example, combining conventional UV-C (6.0 kJ/m<sup>2</sup>) with super-

456 atmospheric O<sub>2</sub> packaging and electrolyzed water showed enhanced effects at inactivating MAM in  
457 fresh-cut broccoli compared to the single treatments, which was linked to the induced activities of  
458 APX, SOD and the increased total antioxidant capacity after 5 days of storage (Martínez-Hernández et  
459 al., 2013).

460 Native initial populations of mesophilic microbiota in lettuce and baby spinach leaves were  $4.9 \pm 0.2$   
461 and  $6.9 \pm 0.4 \log_{10}$  CFU/g, respectively. Pretreating fresh-cut 'Iceberg' lettuce with UV-C in PAA  
462 resulted in a similar reduction of initial populations of MAM to that obtained after PAA sanitation (by  
463  $1.3 \pm 0.2 \log_{10}$ ). Modelling PAA decontamination of 'Iceberg' lettuce showed a linear relation  
464 between the PAA concentration (0, 25, 80, 150 and 250 mg/L), the time of exposure and the  
465 reduction of native microbiota (Vandekinderen et al., 2009). However, the reduction levels were  
466 limited ( $0.4\text{--}2.4 \log_{10}$ ) probably because native microbiota was already adapted and attached to plant  
467 surfaces even forming biofilms showing an increased resistance towards sanitizers (Vandekinderen et  
468 al., 2009). As observed for lettuce, UV-C in PAA reduced initial MAM populations in baby spinach  
469 leaves with similar efficacy to that of PAA sanitation. However, reductions were less than a half to  
470 those observed for lettuce (by  $0.5 \pm 0.2 \log_{10}$ ), which showed that the efficacy of sanitation methods  
471 is influenced by the initial levels of indigenous microbiota. No inhibition of MAM's growth was  
472 observed throughout storage for 6 d at 5 °C either in lettuce or spinach samples pretreated with UV-  
473 C in PAA, reaching populations of  $6.9 \pm 0.4$  and  $7.7 \pm 0.3 \log_{10}$  CFU/g, respectively. In agreement with  
474 our results, Escalona et al., (2010) obtained a slight initial reduction of MAM (by  $1.4 \log_{10}$ ) in baby  
475 spinach leaves treated with  $2.4 \text{ kJ/m}^2$  UV-C while no inhibition of growth was observed after 6 d of  
476 refrigerated storage. Similarly, significantly higher UV-C doses ( $4.54$  to  $11.35 \text{ kJ m}^{-2}$ ) have shown to  
477 be effective for reducing mesophilic microorganisms from  $0.5$  to  $1 \log_{10}$  in spinach leaves whereas no  
478 inhibition was observed throughout storage, compared to chlorine sanitation (Artés-Hernández et al.,  
479 2009).

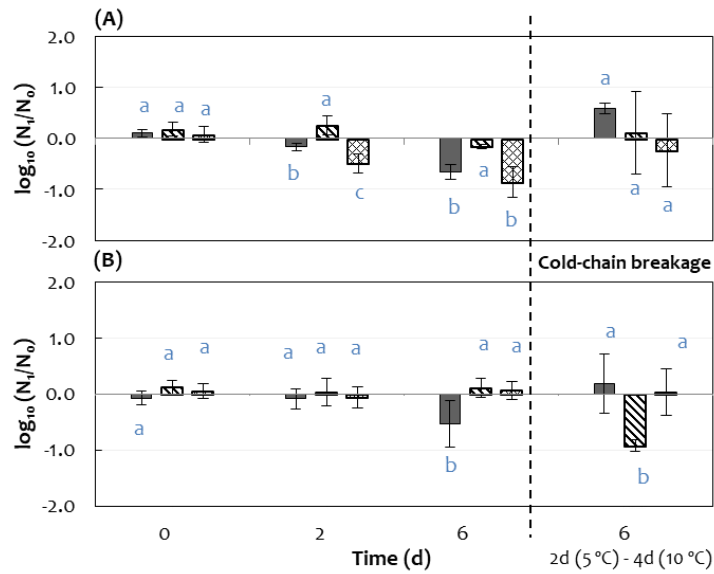
480 As shown by the results, upon a breakage of the cold chain of storage, no differences among  
481 treatments regarding the MAM's final populations were either detected in lettuce or spinach leaves  
482 ( $7.6 \pm 0.3$  and  $8.8 \pm 0.1 \log_{10}$  CFU/g' respectively). The dose-dependent UV-C effect on native  
483 microbiota and its synergistic improvement by other chemical and physical factors seems to be  
484 largely linked to the food matrix. UV-C treatments at higher doses than those used in the present  
485 study achieved significant reductions of MAM's titers in other lettuce varieties, i.e. by  $> 1 \log_{10}$  using  
486  $4.06$  or  $8.14 \text{ kJ/m}^2$  in 'Lollo Rosso' lettuce and by  $0.5$ - $2 \log_{10}$  using  $0.8$  to  $8.14 \text{ kJ/m}^2$  in 'Red Oak Leaf'  
487 lettuce (Allende & Artés, 2003). However, in our case the detrimental effect on quality did not  
488 compensate the putative improvement of microbiological quality which would have putatively  
489 achieved a higher UV-C dose. Thus, perhaps it would be interesting to test the combination of UV-C  
490 with other chemical compounds or physical treatments such as ultrasounds to accomplish this  
491 objective.

### 492 3.3.2 EFFECT OF THE SEQUENTIAL TREATMENT WITH WUV + PAA AND THEN CPA-7 ON 493 THE PATHOGENS' GROWTH

494 Initial populations of CPA-7 in lettuce and spinach were  $5.9 \pm 0.2 \log_{10}$  CFU/g and were not  
495 significantly affected by the UV-C + PAA pretreatment. At the end of storage, they reached  $6.2$  CFU/g  
496 at  $5 \text{ }^\circ\text{C}$  and  $6.8 \log_{10}$  CFU/g upon a cold-chain breakage in both matrices, regardless of the  
497 pretreatment with UV-C in PAA. Inoculated populations of *L. monocytogenes* were  $3.9 \pm 0.1$  and  $4.1 \pm$   
498  $0.1 \log_{10}$  CFU/g in 'Iceberg' lettuce and spinach, respectively. Initial populations of *S. enterica* were  
499  $4.1 \pm 0.1 \log_{10}$  CFU/g in both matrices. Results showed that *L. monocytogenes*' growth was inhibited  
500 by  $0.5 \pm 0.2$  and  $0.9 \pm 0.2 \log_{10}$  in respect of the  $40 \text{ mg/L}$  PAA-pretreated control after 2 d and 6 d of  
501 MAP storage at  $5 \text{ }^\circ\text{C}$ , in samples treated with WUV + PAA + CPA-7 (Fig. 5 A). At day 6 of storage, *L.*  
502 *monocytogenes*' populations were also reduced by  $0.7 \pm 0.2 \log_{10}$  in samples treated either with UV-C  
503 in PAA. In a previous experiment, CPA-7 inhibited *L. monocytogenes*' growth in 'Romaine' lettuce by  
504  $1.5 \log_{10}$  compared to the untreated control after 6 d at  $10 \text{ }^\circ\text{C}$  in MAP (Oliveira et al., 2015). Although  
505 the UV-C + PAA and the WUV + PAA + CPA-7 treatments inhibited *L. monocytogenes*' growth in

506 respect of the PAA treatment, the inhibitory effect of CPA7 was not significantly different from that  
507 obtained using the double combination, which could have been due to the interference of the native  
508 microbiota of the vegetable. In this sense, the incidence and severity of blue and gray molds in pear  
509 fruits, caused by *Penicillium expansum* and *Botrytis cinerea*, respectively, was reduced throughout  
510 storage at 20 °C for 15 d upon dip-inoculation with the antagonistic yeast *Candida guilliermondii* ( $5 \times$   
511  $10^7$  CFU/mL) after 5 kJ/m<sup>2</sup> (15 min) UV-C pretreatment, but before inoculation with the pathogens  
512 and the antagonist, fruit surfaces had been disinfected with 2 % (v/v) sodium hypochlorite for 2 min  
513 (Xu and Du, 2012). Similarly, the integrated application of 4 kJ/m<sup>2</sup> UV- C and the antagonistic yeast  
514 *Candida tropicalis* increased the resistance of pineapple to the phytopathogenic fungus *Chalara*  
515 *paradoxa* and preserved the firmness of the fruit (Ou et al., 2016). Again, fruit have been disinfected  
516 with 2 % (v/v) sodium hypochlorite before wound inoculation. However, the increased resistance to  
517 pathogens of fruit treated with UV and biocontrol agents has been previously correlated with lower  
518 activities of cell-wall degrading enzymes (pectin methylesterase, polygalacturonase, and cellulase)  
519 and enhancement of both non-enzymatic (total phenolic content via PAL activation) and enzymatic  
520 antioxidant mechanisms (catalase, superoxide dismutase and peroxidase) as well as with the increase  
521 of PR protein activities ( $\beta$ -1,3-glucanase and CHT) (El Ghaouth et al., 2003; Ou et al., 2016; Pombo et  
522 al., 2011). We have previously observed that CPA-7 induced the activities of defense-related enzymes  
523 in fresh-cut 'Golden' apple (Collazo et al., 2018a). However, its effect on green leaves remains to be  
524 investigated. In general, there is a lack of information about green leaves' response to plant or  
525 human pathogens and this is a matter worthy to be studied. Pretreatment with UV-C in PAA did not  
526 show a significant inhibitory effect on *L. monocytogenes*'s growth in 'Iceberg' lettuce upon a cold-  
527 chain breakage. In such conditions, CPA-7's antagonistic activity against *L. monocytogenes* was  
528 inconsistent among independent repetitions of the experiment and therefore, it was not statistically  
529 significant.

530



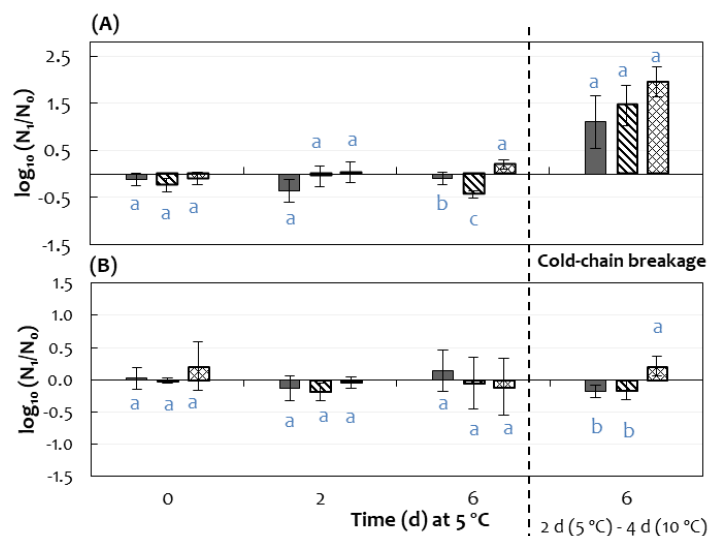
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532 Figure 5. Logarithmic reductions ( $\log_{10}(N_1/N_0)$ ) of (A) *L. monocytogenes*' and (B) *S. enterica*'s populations in 'Iceberg'  
 533 lettuce-treated samples ( $N_1$ ) using the combination of  $0.1 \text{ kJ m}^{-2}$  UV-C +  $40 \text{ mg L}^{-1}$  PAA (■), sequential treatment with  
 534  $40 \text{ mg L}^{-1}$  PAA and then CPA-7 (▨) or sequential treatment with  $0.1 \text{ kJ m}^{-2}$  UV-C +  $40 \text{ mg L}^{-1}$  PAA and then CPA-7 (▩)  
 535 ) in respect of microbial populations in the  $40 \text{ mg L}^{-1}$  PAA-washed control ( $N_0$ ). Columns represent means and error bars represent standard deviations ( $n=6$ ). Different letters represent significant differences according to  
 536 analysis of variances (ANOVA) and Tukey's test ( $p < 0.05$ ).  
 537

538 Regarding the effect on *S. enterica*, a slight inhibition (by  $0.5 \pm 0.3 \log_{10}$ ) compared to the PAA-  
 539 pretreated control was observed at day 6 of storage at  $5^\circ \text{C}$ , in lettuce samples pretreated with UV-C  
 540 in PAA but no inhibitory effect was observed in CPA-7-treated samples (Fig. 5 B). This agreed with the  
 541 lack of inhibition shown by CPA-7 against a six-strains cocktail of *Salmonella* spp. in a previous  
 542 experiments performed in 'Romaine' lettuce for 6 d at  $10^\circ \text{C}$  in MAP (Oliveira et al., 2015). In contrast  
 543 to that observed for *L. monocytogenes*, at day 6 of storage upon a cold-chain breakage, CPA-7 was  
 544 able to reduce *S. enterica* populations by  $0.9 \pm 0.1 \log_{10}$  in respect of the control samples pre-treated  
 545 with  $40 \text{ mg/L}$  PAA. This result suggested that the use of the antagonist would contribute to maintain  
 546 the safety of this product in case of that event.

547 In baby spinach leaves, no differences among the double ( $0.3 \text{ kJ/m}^2$  UV-C +  $40 \text{ mg/L}$  PAA) and triple  
 548 combination (UV-C + PAA + CPA-7) were observed before 6<sup>th</sup> day of refrigerated storage. At that day,  
 549 samples pre-treated with UV-C in PAA showed a slight inhibition of *L. monocytogenes*' growth in  
 550 respect of the PAA-pretreated control. At the same time of analysis, the sequential application of

551 PAA + WUV and then inoculation with CPA-7 inhibited *L. monocytogenes*' growth by  $0.4 \pm 0.1 \log_{10}$  in  
 552 respect of the non-inoculated PAA-pretreated control (Fig. 6 A). No significant differences in *L.*  
 553 *monocytogenes*' growth were observed upon a cold-chain breakage whether the biopreservative  
 554 agent was present or not. Similarly, any of the evaluated treatments controlled *S. enterica*'s growth  
 555 after 6 d of storage at 5 °C (Fig. 6 B). However, *S. enterica*'s growth was inhibited upon a breakage of  
 556 the cold chain of storage in UV-C + PAA-pretreated spinach samples compared to the PAA-pretreated  
 557 control, regardless of the presence of the antagonist. This contrasted with the significant growth of *L.*  
 558 *monocytogenes* (up to 2  $\log_{10}$  CFU/g) in this food matrix. As observed for lettuce, no synergistic effect  
 559 of the integration of UV-C, PAA and CPA-7 was observed in spinach leaves throughout storage at 5 °C  
 560 or upon a breakage of the cold-chain of storage compared to the CPA-7 + PAA and the UV-C + PAA  
 561 double combinations.



562

563 Figure 6. Logarithmic reductions ( $\log_{10}(N_t/N_0)$ ) of (A) *L. monocytogenes*' and (B) *S. enterica*'s populations in baby  
 564 spinach leaves samples treated ( $N_t$ ) using the combination of  $0.3 \text{ kJ m}^{-2}$  UV-C +  $40 \text{ mg L}^{-1}$  PAA (■), sequential  
 565 treatment with  $40 \text{ mg L}^{-1}$  PAA and then CPA-7 (▨) or ssequential treatment with  $0.3 \text{ kJ m}^{-2}$  UV-C +  $40 \text{ mg L}^{-1}$  PAA  
 566 and then CPA-7 (▩) ( $N_t$ ) in respect of the populations in the  $40 \text{ mg L}^{-1}$  PAA-washed control ( $N_0$ ). Columns represent  
 567 means and error bars represent standard deviations (n=6). Different letters represent significant differences  
 568 according to analysis of variances (ANOVA) and Tukey's test ( $p < 0.05$ ).

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570



### 3.3.3 EFFECT ON OVERALL APPEARANCE AND RESPIRATION

Pretreatment with PAA and UV-C and subsequent inoculation with CPA-7 showed no significant differences among treatments in the O<sub>2</sub>/CO<sub>2</sub> contents of the packages headspace at the end of refrigerated storage in any of the analyzed matrices. Final gases contents in the bags containing baby spinach leaves were 19.8 kPa O<sub>2</sub>/11.1 kPa CO<sub>2</sub>, regardless of breakage of the cold-chain. In 'Iceberg' lettuce, the O<sub>2</sub> content of packages at the end of storage was 19.5 kPa, regardless of the storage conditions. However, the CO<sub>2</sub> content was lower at the end of storage at 5 °C (11.0 kPa) than upon a breakage of the cold-chain (16.9 kPa), probably due to increased respiration rate and enzymatic activity in the vegetables. The overall quality of inoculated samples from both matrices was unacceptable at the end of storage but that was expected because of the high initial microbial populations that were inoculated in order to obtain detectable levels after decontamination using the viable count method.

## 2. CONCLUSIONS

WUV at doses ranging from 0.1 to 0.3 kJ/m<sup>2</sup> achieved effective inactivation and growth inhibition of both *S. enterica* and *L. monocytogenes* in fresh-cut 'Iceberg' lettuce and baby spinach leaves. The combined treatment of UV-C at such doses and 40 mg/L PAA showed no synergistic reduction of the pathogens' populations in respect of the individual control treatments in the evaluated food matrices but it is still recommendable since it improved the efficacy of the single treatments at inactivating both pathogens in the process solutions. Sequential treatment with UV-C + PAA and CPA-7 inhibited *L. monocytogenes*' growth in 'Iceberg' lettuce after 6 d of refrigerated storage in respect of the PAA-pretreated control but it did not show a synergistic improvement in respect of the PAA + UV-C treatment. In samples pretreated with PAA, CPA-7 inhibited the growth of *S. enterica* in 'Iceberg' lettuce upon a cold-chain breakage. The potential usefulness of the bacteriostatic activity of this biocontrol agent for reducing the risks of cross-contamination throughout refrigerated storage and upon an eventual breakage of these conditions is promising, but further study is needed to improve

596 the conditions for a more stable performance in green leaves, focusing on the prior reduction of  
597 indigenous microbiota. Low-dose UV-C combined with PAA could be a suitable preservation strategy  
598 for improving the safety of ready-to-eat leafy greens.

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